# An improved quadrilateral flat element with drilling degrees of freedom for shell structural analysis

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**Abstract:** This paper reports the development of a simple and efficient 4-node flat shell element with six degrees of freedom per node for the analysis of arbitrary shell structures. The element is developed by incorporating a strain smoothing technique into a flat shell finite element approach. The membrane part is formulated by applying the smoothing operation on a quadrilateral membrane element using Allman-type interpolation functions with drilling DOFs. The plate-bending component is established by a combination of the smoothed curvature and the substitute shear strain fields. As a result, the bending and a part of membrane stiffness matrices are computed on the boundaries of smoothing cells which leads to very accurate solutions, even with distorted meshes, and possible reduction in computational cost. The performance of the proposed element is validated and demonstrated through several numerical benchmark problems. Convergence studies and comparison with other existing solutions in the literature suggest that the present element is efficient, accurate and free of lockings.

**Keyword** flat shell, strain smoothing method, shear-locking free, first-order shear deformation theory, drilling degrees of freedom.

# 1 Introduction

The wide application of shell structures in engineering practice has caught the interests of many researchers in the fields of analysis and design of such structures. A great body of research work has been proposed over several decades towards the development of simple and efficient shell finite elements through three major approaches: (1) the curved shell

elements based on classical shell theory with curvilinear coordinates; (2) the degenerated shell elements derived from three-dimensional solid elements and (3) the flat shell elements obtained by the combination of the membrane and bending behaviour of plate elements.

In general, it is difficult to identify which shell element is the most advantageous. Among these approaches, the flat shell elements are regarded to be the most attractive as they can be readily built by combining existing plate and membrane elements. They have been used extensively because of the simplicity in their formulation, the effectiveness in performing computation and the flexibility in applications to both shell and folded plate structures. In addition, the performance of the flat shell elements for thick to thin structures also significantly improved with the aid of Reissner-Mindlin kinematics, the incorporation of drilling degrees of freedom (Iura and Atluri, 1992) and the variational principles governing rotations (Atluri, 1980; Atluri and Cazzani, 1994; Atluri, 1984; Suetake, Iura, and Atluri, 2003).

Although triangular flat elements are most efficient for discretizing arbitrary shell geometries, quadrilateral elements are usually used owing to their better performance with respect to convergence rates than that of triangular elements (Lee and Bathe, 2004). The difficulty in the development of the four-node shell element is that such elements are too stiff and suffer from locking phenomenon. This phenomenon originates from the shortcoming in the interpolation of the displacement. Two well-known locking types that may occur in fournode flat elements in analysis of shell structures are (1) the transverse shears locking which arises as the ratio of the thickness-to-characteristic length of a shell becomes small (e.g.  $t/L \le 1/100$ ), and (2) the membrane locking which occurs when coarse or distorted meshes are used, especially in bending dominated problems.

With the development of shell elements, many methods have been proposed to circumvent these disadvantages. For a summary, the readers are referred to (Yang, Saigal, Masud, and Kapania, 2000). Techniques to handle shear-locking commonly adopted are the reduced/selective integration (Hughes, Cohen, and Haroun, 1978; Zienkiewicz, Taylor, and Too, 1971; Stolarski and Belytschko, 1983). However, it may lead to the possible manifestation of hourglass modes and stabilization matrices are required to remove these spurious modes (Belytschko and Tsay, 1983; Belytschko, Lin, and Tsay, 1984). An alternative scheme for dealing with the shear-locking problem is the hybrid/mixed formulation in which separate interpolations are used for the stresses and displacements (Lee and Pian, 1978; Noor and Peters, 1981). In another approach to alleviate shear locking, the assumed natural strain method (ANS) first proposed in (MacNeal, 1978, 1982), is generally reported to be an efficient method utilizing complete numerical integration rules. In this approach, the transverse shear strains are interpolated from the displacement-dependent strains defined at the mid-side of element edges to reduce transverse shear locking. Based on this concept, some efficient models were presented, including the mixed interpolated tensorial component (MITC) family proposed by Bathe's group (Dvorkin and Bathe, 1984; Bathe and Dvorkin, 1985) and the discrete strain gap (DSG) elements proposed by Bischoff's group (Bischoff and Bletzinger, 2001; Koschnick, Bischoff, Camprubi, and Bletzinger, 2005). Another interesting scheme arising from mixed variational formulations is the enhanced assumed strain (EAS) method first presented by (Simo and Rifai, 1990) and further developed in the linear elastic range (Andelfinger and Ramm, 1993; Cardoso, Yoon, and Valente, 2006) and nonlinear aspects (Bischoff and Ramm, 1997; Eckstein and Basar, 2000; Cardoso, Yoon, and Valente, 2007). The key point of this method lies in the use of a strain field composed of a compatible strain field and an enhanced strain field based on the Hu-Washizu variational principle to reduce shear locking.

Some of these approaches mentioned above are also used to remedy membrane locking, especially the selective reduced integration (SRI) technique and the EAS method. However some of them deteriorate significantly when mesh is distorted (Cardoso, Yoon, and Valente, 2006). More works on the problems related to the membrane locking of flat shell elements can be found in the references of (Cook, 1994), (Groenwold and Slander, 1995), (Choi and Lee, 2003) and (Cui, Liu, Li, Zhao, Nguyen, and Sun, 2008).

A large number of four-node shell element formulations have been presented to date, showing good performance, however, there is still room to improve the behaviour of flat shell elements, in order to enhance the efficiency, accuracy and stability even when meshes are coarse or elements are badly-shaped. The objective of this study is to propose an improved formulation of a locking-free quadrilateral flat shell element with six degrees of freedom per node that is able to reduce the mesh distortion sensitivity and enhance the coarse mesh accuracy. The present flat element is obtained by applying the strain smoothing method (SSM) to a quadrilateral flat shell element with the combined characteristics of a membrane Allman-type element with drilling DOFs and the assumed strain plate-bending element of (Bathe and Dvorkin, 1985). The SSM was originally proposed by (Chen, Wu, and You, 2001) as a normalization for nodal integration of mesh-free Galerkin weak form. Based on this concept, (Liu, Dai, and Nguyen, 2007) first presented the application of the SSM to the 2D elasticity finite element method as a new smoothed finite element method (SFEM). Further application of SSM for laminated composite plates/shells and piezoelectric solids was presented by (Nguyen-Van, Mai-Duy, and Tran-Cong, 2007, 2008a,b).

