

Software Engineering for Internet of Underwater Things to Analyze Oceanic Data

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Abstract

Internet of Things (IoTs) represents a networked collection of heterogeneous sensors – enabling seamless integration between systems, humans, devices, etc. – to support pervasive computing for smart systems. IoTs unify *hardware* (embedded sensors), *software* (algorithms to manipulate sensors), and wireless *network* (protocols that transmit sensor data) to develop and operationalize a wide range of smart systems and services. The Internet of Underwater Things (IoUTs for short) is a specific genre of IoTs in which data about ocean ecosystems is continuously ingested via underwater sensors. IoUTs referred to as context-sensing eyes and ears under the sea operationalize a diverse range of scenarios ranging from exploring marine life to analyzing water pollution and mining oceanic data. This paper proposes a layered architecture that (i) ingests oceanic data as a sensing layer, (ii) computes the correlation between the data as an analytics layer, and (iii) visualizes data for human decision support via the interface layer. We unify the concepts of software engineering (SE) and IoTs to exploit software architecture, underlying algorithms, and tool support to develop and operationalize IoUTs. A case study-based approach is used to demonstrate the sensors' throughput, query response time, and algorithmic execution efficiency. We collected IoUT sensor data, involving 6 distinct sensors from two locations including the Arabian Sea, and the Red Sea for 60 days. Evaluation results indicate (i) sensors' throughput (daily average: 10000 - 20000 KB data transmission), (ii) query

response time (under 30 milliseconds), (iii) and query execution performance (CPU utilization between 60 - 80%). The solution exploits SE principles and practices for pattern-based architecting and validation of emerging and next-generation IoUTs in the context of smart oceans.

Keywords: Internet of Things, Software Engineering, Ocean Mining, Data Analytics, Smart Systems

1 Introduction

Internet of Things (IoTs) is a sensor-driven platform and an enabling infrastructure that orchestrates heterogeneous things such as systems, services, devices, and humans that coordinate autonomically in smart systems and environments [1]. Increased adoption of IoTs at a global scale is pinpointed by a recent report by Statista indicating that at the end of the year 2022, there existed a total of 13.14 billion IoT-connected devices worldwide, and the number is expected to touch 29.42 billion by 2030 (i.e., more than doubled in less than ten years) [2]. Moreover, from a commercial perspective, increased adoption of IoTs by enterprises in the context of smart healthcare, intelligent transportation, industrial automation, etc. indicates that worldwide revenue from IoT applications, platforms, and services is expected to reach \$750 billion by 2025 [3]. The rapid adoption of IoT technologies in smart systems can be attributed to portable devices that unify hardware (embedded sensors), software (applications that control sensors), and wireless networking (protocols connecting sensors) that enable things to collect, process, and share contextualized data [4]. Typical examples of IoT-driven contextualized data can be health analytics or crowd-sensed traffic congestion that can be collected by pervasive and context-sensitive sensors, manipulated by software applications, and distributed over wireless networks. Software-intensive systems and services in IoTs are the backbones for data-driven smart systems initiatives across the globe such as the ones adopted by the United States [5], Europe [6], and Asia [7].

The Internet of Underwater Things (IoUTs) is a specific genre of IoTs that is designed to operate in an oceanic environment, ingesting data from underwater sources, and transmitting it to off-shore servers for data-driven intelligence and human decision support [8]. IoUTs are considered as the ears and eyes in the deep blue (sea) that provide useful insights by operationalizing scenarios such as monitoring of marine life, measuring underwater pollution,

30 and analyzing the correlation between various factors such as impacts of tem-
31 perature and acidity on water [9]. While the blooming applications of IoT in
32 different domains (e.g., smart homes, farms) have been extensively discussed
33 [9, 10]. A recently published report on ‘Smart Ocean Technologies’ indicates
34 that despite the expected revenues of IoTs and strategic benefits of IoUTs,
35 such sensor-driven systems entail some critical challenges [10]. These chal-
36 lenges include but are not limited to resource poverty of sensors, stability of
37 wireless networks, and performance of networked things along with data se-
38 curity and privacy, etc. which can hinder the trustworthiness and mass-scale
39 adoption of such IoUTs. There is a need to synergize engineering knowledge
40 and practices from other domains of IoTs such as smart home, intelligent
41 transportation, pervasive healthcare, etc. that can be tailored and applied
42 in the context of IoUTs for smart oceans [8].

43 *Research context:* Engineering software applications and services for IoT
44 systems require a multitude of software development expertise in the con-
45 text of programming context-sensitive sensors and devices to operationalize
46 and manipulate the internet of things. Specifically, Software Engineering
47 for IoTs (SE for IoTs in short) as an emerging discipline aims to apply the
48 methods, principles, and practices of engineering software-intensive systems
49 to design, develop, deploy, and evolve sensors and things-driven applications
50 effectively and efficiently [11, 12]. From a system engineering point of view,
51 hardware and/or networking novelties are vital, however; true potential for
52 IoT systems in general and for IoUTs in a particular lies with software sys-
53 tems that contain data and logic to manipulate hardware devices for offering
54 services to end-users [12]. For example, IoT sensors and devices that mine
55 oceanic data – collecting data via underwater sensors – rely on underlying
56 software that contains necessary algorithms and logic to compute the cor-
57 relation between oceanic variables such as temperature ($^{\circ}\text{C}$) and acidity of
58 the water (pH) and their impacts on marine life [10]. A recent roadmap of
59 SE for IoTs [13] organizes experimental evidence from developing IoT appli-
60 cations to highlight that engineering artifacts such as software architecture,
61 patterns, frameworks, and tool support can empower engineers and devel-
62 opers to architect IoT-driven systems in an automated and efficient manner.
63 However, employing SE-specific processes and practices in IoT-based systems
64 requires understanding the constraints and limitations of sensor-driven de-
65 vices and software services [11]. Specifically, an IoUT sensor that collects
66 underwater temperature supports portable and context-sensitive comput-
67 ing, however; such pervasive systems inherit limitations relating to resource

68 poverty (limited processor and battery) and network stability that needs to
69 be compensated [9, 10]. Moreover, the volume and velocity of oceanic data
70 collected by the sensors require efficient processing to ensure robust per-
71 formance while computing insights from the collected data [10, 12]. While
72 prior studies [12, 9, 14] have proposed analytic solutions for underwater data,
73 semi-automated and decision-based support of oceanic data predictive ana-
74 lytics is yet unnoticed in the existing literature. In recent years, software
75 engineering processes and architectures have been gaining much attention to
76 address the issues pertaining to the development and operationalization of
77 software-intensive IoT systems [11, 15].

78 *Novelty and contributions:* DeepBlu project aims to unify SE and IoTs to
79 enable architecting, developing, and validating a sensors-based solution that
80 continuously ingests multifaceted data from underwater and processes it to
81 provide critical insights to end-users for human decision support. A high-
82 level view of the proposed solution is illustrated in Figure 1 which highlights
83 the application of various SE concepts that are applied to design and develop
84 IoUTs with complementary tool support to automate system development.
85 As in Figure 1, a layered software architecture pattern is applied [15] to
86 help system developers maintain the separation of concerns, i.e., layering
87 to organize different operational aspects at different layers of the system
88 that also enables modularization for algorithmic specifications. Precisely,
89 the layered pattern rooted in software architecture consists of three layers (i)
90 a *sensing layer* having sensors that ingest underwater data (ii) an *analytics*
91 *layer* that processes the sensed data (iii) an interface layer that presents data
92 for human interpretation.

93 We have used the ISO/IEC-9126 model for software quality [16] for quali-
94 tative and criteria-based evaluation of the solution’s functionality and quality
95 to validate the solution. In addition to a case study-based demonstration,
96 we measure and evaluate sensors throughput (i.e., stability), query response
97 (i.e., performance), and algorithmic execution (i.e., efficiency) for the solu-
98 tion. The novelty and contributions of this research are:

- 99 • Application of software engineering principles and practices to archi-
100 tect, implement, and validate an IoT-driven solution that systemizes
101 the development and operationalization of IoUTs to analyze oceanic
102 data.
- 103 • Development of a layered software architecture that modularizes the

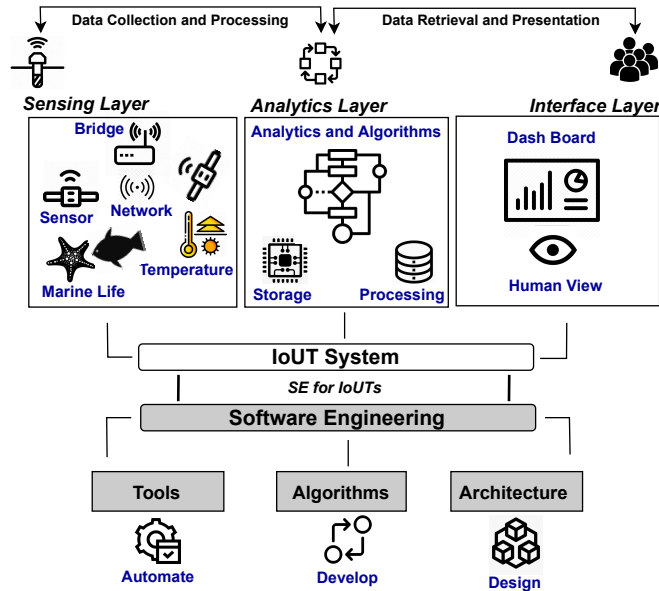


Figure 1: Overview of the Proposed Solution (SE for IoUTs)

104 solution, supports patterns as best practices of development and pro-
 105 vides a set of algorithms that enable automation and parameterized
 106 customization of the solution.

