

1 **Comparison of the Effects and Distribution of Zinc Oxide Nanoparticles and Zinc Ions in**
2 **Activated Sludge Reactors**

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26 **Abstract**

27

28 Zinc Oxide nanoparticles (ZnO NPs) are increasingly applied in the industry which results
29 inevitably in their release of these materials into the hydrosphere. In this study, simulated waste
30 activated sludge experiments were conducted to investigate the effects of Zinc Oxide NPs and
31 compare it with its ionic counterpart (as ZnSO₄). It was found that even 1 mg/L ZnO NPs could
32 have a small impact on COD and ammonia removal. Under 1, 10 and 50 mg/L ZnO NPs
33 exposure, the Chemical Oxygen Demand (COD) removal efficiencies decreased from 79.8% to
34 78.9%, 72.7% and 65.7%, respectively. The corresponding ammonium (NH₄-N) concentration in
35 the effluent significantly ($p < 0.05$) increased from 11.9 mg/L (control) to 15.3, 20.9 and 28.5
36 mg/L, respectively. Under equal Zn concentration, zinc ions were more toxic towards
37 microorganisms compared to ZnO NPs. Under 50 mg/L exposure, the effluent Zn level was 5.69
38 mg/L, implying that ZnO NPs have a strong affinity for activated sludge. The adsorption
39 capacity of ZnO NPs onto activated sludge were found to be 2.3, 6.3, and 13.9 mg/g SS at
40 influent ZnO NP concentrations of 1.0, 10 and 50 mg/L respectively, which were 1.74, 2.13 and
41 2.05 fold more than under Zn ions exposure.

42

43 **Keywords:** ZnO nanoparticles; zinc ions; waste activated sludge; biosorption;

44

45 **Introduction**

46

47 Nanotechnology has become very popular over the last few decades due to significant advances
48 with applications in medicine and semiconductor, chemical and electronics industries. ^[1, 2] Zinc
49 oxide (ZnO) nanoparticles (NPs) is one of the most important engineered metal-oxide NPs in
50 electronic sensors, solar cells, coatings, pigments and optics due to its semiconductors properties

51 such as near UV emission and transparent conductivity. ^[3, 4] They are also applied for the
52 oxidation of environmental pollutants and personal care products and as disinfectants in
53 medicine due to their unique photolytic properties. ^[5]
54 It is reasonable to believe that an increase in their production and application in the modern
55 industries will inevitably result in their release into the environment and in particular in our
56 waterways. ^[2, 6] Wastewater treatment plants are considered the last barriers prior to the
57 environmental release of engineered NPs. ^[7] An environmentally relevant concentration of ZnO
58 NP in wastewater would be around 24-300 µg/L according to Sun et al. ^[8], but the concentration
59 is likely to be in the mg/L level in the next few years. ^[9]

60 Furthermore, ZnO NPs are one of the most toxic NPs produced. ^[10, 11] Farre et al. ^[12] reported
61 the half maximal effective concentration (EC50) to be in the range of tens of µg/L to several
62 mg/L. Their toxicity on bacteria and crustaceans was demonstrated with LC50 ranging from 0.1
63 to 10 mg/L for ZnO NPs as well as ZnSO₄. ^[13, 14] The exact toxicity of NPs and ionic counterparts
64 on waste activated sludge is still not clear.

65 In this regard, the potential impact of ZnO NPs on the microbial community in wastewater
66 treatment processes have drawn increasing concern because biological treatment of wastewater
67 relies on bacteria to decompose organic matter and nitrogen compounds. In addition, the fate,
68 transport, and toxicity of NPs in wastewater treatment processes may differ largely from those of
69 their ionic counterparts, due to the differences in size and surface charge, potential for
70 biosorption or aggregation. ^[7] However, to date, knowledge on the fate and transformation of
71 ZnO NPs in wastewater treatment processes is still scarce. ^[15, 16] Interactions with natural organic
72 matter in real wastewater may result in different behaviour of Zn NPs. For instance, Zn ions can
73 generate complex with humic acids due to their carboxylic and phenolic groups or precipitate as
74 insoluble zinc hydroxide. Moreover, there is evident discord in the published literature regarding
75 the fate and behaviour of ZnO NPs, ^[17] as well as how this influences their toxicity. ^[18]

76 The objectives of this study were (a) to compare the short term effects and fate of ZnO NPs
77 and Zn²⁺ ions in a laboratory scale waste activated sludge process using sequencing batch reactor
78 (SBR) fed with real wastewater; (b) to investigate the effects of 1, 10 and 50 mg/L ZnO NPs on
79 COD and nitrogen removals; (c) to determine the accumulation of Zn ions in the effluent and
80 onto activated sludge over short term experiments; (d) to determine the morphology of activated
81 sludge using Scanning electron microscopy (SEM); (e) to assess the impacts of the presence of
82 ZnO NPs and Zn²⁺ ions on bacterial integrity using the Live/Dead *Ba*clight bacterial viability
83 technique which was not used previously in particular under short term experiments (5 hours) at
84 concentrations as high as 50 mg/L.

85

86 **Materials and methods**

87

88 *Activated sludge samples*

89

90 Primary wastewater was collected from Ulu Pandan Water Reclamation Plant (WRP),
91 Singapore. The total treatment capacity of Ulu Pandan WRP is 361,000 m³ per day. The
92 treatment process includes typical preliminary, primary and secondary treatment processes. The
93 wastewater was collected from the effluent of the primary sedimentation tank. As Ulu Pandan
94 WPR treats combined industrial and domestic wastewater, the contaminant concentrations are
95 expected to be higher than those in common domestic WWTPs. Real wastewater was stored at
96 4°C until it was fed to the SBRs.

97

98 *Set-up of Sequencing Batch Reactors (SBR)*

99

100 SBRs were designed to simulate a full-scale operation of aeration and secondary clarification
101 as described by Hou et al. [19] Briefly, SBRs were set up in 500 mL glass beakers as reactors,
102 which were continuously operated for 15 days at 12 hours hydraulic retention time, allowing
103 acclimatization to reach a stable performance. The steady state was established by monitoring the
104 chemical oxygen demand (COD), ammonium and phosphate removal. The SBR cycle consisted
105 in aeration for 10 hours, followed by settling for 2 hours. The SBRs were seeded with nitrifying
106 sludge from Ulu Pandan WRP and adjusted to a mixed liquor suspended solid (MLSS)
107 concentration of 3 g/L, using the effluent from the primary clarifier at the same plant. In each
108 cycle, supernatants following settling were replaced with the effluent from the primary clarifier
109 to start the next cycle.

110 After 15 days of stabilisation period, three SBRs were spiked with ZnO NPs at the
111 concentrations of 1.0, 10, and 50 mg ZnO/L, respectively and three SBRs were spiked with
112 corresponding ionic salt (in the form of $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$) at concentration of 3.54, 35.4, and 177 mg
113 $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$ /L such that both sets of SBR contained exactly 0.8, 8.0 and 40.0 mg Zn/L,
114 respectively. One SBR was employed as control with no Zinc addition. Each condition was
115 operated for one month and steady-state data were collected over three cycles to determine
116 average and standard deviation.

117

118 *ZnO NPs characterization*

119

120 The ZnO NPs were purchased from Sigma-Aldrich (Singapore) with an average particle size of
121 40 ± 5 nm. ZnO NPs stock solutions (100 mg/L) were prepared by adding dry particles into Milli-
122 Q (pH=6.8±0.2), ultrasonicated the suspensions (30°C, 100 W, 40 kHz) for 30 min and shaking
123 for 2 h to increase their dispersion. The particle-size distribution and *zeta* potential of ZnO NPs
124 in the suspensions during 24-h incubation were measured using a Malvern Zetasizer Nano-ZS

125 (Malvern Instruments Ltd., UK). The morphology of the ZnO NPs was examined using
126 transmission electron microscopy (TEM) (JEOL JEM-3010, Japan). To avoid agglomeration or
127 aggregation, water bath ultrasonic treatment was carried out to increase their dispersion before
128 using the ZnO NPs suspension.

129

130 *Analytical methods*

131

132 Sampling commenced after 15 days of operation of reactor, in order to ensure stable operation.

133 Aliquots of completely mixed liquor suspensions were collected every 0.5 h over a period of 5 h.

134 Collected samples were first centrifuged for 20 min at 10,000 rpm (Eppendorf 5810R). The

135 supernatant was collected and the concentrations of COD, MLSS, ammonium (NH₄-N) and

136 phosphate (PO₄³⁻) were determined according to Standard Methods.^[20] All chemical tests were

137 done in duplicate.

138 Analysis of the released Zn²⁺ concentration in the supernatant was conducted after centrifugation

139 (10,000 rpm for 20 min). 0.5 mL of the supernatant was added to 4.5 mL of Milli-Q water

140 containing 2% ultra-high purity HNO₃.^[21] The resulting Zn²⁺ concentrations in the supernatant

141 were measured by MP-AES (4100, Agilent Technologies) in triplicate.

142 In addition to the liquid samples, the Zn level in the activated sludge was also analyzed after acid

143 digestion. The mixed liquor was first centrifuged at 10,000 rpm for 20 min (Eppendorf 5810R)

144 and the supernatant was removed. A 0.5 g sample of solid sludge was totally digested with 3 mL

145 nitric acid (69%, Sigma-Aldrich) followed by 1 mL hydrochloric acid (37%, Sigma-Aldrich) at

146 105°C for 2h, followed by filtration through a 0.45 µm filter membrane (Whatman, USA). The

147 resulting solution was diluted to a final volume of 10 mL using Milli-Q water. The Zn²⁺ level in

148 the resulting solution was measured by MP-AES.