In this study, the membrane part of the proposed shell element is enhanced by applying the SSM instead of the use of hierarchical bubble interpolation mode. The SSM is also applied to the curvature of the plate-bending part to improve the flexural behaviour in the distorted as well as coarse meshes in particular. With the aids of the SSM, the evaluation of bending and membrane stiffness matrices are carried out by integration along the boundary of smoothing elements which can give more accurate numerical integration even with badlyshaped elements or coarse meshes and also reduce computational time when compared with the evaluation of domain integration. Moreover, the incorporation of the SSM also facilitates relatively simple implementation procedure which makes coding much easier.

In the following sections, a brief review of the four-node flat shell finite element with drilling DOFs is first introduced. This is followed by the strain smoothing approach for the flat shell element. Numerical benchmarks are then conducted to investigate and assess the performance of the proposed 4-node flat shell element before drawing the final conclusions.

# 2 Finite element formulations of the 4-node flat shell element with drilling degrees of freedom

#### 2.1 Membrane part

The 4-node membrane element with drilling DOFs (Figure 1) is derived by combining the in-plane displacements using Allman-type interpolation functions (Allman, 1984) and the standard bilinear independent normal (drilling) rotation fields. Details of the formulation can be found in the original reference (Ibrahimbegovic, Taylor, and Wilson, 1990) and only a brief review is presented here.

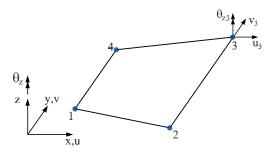


Figure 1: A 4-node quadrilateral element with drilling degrees of freedom

The independent rotation field is interpolated as follows.

$$\theta_z = \sum_{i=1}^4 N_i(\xi, \eta) \theta_{zi},\tag{1}$$

and the in-plane displacement fields are approximated by the Allman-type interpolation

$$\mathbf{u} = \begin{bmatrix} u \\ v \end{bmatrix} = \sum_{i=1}^{4} N_i(\xi, \eta) \begin{bmatrix} u_i \\ v_i \end{bmatrix} + \frac{1}{8} \sum_{k=5}^{8} N_k(\xi, \eta) (\theta_{zj} - \theta_{zi}) \begin{bmatrix} y_{ij} \\ x_{ij} \end{bmatrix},$$
(2)

where

$$x_{ij} = x_j - x_i, \qquad y_{ij} = y_j - y_i,$$
 (3)

$$N_i(\xi,\eta) = \frac{1}{4}(1+\xi_i\xi)(1+\eta_i\eta) \qquad i=1,2,3,4$$
(4)

$$N_k(\xi, \eta) = \frac{1}{2}(1 - \xi^2)(1 + \eta_k \eta) \qquad k = 5,7$$
(5)

$$N_k(\xi, \eta) = \frac{1}{2}(1 + \xi_k \xi)(1 - \eta^2) \qquad k = 6, 8.$$
(6)

and the ordered triplets (k, i, j) are given by (5, 1, 2), (6, 2, 3), (7, 3, 4), (8, 4, 1)

The linear strain matrix is given by

$$\boldsymbol{\varepsilon}_m = \operatorname{symm} \nabla \mathbf{u} = \sum_{i=1}^4 \mathbf{B}_{mi} \mathbf{u}_i,\tag{7}$$

where  $\mathbf{u}_i = \begin{bmatrix} u_i & v_i & \theta_{zi} \end{bmatrix}^T$  is the nodal vector and the gradient matrix  $\mathbf{B}_{mi}$  has the following form

$$\mathbf{B}_{mi} = \begin{bmatrix} N_{i,x} & 0 & Nx_{i,x} \\ 0 & N_{i,y} & Ny_{i,y} \\ N_{i,y} & N_{i,x} & Nx_{i,y} + Ny_{i,x} \end{bmatrix}.$$
(8)

in which Nx, Ny are Allman's incompatible shape functions defined as

$$Nx_{i} = \frac{1}{8}(y_{ij}N_{l} - y_{ik}N_{m}),$$
(9)

$$Ny_i = \frac{1}{8} (x_{ij} N_l - x_{ik} N_m).$$
(10)

The above indices i, j, k, l, m can be expressed in a Matlab-like definition as follows.

$$i = 1, 2, 3, 4; m = i + 4; l = m - 1 + 4 * floor(1/i);$$
  

$$k = mod(m, 4) + 1; j = l - 4.$$
(11)

where floor(x) rounds the elements of x to the nearest integers towards minus infinity and mod(x, y) is the modulus after division of x by y.

Furthermore, the skew-symmetric part of the strain tensor ( $\varepsilon_{sk}$ ) can be expressed as

$$\varepsilon_{sk} = \operatorname{skew} \nabla \mathbf{u} = \sum_{i=1}^{4} \mathbf{b}_i \mathbf{u}_i + \theta_z,$$
(12)

where

$$\mathbf{b}_{i} = \begin{bmatrix} -\frac{1}{2}N_{i,y} \\ \frac{1}{2}N_{i,x} \\ \frac{1}{16}\left(-y_{ij}N_{l,y} + y_{ik}N_{m,y} + x_{ij}N_{l,x} - x_{ik}N_{m,x}\right) - N_{i} \end{bmatrix},$$
(13)

and the indices i, j, k, l, m are defined by Equation (11).

The variational formulation suggested by (Hughes and Brezzi, 1989) is described as

$$\Pi_{\gamma}(\mathbf{u},\theta_{z}) = \frac{1}{2} \int_{\Omega} \boldsymbol{\varepsilon}_{m}^{T} \mathbf{D}_{m} \boldsymbol{\varepsilon}_{m} d\Omega + \frac{1}{2} \gamma \int_{\Omega} (\boldsymbol{\varepsilon}_{sk} - \theta_{z})^{2} d\Omega - \int_{\Omega} \mathbf{u}^{T} \mathbf{f} d\Omega.$$
(14)

Minimization of Equation (14) results in the element membrane stiffness matrix  $\mathbf{K}_{mem}$ , which is the sum of matrix  $\mathbf{K}_m$  and a penalty matrix  $\mathbf{P}_{\gamma}$  as follows.

$$\mathbf{K}_{mem} = \mathbf{K}_m + \mathbf{P}_{\gamma} = \int_{\Omega} \mathbf{B}_m^T \mathbf{D}_m \mathbf{B}_m d\Omega + \gamma \int_{\Omega} \mathbf{b}^T \mathbf{b} d\Omega.$$
(15)

The positive penalty parameter  $\gamma$  in Equation (15) is problem dependent. However, the formulation is reported to be insensitive to the value of  $\gamma$  which is taken as the shear modulus value ( $\gamma = G$ ) (Hughes, Brezzi, Masud, and Harari, 1989; Ibrahimbegovic, Taylor, and Wilson, 1990). Many recent numerical studies showed that the smaller value of  $\gamma$  (i.e. value of  $\gamma/G$  between 1/10000 and 1) appeared to give more accurate solutions (Long, Geyer, and Groenwold, 2006; Liu, Riggs, and Tessler, 2000; Pimpinelli, 2004). In this study,  $\gamma/G = 1/1000$  is used.

