

# Pedestrian Dynamics in Real and Simulated World

Wenbo Ma, Ph.D.<sup>1</sup>; K. D. V. Y. Prasad, Ph.D., C.Eng.<sup>2</sup>

**Abstract:** The paper examines the knowledge of pedestrian movements, both in real scenarios, and from more recent years, in the virtual simulation realm. Aiming to verify whether it is possible to learn from the study of virtual environments how people will behave in real environments, it is vital to understand what is already known about behavior in real environments. Besides the walking interaction among pedestrians, the interaction between pedestrians and the built environment in which they are walking also have greatest relevance. Force-based models were compared with the other three major microscopic models of pedestrian simulation to demonstrate a more realistic and capable heuristic approach is needed for the study of the dynamics of pedestrians. DOI: 10.1061/(ASCE)UP.1943-5444.0000232. © 2014 American Society of Civil Engineers.

**Author keywords:** Pedestrian dynamics; Models; Simulation.

## Introduction

As cities become more densely populated there is increasing interest in predicting and understanding fine scale pedestrian movement to help plan urban areas and design more effective transport infrastructure (Penn and Turner 2002; Fuerstenberg et al. 2002; Daamen and Hoogendoorn 2003; Hoogendoorn and Bovy 2004; Teknomo and Gerilla 2005). Pedestrian facilities need to be efficient, comfortable, and safe in both built environments and transportation hubs, such as shopping malls, theaters, hospitals, and airports. There are studies on architecture design regarding the social use of space (Penn and Turner 2002), which include people in the plan and test whether humans will be comfortable living and moving within the designed or created objects and on traffic regarding the interactions between pedestrian and cars (Retting et al. 2003; Shankar et al. 2003). The movement of large amounts of people in many situations also needs to be concerned, for example stadium in an emergency, or the evacuation of a building. From the point of view of pedestrian dynamics and evacuation, there is the more specific question of how a shifting and moving ground can be included in large area evacuation modeling (Ratner and Brogan 2005). Pedestrian movement in general is becoming a more important topic that is worth extensive scientific inquiry.

Because of the demand of studying pedestrians in the fields of urban and transportation planning, pedestrian modeling, and simulation is imperative. Pedestrians interact continuously with each other and their surrounding facilities, which differ from vehicles that all run in one way on roads and cannot behave random walking patterns. To represent complex pedestrian movements, various models have been proposed. As shown in Fig. 1, in the research fields of built environment, architecture and geography, there are pedestrian dynamics, multiagent pedestrian models and some others which involve the modeling of people's movements.

<sup>1</sup>Airports of the Future Project, School of Science and Engineering, Queensland Univ. of Technology, GPO Box 2434, Brisbane, QLD 4001, Australia (corresponding author). E-mail: w1.ma@qut.edu.au

<sup>2</sup>Professor, School of Science and Engineering, Queensland Univ. of Technology, GPO Box 2434, Brisbane, QLD 4001, Australia.

Note. This manuscript was submitted on June 11, 2013; approved on May 7, 2014. Discussion period open until 0, 0; separate discussions must be submitted for individual papers. This paper is part of the *Journal of Urban Planning and Development*, © ASCE, ISSN 0733-9488/(0)/\$25.00.

Pedestrian dynamics aim to simulate certain aspects of pedestrian movement in specific situations, such as high density crowding.

There is an increasing importance placed on the consideration of the pedestrian experience in built environment and architectural design. Attractive appearance does not equal high efficiency in facilitating pedestrian flow; neat and ordered pathways or corridors may not cater for pedestrian walking experiences (Moussaid et al. 2009). Typically, in emergency conditions, pedestrian flow would change dramatically to abnormal motion, such as stop-and-go waves and crowd turbulence (Helbing et al. 2001), which may cause serious trampling accidents. In this regard, it is crucial for pedestrian flow motion to be utilized to formulate a new urban design for safety considerations. Meanwhile, there is a great potential to carry out *crash tests* in emergency conditions for a proposed designed urban environment, where pedestrians are injected and flow motion can be simulated and observed. Therefore, to accurately analyze pedestrian movement in the built environment, it is necessary to better understand how the built environment is used by people and the local interaction laws underlying pedestrian dynamics.