149

150 ***Bacterial viability assay***

151

152 In order to shed light on the impact of ZnO NPs and zinc ions on bacteria integrity, BacLight
153 LIVE/DEAD bacterial viability kit was used (Molecular Probes, USA) as previously
154 described.^[22]

155

156 ***Scanning electron microscopy (SEM) and transmission electron microscope (TEM) imaging***

157

158 Samples were investigated using TEM and SEM. In the first case TEM, grids were prepared by
159 placing a drop of suspension (mixed liquor or supernatant) on a holey carbon grid and drawing
160 the suspension through the TEM grid using a paper tissue. The TEM grids were washed
161 afterwards in a drop of distilled water to remove the dissolved compounds.^[23] The TEM was
162 operated at 200 kV to detect and characterize aggregation state of NPs in the solution.

163 To prepare SEM image, mixed liquor was first washed 3 times with 0.1 M phosphate buffer
164 solution (PBS) (pH 7.7) and fixed in 0.1 M phosphate buffer (7.4) containing 2.5%

165 glutaraldehyde at 4 °C for 4 h. The dried samples were coated with platinum before SEM

166 analysis according to Zheng et al.^[21] The elemental analysis of the particles was carried out using
167 an energy-dispersive X-ray spectroscope (EDS).

168

169 ***Statistical analysis***

170

171 The average \pm standard deviation (SD) were reported for each concentration. In order to
172 determine the statistical significance between treatments the critical values through ANOVA
173 one-way analysis of variance were compared (SPSS Statistics V17.0). Results were deemed
174 different at $p < 0.05$.

175

176 **Results and discussions**

177

178 *Characterization of engineered ZnO NPs*

179

180 Figure 1 shows ZnO NPs in deionized water imaged by TEM with different scales (i.e., 0.5
181 μm and 500 nm). In the present study, due to their small size and huge surface area, ZnO NPs
182 tend to aggregate or agglomerate in aqueous phase. Although the ZnO NPs used in this study
183 have a diameter in the range of nanometers, some aggregates of different sizes formed in the
184 particle suspension, even after sonication. The size distribution of ZnO NPs is presented in
185 Supplementary Figure S1. The size ranged from 15 nm to 47 nm with a mean size of 33 ± 8 nm
186 ($n=107$), which confirmed the nano size range. The *zeta* potential was found to be -11.7 mV at
187 pH= 6.8 and -6.3 mV at pH=6.4 at the beginning and end of the experiment, respectively.

188

189

190

191 *Removal of ZnO NPs and zinc ions in the activated sludge process*

192

193 The Zn level in the biomass-free effluent is shown in Figure 2. After 5 h exposure (300 min), the
194 concentrations of soluble Zn^{2+} in the effluent were 0.11, 1.19 and 5.69 mg/L at the initial ZnO
195 NP concentration of 1.0, 10 and 50 mg/L, respectively. The higher concentrations of released
196 Zn^{2+} observed at the initial ZnO NP concentration of 50 mg/L might have been attributed to the
197 increased sludge surface charge and the decreased hydrophobicity resulting in more zinc ions
198 being released from ZnO NPs. ^[24] Interestingly, the released the Zn^{2+} levels in Zn^{2+} ionic
199 treatment (Figure 2B) (0.19, 2.15 and 9.41 mg/L, respectively) were significantly higher than

200 those in the NP treatment indicating that dissolution of Zn^{2+} was prevalent with $ZnSO_4$. Less
201 Zn^{2+} was released from NP because humic acids are known to stabilize ZnO NP and retard
202 dissolution rates.^[25] By comparison, in a recent study on the fate and behaviour of ZnO NPs in a
203 simulated WWTP, Musee et al. ^[17] reported an effluent Zn concentration of 1.39 mg/L after 240
204 hours of exposure. In the present study at 5 hours exposure, 86.3%, 85.1% and 85.8% of zinc
205 from ZnO NPs were retained in the sludge at initial ZnO concentrations of 1.0, 10 and 50 mg/L
206 respectively, showing that a large fraction of the ZnO NPs was removed from the wastewater due
207 to adsorption onto waste activated sludge. In contrast, Zn^{2+} treatment exhibited lower removal
208 efficiencies of 76.3%, 73.1% and 71.2%, compared to ZnO NP treatment.

209

210

211 *Effect of ZnO NPs and Zn^{2+} ions on COD removal*

212

213 Prior to addition of ZnO NPs, the COD concentration in the effluent was around 66 mg/L (Figure
214 3) which corresponds to removal efficiency of 79.8%. However, the presence of ZnO NPs even
215 at 1 mg/L influenced the COD removal efficiencies, which slightly decreased to 78.9% ($p <$
216 0.05). The exposure to 10 and 50 mg/L ZnO NPs further decreased COD removal efficiencies to
217 72.7% and 65.7%, respectively. This is in disagreement with Chauque et al. ^[25] who reported no
218 effect on COD removal at 20 mg/L ZnO NP. Our findings contradict previous studies of the
219 effects of ZnO NPs on COD removal efficiencies. ^[16, 17] Tan et al. ^[26] investigated long-term
220 (240 days) effects of ZnO NPs on the system performance of a membrane bioreactor (MBR) and
221 reported that both short- and long-term exposure to 1.0 mg.L⁻¹ of ZnO NPs did not significantly
222 affect COD removal, despite the fact that ZnO NPs may exhibit toxic effects on microorganisms.
223 Likewise, Puay et al. ^[16] evaluated the effects of ZnO NPs on system performance and bacterial

224 community dynamics of biological wastewater treatment in a lab-scale SBR (over 62 days), and
225 indicated that the removal of COD was not affected significantly by 1 mg/L ZnO NPs.

226

227 However, in the present study, negative impacts on COD removal efficiencies were indeed
228 observed at ZnO NPs concentrations as low as 1 mg/L. This findings suggest that industries
229 releasing high amounts of Zinc nanoparticles should capture NPs before their release or dilute
230 their effluent accordingly to avoid negative impacts on the waste activated sludge process. The
231 lower COD removal efficiencies in the presence of ZnO NPs at higher concentrations are mainly
232 attributed to the Zn^{2+} released from the ZnO NPs, and the high toxicity of the increasingly
233 abundant Zn^{2+} ions from ZnO NPs at higher concentrations further reduced the ability of
234 microorganisms to oxidise organic matter. ^[16, 26] Furthermore, efficient aggregation and proper
235 settling of flocs is of significant importance for the generation of good-quality effluent in the
236 activated sludge process. ^[27]

237 At concentrations of 10 and 50 mg/L, $ZnSO_4$ exhibited lower COD removal of 68.2% and 42.7%,
238 compared to those of 72.7% and 65.6% in the presence of ZnO NPs. This finding suggests that
239 compared to ZnO NPs, Zn^{2+} ions exhibited acute toxicity towards microbes at high
240 concentrations, resulting in more severe inhibition of microorganisms. From Figures 2 and 3, it is
241 clear that ZnO NPs is less toxic than $ZnSO_4$ due to the fact that Zn ions from $ZnSO_4$ dissolve
242 more readily in water. Our findings are not in line with Heinlaan et al. ^[28] who reported that nano
243 ZnO and $ZnSO_4$ exhibit similar toxicities to *Vibrio fischeri* (with LC_{50} of 1.1 versus 1.9 mg/L),
244 *Daphnia magna* (6.1 versus 3.2 mg/L) and *Thamnocephalus platyurus* (0.98 versus 0.18 mg/L).
245 Liu et al. ^[18] also suggested that the IC_{50} values of soluble Zn on activated sludge endogenous
246 respiration, BOD biodegradation, ammonia oxidation, and nitrite oxidation were 2.2, 1.3, 0.8,
247 and 7.3 mg-Zn/L, respectively. In this study, after the addition of 50 mg/L ZnO NPs (equivalent
248 to 40 mg/L Zn^{2+}), the measured Zn^{2+} concentration in the effluent progressively increased to

249 only 5.7 mg/L after 5 hours, indicating a low dissolution potential of ZnO NPs in the system, a
250 finding consistent with a previous study.^[21] However, it is likely that 5.7 mg/L was causing some
251 inhibition regardless of Zn ions origin which contradicts Hou et al.^[29] who did not report
252 reduced COD removal at 5 mg/L. This can be explained by the fact that short term experiment
253 using non-acclimatized sludge were performed in this study. When ZnSO₄ was used, the Zn²⁺
254 concentration quickly increased to 6.5 mg/L after only 30 minutes and gradually increased to 9.4
255 mg/L after 300 minutes, which resulted in a greater toxicity.