#### 2.2 Plate-bending part

For the plate bending component of the flat shell element, the Mindlin-Reissner type 4-node plate element is employed (Figure 2).

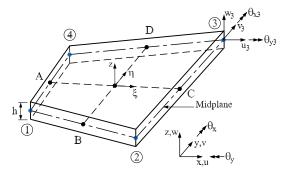


Figure 2: A 4-node quadrilateral plate bending element

The displacement field **u** is approximated as

$$\mathbf{u} = \begin{bmatrix} w & \theta_x & \theta_y \end{bmatrix}^T = \sum_{i=1}^4 \mathbf{N}_i \mathbf{u}_i, \tag{16}$$

where  $\mathbf{N}_i$  is the bilinear shape function as in Equation (4) and  $\mathbf{u}_i = [w_i \ \theta_{xi} \ \theta_{yi}]$  is the nodal displacement vector of the element.

The corresponding approximation of curvature is given by

$$\kappa = \begin{bmatrix} \theta_{x,x} \\ \theta_{y,y} \\ \theta_{x,y} + \theta_{y,x} \end{bmatrix} = \mathbf{B}_b \mathbf{u}, \tag{17}$$

where

$$\mathbf{B}_{bi} = \begin{pmatrix} 0 & N_{i,x} & 0 \\ 0 & 0 & N_{i,y} \\ 0 & N_{i,y} & N_{i,x} \end{pmatrix}.$$
 (18)

The shear strain is approximated with independent interpolation schemes in the natural coordinate system as

$$\begin{bmatrix} \gamma_{x} \\ \gamma_{y} \end{bmatrix} = \mathbf{J}^{-1} \begin{bmatrix} \gamma_{\xi} \\ \gamma_{\eta} \end{bmatrix} = \mathbf{J}^{-1} \hat{\mathbf{N}} \begin{bmatrix} \gamma_{\eta}^{A} \\ \gamma_{\xi}^{B} \\ \gamma_{\eta}^{C} \\ \gamma_{\xi}^{D} \end{bmatrix},$$
(19)

in which

$$\hat{\mathbf{N}} = \frac{1}{2} \begin{bmatrix} (1-\xi) & 0 & (1+\xi) & 0\\ 0 & (1-\eta) & 0 & (1+\eta) \end{bmatrix},$$
(20)

**J** is the Jacobian matrix and the midside nodes A, B, C, D are shown in Figure 2. Expressing  $\gamma_{\eta}^{A}$ ,  $\gamma_{\eta}^{C}$  and  $\gamma_{\xi}^{B}$ ,  $\gamma_{\xi}^{D}$  in terms of the discretized fields **u**, we obtain the shear matrix

$$\bar{\mathbf{B}}_{si} = \mathbf{J}^{-1} \begin{bmatrix} N_{i,\xi} & b_i^{11} N_{i,\xi} & b_i^{12} N_{i,\xi} \\ N_{i,\eta} & b_i^{21} N_{i,\eta} & b_i^{22} N_{i,\eta} \end{bmatrix},$$
(21)

where

$$b_i^{11} = \xi_i x_{,\xi}^M, \ b_i^{12} = \xi_i y_{,\xi}^M, \ b_i^{21} = \eta_i x_{,\eta}^L, \ b_i^{22} = \eta_i y_{,\eta}^L,$$
(22)

in which  $\xi_i \in \{-1, 1, 1, -1\}, \ \eta_i \in \{-1, -1, 1, 1\}$ and  $(i, M, L) \in \{(1, B, A); (2, B, C); (3, D, C); (4, D, A)\}.$ 

Then through the direct application of variational principles, the element plate-bending stiffness matrix can be obtained as follows.

$$\mathbf{K}_{p} = \mathbf{K}_{b} + \mathbf{K}_{s} = \int_{\Omega^{e}} \mathbf{B}_{b}^{T} \mathbf{D}_{b} \mathbf{B}_{b} d\Omega + \int_{\Omega^{e}} \mathbf{B}_{s}^{T} \mathbf{D}_{s} \mathbf{B}_{s} d\Omega,$$
(23)

where  $\mathbf{D}_s$ ,  $\mathbf{D}_b$  are material rigidity matrices for bending and shear, respectively.

# 2.3 Construction of a flat shell element

The plate bending and membrane formulations presented in the above sections can be combined to form a four-node shell element as shown in Figure 3.

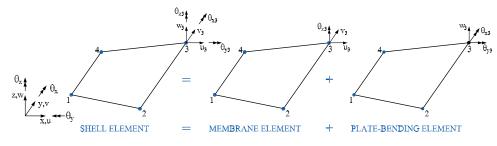


Figure 3: A 4-node quadrilateral flat shell element

When all nodes of the flat shell element are placed in the mid-thickness plane of the shell, the stiffness matrix of a shell element can be formed by combining the plate stiffness and membrane stiffness obtained independently as follows.

$$\mathbf{K}_{flat} = \begin{bmatrix} \mathbf{K}_{mem} & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_{p} \end{bmatrix}.$$
 (24)

For some shells with double curvature, it may not be possible to have four nodes of the flat shell element on the same plane (warped geometries) and the flat element stiffness must be modified before transformation to the global reference system by using the rigid link correction suggested by (Taylor, 1987). For the rigid link correction, the mean plane is formed by connecting central points of each side and distances between the mean plane and each nodes are taken to be the same  $(|z_i| = h)$ . Then, the following displacement transformation equation at each node *i* is employed to transform the nodal variables to the projected flat element variables

$$\mathbf{q}_{i}^{\prime} = \begin{cases} u_{i}^{\prime} \\ v_{i}^{\prime} \\ w_{i}^{\prime} \\ \theta_{xi}^{\prime} \\ \theta_{yi}^{\prime} \\ \theta_{zi}^{\prime} \end{cases} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & z_{i} & 0 & 1 & 0 & 0 \\ 0 & z_{i} & 0 & 1 & 0 & 0 \\ -z_{i} & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \begin{cases} u_{i} \\ v_{i} \\ \theta_{xi} \\ \theta_{yi} \\ \theta_{zi} \end{cases} = \mathbf{W}_{i} \mathbf{q}_{i},$$
(25)

where **W** is the projection matrix and  $z_i$  defines the warpage offset at each node *i* perpendicular to the flat mean plane as shown in Figure 4.