On the other hand, pedestrian movement research partly arises from the study and design of modern transportation systems, featuring a mix of automobiles, motorcycles, bicycles, and pedestrians on constructed pathways. Environmental impacts and mobility for nondrivers are becoming important for transportation planning recently. Shinar (1978, 2007) studied in very detail manure around drivers' behavior and addressed methodologies relating to human factors and traffic safety, and recently studied pedestrian behavior and safety measures for pedestrians at urban areas. Mohammed (2001) and Avineri et al. (2012) studied safety issues around pedestrians' behavior at pedestrian crossings.

Environmental analysis, community involvement and non-motorized planning are also added in transportation evaluation (Litman 2012). Transportation planning has become more multi-modal and comprehensive, considering various modes (e.g., walking, cycling, automobile, public transit) and connections among modes. Pedestrians are an integral component of the transportation system. Their movements influence the design and operation of transportation terminals and the timing of traffic signals. In recent years, there have been several attempts to model pedestrian flow. For example, Smith et al. (1995) modeled thousands of people's commuting behaviors in a city, where virtual traffic jams were observed and predicted. The model city in this case was populated with commuters according to detailed demographics and other data

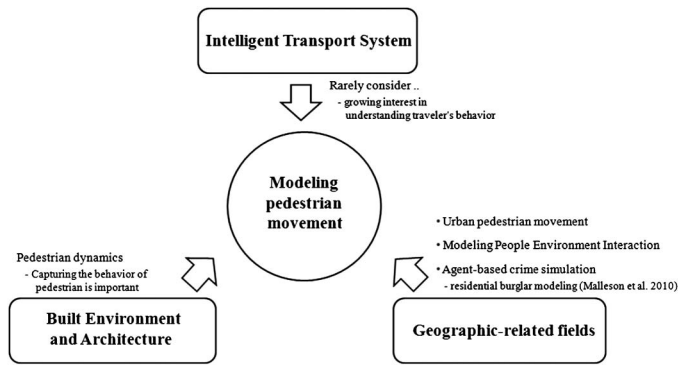


Fig. 1. Pedestrian movement research fields

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available to the modelers. The model showed how different plans of the current population of commuters were likely to produce congestion and other effects. The purpose of such a transportation system study is to predict traffic conditions and to guide transportation system design. In nonvehicle pedestrian movement studies, methods derived from vehicle-based transportation systems have generated numerous applications and offered fruitful insights. Blue and Adler (2001) have applied cellular automata (CA) microsimulation to model uni- and bipedestrian directional walkways and demonstrated that these models produce acceptable fundamental flow patterns. Hoogendoorn and Bovy (2004) have developed a model of pedestrian flows based on a gas-kinetic modeling paradigm widely applied for modeling vehicle flows. Gipps (1985), AlGahdi and Mahmassani (1991), Lovas et al. (1994), Helbing and Molnar (1995) and Li (2000) are among others who have worked toward developing pedestrian flow models. However, it is widely believed that vehicles and pedestrians behave differently in terms of speed control, obstacle avoidance and route choice in environments, thus exhibiting distinctive overall performance.