256

257 *Effect of ZnO NPs and Zn²⁺ ions on NH₄⁺-N removal*

258

259 The effects of ZnO NPs and Zn²⁺ ions on NH₄⁺-N removal are shown in Figure 4. Prior to the
260 ZnO NP exposure, the NH₄⁺-N removal efficiency was 70.3%, but decreased to 63.8% in the
261 presence of ZnO NP at 1 mg/L. Under 10 and 50 mg/L ZnO NPs exposure, the effluent NH₄⁺-N
262 significantly ($p < 0.05$) increased from 11.9 mg/L (control) to 20.9 and 28.5 mg/L, respectively.
263 This finding implies that the decrease in NH₄⁺-N removal correlate with the inhibition of
264 nitrifying bacteria in the biomass even at low dose of ZnO NPs which was not reported
265 previously using real wastewater. Zheng et al.^[21] evaluated the effects of ZnO NPs on
266 wastewater biological nitrogen removal by carrying out a short-term study (4.5 h) in a SBR, and
267 reported that the presence of 10 and 50 mg/L ZnO NPs decreased total nitrogen removal from
268 81.5% to 75.6% and 70.8%, respectively. Likewise, Tan et al.^[26] indicated that a significant
269 decrease ($p < 0.05$) in NH₄⁺-N removal was observed after ZnO NP exposure at concentrations
270 of 1.0 mg/L and 10.0 mg/L ZnO NPs (from 89.9% to 87.2% and 85.2%, respectively). Hou et al.
271 ^[29] indicated that even low ZnO NP concentrations of 5 mg/L exhibited a significantly negative
272 effect on NH₄⁺-N removal in a simulated SBR process with an 11-d operation period, and
273 observed an 23.7% inhibition in nitrification during exposure to 5.0 mg/L ZnO NP. Additionally,

274 in the present study, effluent ammonia concentrations (18.7 mg/L, 29.3 mg/L and 35.2 mg/L,
275 respectively) in the presence of ZnSO₄ were higher than those in the presence of ZnO NPs (15.3
276 mg/L, 20.9 mg/L and 28.5 mg/L, respectively), implying that Zn²⁺ ions exhibited more severe
277 toxicity to ammonia oxidizing bacteria than ZnO NPs. At high ZnO NPs concentration, the
278 increased release of Zn²⁺ led eventually to the onset of inhibition of ammonia-oxidizing activity.
279 This can also be explained by an increased production of reactive oxygen species (ROS).^[21] At
280 higher NP concentration, the increased cell surface charge and the decreased hydrophobicity may
281 cause the worsened flocculating ability and dispersion of sludge flocs.^[24]

282

283 *Effect of ZnO NPs and Zn²⁺ ions on phosphate (PO₄³⁻) uptake*

284

285 In biological phosphorus removal systems, hydrolysis of polyphosphate causes soluble ortho-
286 phosphorus (SOP) release in the anaerobic stage, which is accompanied with
287 polyhydroxyalkanoates (PHA) synthesis and glycogen consumption.^[30] Therefore, biological
288 phosphorus removal relies largely on the anaerobic or low-DO conditions for the transformation
289 of intracellular PHA and glycogen. Besides biological removal, phosphorus can also be removed
290 by coagulation and precipitation using polycations.

291 Low PO₄³⁻ removal efficiencies were expected in the present study due to the lack of anaerobic
292 and anoxic conditions. However, it can be seen from Figure 5A that prior to addition of ZnO NPs,
293 the PO₄³⁻ removal efficiency was 24.1%. However, a marked ($p < 0.05$) decrease (17.9%, 11.8%
294 and 4.0%, respectively) was observed when activated sludge was exposed to 1.0, 10 and 50 mg
295 ZnO NPs L⁻¹, respectively. This result showed that ZnO NPs inhibited uptake for cell synthesis.
296 Furthermore, coagulation with Zn²⁺ ions was not observed probably due to the small amount of
297 Zn²⁺ released. Similar results were found for the zinc salt treatment (Figure 5B). This finding is
298 comparable with Tan et al.^[26] who showed that PO₄³⁻ removal efficiency significantly decreased

299 to 34.3% compared to the control (47.5%), during exposure to 1 mg/L ZnO NPs. Our data
300 therefore showed that problems in nitrogen and phosphorus removal will occur in the waste
301 activated sludge at concentration of 1 mg/L.

302

303 *Accumulation of ZnO NPs and zinc ions onto activated sludge*

304

305 Activated sludge biomass from biological wastewater treatment processes is able to remove
306 heavy metals from wastewater, and biosorption plays an important role in heavy metal recovery.
307 ^[31, 32] More recently, ZnO NPs have been observed to bind onto waste activated sludge in SBR
308 processes, ^[16] in MBR processes ^[26] and in anaerobic digestion. ^[33] Different partitioning
309 mechanisms of engineered NPs to biosolids have been identified including binding to
310 extracellular polymers or cell surface, active cellular uptake, entrapment into flocs and diffusion
311 into biofilms ^[4]. In the present study, a gradual increase of Zn in biosolids was observed for both
312 ZnO NPs and Zn²⁺ ions treatment (Figure 6). The zinc levels were respectively 2.3, 6.3, and 13.9
313 mg/g MLSS at 1.0, 10 and 50 mg/L ZnO NP exposure after 5 h exposure. These Zn loadings
314 were 1.34, 2.97 and 6.74 mg/g MLSS in the ZnSO₄ treatment. At 50 mg/L exposure, a mass
315 balance on Zn revealed that 88% of Zn from ZnO NPs ended up in biosolids and 12% in the
316 effluent. For ZnSO₄, the mass balance was 68% onto biosolids and 32% in effluent.

317 By comparison, Musee et al. ^[17] investigated the fate and behaviour of ZnO NPs in a
318 simulated WWTP over 240 hours and reported a mean Zn concentration of 54 mg/g MLSS and
319 maximum Zn concentration of 112 mg/g MLSS in the sludge. This finding reinforces the results
320 of previous studies ^[34, 35] which indicated that engineering ZnO NPs showed strong affinity to
321 the sewage sludge rather than dissolution in the treatment effluent. The primary mechanism of
322 NP removal from wastewater is believed to depend upon biosorption onto biomass.

323

324 Our finding also showed that ZnO NPs have greater potential to be adsorbed onto biosolids
325 compared to Zn^{2+} ions. Furthermore, this biosorption capacity increased with the concentration
326 of ZnO NPs. This result is in good agreement with Lombi et al. [33] who investigated the fate of
327 ZnO NPs during anaerobic digestion of wastewater and reported that the partition coefficient (K_d)
328 of Zn was smaller in the salt treatment (637 L.kg^{-1}) than for the ZnO NP treatments ($915\text{-}1258$
329 L.kg^{-1}). Their results indicate that ZnO NPs have greater potential to be adsorbed onto anaerobic
330 sludge than Zn^{2+} ions, and that Zn derived from ZnO NPs was not partitioning in larger measure
331 in the solution phase when compared to the Zn^{2+} salt. In addition, these observations also support
332 the hypothesis that different mechanisms might govern the removal of ZnO NPs and Zn^{2+} ions
333 from wastewater. As for ZnO NPs, the attenuation of the ZnO NP concentration in the solution
334 phase is most likely due to precipitation of Zn species and ZnO NP adsorption onto the biomass.
335 In contrast, zinc salt quickly undergo dissolution followed by complexation and precipitation.

336

337 *Adsorption of ZnO NPs and Zn^{2+} ions onto activated sludge*

338

339 Engineered NPs can form aggregates in the wastewater sludge through agglomeration, which
340 involves the adherence of single or cluster of particles into larger masses due to attractive forces
341 or chemical or mechanical binding. [11] In the present study, the morphological changes in
342 activated sludge induced by the aggregated ZnO NPs and irreversibly agglomerated Zn^{2+} were
343 observed by SEM (Figure 7A-7C). The SEM images clearly showed that there were large
344 numbers of accumulated ZnO NPs on the surface of sludge after 5 h exposure. SEM images
345 revealed differences in detrimental effect between ZnO NPs and zinc ions. Although these extent
346 of damage cannot be accurately quantified based on our SEM analyses, the ZnO NPs appeared to
347 have formed to larger sized aggregates during the experiment. The accumulation of ZnO NPs
348 and Zn^{2+} on the activated sludge was also confirmed through EDS profile analysis to confirm

349 their Zn-based composition (Figure 7D-7E). The EDS profile clearly shows a Zn peak that is
350 absent in the sample from the control reactor.

351

352 ***Bacterial viability assay***

353

354 Figure 8 displays the bacterial viability in the control and in the samples treated with ZnO NPs
355 and Zn²⁺ ions at the highest concentration after 5 h exposure. A large number of fluorescent
356 green cells are evident in the control system (Figure 8A). Compared to the control, the density of
357 dead cells significantly increased after the exposure of the activated sludge to 50 mg/L of ZnO
358 NPs, indicating a great loss in the cell viability (Figure 8B). This can be due to the adsorption of
359 NPs onto the sludge as well as the increase of dissolved Zn²⁺ content and inhibition of cell
360 activity after exposure to 50 mg/L ZnO NPs. This phenomenon was even greater for the sludge
361 exposed to Zn²⁺ ions (Figure 8C). The structure of the activated sludge became loose with
362 numerous small aggregates of ZnO NPs which may result in dispersed flocs. This finding is in
363 agreement with previous studies [24, 36] which revealed that higher concentrations of ZnO NPs
364 exhibited inhibitory effects on the activity of activated sludge microorganisms. In addition, after
365 5 h exposure to ZnO NPs and Zn ions at a high concentration of 50 mg/L, the live/dead ratio
366 exhibited a decreasing trend (2.45 and 2.26 for ZnO NPs and Zn²⁺ treatment, respectively),
367 compared to control (2.64) (Supplementary Figure S2). This finding further confirms that the
368 accumulated ZnO NPs on the surface of activated sludge was likely to create a stressful
369 environment for microorganisms, thereby reducing the activity of the activated sludge. This was
370 also supported by the significant reductions in various contaminant removal efficiencies
371 observed during exposure to ZnO NPs and zinc ions at higher concentrations in this study.