- 107 • Validation of the solution based on a real-world case study that pro-
 108 vides a scenario-driven approach to evaluate the quality of the solution
 109 in terms of sensors' throughput, query response, and algorithmic exe-
 110 cution time.

111 The solution overview as in Figure 1 pinpoints architecting and developing
 112 IoUTs - synergizing SE practices with IoTs system development - as a specific
 113 genre of the IoTs [9, 11]. This research aims to provide a solution and set of
 114 guidelines that can help IoT researchers and practitioners engineer emerging
 115 and next-generation (software-intensive) IoT applications in the context of
 116 smart ocean systems.

117 *Structure of the paper:* This paper is organized as follows. Section 2
 118 presents background details and related work. Section 3 presents the research
 119 methodology and architectural design. Section 4 details algorithmic details
 120 and solution implementation. Section 5 discusses case studies, evaluations,

121 and validity threats. Section 6 concludes the paper and highlights the need
122 for future research.

123 **2. Background and Related Work**

124 This section presents the background details to contextualize the building
125 blocks of IoUT systems and elaborates on software engineering approaches to
126 develop IoUTs (Section 2.1). We also review and compare the most relevant
127 existing research to justify the scope and contributions of the proposed solu-
128 tion (Section 2.2). The concepts and terminologies introduced in this section
129 are also used throughout the paper.

130 *2.1. Smart Oceans and the Internet of Underwater Things*

131 In the broader context of smart systems, the concept of smart oceans is
132 a relatively new term and it represents a promising paradigm for research
133 and development in areas including but not limited to maritime monitor-
134 ing, oceanography, emergency search and rescue, and protection of marine
135 life [17]. Developing tools and technologies that support smart ocean re-
136 quires a synergy between pervasive systems and context-sensitive applica-
137 tions to sense, monitor, and identify underwater objects connected wirelessly
138 to transmit oceanographic data. Traditional (land-based) IoT systems may
139 lack capabilities in terms of sensors' configurations, their deployment, and
140 software modules that have the capability to compute data ingested from
141 the ocean [18]. Figure 2 conceptualizes the building blocks smart ocean in
142 terms of data sensing, data analytics, and data presentation. To operational-
143 ize smart ocean systems, a number of wirelessly connected sensors from the
144 *underwater things* are connected to a bridge node, i.e., a Scientific Instru-
145 ment Interface Module (SIIM), for coordinating data to the backend server,
146 expressed as (S_A, S_B, \dots, S_N) located at different locations. In addition to
147 the oceanic data, each sensor [S] also adds information about the sensor's
148 identity and location. The information is sent to the bridge so that it can be
149 analyzed. In order to be able to aggregate all of the data from all sensors,
150 the bridge acts as an intermediary between the sensors (i.e., a data collec-
151 tor and a data store) and the server (i.e., a data repository). Examples of
152 oceanic data include a multitude of information such as types and levels of
153 contamination, sunlight, temperature, dissolved oxygen, and water acidity.
154 For example, assume the sensor having an identity S_T captures the value of

155 temperature ($^{\circ}\text{C}$) at specific time intervals and transmits the required in-
156 formation to the sensors' bridge. The bridge is responsible for collecting all
157 sensor data and transmitting it to the backend server, where it is then pro-
158 cessed. As in Figure 2, the server is managed as a cloudlet to send the data
159 for offshore processing and storage. A large part of the offshore processing
160 takes place in order to pull together the necessary data from various sources
161 of oceanic information in order to analyze the correlation between various
162 types of oceanic data such as the impact of temperature and acidity on ma-
163 rine life. Finally, the analytics results are presented to end-users for necessary
164 actions and human decision support in the context of smart oceans.

165 *2.1.1. Designing IoUT Systems*

166 The software engineering standard (represented as ISO/IEC 12207:2008)
167 provides a structured approach and process life-cycle to engineer software-
168 intensive systems. Moreover, the architecture model (i.e., ISO/IEC/IEEE
169 42010:2011) provides a standardized approach to architect, develop, and
170 evolve software services and applications effectively and efficiently [19]. The
171 architectural models for IoTs are designed to abstract the complex implemen-
172 tation specific details (i.e., source code modules and procedural calls) with
173 a high-level (component and connector) view of the system. Specifically,
174 modules can be abstracted and represented as architectural components and
175 architectural layers to conceptualize a system model [20]. There has been
176 an increased focus on exploiting architectural models to design, develop, and
177 evolve IoT systems in the context of smart homes, transportation, healthcare,
178 and urban services [15]. As in Fig. 2, a simplified view of the architectural
179 layers for IoUT is presented based on layered architecture pattern [12, 15].

180 Each later the concept of IoUT in terms of data and its computation.
181 Sensor-based data sensing refers, for example, to the capture and represen-
182 tation of data that is obtained from underwater objects and sent to a server
183 via sensors. The architectural modeling facilitates developers to design the
184 system landscape while abstracting away from the implementation-centric
185 and technical details (i.e., algorithm spec), which can be operationalized in
186 later stages. For example, a recently proposed solution named ThingsML
187 provides an architecture for high-level modeling of things in IoTs where the
188 low-level executable specification can be generated in an automated manner
189 using model-driven software engineering [20].

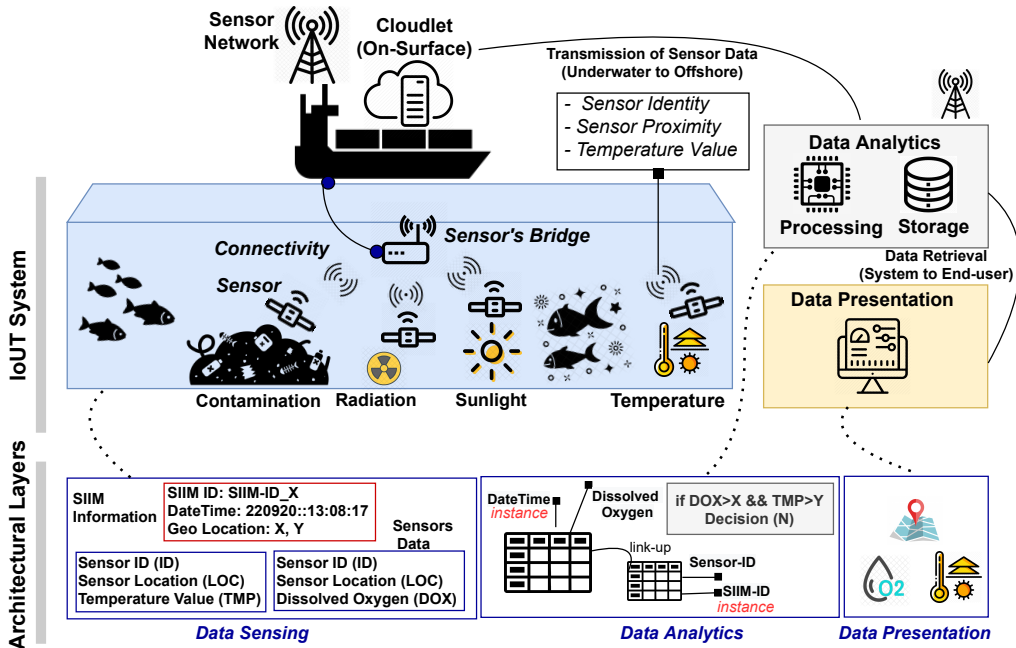


Figure 2: Building Blocks and Architecture Layers of IoUT for Smart Ocean Systems

190 *2.1.2. Challenges for IoUT System Design*

191 Despite the strategic benefits of IoUTs, architecting, operationalizing, and deploying underwater sensors remains a challenging task. The adoption of smart ocean technologies highlights that pervasive sensors entail several hardware, network, and software limitations [9, 10]. In terms of hardware, there is a lack of computation, storage, and energy resources, rooted in the pervasive and mobile nature of the sensors. The network instability leads to frequent disconnections and deteriorating sensor throughput can lead to anomalous data transmission. Further, from the software point of view, the performance of IoT data analytics in terms of algorithmic efficiency and query processing are among the primary challenges to be addressed [12, 13]. Notably, the adoption of software engineering life-cycle can enable architects and developers to (i) design IoUTs using patterns for an incremental and reusable development [12], (ii) develop parameterized algorithms to customize the solution [9], (iii) utilize software tools and technologies to automate the solution [20], and (iv) evaluate system functionality and quality based on standardized criteria (i.e., ISO/IEC-9126 model) for software validation [16].

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207 *2.2. Related Work*

208 This section overviews the most relevant related work to analyze existing
209 solutions, their underlying techniques, and limitations that justify the scope
210 and contributions of the proposed solution. Table 1 acts as a structured
211 catalogue to objectively compare and summarise the proposed solution in
212 the context of the most relevant existing work.