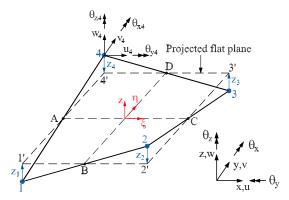


Figure 4: The projection of a warped shell element into a flat mean plane

The local element stiffness matrix, considering the warping effects, is obtained as follows

$$\mathbf{K}_{local} = \mathbf{W} \mathbf{K}_{flat} \mathbf{W}^T.$$

Then the element stiffness in the global reference system  $\mathbf{K}_{global}$  is obtained via the rotation matrix  $\mathbf{R}$ 

$$\mathbf{K}_{global} = \mathbf{R}^T \mathbf{K}_{local} \mathbf{R}.$$
(27)

#### **3** Strain smoothing approach for flat shell finite element

#### 3.1 Smoothed membrane strain approximation

The membrane strains at an arbitrary point  $\mathbf{x}_C$  can be obtained by using the following strain smoothing operation

$$\tilde{\boldsymbol{\varepsilon}}_{m}(\mathbf{x}_{C}) = \int_{\Omega_{C}} \boldsymbol{\varepsilon}_{m}(\mathbf{x}) \Phi(\mathbf{x} - \mathbf{x}_{C}) d\Omega,$$
(28)

where  $\varepsilon_m$  is the membrane strain obtained from displacement compatibility condition as given in Equation (7);  $\Omega_C$  is the smoothing cell domain on which the smoothing operation is performed ( $\Omega_C$  may be an entire element or part of an element as shown in Figure 5, depending on the stability analysis (Liu, Dai, and Nguyen, 2007));  $\Phi$  is a given smoothing function that satisfies at least unity property  $\int_{\Omega_C} \Phi d\Omega = 1$  and, in the present work is defined as

$$\Phi(\mathbf{x} - \mathbf{x}_C) = \begin{cases} 1/A_C & \mathbf{x} \in \Omega_C, \\ 0 & \mathbf{x} \notin \Omega_C, \end{cases}$$
(29)

in which  $A_C = \int_{\Omega_C} d\Omega$  is the area of a smoothing cell (subcell).

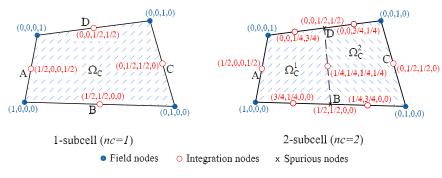


Figure 5: Subdivision of an element into *nc* smoothing cells and values of bilinear shape functions at nodes

Substituting  $\Phi$  into Equation (28) and applying the divergence theorem, one can get the

smoothed membrane strain

$$\tilde{\varepsilon}_m(\mathbf{x}_C) = \frac{1}{2A_C} \int_{\Omega_C} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) d\Omega = \frac{1}{2A_C} \int_{\Gamma_C} (u_i n_j + u_j n_i) d\Gamma,$$
(30)

where  $\Gamma_C$  is the boundary of the smoothing cell.

Introducing the finite element approximation of  $\mathbf{u}_m = \begin{bmatrix} u & v & \theta_z \end{bmatrix}^T$  into Equation (30) gives

$$\tilde{\boldsymbol{\varepsilon}}_m(\mathbf{x}_C) = \tilde{\mathbf{B}}_m(\mathbf{x}_C)\mathbf{u}_m,\tag{31}$$

where

$$\mathbf{u}_{mi} = [u_i \ v_i \ \theta_{zi}]^T, \tag{32}$$

$$\tilde{\mathbf{B}}_{mi}(\mathbf{x}_{C}) = \frac{1}{A_{C}} \int_{\Gamma_{C}} \begin{pmatrix} N_{i}n_{x} & 0 & Nx_{i}n_{x} \\ 0 & N_{i}n_{y} & Ny_{i}n_{y} \\ N_{i}n_{y} & N_{i}n_{x} & Nx_{i}n_{y} + Ny_{i}n_{x} \end{pmatrix} d\Gamma.$$
(33)

Applying Gauss integration along 4 segments of the boundary  $\Gamma_C$  of the smoothing domain  $\Omega_C$ , the above equation can be rewritten in algebraic form as

$$\tilde{\mathbf{B}}_{mi}(\mathbf{x}_{C}) = \frac{1}{A_{C}} \sum_{b=1}^{4} \begin{pmatrix} \sum_{n=1}^{nG} w_{n} N_{i}(\mathbf{x}_{bn}) n_{x} & 0 & 0 \\ 0 & \sum_{n=1}^{nG} w_{n} N_{i}(\mathbf{x}_{bn}) n_{y} & 0 \\ \sum_{n=1}^{nG} w_{n} N_{i}(\mathbf{x}_{bn}) n_{y} & \sum_{n=1}^{nG} w_{n} N_{i}(\mathbf{x}_{bn}) n_{x} & 0 \end{pmatrix} + \frac{1}{A_{C}} \sum_{b=1}^{4} \begin{pmatrix} 0 & 0 & \sum_{n=1}^{nG} w_{n} Nx_{i}(\mathbf{x}_{bn}) n_{x} \\ 0 & 0 & \sum_{n=1}^{nG} w_{n} Ny_{i}(\mathbf{x}_{bn}) n_{y} \\ 0 & 0 & \sum_{n=1}^{nG} w_{n} Nx_{i}(\mathbf{x}_{bn}) n_{y} + \sum_{n=1}^{nG} w_{n} Ny_{i}(\mathbf{x}_{bn}) n_{x} \end{pmatrix}$$
(34)

where nG is the number of Gauss integration points,  $\mathbf{x}_{bn}$  the Gauss point and  $w_n$  the corresponding weighting coefficients. The first term in Equation (34), which relates to the in-plane translations (approximated by bilinear shape functions), is evaluated by one Gauss point (nG = 1). The second term, associated with the in-plane rotations (approximated by quadratic shape functions), is computed using two Gauss points (nG = 2).