372

373 It has been reported that the toxicity of ZnO NPs to activated sludge would be mainly due to the
374 release of soluble Zn^{2+} ions. [16, 26] However, in the present study, only 5.6 mg Zn^{2+} .L⁻¹ was
375 released from 50 mg/L ZnO NPs (Figure 2A) and it is therefore believe that biosorption of NPs
376 onto activated sludge played a major role in inhibition mechanism as shown by the high
377 adsorption capacity and bacterial viability analysis. In comparison, Hou et al. [37] and Li et al. [18]
378 investigated the kinetics of Zn^{2+} released from ZnO NPs of 50 mg/L, and reported Zn level of 4.9
379 mg/L and 7.1 mg/L, respectively after 24 h exposure. This discrepancy might be attributable to
380 the difference of size and surface area of investigated ZnO NPs, which in turn may lead to the
381 toxicity induced by NPs.

382 Previous studies have reported that the production of extracellular polymeric substances (EPS)
383 could strongly increase the toxicity resistance of activated sludge by preventing direct contact
384 between zinc ions and bacteria. [26, 36] However, once the concentration of metal ions increased,
385 the protective capacity of EPS deteriorated, due to the loose structure under high toxicity. [38]
386 This explains the observation of increased inhibition of activated sludge at higher concentrations
387 of ZnO NPs in the present study. The toxicity of ZnO NPs to bacteria can also be attributed to
388 the changes in sludge properties. [24] At low concentrations of NPs, the dissolved Zn^{2+} ions from
389 ZnO NPs could function as bridges between the functional groups on the surface of bacteria,
390 helping to aggregate microbes and promoting bioflocculation. However, under exposure to
391 higher concentrations of ZnO NPs, cell surface charge increases, weakening the attraction
392 between EPS and cations, resulting in the reduction of the flocculating ability of activated sludge.

393

394 **Conclusions**

395

396 In this study, the fate and behaviour of ZnO NPs and zinc ions in the waste activated sludge
397 process were investigated in SBR. The results indicate that biological wastewater treatment

398 plants have great potential to remove ZnO NPs from wastewater. ZnO NPs were efficiently
399 retained by activated sludge, and exhibited greater biosorption capacity and strong affinity to the
400 sewage sludge, compared to Zn²⁺ ions. The short-term exposure to ZnO NPs at 1 mg/L showed
401 some effects on COD removal, ammonia removal and phosphorus uptake. Exposure to 10 mg/L
402 and 50 mg/L significantly inhibited the biological wastewater treatment process. Compared to
403 ZnO NPs, Zn²⁺ ions exhibited more severe toxicity towards activated sludge at high
404 concentrations due to a better dissolution of Zn²⁺ from ZnSO₄. The results of bacterial integrity
405 analysis showed that accumulated ZnO NPs on the surface of activated sludge created a stressful
406 environment for microorganisms, as shown by a decreasing live/dead ratio, thereby reducing the
407 activity of activated sludge.

408

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412

413

414 **References**

415

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- 524
- 525

526 **FIGURE CAPTIONS**

527 **Figure 1** TEM image of ZnO NPs in the nutrient solution under different magnification: (A) 0.5
528 μm ; (B) 100 nm; (C): 50 nm

529 **Figure 2** Kinetics of Zn^{2+} released from a) ZnO NPs at the concentrations of 1.0, 10 and 50
530 mg/L; and b) $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$ at the concentrations of 3.54, 35.4 and 177 mg/L. Error bars
531 represent standard deviations of triplicate measurement. The error bars were omitted when
532 smaller than the marker.

533 **Figure 3** COD concentrations in the effluent of a) ZnO NP treatment; and b) Zn^{2+} ions treatment

534 **Figure 4** $\text{NH}_4\text{-N}$ concentrations in the effluent of a) ZnO NP treatment; and b) Zn ions treatment.

535 Error bars represent standard deviations of triplicate measurement

536 **Figure 5** Phosphate concentrations in the effluent exposed to a) ZnO NPs; and b) Zn^{2+} ions.

537 Error bars represent standard deviations of triplicate measurement

538 **Figure 6** Zinc levels in the biosolids for a) ZnO NP treatment; and b) Zn^{2+} treatment. Error bars

539 represent standard deviations of triplicate measurement

540 **Figure 7** SEM images of activated sludge after ZnO NPs and Zn^{2+} ions exposure at the

541 concentration of 50 mg/L after 5 h. a) Sludge in the control; b) Sludge in the treatment

542 exposed to ZnO NPs; and c) Sludge in the treatment exposed to Zn^{2+} ions; d) EDS spectra for

543 a); e) EDS spectra for b); and f) EDS spectra for c)

544 **Figure 8** Bacterial viability in a) control treatment; b) in the activated sludge exposed to ZnO

545 NPs; and c) in the activated sludge exposed to zinc salt at the concentration of 50 mg L^{-1} after

546 5 h exposure

547 **Supplementary Fig S1.** Size distribution of ZnO NPs. The size range determined using TEM as

548 15-47 nm with a mean size of 33 ± 8 nm ($n=107$).

549 **Supplementary Fig S2.** Live/dead ratio after 5 hours exposure of ZnO NPs and Zn ions.

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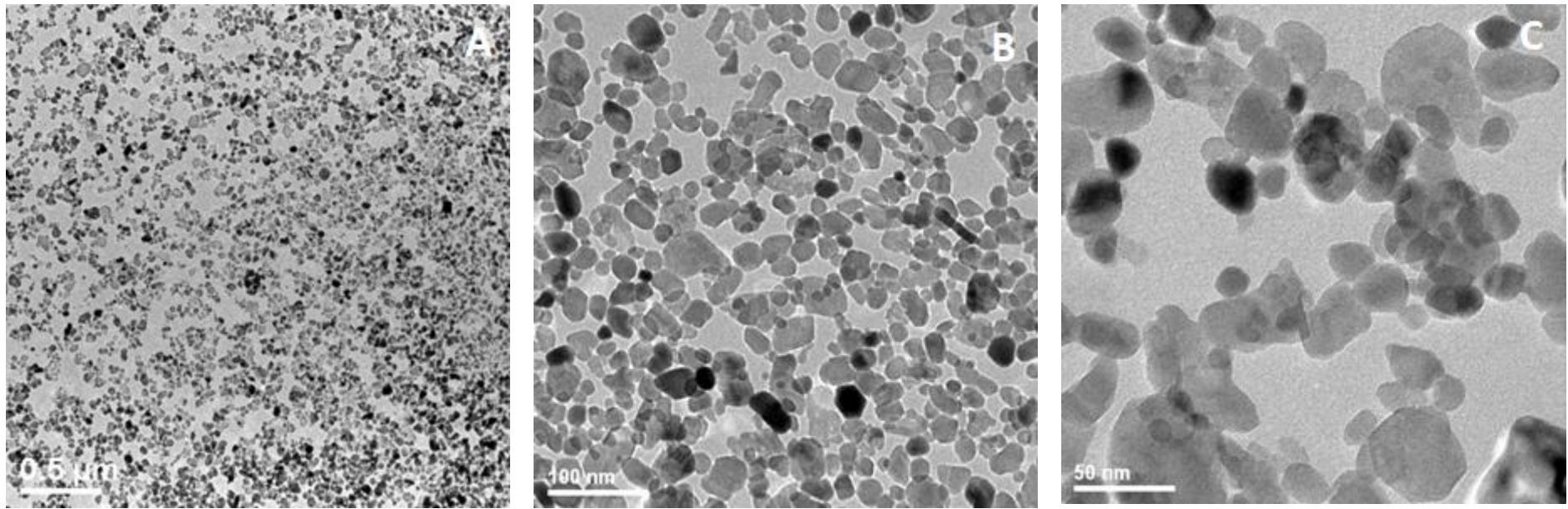