213 *2.2.1. Software-Intensive IoT-driven Systems*

214 In recent years, many research and development initiatives have been put
215 forward that advocate software engineering methods for the development
216 of IoT-driven applications [11, 13]. Precisely, the initiative in [11] aims to
217 organize IoT researchers' and practitioners' communities that can leverage
218 academic research on software engineering for IoTs and its application and
219 validation in an industrial context. Several similar efforts aim to establish
220 the foundations that unify state-of-the-art software engineering principles
221 with emerging and futuristic challenges of IoT systems [21, 22]. The study
222 in [21] organizes key concepts and develops abstractions that revolve around
223 the design and development of IoT systems to start shaping-up the guide-
224 lines of a new IoT-oriented software engineering discipline [20]. Some of the
225 pioneering studies [11, 13, 20] laid the foundations for later work that goes
226 beyond academic researchers to analyze practitioners' views and industry-
227 specific processes for IoT systems. For example, an empirical study in [23]
228 conducted a survey on IoT systems and practitioners from 35 countries across
229 6 continents with 15 different industry backgrounds. It can be considered a
230 pioneering work on analyzing practitioners' views on key tasks, challenges,
231 and software engineering methods for software-intensive IoT systems. In a
232 similar work [12], the authors analyze multiple software engineering processes
233 and practices that are used in industrial systems for IoT-driven data analyt-
234 ics. The study's results highlight the critical tasks, most relevant challenges,
235 and recommended practices for developing IoT-driven systems for industrial
236 analytics.

237 Usually, software architecture-centric techniques have been used to model
238 and develop IoT applications. A recently conducted mapping study in [15]
239 reviews qualitatively selected research studies to identify the challenges, ar-
240 chitectural solutions, patterns, and areas of emerging research in software-
241 defined IoTs. In a similar work, the authors have presented Things ML [20] as
242 a model-driven, architecture-centric approach that empowers architects and
243 developers via a model-driven software engineering approach to implement

244 IoT systems iteratively. The existing research and development on IoT-driven
245 applications in [12, 15, 20, 23] complement a recently proposed roadmap for
246 software engineering of IoTs that streamlines the essential aspects related to
247 specification, design, and implementation of software-intensive IoT systems
248 and applications [13].

249 *2.2.2. IoT-driven Oceanic Data Mining*

250 In the context of the smart system, there is a growing interest in tailoring
251 IoT solutions for intelligent computing [1, 5, 7] (e.g., smart healthcare, intelli-
252 gent transportation, home automation) that can be applied to sensor-driven
253 mining of oceanic data [8, 9]. A new generation of satellites has provided
254 oceanographers with a new means to acquire synoptic observations of ocean
255 surface conditions at unprecedented time and space scales. This depends on
256 the usage of satellites; see Halpern 2000 Satellites for more information [24].
257 Oceanographers have gathered information on critical parameters over time,
258 including sea surface, temperature, worldwide high spatial, high accuracy,
259 chlorophyll, and sea surface height (SSH). To allow a web-based platform for
260 data collection, retrieval, interpretation, and visualization of oceanic data,
261 Osen et al. [25] suggest a method to coordinate IoT data. The web-based
262 framework has been introduced to provide distributed and interactive real-
263 time data streams for detecting underwater oil detector resources. However,
264 the underwater marine environment has increased the privacy and security
265 issues of data collection, transmission, and retrieval. The authors in [14] have
266 proposed an IoT-based architecture for a secure and efficient data compres-
267 sion algorithm to address these issues.

268 IoUTs are characterized as a worldwide network of intelligent, intercon-
269 nected underwater objects that allow large unexplored water areas to be
270 monitored [9, 10]. However, to process the collected oceanic data, such data
271 must be transmitted to the storage infrastructure. In [26], the authors high-
272 light some challenges related to storing and analyzing the data highlighting
273 the fact that monitoring of ingested data from underwater sensors is still
274 an open challenge for the research community. In [27, 28], authors have pro-
275 posed a Deep learning-based approach called UIoT (underwater IoT) with an
276 improved stability method to categorize acoustic sounds to automate marine
277 sound processing in large data architectures [29]. The proposed UIoT archi-
278 tecture discusses the different scenarios to address critical challenges, includ-
279 ing the increasing problems of long-distance underwater communication [9].
280 Some of the challenges mentioned above and alike constraints hamper the

281 widespread adoption of IoUT systems and enable engineers and developers
282 to architect emerging and futuristic challenges of ocean data mining. By
283 deploying tens of thousands of inexpensive, intelligent floats that serve as
284 a distributed sensor network over huge ocean regions, the Ocean-of-Things
285 program [30], aims to close the marine knowledge gaps. In [31], the authors
286 discussed maritime awareness and a cost-effective way of predicting ocean
287 circulation and marine mammal tracking. The technology is based on con-
288 sumer electronics off the shelf and involves a central controller with various
289 sensors connected to it [32].

290 *Critical Challenges for Oceanic Data Mining and Analytics* – The de-
291 sign and implementation of efficient data mining algorithms represent one
292 of the critical challenges due to the operational environment and coordi-
293 nation between data collected from IoT sensors and devices. However, to
294 enhance system performance, marine organizations explore machine learning
295 systems that can adapt to the complex environment [33]. Notably, data an-
296 alytics techniques are mostly used to develop dynamic models through data
297 interactions leveraging several layers of information received through IoT
298 networks [34]. The analytics based on deep learning techniques are used for
299 data extraction, transformation, classification, and pattern analysis [35, 36].
300 However, marine data poses unique challenges, including but not limited to
301 the incompleteness of data, multi-sourced data ingestion, and complexity
302 of analytics. The existing techniques focus primarily on quantifying data
303 efficiently and reliably [37]. The recent studies explore problems such as
304 infrastructure [38], storage [39], security [40], analysis [41], etc. to manage
305 IoT data. Data mining approaches need further exploration to overcome
306 challenges that include but are not limited to multi-source data streaming,
307 complex marine data, performance enhancement [42], identifying trends from
308 ambiguous data [43, 44], multi-source data mining algorithms [45], and ad-
309 vanced data mining and stream data processing methods [46].

310 2.2.3. Conclusive Summary

311 Table 1 provides a structured catalog to document criteria-based compar-
312 ison of existing vs proposed solutions to present the scope and contributions
313 of the proposed work objectively. We adopted the guidelines for classify-
314 ing the existing research (IoT-driven data analytics and IoUTs [10, 12]) to
315 shortlist five criteria that include (1) engineering method applied for IoT
316 systems, along with the capability of the systems to exploit IoTs for (2) real-
317 time data collection, (3) data analytics, (4) data mining and analytics, and

Table 1: Criteria-based Comparative Analysis of Existing Research vs Proposed Solution

Study Reference	Engineering Method/Solution	Real-Time IoT Data Collection	Data Analytics	Forecasting Analytics	Mining Insights & Intelligence	Publication Year
Waterston et al. [31]	✓	×	×	×	×	2019
Tziortzioti et al. [47]	×	✓	✓	×	×	2019
Hu et al. [14]	✓	✓	×	×	×	2020
Qiu et al. [9]	✓	×	×	×	×	2020
Ahmad et. Al. [12]	✓	×	✓	×	×	2021
Our Scheme	✓	✓	✓	✓	✓	

318 (5) predictive analytics. The year of publication is complementary information to highlight the years in which a particular solution was put forward.
 319
 320 For example, the existing solution (Ahmad et. al. [12]) focuses on IoT-driven data analytics for industrial systems. The solution follows SE process
 321 life-cycle to develop and evaluate IoT systems that support real-time data
 322 collection and analysis. However, this solution lacks data mining and predictive
 323 analysis. Considering the overall comparison criteria in Table 1, we can
 324 conclude that a number of research studies have exploited SE for IoT-based
 325 data mining in the context of smart systems. However, there does not exist
 326 any solution that leverages SE methods and techniques (e.g., architecture,
 327 algorithms, patterns, tool support) for a systematic engineering and devel-
 328 opment of IoTs in the context of IoUTs. There is a need for solutions where
 329 software algorithms and applications can orchestrate the deployed sensors
 330 to ingest and analyze underwater data [25, 8, 9] to support software-defined
 331 IoTs. Technical details of the proposed solution are discussed in subsequent
 332 sections of this paper.
 333

334 3. Research Method and Software Architecture

335 We now present research methodology (Section 3.1) that follows details
 336 of the software architecture for the proposed solution (Section 3.2).

337 3.1. Research Methodology

338 An overview of the research method is presented in Figure 3 that consists
 339 of four steps, following an incremental approach to analyze, design, imple-
 340 ment and validate the solution, as detailed below.