The smoothed membrane element stiffness matrix can be obtained as

$$\widetilde{\mathbf{K}}_{mem} = \widetilde{\mathbf{K}}_m + \mathbf{P}_{\gamma} = \int_{\Omega} \widetilde{\mathbf{B}}_m^T \mathbf{D}_m \widetilde{\mathbf{B}}_m d\Omega + \gamma \int_{\Omega} \mathbf{b}^T \mathbf{b} d\Omega$$
$$= \sum_{C=1}^{nc} \widetilde{\mathbf{B}}_{mC}^T \mathbf{D}_m \widetilde{\mathbf{B}}_{mC} A_C + \gamma \int_{\Omega} \mathbf{b}^T \mathbf{b} d\Omega$$
(35)

in which *nc* is the number of smoothing cells. To avoid numerically over-stiffening the membrane, one smoothing cell (*nc* = 1) is used in the present formulation. Higher numbers of smoothing cells will lead to stiffer solutions and the accuracy may not be enhanced considerably. The penalty matrix  $\mathbf{P}_{\gamma}$  is integrated using a 1–point Gauss quadrature to suppress a spurious, zero-energy mode associated with the drilling DOFs.

## 3.2 Smoothed plate-bending strain approximation

In a similar way, by using the same constant smoothing function  $\Phi$  as for membrane strain, the smoothed curvature matrix can be obtained as

$$\tilde{\kappa}(\mathbf{x}_{C}) = \int_{\Omega_{C}} \kappa(\mathbf{x}) \Phi(\mathbf{x} - \mathbf{x}_{C}) d\Omega = \frac{1}{2A_{C}} \int_{\Gamma_{C}} (\theta_{i} n_{j} + \theta_{j} n_{i}) d\Gamma.$$
(36)

Then the relationship between the smoothed curvature field and the nodal displacement is written as

$$\tilde{\boldsymbol{\kappa}}(\mathbf{x}_C) = \tilde{\mathbf{B}}_b(\mathbf{x}_C)\mathbf{u}_b,\tag{37}$$

where

$$\mathbf{u}_{bi} = \begin{bmatrix} w_i \ \theta_{xi} \ \theta_{yi} \end{bmatrix}^T, \tag{38}$$

$$\tilde{\mathbf{B}}_{bi}(\mathbf{x}_{C}) = \frac{1}{A_{C}} \int_{\Gamma_{C}} \begin{pmatrix} 0 & N_{i}n_{x} & 0\\ 0 & 0 & N_{i}n_{y}\\ 0 & N_{i}n_{y} & N_{i}n_{x} \end{pmatrix} d\Gamma.$$
(39)

Using integration with one-point Gauss quadrature to evaluate the above equation over four boundary segment of the smoothing cell we obtain

$$\tilde{\mathbf{B}}_{bi}(\mathbf{x}_{C}) = \frac{1}{A_{C}} \sum_{b=1}^{4} \begin{pmatrix} 0 & N_{i}(\mathbf{x}_{b}^{G})n_{x} & 0\\ 0 & 0 & N_{i}(\mathbf{x}_{b}^{G})n_{y}\\ 0 & N_{i}(\mathbf{x}_{b}^{G})n_{y} & N_{i}(\mathbf{x}_{b}^{G})n_{x} \end{pmatrix} l_{bC}.$$
(40)

Finally, the plate-bending element stiffness matrix in Equation (23) can be transformed as follows

$$\tilde{\mathbf{K}}_{p} = \tilde{\mathbf{K}}_{b} + \mathbf{K}_{s} = \sum_{C=1}^{n_{C}} \tilde{\mathbf{B}}_{b}^{T} \mathbf{D}_{b} \tilde{\mathbf{B}}_{b} A_{C} + \int_{\Omega_{e}} \mathbf{B}_{s}^{T} \mathbf{D}_{s} \mathbf{B}_{s} d\Omega.$$
(41)

In Equation (41), the shear term  $\mathbf{K}_s$  is still computed by  $2 \times 2$  Gauss quadrature while the element bending stiffness  $\mathbf{\tilde{K}}_b$  is computed by one Gaussian point along each segment of the smoothing cells of the element. In this study, two smoothing cells (nc = 2) as shown in Figure 5 are used for calculating the smoothed bending stiffness matrix of the element in order to ensure the rank sufficiency.

The flat shell element stiffness matrix in Equation (24) is then rewritten as

$$\tilde{\mathbf{K}}_{flat} = \begin{bmatrix} \tilde{\mathbf{K}}_{mem} & 0\\ 0 & \tilde{\mathbf{K}}_{p} \end{bmatrix}.$$
(42)

This forms the basis of a new four-node quadrilateral element named MISQ24 (Mixed Interpolation Smoothing Quadrilateral element with 24 DOFs) for analysis of shell structures.

#### 4 Numerical results and discussions

In this section, several benchmark problems are presented to validate and demonstrate the performance of the MISQ24 flat element in shell structural analysis. The developed element performance is compared with that of a fairly complete set of other four-node shell elements in the literature. The list of shell elements used for comparison with the proposed element is outlined in Table 1.

#### 4.1 Scordelis-lo (Barrel vault) roof

The Scordelis-Lo roof provides one of the standard tests to assess the performance of shell elements in a combined bending-membrane problem with the membrane action being dominant. The roof is modelled as a short cylinder shell, loaded by self-weight and supported by rigid diaphragms at the curved edges while the straight edges are free. Geometric, material data and boundary conditions of the problem are shown in the Figure 6.

Name	Brief description
DKQ-4	4-node discrete Kirchoff quadrilateral element of (Taylor, 1987)
SRI-4	bilinear degenerated shell element, with selective reduced integration
	of (Hughes and Liu, 1981)
RSDS-4	bilinear resultant-stress degenerated-shell element, with uniform re- duced integration and stability (Liu, Law, Lam, and Belytschko, 1986)
URI-4	4-node uniformly reduced integrated element (Belytschko, Wong, and
	Stolarski, 1989)
QPH	quadrilateral shell element with physical hourglass control of (Be-
	lytschko and Leviathan, 1994)
IBRA-4	4-node shell element with drilling DOFs developed by (Ibrahimbe- govic and Frey, 1994)
MITC4	4-node fully integrated shell element based on assumed shear strain
WITC+	field of (Dvorkin and Bathe, 1984)
Mixed	bilinear element with mixed formulation for membrane and bending
1,11100	stress and full 2x2 quadrature of (Simo, Fox, and Rifai, 1989)
MIN4T	4-node flat shell with drilling DOFs via explicit Kirchhoff constrains
	(Liu, Riggs, and Tessler, 2000)
NMS-4F	defect-free 4-node flat shell element with drilling DOF (Choi and Lee, 1999)
XSHELL41/42	4-node quasi-conforming flat shell element with driling DOFs (Kim,
	Lomboy, and Voyiadjis, 2003)
QC5D-SA	4-node flat shell with drilling DOFs and 5-point quadrature by (Groen-
	wold and Slander, 1995)
SHELL63	4-node thin shell element with drilling DOFs in (ANSYS, 1998)
T029	4-node Mindlin shell element in (Samtech, 2003)
Sauer	4-node element proposed by (Sauer, 1998)
GruWag	4-node element proposed by (Gruttmann and Wagner, 2005)

Table 1: List of shell elements used for comparison in the present study.