Fig. 1

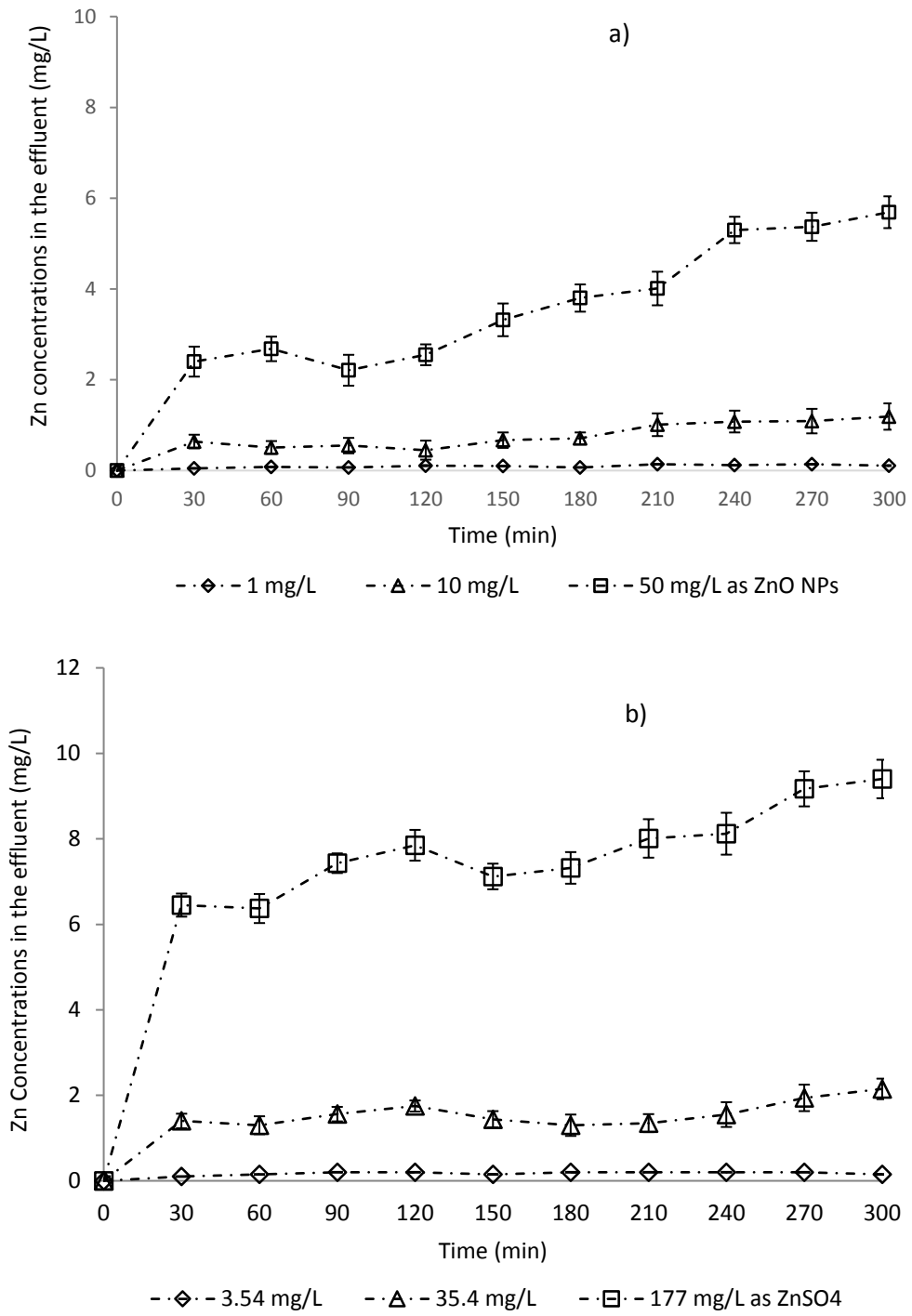


Fig. 2

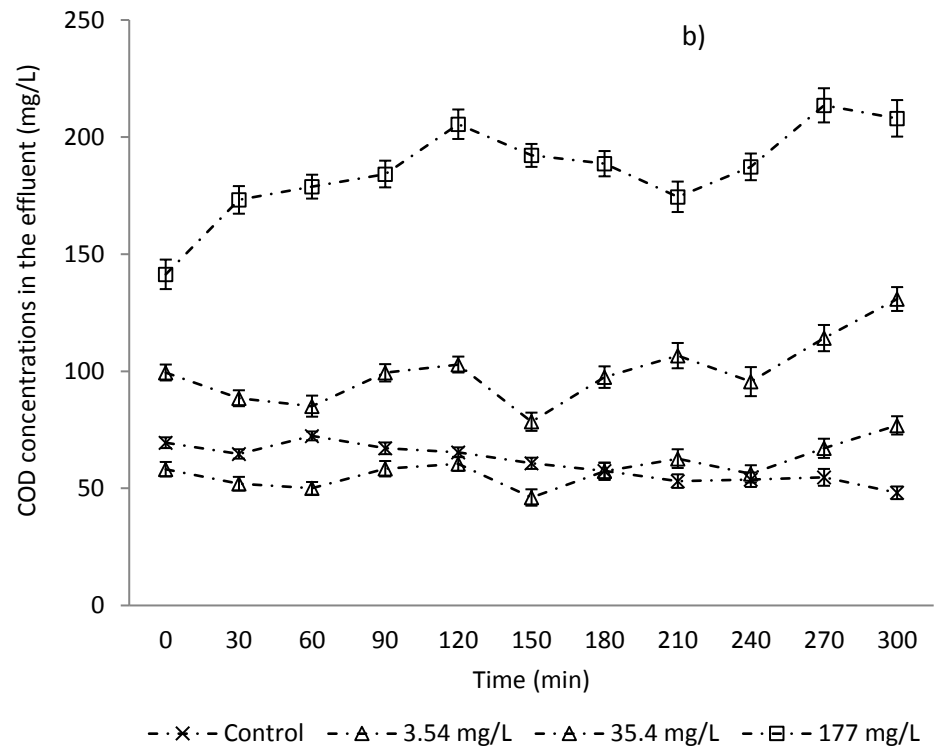
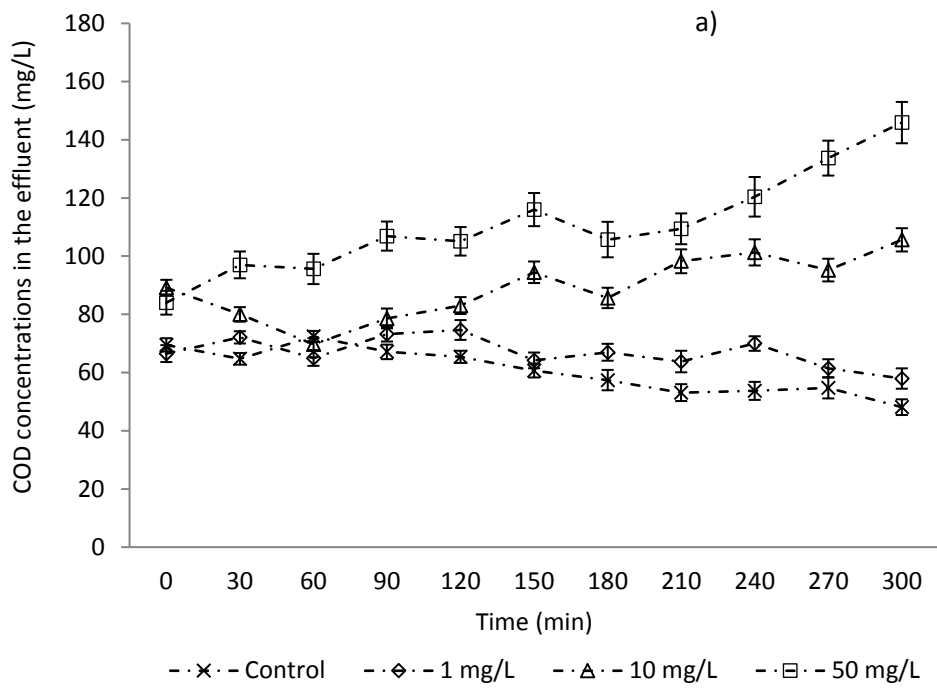


Fig. 3

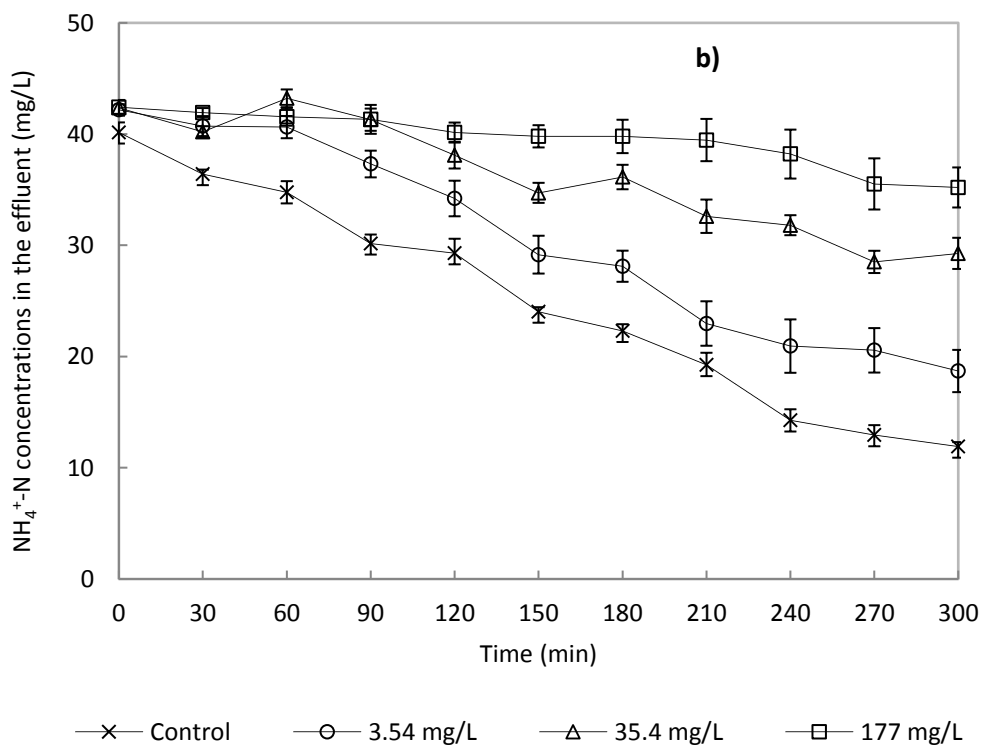
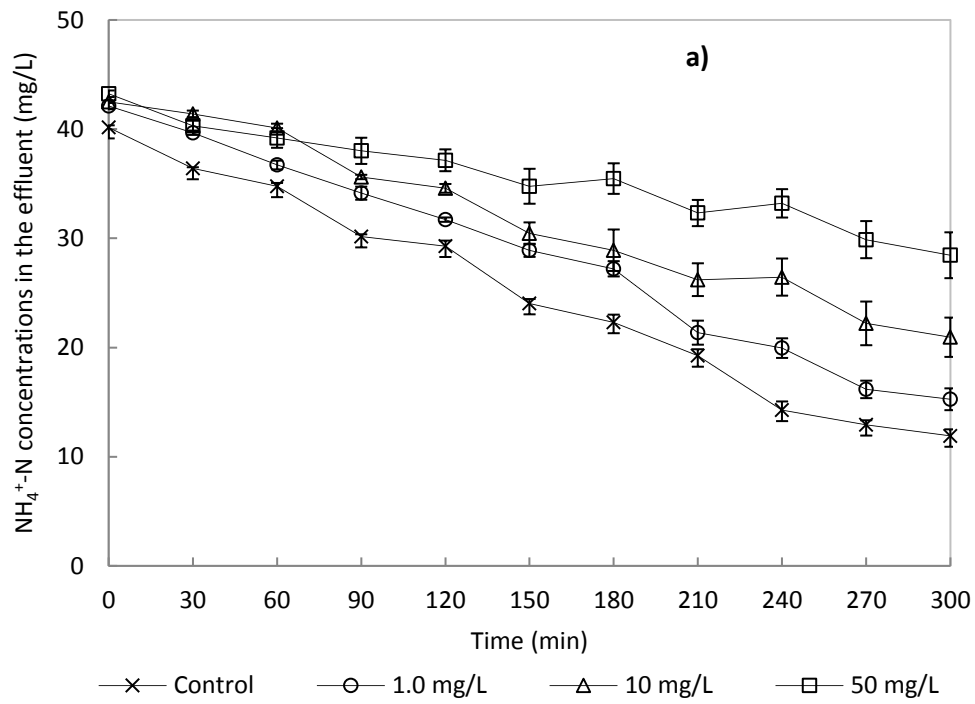