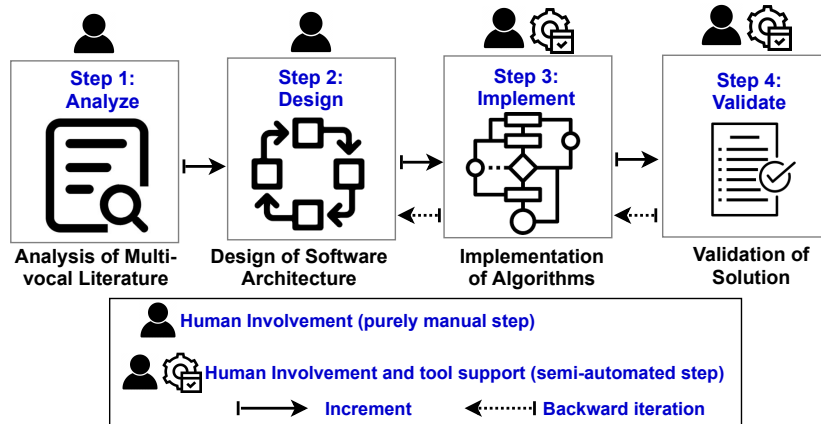


Figure 3: Overview of the Research Methodology

- 341 • **Step 1 – Analysis of Multi-vocal Literature** focuses on critical
 342 analysis of a diverse collection of existing literature (e.g., peer-reviewed
 343 published research, technology road maps, technical reports, etc.) [1,
 344 9, 10, 11, 21] to pinpoint existing solutions and their limitations. We
 345 followed the guidelines to conduct the systematic literature review [48]
 346 to review the most relevant existing research (detailed in Section 2.2).
 347 Analysis of existing research and development solutions helped us to
 348 streamline the needed solutions and define the scope for this research
 349 (Table 1).
- 350 • **Step 2 – Design of Software Architecture** represents the design
 351 phase of methodology that aims to model the solution before its imple-
 352 mentation. We followed the guidelines and recommendations to model
 353 IoT systems from [15] and adhered to ISO/IEC/IEEE 42010:2011 stan-
 354 dard for architecting software systems to design the proposed solution
 355 [6]. A layered software architecture is developed that acts as a blueprint
 356 to implement the solution (detailed in Section 3).
- 357 • **Step 3 – Implementation of Algorithms** represents an implemen-
 358 tation of the solution in the form of computation and storage-intensive
 359 steps. An algorithmic solution represents a modular decomposition
 360 of a solution that can be customized based on parameterized inputs
 361 by the users. Algorithmic details and underlying source code produce
 362 executable specifications for the architecture (detailed in 4).

363 • **Step 4 – Validation of Solution** is the last step that aims to evaluate
364 the functionality and quality of the proposed solution. We have used
365 the ISO/IEC-9126 [16] model to evaluate system quality. Specifically,
366 we focus on measuring various aspects of system usability and efficiency
367 based on a number of well-established evaluation metrics (detailed in
368 5).

369 As in Figure 3, the initial two steps are purely manual activities re-
370 quiring human intellect and decision support for their completion. In
371 comparison, the last two steps involve human intervention and tool
372 support to (semi-) automate the solution development. Iterations be-
373 tween steps (Step 4, 3, 2) may be required, in case there is any needed
374 refinement(s) of the previous step. For example, Step 4, i.e., solution
375 validation may suggest the refinement of algorithms to increase their
376 efficiency or alter their functionality.

377 3.2. Architectural Representation for the Proposed Solution

378 We now present software architecture that represents a blueprint - com-
379 prising of the building blocks - for the overall solution. As discussed ear-
380 lier, architecture for software-intensive systems is represented as an IEEE
381 standard [19] that abstracts complex implementation-specific details of the
382 system represented as architectural components (e.g., computational com-
383 ponents or data stores) and connectors (i.e., component interconnections).
384 The architectural view of the proposed solution is presented in Figure 4. Ar-
385 chitectural specifications are presented independently of specific tools and
386 implementation technologies to generalize the solution. Tools and technolo-
387 gies for architectural implementation are discussed later once the algorithms
388 have been presented. The architecture model as in Figure 4 highlights:

- 389 • *Layered solution* that employs 3-layered architecture pattern [19, 21]
390 to support the separation of functional concerns based on (1) sensing
391 layer, (2) data analytics layer, and (3) data presentation layer, each
392 detailed below. In the software development life-cycle, the separation
393 of functional concerns, a.k.a. divide and conquer, allows architects
394 and developers to engineer and develop a specific concern (e.g., data
395 analytics or data presentation) in a parallel way.
- 396 • *Modularization of solution* represented as implemented algorithms, where
397 each of the architectural layers can be represented as an individual mod-

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ule of the solution that supports customization based on user-specified input to the algorithms.

- *Architectural pattern* as reusable knowledge and recommended best practices provide a frequent design solution to recurring problems during the system development phase [15]. Pattern-based architecture enabled the reuse of design decisions, maintained the separation of concerns during development, and enhanced system extensibility and maintainability.

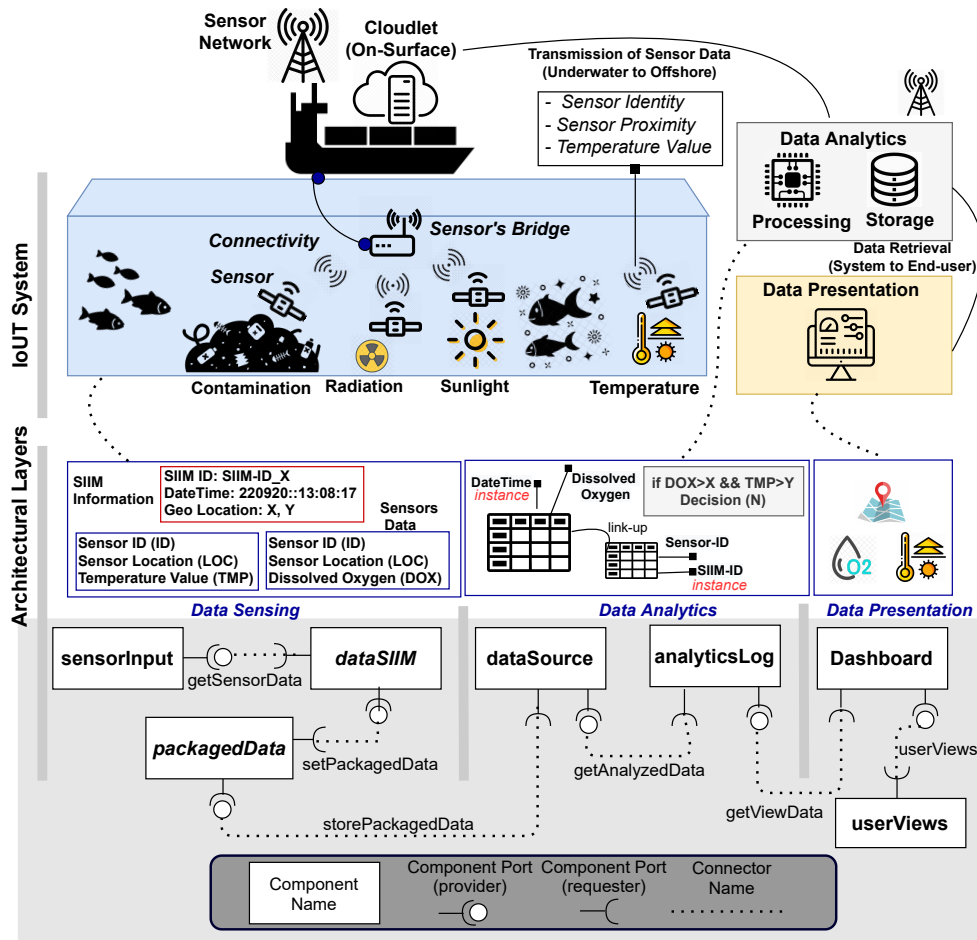


Figure 4: Overview of the Layered Software Architecture for the Solution

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Figure 4 shows two views (a) domain view and (b) architecture view across

407 three layers of the solution. Specifically, Figure 4 (a) domain view highlights
408 a real-world representation of the system in terms of different functional as-
409 pects and building blocks. In contrast to the domain view, Figure 4 (b)
410 highlights the system’s component and connector-based architectural view
411 of the system based on the UML component diagram [19]. Components of
412 the architecture represent computation and storage-intensive units, whereas
413 connectors represent the interconnection between components. For exam-
414 ple, the component named `packagedData` gets accumulated sensor data from
415 another component named `dataSIIM` using a connector `storePackagedData` at
416 *Data Sensing* layer. In an architectural concern, layers only represent a log-
417 ical separation of different functional aspects of the solution each of which is
418 detailed below.

419 3.2.1. Layer 1 - Data Sensing

420 This layer deals with collecting data from the deployed sensors in the
421 sea. Figure 4 illustrates a typical example of data sensing with deployed
422 sensors (Sensor-ID) and their data collection. Each of the deployed sensors
423 has a unique identifier referred to as Sensor-ID_A or Sensor-ID_B, shown in
424 Figure 4, to collect different types of oceanic data, as highlighted in Table
425 2. Table 2 provides the kind of information that was gathered, the unit used
426 to represent the data, and the specific sensor that was used to acquire the
427 data. For instance, the sensor known as Sensor-ID_A gathers information
428 known as DO (dissolved oxygen), which aids in measuring the amount of
429 dissolved oxygen in the water. Scientific Instrument Interface Module (SIIM),
430 which serves as a conduit between sensors (data collection) and data servers,
431 supports sensor deployment and data collecting (data management). As a
432 link between the two levels, SIIM unifies hardware and its control software to
433 gather data from deployed sensors, package it with SIIM data, and send it to
434 the server. For instance, in Figure 4 (a), the SIIM gathers data from Sensor
435 A such as dissolved oxygen at a specific time (Dissolved Oxygen (DO): 7.93,
436 DateTime: 22-09-20::13:05:37) and packages it with the SIIM’s identity and
437 the geolocation of the data collected (SIIM-ID X, GeoLocation). Periodically,
438 based on a minute-based time interval, the data collecting and transmission
439 procedure occurs. Figure 4 (b) illustrates how the `packagedData` component
440 at the *Data Sensing* layer transmits data to the `dataStore` component at the
441 *Data Analytics* layer via the `storePackagedData` connection.