According to (MacNeal and Harder, 1985), the theoretical value for the vertical deflection at the center of the free edge is 0.3086, but a slightly lower value 0.3024 seems to have become the reference solution for many publications. In this study the latter value is used to normalize numerical results. Taking advantage of symmetry, only a quadrant of the roof is discretized and analyzed. Two typical types of mesh, namely  $N \times N$  uniform elements and  $N \times N$  distorted elements are shown in Figure 7.

Table 2 shows the normalized deflections at the midpoint of the free edge (point B) obtained

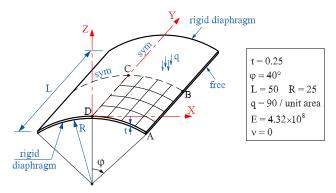


Figure 6: The Scordelis-Lo roof: Geometry and material data

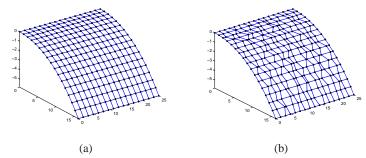


Figure 7: The Scordelis-Lo roof: (a) typical regular mesh and (b) irregular mesh.

by the present element with different meshes, together with those of other 4-node shell elements available in the literature. The results show that the present elements perform quite well in both types of mesh in comparison with the reference solution and other shell elements. The convergence of stress resultants obtained by uniform elements is also presented in Table 3.

The numerical convergence is also plotted in Figure 8. As can be seen, the convergence rate of the present element for both types of mesh is nearly equivalent. It is also observed that the MISQ24's rate of convergence is slightly slower than that of the SRI-4 element. However, it is interesting to note that the MISQ24 element appears to converge monotonically to the reference solution even with a highly distorted mesh. Convergence rate of the present element in this problem indeed appear quite satisfactory.

Model	Mesh			
Widder	$4 \times 4$	$8 \times 8$	$12 \times 12$	$16 \times 16$
MISQ24 (regular mesh)	1.1912	1.0420	1.0154	1.0063
MISQ24 (irregular mesh)	1.1925	1.0422	1.0155	1.0066
SRI-4	0.964	0.984	_	0.999
RSDS-4	1.201	1.046	_	1.010
T029 (SAMCEF)	0.976	0.986	-	0.993
NMS-4F	1.047	1.005	_	0.997
QPH	0.940	0.980	_	1.010
DKQ-4	1.048	1.005	_	0.996
IBRA-4	1.047	1.005	_	0.997
URI-4	1.219	1.054	_	1.017

Table 2: The Scordelis-Lo roof: displacements at point B, normalized by 0.3024

Table 3: The Scordelis-Lo roof: Stress resultants (bending and membrane components)

Mesh	$M_x^C$	$N_x^C$	$N_y^B$
$4 \times 4$	-2.162E3	-3.210E3	6.810E4
8  imes 8	-2.081E3	-3.379E3	7.372E4
$16 \times 16$	-2.062E3	-3.400E3	7.372E4

#### 4.2 A pinched cylinder with end diaphragms

In this section, a pinched cylinder with end diaphragms is considered. This problem is regarded as one of the most severe tests for the performance of the element with the presence of both in-extensible bending and complex membrane states of stress. The cylinder is supported by rigid diaphragms at both ends and pinched with two opposite radial concentrated loads at the middle of the length. The geometrical and material properties of the cylinder are depicted in Figure 9.

Owing to symmetry, only one octant of cylinder is modelled with a mesh of uniform elements as well as distorted elements. Two typical meshes used in the analysis are shown in Figure 10.

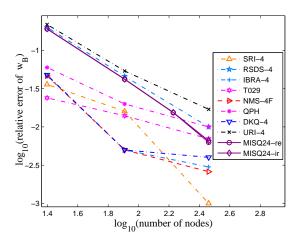


Figure 8: The Scordelis-Lo roof: Convergence behaviour

The theoretical solution of the radial deflection at the loading point (point C) given by Ted (Belytschko and Leviathan, 1994) is  $1.8248 \times 10^{-5}$ . The present numerical results with meshes of  $4 \times 4$ ,  $8 \times 8$ ,  $12 \times 12$  and  $16 \times 16$  elements are compared versus other solutions from the literature using 4–node quadrilateral elements. All the numerical results, normalized with respect to the analytical value, are given in the Table 4. It is observed that the performance of the present element is in excellent agreement with the analytic solution for both types of mesh and are better than other shell elements considered in this study. The convergence of stress resultants at the loaded point obtained by uniform elements is also reported in Table 5.

The convergence behaviours of all cited elements are also plotted together in Figure 11. As it can be seen, the MISQ24 elements yield the most rapidly converging solutions to theoretical value. In the case of highly distorted elements, it is particularly interesting to point out that the present element faces no difficulties converging at the same rate as some of the most efficient contemporary four-node shell elements using uniform elements. The MISQ24's convergence rate is even slightly better than the SHELL63 element used in the commercial finite element software ANSYS for this problem.

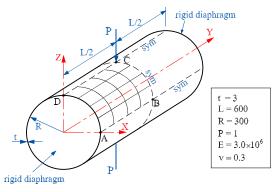


Figure 9: A pinched cylinder with end diaphragms: Geometry and material data

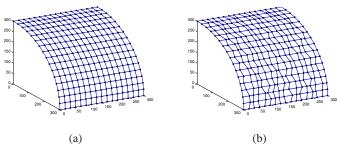


Figure 10: A pinched cylinder with end diaphragms: (a) typical regular mesh and (b) irregular mesh.