Fig. 4

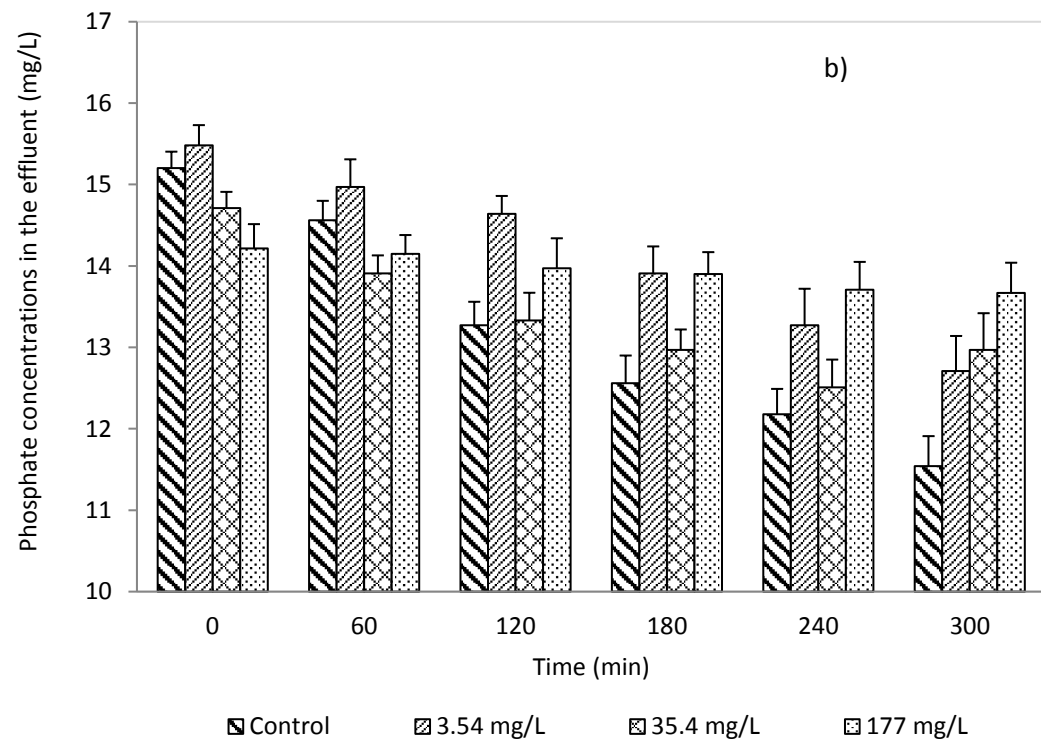
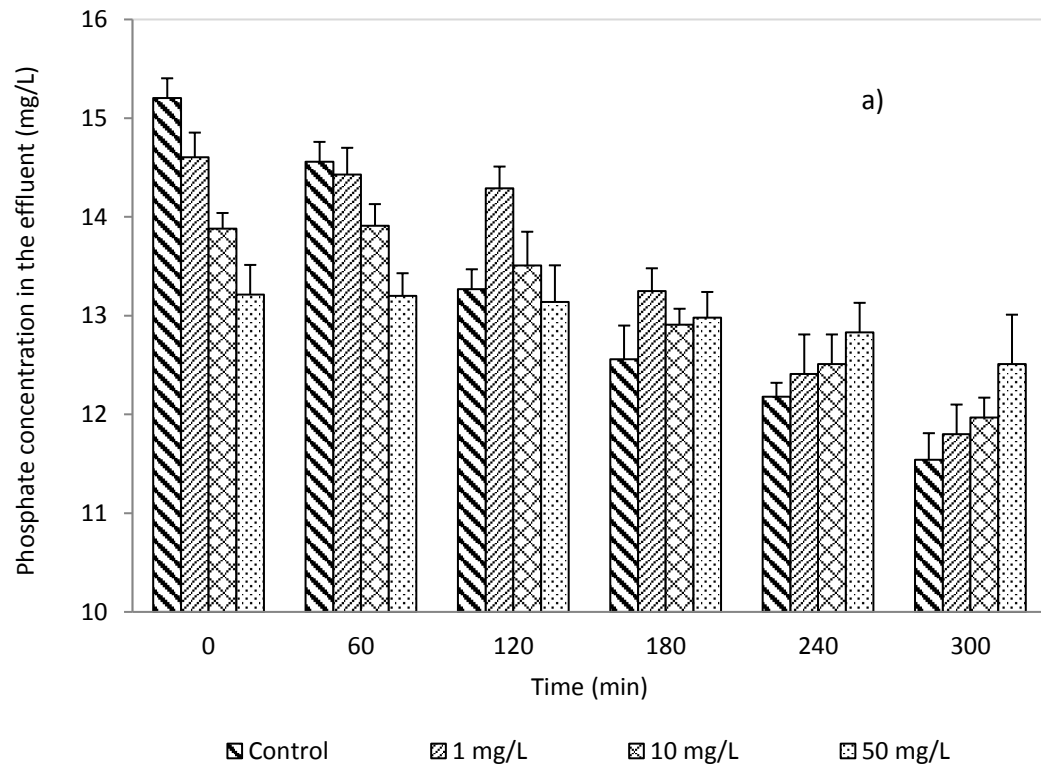