442 3.2.2. Layer 2 - Data Analytics

443 This layer primarily focuses on managing and analyzing the data col-
444 lected from the underwater sensors (i.e., data transmitted from SIIM). First
445 of all, sensors' data packaged in a predefined format is stored in respective
446 data stores. The data relating to sensors and SIIM identification (SIIM_X,
447 Sensor_A, Sensor_B) is stored separately compared to other data such as
448 geolocation, time-stamp, and DO. Furthermore, this layer supports data an-
449 alytics such as DO oxygen patterns at a specific time, various sea levels, and
450 their impacts on the acidity of water. Two main types of analytics are per-
451 formed based on the types of data including (i) historical data determined
452 by specific data collected between two-time intervals, and (ii) current data.
453 Moreover, a correlation between two or more data items, as in Table 2, is
454 computed such as the effects of temperature ($^{\circ}\text{C}$) on the acidity of the water
455 (pH) at a specific time. Analytics is performed through data processing and
456 computations (further elaborated in the next section).

457 3.2.3. Layer 3 - Data Presentation

458 The final layer of the architecture presents key insights and results of
459 data to the end-users. An end-user is an interested party who is interested
460 in analyzing oceanic data, such as ocean explorers or marine scientists, etc.
461 In this layer, which is also known as the user interface layer, a customized
462 report is generated and various statistics are visualized in order to empower
463 end-users (stakeholders and decision-makers) to make informed decisions. It
464 is possible to see, as an example, over a specific period of time, the dissolved
465 oxygen content, the underwater temperature, and the acidity of the water
466 using the visualizations given here. At this layer (b), according to Figure 4,
467 the architectural component named **Dashboard** can provide customised views
468 to the users via the component named **userViews**.

469 4. Algorithms and Technologies for Solution Implementation

470 This section discusses the underlying algorithms and the technologies to
471 modularise and implement the architecture-centric solution. By highlighting
472 the tools and frameworks that are accessible to software and system devel-
473 opers, the topic of implementation technologies is introduced to support the
474 algorithmic requirements.

Table 2: List of Data Collected by the Sensors

Data	Unit of Measurement	Intent
Temperature (°C)	°C Degree Celsius	To read the current temperature
Dissolved Oxygen (mg/L) (DO)	measure the amount of dissolved oxygen	To measure the dissolved oxygen in the water
pH (moles/L)	moles per liter	To determine the water's acidity
Salinity (ppt)	Parts per Thousand	to gauge how "salty" saltwater is
Turbidity (NTU)	Nephelometric Turbidity unit	To quantify the quantity of light dispersed by water's suspended solids.
Chlorophyll (mg/L)	mg chlorophyll per liter of water wavelength.	Chlorophyll levels in water are measured by the fluorometer.
Sea Level (m)	measurement in meters	To measure the depth.

475 4.1. Algorithms for IoUT-based Ocean Data Mining

476 Interpretation of the Algorithms: Figure 5 illustrates the algorithms' com-
477 putational steps, data storage activities, and flow. The consistency between
478 the proposed architecture (Figure 4) and algorithmic specifications (Figure 5)
479 is maintained by mapping the architectural components with algorithmic
480 steps across three layers. For example, the architectural component named
481 *DataPackaging* inside the sensor layer in Figure 4 is mapped with the *Data*
482 *Packaging* activity in Figure 5, implemented in Algorithm 1 - Send(\mathcal{F}, \mathcal{B}
483) at Line 09. To facilitate customization and user input, the oceanic data
484 items from Table 2 serve as parameterized input to algorithms. For instance,
485 the sensor identification \mathcal{S} is supplied as a parameter to Algorithm 1 Input:
486 \mathcal{S} at Line 01 in order to start data collection from that particular sensor. As
487 shown in Figure 5, the accumulated data (Sensor ID, Sensor Data, Time and
488 Location) from the sensor layer is packaged for its transmission to the data
489 analytics layer, i.e., *packagedData* component in Figure 4. After performing
490 the analytics, the data (Sensor ID, Location and List of Sensors, and Data
491 Correlation from Multiple Sensors) is unified into the Analytics Log for its
492 transmission to the interface layer, i.e., *analyticsLog* component in Figure
493 4. The inputs, processing, and outputs for each of the three layers of algo-
494 rithms are presented in the remaining paragraphs of this section. Comments
495 are made in an effort to clarify and make the text easier to understand by
496 elaborating on certain algorithmic processes.

497 4.1.1. Algorithm 1: Sensors' Data Collection

498 This section explains the sensors' data collection mechanism as listed in
499 Algorithm 1. In this algorithm, the data is packed in a specific format before
500 forwarding to the server for processing. As stated before, the data collec-

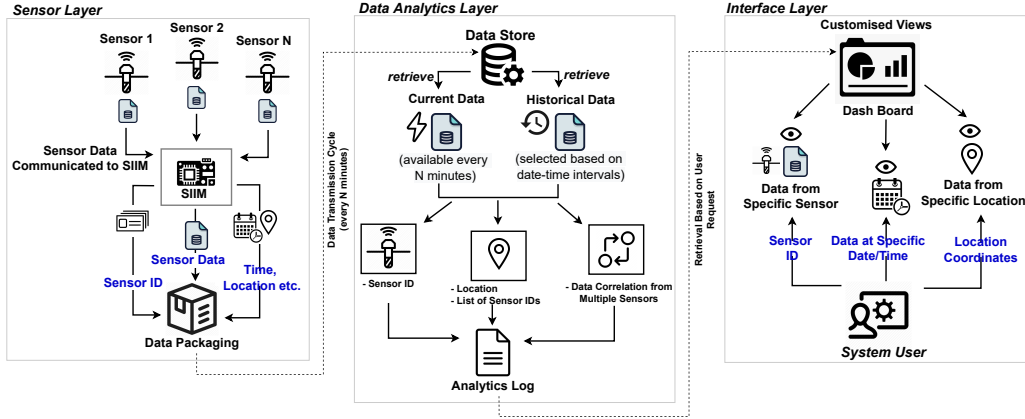


Figure 5: A Visual Overview of the Algorithms

501 tion and processing module contains a set of deployed sensors to perceive the
 502 environmental conditions and send their measurements. The SIIM is the con-
 503 troller module, responsible for data packaging and transmitting it wirelessly.
 504 It sends sensors' data to the backend server, where the data is processed.
 505 The data packaging is performed to consolidate a diverse set of data having
 506 ID, the value of oceanic data, sensor location, and date/time as a unified
 507 record for necessary analytics.

- 508 • *Input(s)*: The input to the algorithm is the identity of a specific sensor
 509 being used to trigger the data collection (Input: \mathcal{S} - Line 01).
- 510 • *Processing*: Data is ingested through sensors iteratively which is pro-
 511 cessed on a time slot basis, repeated frequently (Line 4, 5). However,
 512 four data categories are fed into the algorithm 1, sensor ID, SIIM ID,
 513 sensing value, and date time (Line 6). Initially, the data is buffered and
 514 later packaged (DPDATA_PACKEGE). The DPDATA_PACKEGE is
 515 further sent to the IoT server (Line 9) and the timer is reset (Time_Reset
 516 ()) to start a new interval to repeat the process (Line 8).
- 517 • *Output*: The output of the algorithm is the packaged data that has to
 518 be transmitted to the server (Output: \mathcal{B} - Line 02)

519 4.1.2. Algorithm 2: Data Analytics

520 The data analytics module comprises multiple algorithms that include the
 521 Time Series, and Random Forecast Model applied to custom data sets. The

Algorithm 1 Data Sensing Algorithm

```
1: Input:  $\mathcal{S}$  ▷ sensor data
2: Output:  $\mathcal{B}$  ▷ data block on time interval
3: procedure DATAPACKING
4:   while true do
5:      $\mathcal{S}_i \leftarrow \text{Read}()$  ▷ read sensor data
6:      $\mathcal{B} \leftarrow \text{AddBlk}(\phi_{id}, \mathcal{S}_i, t)$  ▷ develop data block
7:     if  $t < t_p$  then
8:        $t \leftarrow \text{Reset}()$  ▷ Reset timer for next interval
9:        $\text{Send}(\mathcal{F}, \mathcal{B})$ 
10:       $\mathcal{B} = \text{null}$ 
11:    end if
12:  end while
13: end procedure
```

522 data mining algorithms and data storage is placed on-premises i.e. backend
523 server. The data processing module is invoked on user-defined custom criteria
524 in the data analytics part. The user can select one or more sensors to see
525 the useful insights and make a correlation with other sensors to observe
526 the impact of sensors. The output of sensors presents data from individual
527 sensors or a correlation of data among more than one sensor, detailed below.