# 4.3 A pinched hemispherical shell with an $18^0$ hole

Figure 12 shows the hemispherical shell with an  $18^{0}$  hole subjected to concentrated diametrical loads of opposite signs every  $90^{0}$  in the equatorial plane. This problem is a very useful example to check the ability of the element to handle rigid body rotation about the normal to the shell surface and the inextensible bending modes. Shell elements with membrane locking cannot correctly solve this problem. Taking advantage of symmetry, a quadrant of the shell is modelled with uniform elements.

Table 6 shows numerical results for the radial displacement at the loading point  $(u_A)$  for different elements. The values are normalized with the theorical value of 0.094 reported by (MacNeal and Harder, 1985). All normalized radial displacements are also plotted in Fig-

Model	Mesh			
WIOUEI	$4 \times 4$	$8 \times 8$	$12 \times 12$	$16 \times 16$
MISQ24 (regular)	0.6416	0.9411	0.9921	1.0018
MISQ24 (irregular)	0.6478	0.9375	0.9915	1.0010
MIN4T	0.5040	0.8374	_	0.9619
XSHELL41	0.625	0.926	_	0.995
XSHELL42	0.625	0.918	_	0.992
SRI-4	0.373	0.747	_	0.935
RSDS-4	0.469	0.791	_	0.946
SHELL63(ANSYS)	0.6302	0.9371	_	1.0029
QC5D-SA	0.3759	0.7464	_	0.9300
QPH	0.370	0.740	_	0.930
IBRA-4	0.3704	0.7367	_	0.9343
DKQ-4	0.6357	0.9459	_	1.0160
MITC4	0.3699	0.7398	_	0.9300
Mixed	0.3989	0.7628	_	0.9349

Table 4: A pinched cylinder with end diaphragms: displacements at point C, normalized by:  $1.8248 \times 10^{-5}$ 

Table 5: A pinched cylinder with end diaphragms: Stress resultants.

Mesh	$M_x^C$	$N_x^C$
8  imes 8	1.381E-1	-6.501E-2
$12 \times 12$	2.060E-1	-7.276E-2
16  imes 16	2.464E-1	-7.362E-2

ure 13 to assess the convergence behaviour of each element. It can be seen that the present element yields the most monotonic convergence towards the reference solution while some other elements do not. The plot also shows that the present element exhibits an excellent accuracy with a  $16 \times 16$  mesh. No membrane locking is detected and the performance of the present element in this problem is remarkable.

#### 4.4 A hypar shell

A hyperbolic paraboloid shell or hyper shell (Figure 14) as proposed in (Gruttmann and Wagner, 2005) is studied. This problem is used to assess the performance of element in dealing with warped geometry and the effect of membrane locking. The geometry of the hyper shell is defined by the expression  $z = \frac{xy}{8L}$ . The shell is subjected to a uniform load  $p_z$ 

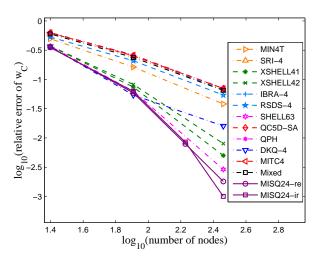


Figure 11: A pinched cylinder with end diaphragms: Convergence behaviour

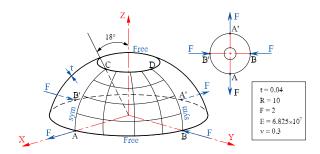


Figure 12: A pinched hemispherical shell with 18<sup>0</sup> hole: Geometry and material data

in the vertical direction with the following boundary conditions:

$$w(-L/2, y) = w(L/2, y) = w(x, -L/2) = w(x, L/2) = 0;$$
  
 $u_A = u_B = 0; v_C = v_D = 0.$ 

The entire hyper shell is modelled and analysed with uniform elements. Table 7 presents the computed vertical displacement at the center point with different models. The analytic solution for the central deflection ( $w_0 = 4.6$ ) calculated by (Duddeck, 1962) is used for normalization. Numerical results indicate that the behaviour of the present element is in a close agreement with other reference solutions. It is observed that the present element does not

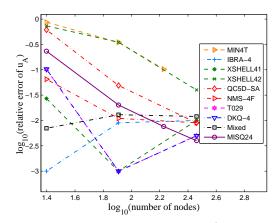


Figure 13: A pinched hemispherical shell with an 18<sup>0</sup> hole:Convergence behaviour

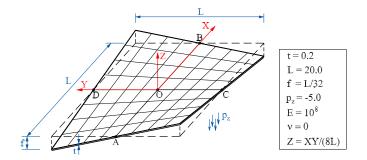


Figure 14: A hypa shell: Geometry and material data

show any sign of membrane locking even with coarse meshes. The element demonstrates an excellent performance where the displacement prediction error for the coarse mesh of  $8 \times 8$  elements is about 0.35%.

The displacements presented in Table 7 are also shown graphically in Figure 15 to assess the convergence with mesh refinement. As it can be seen from the plot, the present element does converge more quickly than elements cited here do for this problem.

Model	Mesh			
WIOUEI	$4 \times 4$	$8 \times 8$	$12 \times 12$	$16 \times 16$
MISQ24 (regular)	0.7670	0.9798	0.9954	0.9960
MIN4T	0.136	0.651	0.897	_
IBRA-4	0.999	0.991		0.990
XSHELL41	1.027	1.001	_	0.990
XSHELL42	0.266	0.652	_	0.960
QC5D-SA	0.386	0.951	-	0.991
DKQ-4	0.897	0.999	_	0.995
NMS-4F	0.935	0.989	_	0.991
Mixed	0.993	0.987	_	0.988

Table 6: A hemispherical shell with an  $18^0$  hole: displacements at point A, normalized by 0.0940

Table 7: A hyper shell: central deflection  $w_0$  for different elements, normalized by 4.6

Model	Mesh				
WIGUEI	$4 \times 4$	$8 \times 8$	$16 \times 16$	$32 \times 32$	$64 \times 64$
MISQ24	0.978	0.994	0.998	0.999	1.000
DKQ-4	0.980	0.989	0.991	_	0.993
Sauer	0.980	0.991	0.996	-	1.000
GruWag	0.983	0.991	0.996	—	1.000