Fig. 5

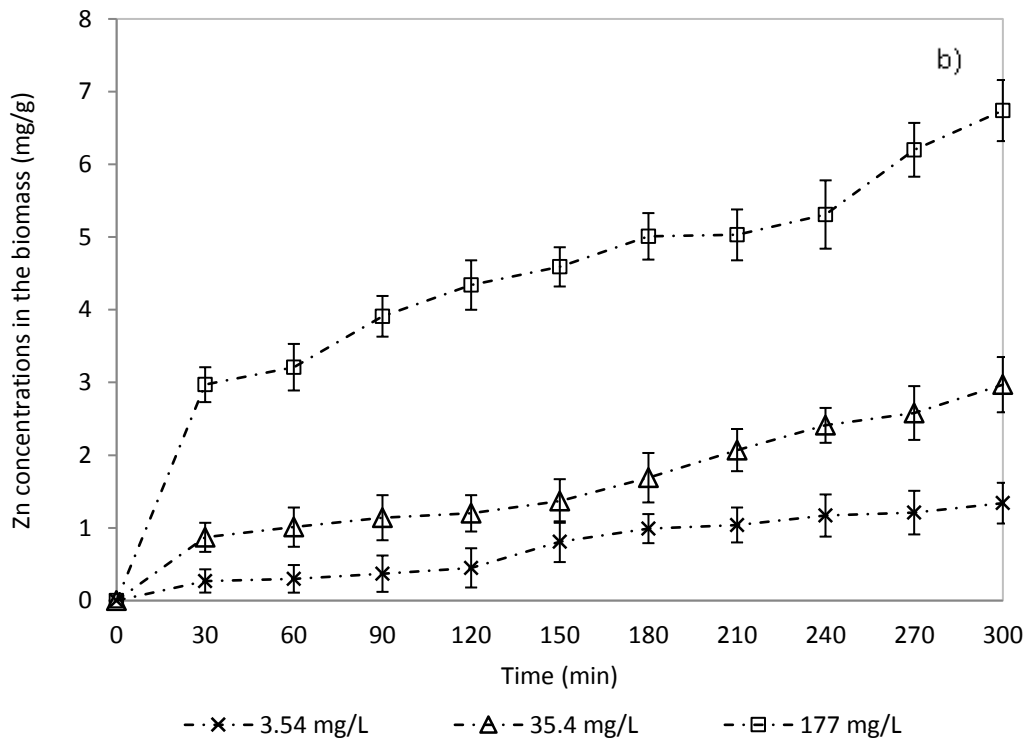
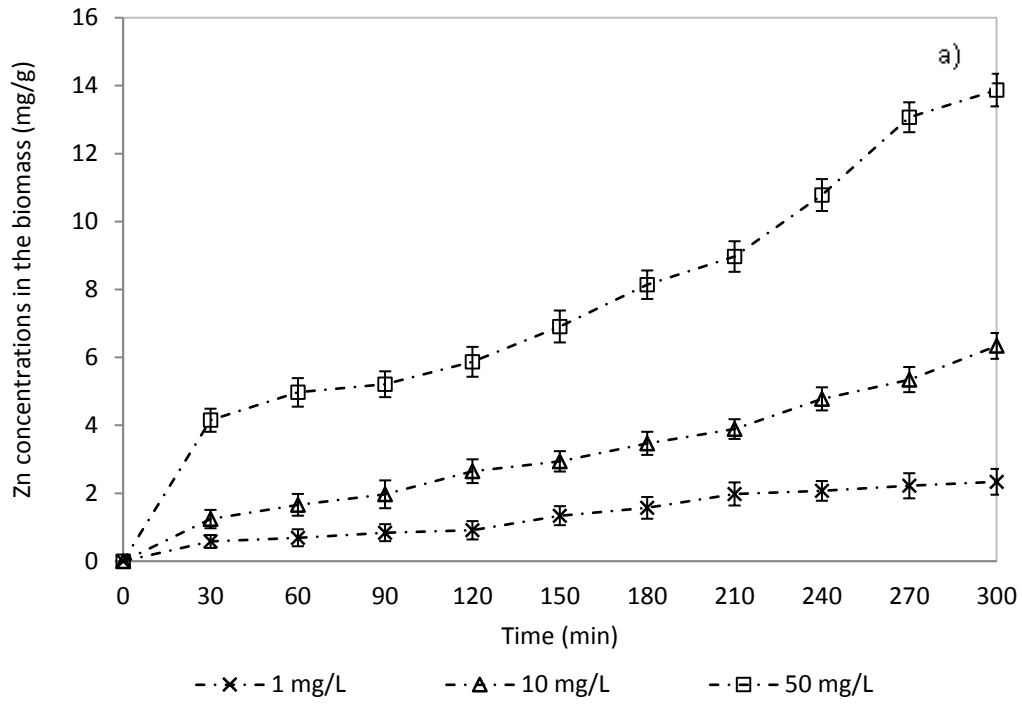


Fig. 6

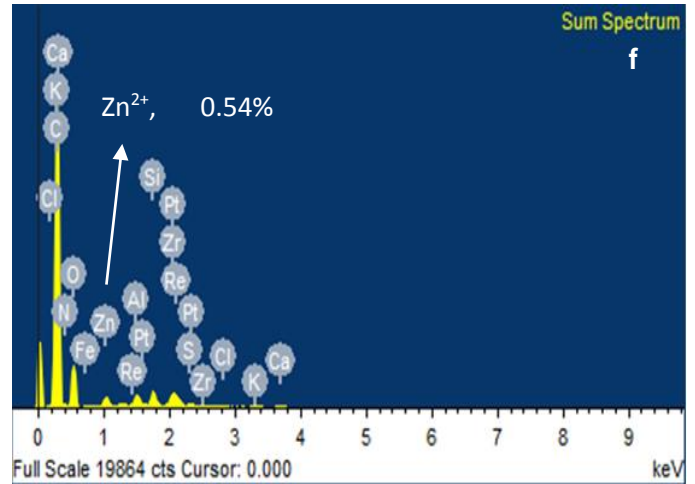
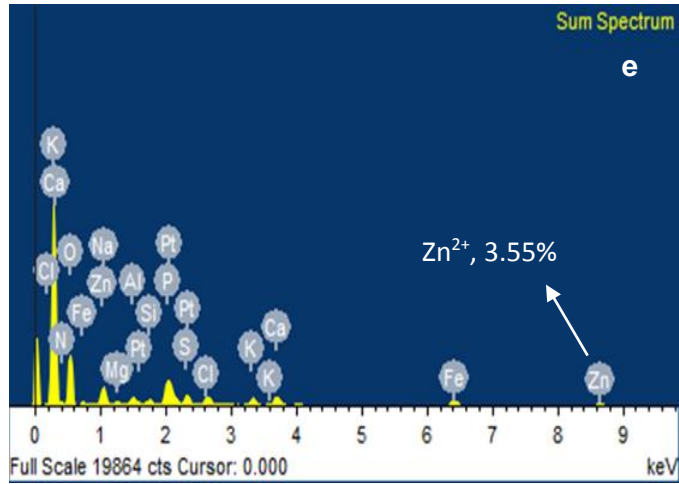
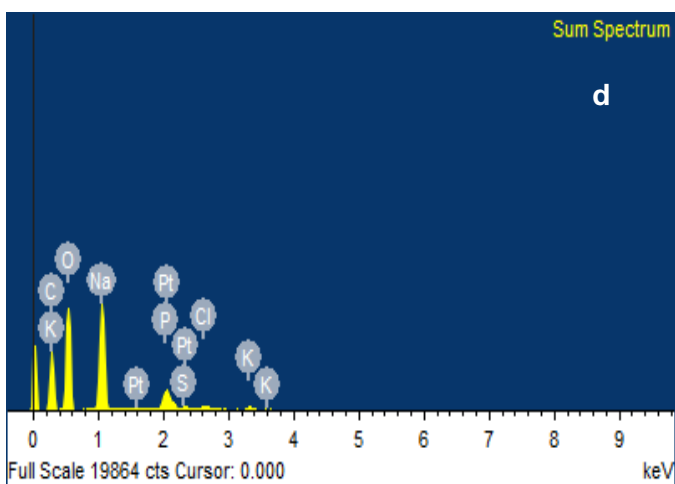
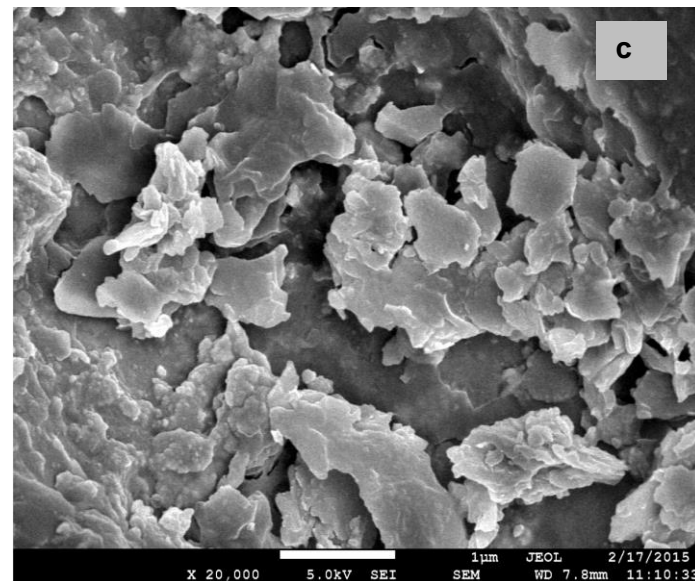
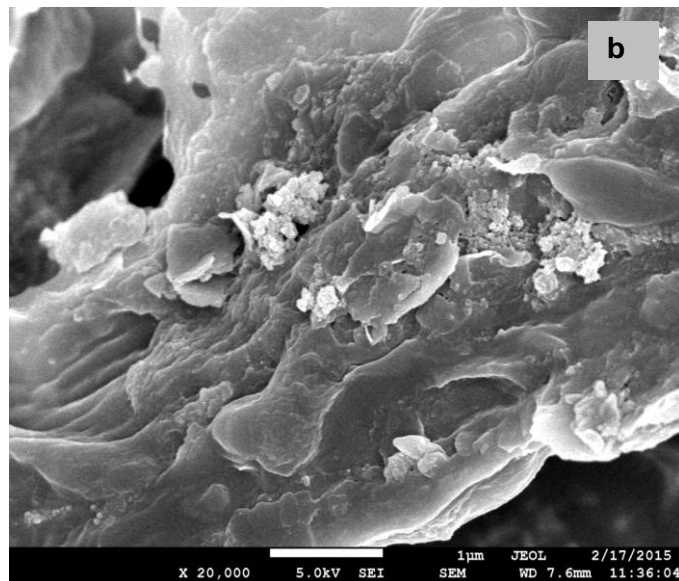
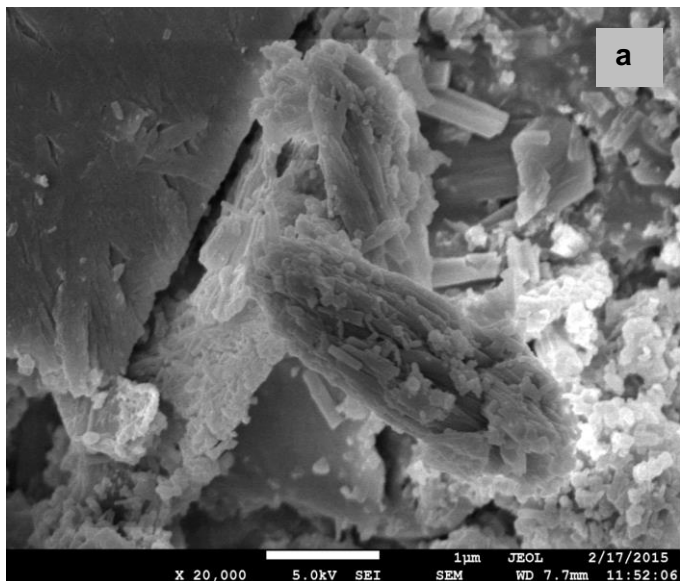