- 528 • *Input(s)*: The algorithm takes five parameters as input (Input: $\sigma, \psi,$
529 $\vartheta, \rho, \mathcal{L}$) - Line 01). These parameters include a specific sensor, type of
530 data sensed, date, time, and location of the sensor.
- 531 • *Processing*: The model is trained to provide insights and predictions
532 using packaged data. It can be seen in Algorithm 2, the inputs are the
533 Selected Type (selected_type), Sensor ID (s.id), Correlation Sensor IDs
534 (co_ids), Location (location), and Date Range (date_range). The data
535 is retrieved from the database server and processed according to the
536 selected requirements based on the defined custom selection.
- 537 • *Output*: The output of the algorithm is the trained data model for
538 data insights and predictions (Output: P_{set} - Line 02). The algorithm
539 output is a set of values ($P_{PREDICTIONS}$).

540 4.1.3. Algorithm 3: User Interface

541 The user interface functionality is illustrated in algorithm 3 and specified
542 in this section. The interface is used to highlight data insights based on

Algorithm 2 Data Analytics Algorithm

```
1: Input:  $\sigma, \psi, \vartheta, \rho, \mathcal{L}$  ▷ sensor, data type, date, time, location
2: Output:  $\mathcal{P}_{set}$  ▷ prediction set
3: procedure DATAANALYTICS( $\psi, \sigma, \vartheta=Null, \rho=Null$ )
4:   if  $\psi == C \parallel \psi == H$  then ▷ Analytic on Streaming OR Historical data
5:     if  $\sigma_l > 0$  then
6:       if  $Q.\sigma_l > 0$  then ▷ Correlation is not null
7:         if  $\mathcal{L} \neq NULL$  then ▷ location is not null
8:           while  $j < \sigma_l$  do
9:             while  $i < Q.\sigma_l$  do
10:               $\mathcal{P} \leftarrow \text{GetValue}(\sigma_l[j], Q[i], \mathcal{L}, \vartheta, \rho)$ 
11:              if  $\mathcal{P} \neq \text{null}$  then
12:                 $\mathcal{R} \leftarrow \text{GetImpact}(\mathcal{P})$ 
13:              end if
14:               $i++$ 
15:            end while
16:             $j++$ 
17:          end while
18:        else
19:          while  $j < \sigma_l$  do
20:            while  $i < Q.\sigma_l$  do
21:               $\mathcal{P} \leftarrow \text{GetValue}(\sigma[j], Q[i], \vartheta, \rho)$ 
22:              if  $\mathcal{P} \neq \text{null}$  then
23:                 $\mathcal{R} \leftarrow \text{GetImpact}(\mathcal{P})$ 
24:              end if
25:               $i++$ 
26:            end while
27:             $j++$ 
28:          end while
29:        end if
30:      else
31:        while  $j < \sigma_l$  do
32:           $\mathcal{P} \leftarrow \text{GetValue}(\sigma[j], \mathcal{L}, \vartheta, \rho)$ 
33:           $j++$ 
34:        end while
35:      end if
36:    end if
37:    return  $\mathcal{P}$ 
38:
```

543 given user input. The category of data insight includes *current data type*,
544 and *historical data type* with a set of input variables (Selected Type, Sensor
545 ID, Correlation of Sensor ID, Date & Time, Location).

Algorithm 3 Data Presentation Algorithm

```
1: Input:  $\mathcal{U}$  ▷ user selection
2: Output:  $\mathcal{R}$  ▷ Display analytics
3: procedure INTERFACEMODULE ▷ Event based function
4:    $\psi \leftarrow \text{UserSelection}()$ 
5:   if  $\psi == \mathcal{S}$  then
6:      $\sigma \leftarrow \text{Analytics}(\mathcal{S})$  ▷ call analytical module on sensor type
7:   end if
8:   if  $\psi == \mathcal{D}$  then
9:      $\sigma \leftarrow \text{Analytics}(\mathcal{D})$  ▷ call analytical module on date specific
10:  end if
11:  if  $\psi == \mathcal{L}$  then
12:     $\sigma \leftarrow \text{Analytics}(\mathcal{L})$  ▷ call analytical module on location specific
13:  end if
14:   $\mathcal{R} \leftarrow \text{UpdateDashboard}(\sigma)$  ▷ Update analytics on user screen
15: end procedure=0
```

- 546 • *Input(s)*: The input to the algorithm is used to retrieve the data based
547 on the required data type selection (Input: \mathcal{U} - Line 01).
- 548 • *Processing*: The analyzed data is stored on the server. The stored data
549 is used for training the data model. This process is repeated frequently;
550 however, for the incoming data, we trained the number of models in
551 accordance with the number of correlation sensors. The output of the
552 algorithm is data insights. Further, the variable data categories that
553 are fed into algorithm 3 with Selected Type (Current Data, or Historical
554 Data), Sensor ID, Correlation of Sensor ID, Date & Time, and location.
- 555 • *Output*: The output of the algorithm is the data insights and predic-
556 tions that are to be transmitted to the user interface server (Output:
557 \mathcal{R} - Line 02)

558 4.2. Tools and Technologies for Algorithmic Implementation

559 This section summarizes the complementary role of relevant tools and
560 technologies to implement the algorithms. The intent of the discussion here
561 is to contextualize the tools and technology perspective used to implement
562 the algorithms that realize the IoUT architecture. The tools and technolo-
563 gies are layered as in Figure 6. For example, the accumulated data at the
564 sensor layer from SIIM, implemented as *Raspberry Pi*, is packaged as a *CSV*
565 (Comma-separated values) file. The CSV file is transmitted to the server for

566 analytics, where data is stored and managed using *MS-SQL* (Microsoft SQL)
 567 server. From a technical perspective, a direct SQL SERVER on-premises
 568 machine using Windows Operating System (OS) is utilized. A server-side
 569 application is developed using *.NET* platform (Visual Studio 2019) for user
 570 authentication. PyCharm IDE is used to perform server-side data analyt-
 571 ics and Jupyter Notebook is for making the environment of data training.
 572 Python language is used to train the data model including these libraries
 573 (Numpy, Pandas, Matplotlib, Sklearn). Similarly, the user interface is imple-
 574 mented with a client-side scripting language like *JS* (Java Script). The data
 575 for the user interface layer is queried and managed via server-side scripting
 576 language C# (C-Sharp) and Python. As in Figure 6, the data packaging at
 577 the sensor layer and analytics log at the analytics layer are managed as CSV
 578 files that are processed and transmitted using C#

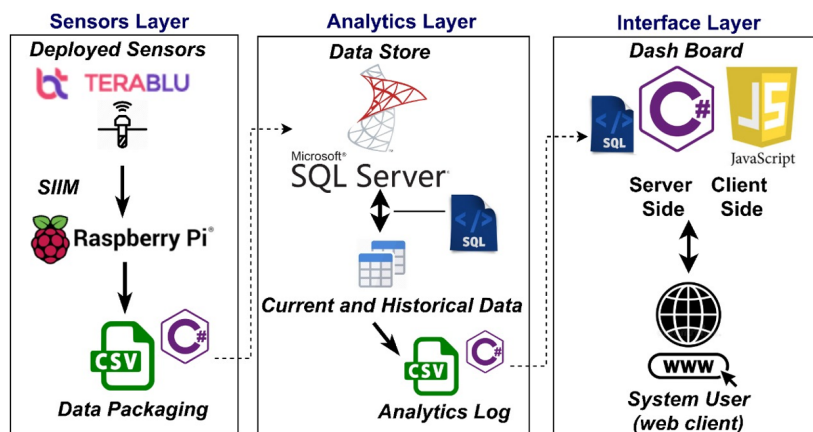


Figure 6: Abstract view of the proposed system with tools and technology interactions.

579 5. Evaluation of the Solution

580 First, we present the case study in Section 5.1 and then discuss the
 581 environment and evaluation dataset in Section 5.2. Afterward, we perform
 582 criteria-based evaluation by evaluating sensors' throughput (i.e., stability in
 583 Sections 5.3), query response (i.e., performance in Section 5.4), and algo-
 584 rithmic execution (i.e., efficiency in Section 5.5). The evaluation criteria
 585 are based on the ISO/IEC-9126 model used to evaluate software-intensive
 586 systems' quality [16].

587 5.1. Case Study

588 We now present the validation of the solution using a case study that
 589 is based on capturing ocean data for analysis from two distinct locations
 590 including (i) the Red sea, and (ii) the Arabian sea as shown in Figure 7. The
 591 case study is limited to data collection from two oceanic sites, however; as
 592 part of extending the basic proof-of-concept, in the future we plan for more
 593 diverse data to further validate the solution. Case study-based validation
 594 provides a practical context for scenario-based validation of the solution (see
 595 Figure7). Figure 7 illustrates a simplified view of the interface that allows
 596 the users to select three parameters (a) a specific sensor from the available
 597 list, (b) available locations for ocean data, and (c) correlation value/sensor.
 598 For example, the user selects the temperature and selects Arabian ocean and
 599 the pH value and the system shows the pH value of the data.