### 4.5 A partly clamped hyperbolic paraboloid shell

The problem considered in this section is that of a hyperbolic paraboloid shell, clamped along one side and free on three edges and loaded by self-weight (Figure 16). This is a pure bending dominated problem and known to be a very hard test for locking behaviour as suggested in References (Chapelle and Bathe, 1998; Bathe, Iosilevich, and Chapelle, 2000). The shell geometry is described by the equation:  $z = x^2 - y^2$ ;  $(x, y) \in \left[-\frac{L}{2}; \frac{L}{2}\right]$ 

One symmetric half of the shell, with uniform mesh patterns of  $N \times N/2$  elements, is analyzed in the present work. To the author's knowledge, there is no analytic solution for this problem and the reference solution for displacement and strain energy obtained by (Bathe, Iosilevich, and Chapelle, 2000) with a refined mesh of high-order element MITC16, are

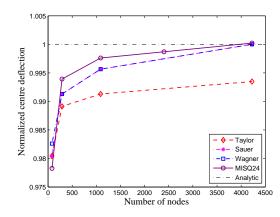


Figure 15: A hypar shell: normalized central deflections with mesh refinement

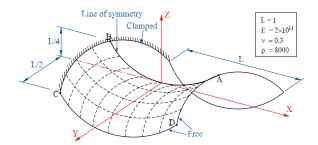


Figure 16: A partly clamped hypar shell: Geometry and material data

used for comparison. Two thickness-to-length (t/L) ratios of 1/100 and 1/1000 are used to check locking behaviour of the present element. The computed results are reported in Table 8 and Table 9 for displacements and strain energies, respectively.

Figure 17 demonstrate the convergence of displacement and strain energy. It can be seen that the proposed element MISQ24 performs well, exhibiting insensitivity to the decrease in thickness.

Mesh	t/L=1	1/100	t/L=1	/1000
wiesh	MISQ24	MITC16	MISQ24	MITC16
$8 \times 4$	9.9088E-5	_	7.1209E-3	_
$16 \times 8$	9.4681E-5	_	6.7129E-3	-
$32 \times 16$	9.3665E-5	_	6.4677E-3	_
$48 \times 24$	9.3501E-5	9.3355E-5	6.4264E-3	6.3941E-3
$64 \times 32$	9.3453E-5	_	6.4130E-3	-

Table 8: A partly clamped hypar shell: deflection at point A with mesh refinement.

Table 9: A partly clamped hypar shell: strain energy with mesh refinement

Mesh -	t/L=1	/100	t/L=1	/1000
IVICSII	MISQ24	MITC16	MISQ24	MITC16
$8 \times 4$	1.8028E-3	_	1.2512E-2	-
$16 \times 8$	1.7073E-3	_	1.1633E-2	_
$32 \times 16$	1.6858E-3	_	1.1155E-2	_
$48 \times 24$	1.6822E-3	1.6790E-3	1.1077E-2	1.1013E-2
$64 \times 32$	1.6812E-3	_	1.1055E-2	-

# 4.6 A pre-twisted cantilever beam

A pre-twisted cantilever beam shown in Figure 18 is considered in this section. The cantilevered beam undergoes  $90^0$  of twist over its length. Two load cases are studied: a unit shear force *P* in the width direction and a unit shear force *Q* in the thickness direction. This example, proposed by (MacNeal and Harder, 1985), is an excellent test for assessing the element performance when the geometry configuration is warped. In the case of isotropic material, the theoretical deflections at the beam's tip are 0.00542 (in-plane shear *P*) and 0.001754 (out-of-plane shear *Q*), respectively, for the two load cases.

Table 10 presents the obtained results with mesh refinement together with other numerical solutions in the literature. It is observed that the MISQ24 element has no difficulties in dealing with warped geometries. Its performance is found to be better than that of some other elements cited here such as XSHELL42, RSDS-4 and MITC4 elements.

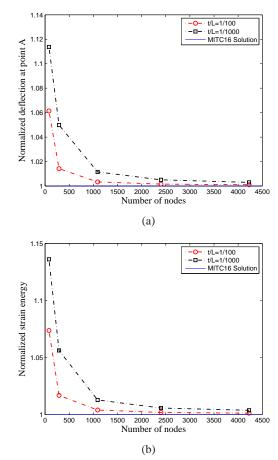


Figure 17: A partly clamped hyper shell: (a) Convergence of the deflection at point A and (b) convergence of the strain energy.

# 5 Conclusions

In this paper, an improved displacement-based 4-node flat shell element with drilling degrees of freedom has been developed and reported for geometrically linear analysis of shell structures within the framework of the first-order shear deformation theory. The element is constructed basically by superimposing a membrane element with drilling degrees of freedom and an assumed strain plate-bending element. To enhance the basic behaviour of the element, the strain smoothing technique has been performed independently for membrane

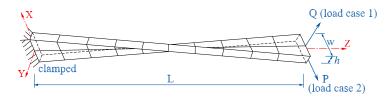


Figure 18: Pre-twisted cantilever beams: geometry and material data.

Load case	Model	Mesh		
Load case	Model	$2 \times 6$	$4 \times 12$	$4 \times 24$
In-plane	MISQ24	0.979	1.006	1.008
	DKQ-4	_	_	0.996
	XSHELL41	_	_	0.997
	XSHELL42	_	_	1.228
	RSDS-4	_	_	1.411
	MITC4	_	-	0.996
Out-of plane	MISQ24	0.811	0.928	1.015
	DKQ-4	_	_	0.998
	XSHELL41	_	_	0.999
	XSHELL42	_	_	1.473
	RSDS-4	_	_	1.361
	MITC4	_	_	0.974

Table 10: Isotropic pre-twisted cantilever beam: tip displacements, normalized by  $5.424 \times 10^{-2}$  for in-plane displacements and by  $1.754 \times 10^{-2}$  for out-of-plane displacements.

and bending strain parts. This technique allows integration, associated with the evaluation of bending and membrane parts of stiffness matrices, to be performed on the boundaries of the smoothing cells, which contributes to the high accuracy of the numerical solution. In some cases of mesh with certain level of distortion, the present element still shows better performance than that of other finite elements with uniform meshes. The smoothing operation also prevents numerical over-stiffening of the element, which helps avoid membrane locking due to drilling DOFs.

Several numerical benchmark investigations are carried out to validate and demonstrate the

efficiency and accuracy of the proposed element. The new flat shell element provides very excellent results to most of problems when compared with analytic solutions and referenced four-node shell elements in the literature. It is observed that the element is free of membrane and shear locking and could be a good candidate for general shell structural analysis in engineering practice where the range of thickness-to-length (t/L) ratio is usually from 1/10 to 1/1000.

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