Fig. 7

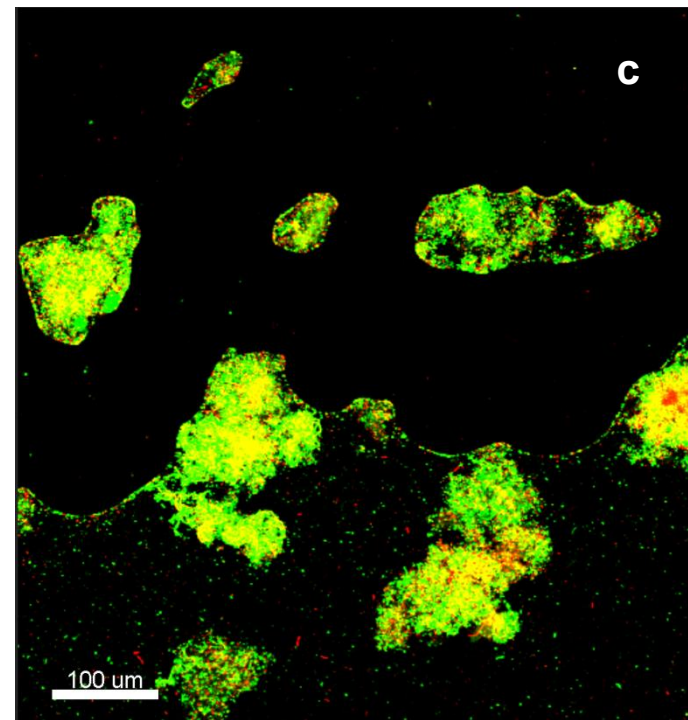
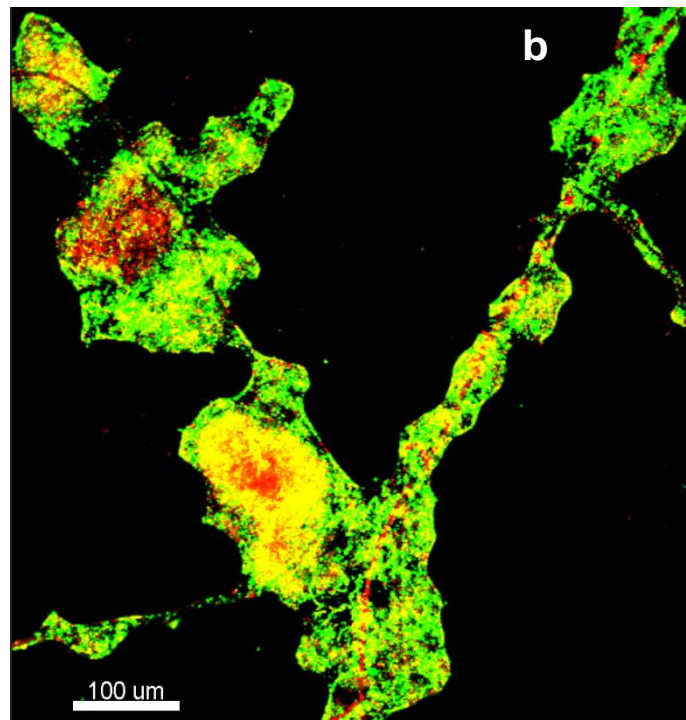
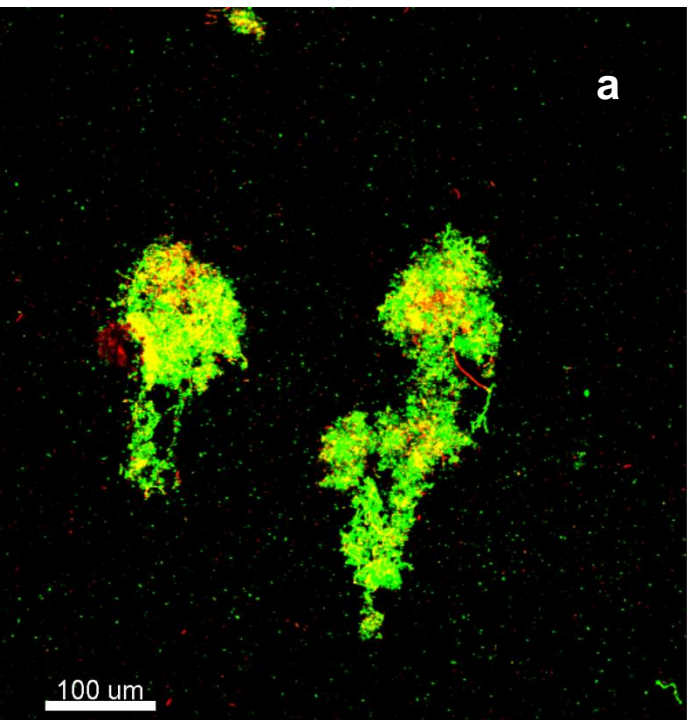


Fig. 8

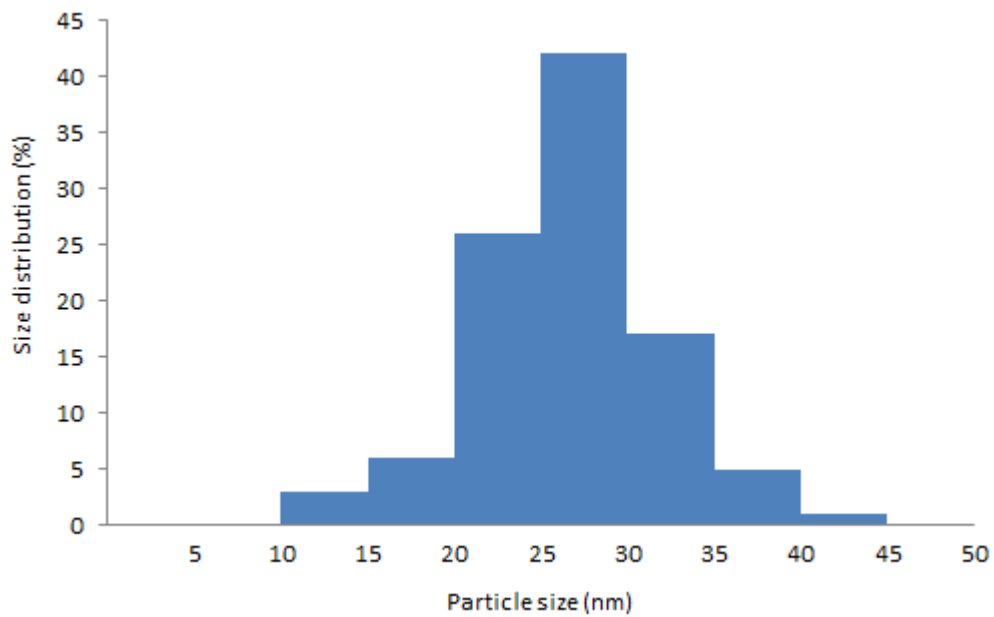


Fig S1. Size distribution of ZnO NPs. The size range determined using TEM as 15-47 nm with a mean size of 33 ± 8 nm (n=107).

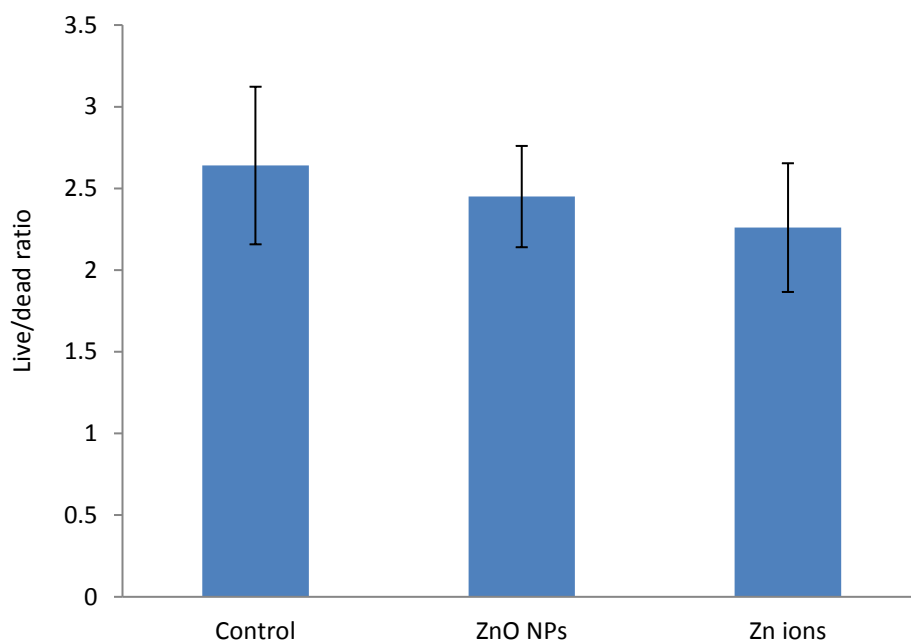


Fig S2. Live/dead ratio after 5 hours exposure of ZnO NPs and Zn ions.