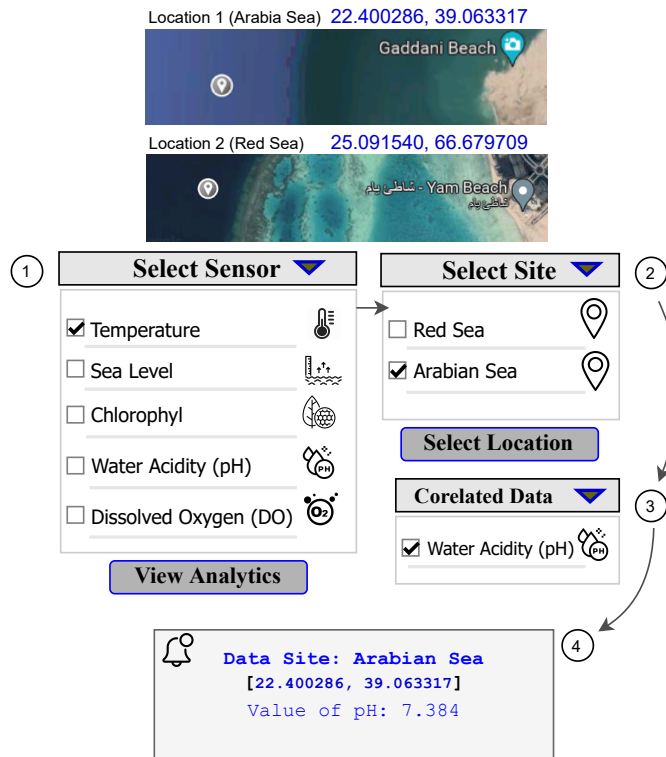


Figure 7: An Overview of Collected Data and Solution Interface

600 *5.2. Evaluation Environment and Dataset*

601 The evaluation environment refers to a collection of hardware and soft-
602 ware resources used to execute the solution and measure various execution
603 steps and outputs. Specifically, from the *hardware* perspective, evaluation
604 experiments have been conducted using TeraBlu sensors, and Raspberry Pi
605 (SIIM) with data analytics experiments performed on the Windows Plat-
606 form (core i7 with 16 GB of runtime memory). From *software* perspective,
607 execution evaluation also referred to as evaluation scripts, automates system
608 testing. Such scripts have been written in Python and executed in Jupiter
609 Notebook. A number of existing libraries, including but not limited to Mat-
610 plotlib and Sklearn are also used during the evaluation process. For example,
611 the script written in Python is used to measure CPU utilization while com-
612 puting the correlation of data among various sensors, i.e., Algorithm 2, and
613 evaluation results are visualized using Matplotlib.

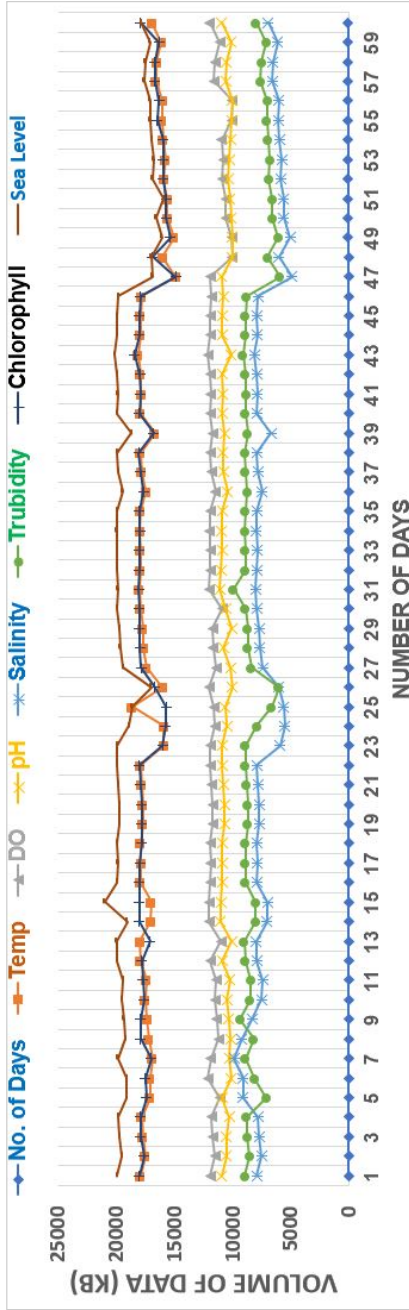
614 The *dataset* used for evaluation consists of data collected from deployed
615 sensors. The dataset includes a collection of data related to a list of sensors,
616 current data (sensor throughput), historical data (query processing), and
617 sensor correlation (system performance) along with the location and date/-
618 time range of collected data. Further details about the dataset(s) being used
619 are detailed in individual subsections below.

620 *5.3. Evaluations of Sensors' Throughput*

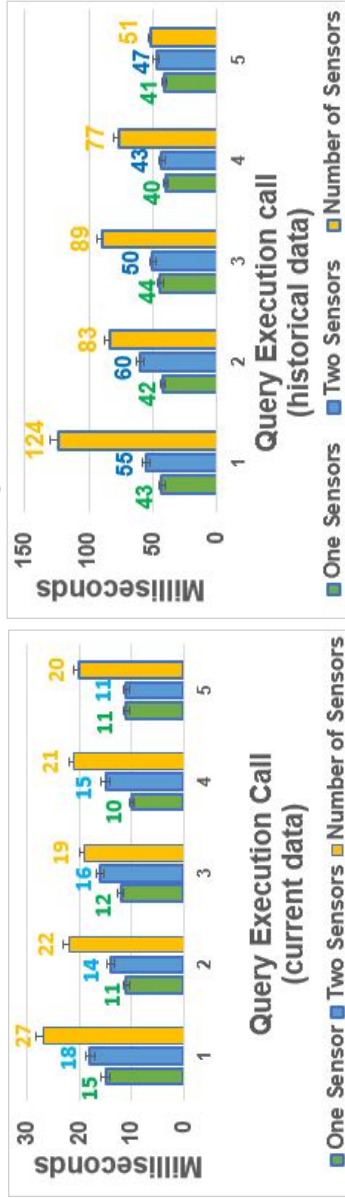
621 The evaluation, following are types of sensors used for the evaluation,
622 temperature, dissolved oxygen (DO), pH, salinity, turbidity, chlorophyll, and
623 sea level (as listed in Table 2). The temperature sensor is used to determine
624 the ocean's climate; the DO sensor measures oxygen in the water, pH is
625 used to determine the acidity of the water, salinity is used to determine the
626 saltiness of the water; turbidity determines the amount of light in the water
627 and sea level is used to determine the depth of the ocean.

628 At the data sensing layer, we evaluate the sensor's throughput to analyze the
629 stability of data transmitted out of each sensor, as in Figure 8. Measuring
630 the throughput can help identify if there are any disruptions or significant
631 variations in the transmission over a period of time. Specifically, as per the
632 plotting in Figure 8, the vertical axis represents the volume of transmitted
633 data in Kilobytes (KBs), whereas the horizontal axis represents the time
634 duration (number of days) for data collection. The throughput for each of
635 the seven sensors is represented as an individual graph plot that moves along
636 both axes such that fluctuation on the vertical axis represents data being

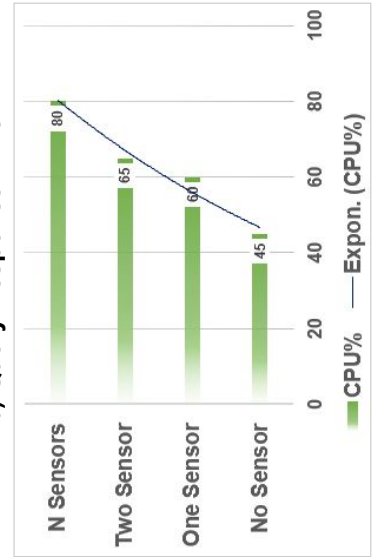
637 transmitted, while progression on the horizontal axis represents successive
638 days. For evaluation purposes, the throughput is measured for a period of
639 60 consecutive days. Sensors send their collected data. The gateway at the
640 sensing layer (i.e., SIIM in Figure 4) collected this data every 10 minutes and
641 logged it into the server. Figure 8 highlights only the average of collected data
642 per day. The results highlight the relative stability of the sensor's throughput
643 with occasional fluctuations. For instance, the data about Sea Level goes up
644 on day 15 and down on days 26, 39, and 47.



a) Sensors' Throughput



b) Query Response Time



c) Algorithmic Execution Time

Figure 8: Overview of Evaluation Results

645 *5.4. Evaluations of Query Response Time*

646 Data querying is fundamental to retrieving oceanic data from the server
647 for desired analytics. Evaluating the query response time helps to analyze
648 the solution performance in terms of retrieving the recent and historical data
649 from the server. Figure 8 highlights two views, i.e., (a) query response time
650 for current data and (b) query response time for historical data. Specifically,
651 Figure 8 represents average values for a total of 50 trials (average of 10 trials
652 presented as 1 instance), where the vertical axis highlights the response time
653 in milliseconds and the horizontal axis highlights the number of trials for
654 1, 2, N sensors respectively. For the purpose of analytics, historical data
655 could be essential to be queried. Besides, data analytics could be done on
656 a customized set of fields or ranges. Therefore, the query could differ based
657 on the system requirements. For example, historical data could be divided
658 further based on a date range and a date range time combination. As per
659 Figure 8 (b), based on the number of trials, query response time increases
660 due to the number of accumulated queries to the deployed sensors.

661 *5.5. Evaluations of Algorithmic Execution*

662 One of the critical measures, especially in IoT, is the algorithm's CPU
663 usage. As shown in Figure 8(c), the CPU usage is computed for none of
664 the sensors, one sensor, two sensors, and a number of sensors. The results
665 indicate that in a normal case without executing the proposed algorithm, the
666 CPU usage is 45% which means the board operating system and some other
667 functionalities that are not related to the proposed algorithm are already
668 consuming 45% of the CPU.

669 As the system becomes more advanced with the addition of multiple sen-
670 sors, the CPU usage increases significantly. The increase CPU processing
671 demands is due to a number of factors, including the need for data process-
672 ing and communication protocols. These protocols are necessary to keep
673 track of each sensor's state and activate the buffer for incoming data. Un-
674 fortunately, this increase in processing demands can reveal limitations in the
675 system's ability to scale. The existing board may not have sufficient com-
676 puting power to handle a large number of sensors, which could restrict the
677 system's scalability. As a result, it's important to carefully consider the num-
678 ber and type of sensors to be added to the system and to ensure that the
679 CPU is capable of handling the increased demands.

Key-points for Evaluation

Sensors' Throughput is evaluated to assess the stability of data ingested and transmitted from the deployed sensors. The results show that the sensors' readings are relatively constant with the other parameters, such as temperature, pH, or sea level. The average data transmission day remains at 20056 KB per day.

Query Response Time is used to understand the time it takes for data retrieval from the deployed sensors. The maximum time required for a query on the current data for one sensor is 15ms, while the maximum query time for two sensors is 18ms. At the same time, the maximum query time for a number of sensors is 27ms. Similarly, the query time in the historical data for one sensor, 2 sensors, and a number of sensors are 43ms, 60ms, and 124ms, respectively. Our conclusion is that the query execution time is reasonable in both current and historical data cases.

Algorithmic Execution Time shows the performance of computation based on CPU utilization. The results of the evaluation highlight that With a single sensor, the CPU utilization reached 60%, while in the case of two sensors and a number of sensors, the percentage reached 65% and 80%, respectively. This indicates that the proposed algorithm takes at max 35% of the CPU time, on average.

680

681 *Summary of Comparison:* Table 3 complements the results of evaluation
682 in Figure 8 with a brief comparative analysis of the most relevant algorithmic
683 solutions on IoT-driven data analytics in smart oceans context. The compar-
684 ative analysis is based on four main points that include (i) criteria for
685 evaluation as the main objectives of solution validation, (ii) total numbers
686 of IoT sensors for data collection, (iii) use case as the scenarios of evalua-
687 tion, and (iv) environment being used for evaluation. For example, the
688 algorithmic solution in [1] aims to evaluate energy efficiency and data trans-
689 mission rate for underwater sensors. The number of sensors is not explicitly
690 mentioned. The use case is digital twins in smart cities and more specifi-
691 cally smart oceans context. The algorithmic evaluation is performed using
692 MATLAB simulations. In conclusion, the majority of existing algorithmic
693 solutions have concentrated on using machine learning models for predicting
694 data in specific applications. These solutions which are indicated in Table 3
695 on datasets assuming that the data is obtained from sensors.

Table 3: Comparison Summary of the Algorithmic Solutions for IoT-driven Data Analytics

Algorithmic Solutions	Criteria for Evaluation	Number of IoT Sensors	Usecases of IoT Analytics	Evaluation Environment
Yang et al. [49] 2020	- Computation Efficiency - Temperature - Accuracy	Not available	Predict Ocean blind zone information	Custom developed
Deng et al. [50] 2020	Number of barrier paths	1	Ocean border environmental surveillance	MATLAB
Hu et al. [51] 2020	- Computation Efficiency - Data Size	5	Secure, efficient, collection, transmission, and data storage for IoT in smart ocean	Python Simulations
Wang et al. [52] 2021	- Gliding motion elucidates, - Locomotion	3	Under-water fish bot	Custom developed
Li et al. [53] 2022	- Energy efficiency, - Data transmission rate	Not available	Smart city and Digital Twin	MATLAB
Proposed Solution	- Sensors Throughput - Query Response Time - Processor Utilisation	6	Underwater Data Analytics	Custom developed

696 In contrast, our work is primarily focused on not only data collection but
 697 analyzing useful insights of the data ingested by 6 deployed sensors. How-
 698 ever, collecting data through sensors with limitations presents a significant
 699 challenge. In our work, we propose a framework to benchmark underwater
 700 data collection from various sensors. This approach enables us to address
 701 the challenge of data collection before preparing a predictive model that is
 702 mostly lacking in solutions on ocean data analytics. Based on the results of
 703 the evaluation for the proposed solution, we conclude that:

- 704 • Based on the amount of data collected consecutively, 60 days from 6
 705 sensors, the sensors' throughput indicates consistency, completeness,
 706 and validity of IoUT data.
- 707 • The query execution time for one, two, and a number of sensors for
 708 both current and historical data are reasonable for IoUT-driven data
 709 analytics.
- 710 • The algorithm execution and CPU utilization are relatively stable, i.e.,

711 consuming only 35% of the CPU, on average, in case a number of
712 sensors are used. In the case of one and two sensors, only 20% of the
713 CPU time is needed. Therefore, the proposed system and algorithms
714 are evaluated as computationally efficient to operationalize the IoUT
715 systems. The proposed system and algorithms are valid to be used and
716 implemented in other systems as well.

717 The query response time and CPU utilization are proportional to the
718 number of sensors. As part of future work, i.e., incorporating additional
719 sensors would result in increased query response time and CPU usage.

720 5.6. Threats to Validity

721 We briefly mention some potential threats to the validity of this research.
722 Validity threats refer to some limitations or constraints that impact the so-
723 lution’s design, implementation, and validation. Validity threats need to be
724 minimized as part of future work to optimize the solution and its implica-
725 tions.

- 726 • *Threats to Internal Validity:* relate to any restrictions or limits that
727 could have an effect on the development and use of the suggested solu-
728 tion. For instance, the number of sensors used to gather oceanographic
729 data, the number of trials needed to assess sensor correlation, and the
730 platform used to assess the solution may all contribute to internal valid-
731 ity (see Section 5). This means that calculating the correlation between
732 more sensors, increasing the number and magnitude of trials, or using
733 different platforms can produce different results in the evaluation. Fu-
734 ture work needs to consider the diversity of sensors, significantly large
735 datasets and validation on different platforms can help with minimizing
736 the internal validity.
- 737 • *External Validity:* It relates to the validation of solution on different
738 related systems and case studies. As in the research method (see Figure
739 3) and evaluation (Section 5), we have adopted a case study-based
740 approach to demonstrate and validate the solution. However, a single
741 case study may limit rationalizing the generalization of the solution and
742 the rigor of its validation. Future work is required to accommodate
743 more case studies and different systems to minimize the impacts of
744 external validity.

745 6. Conclusions and Vision for Future Work

746 Internet of Things (IoT) enable context-sensitive and pervasive compu-
747 tations that are fundamental to operationalizing smart systems that range
748 from smart healthcare to smart transportation and smart ocean technologies.
749 IoUTs as a specific genre of traditional IoTs unify data sensors, wireless
750 networking technologies, and software applications to ingest, process, and
751 analyze oceanographic data. Considering SE for IoTs, in this research we
752 presented our solution on architecting a software-intensive IoUT system that
753 advocates for pattern-driven reuse and algorithmic modularisation of the so-
754 lution. Specifically, as part of the DeepBlu project, our approach synergized
755 the concepts of software engineering (SE) and IoTs to leverage software ar-
756 chitecture, underlying algorithms, and tool support in the development and
757 operation of IoUTs. To evaluate the solution, we used a case study to deploy
758 IoUT sensors for collecting data from two locations including the Arabian
759 Sea, and the Red Sea. Evaluation results indicated sensors' throughput,
760 query response time, and query execution performance to demonstrate the
761 efficacy and efficiency of the solution.

762 *Primary Contributions and implications of the research:* We outline the
763 primary contributions of this research as:

- 764 • Synergising the methods of SE and application of IoT systems to ar-
765 chitect, develop, and validate an IoUT solution for analyzing oceanic
766 data.
- 767 • Demonstrating the role of software architecture that enables algorithmic
768 modularisation and case study-based validation of IoT systems.

769 The research and its finding can inform academic researchers and practi-
770 tioners to architect and implement IoUTs for smart ocean systems. Specifi-
771 cally, academic researchers can further explore software engineering methods
772 to research and develop emerging solutions based on IoT systems and tech-
773 nologies. Practitioners can explore the algorithmic-based and tool-supported
774 approach to develop IoTs for smart oceans.

775 *Needs for future research:* The future research mainly focuses on extend-
776 ing the scope of the proposed solution in terms of (i) incorporating more
777 sensors and diverse case studies, and (ii) mining patterns for the data col-
778 lected using the proposed IoUT solution.

779 We aim to incorporate more sensors to gather enriched data that also
780 involves analyzing the concentration of marine life in a specific oceanic zone.
781 Also, there is a need for incorporating more use cases and diverse case studies
782 that can help us gather data from different oceanic locations. The use of
783 additional case studies to evaluate the solution can help us to understand if
784 the proposed architecture and implemented solution in terms of data sensing
785 and data analytics can be scaled up based on the increased size of data. We
786 also plan to extend the solution that can discover patterns in sensed data
787 that could improve predictive analytics. Pattern mining in sensor-ingested
788 oceanic data requires us to extend the current architecture and implement
789 a solution that can discover recurring sequences (analytic layer) as potential
790 patterns to improve human decision support (interface layer).

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