Pedestrian movement is a primary concern for fields ranging from retail, urban planning and design, transportation safety, event planning, security, and other geographical sciences like spatial cognition (Torrens 2012). For most of these fields, the spatial layout and configuration of an environment is an integral part of the planning process that has a direct impact on the movement and behavior of pedestrians. More recent efforts have focused on dynamic modeling at the individual level to provide insight into the larger patterns of movement. Whereas static models can provide parameters that give an indication of possible patterns and areas of concern, a dynamic model can provide a better picture of change over time and can be customized to run scenarios and test hypotheses (Castle and Crooks 2006). Most models to simulate and model pedestrian movement can be distinguished on the basis of geographical scale, from the microscale movement of obstacle avoidance, through the mesoscale of individuals planning multistop shopping trips, to the macroscale of overall flow of masses of people between places. In the *STREETS* model (Schelhorn et al. 1999), for instance, each entity in the model represents a single pedestrian. *STREETS* was built to enable the integration of various scales of movement in a modular way, and could incorporate any previous pedestrian models. Pedestrian activity has two distinct components, namely, the configuration of the street network and the location of building attractors (such as shops, offices, public buildings) on that network. Although the *STREETS* model is close in approach to *TRANSIMS* (Smith 1995), it takes as its subject the activities of pedestrians in subregional, urban districts. However, *STREETS* does not claim to imitate the cognitive behavior of pedestrians, much less represent

any particular psychological model of movement. *STREETS* assigns socioeconomic attributes to pedestrians in the first stage, calculates the routes and provides each pedestrian entity with *history* which encapsulates both long-term trends and short-term trends. A more realistic visualization would be possible to develop modules that interact with pedestrian avatars to control the representation of physical movement in an urban space, such as the street network in this case.

This paper firstly discusses the significance of taking into account people's behavior in the built environment. Recent work investigates pedestrian behavior in real circumstances, and asks whether virtual environments can be considered adequate tools to investigate this phenomenon. Next, in reviewing pedestrian walking in the real world, different methods of assessing pedestrian walking are presented and assessed. A series of pedestrian walking experiments conducted in a virtual environment are then discussed, highlighting factors that led to a series of publications that investigate the effect of forced-based components (attractors, expel and bond effects) upon walking. Finally, a number of studies attempting to compare real and virtual pedestrian walking behavior are compared. Research works that focus on the effect of the environment on route formation mechanisms are then reviewed and their methods discussed. Rather than basic walking behavior, it is the mental preference of the pedestrian that is being analyzed. Mental preference primarily refers to the mechanism which controls waking speeds and routing decisions of pedestrians. Assumptions of equivalence (that real walking correlates to virtual walking) are made based solely on this.

Pedestrian simulation is an important approach to understand and analyze human movement. In a broad categorization, pedestrian simulation can be divided into macroscopic simulation and microscopic simulation, in terms of the philosophies of the methodologies

## Macroscopic Models

The major activity of human movement in built environments is walking or travelling through buildings or urban areas. Overall, it more or less like a fluid flow as a consequence of fluid molecules moving from one cross-section to another. Pedestrian flow is a result of the movement of many individuals. This is a simple definition of a macroscopic approach to analyzing pedestrian flow.

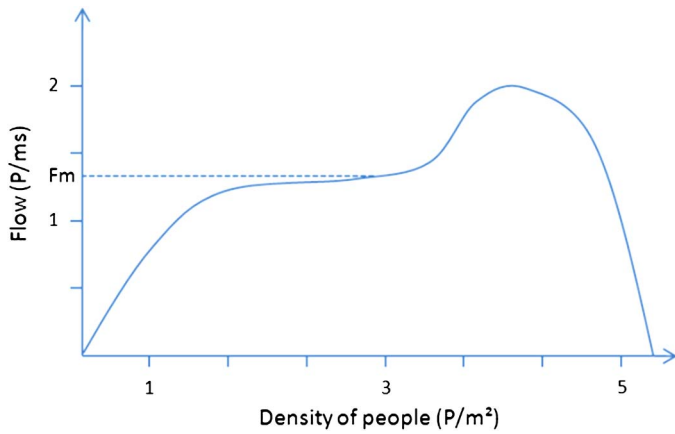
The macroscopic approach focuses on crowd behaviors as a whole. The characteristics of individual pedestrians are thought to be irrelevant to the overall motion flow. Pedestrians can be represented as particles in the model. Hankin and Wright (1958) measured flow, taking into account that flow in a walkway is affected by what is happening on either side of the section under consideration, and obtained results in Fig. 2. Although they predicted a formation of the arches, which might be formed approximately inversely proportional to the square of the exit width, analysis of pedestrian flow were not concrete and sufficient. Lovas (1994) introduced the basic phenomenon of pedestrian movement, but practical applications were not fairly mentioned. The average flow was represented as:

$$F = S \cdot D \quad (1)$$

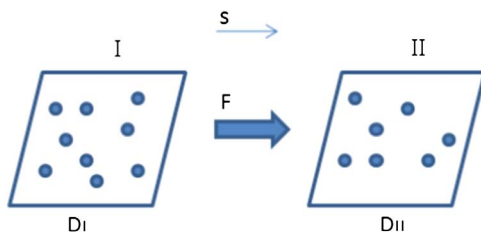
where  $F$  is the average flow, denoting numbers of people (P) per meter second (P/ms);  $S$  (m/s) is the average walking speed and  $D$  (P/m<sup>2</sup>) is the average density. Illustration of the scenario described by Eqs. (2)–(1) is shown in Fig. 3.

Meaningful results can be obtained through statistics of pedestrians dwelling at different spots, such as process-based research concerns densities at different locations inside large buildings

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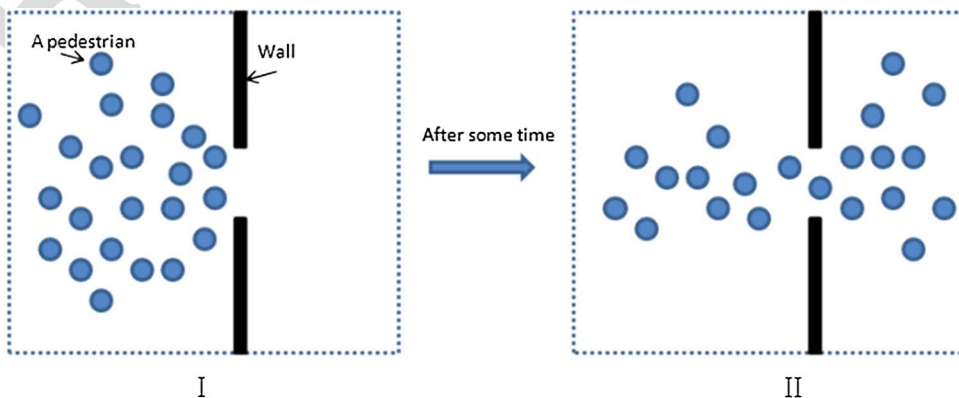
F2:1 **Fig. 2.** Graph of people flow density [data from Hankin and Wright  
F2:2 (1958)]



F3:1 **Fig. 3.** People flow equation (reprinted from Transportation Research  
F3:2 Part B: Methodological, Vol. 28, Gunnar G. Løvås, Lovas, Modeling  
F3:3 and simulation of pedestrian traffic flow, 429–443, 1994, with permis-  
F3:4 sion from Elsevier)

192 such as religious places (AlGadhi and Mahmassani 1991), subway  
193 stations (Daamen 2004) and airports (Ju et al. 2007). Also, more  
194 precise physical and psychological factors can be taken into ac-  
195 count by referring to intense crowd movement behavior in a dense  
196 pathway in a short period of time. For example, with a fixed width  
197 exit, how long does it take to evacuate a certain number of people?  
198 An example is given in Fig. 4. However, the applications were  
199 limited.

200 Fields that suit the pedestrian flow are public spaces where  
201 crowds are likely to gather, especially in the location of evacuation  
202 routes. Physical aspects of built environments are the concern  
203 for studying pedestrian flow. Basically, aiming to observe human  
204 movement in particular places, the pathways and corridors are first



F4:1 **Fig. 4.** Illustration of pedestrians evacuating a fixed wide exit [data from Helbing and Molnar (1995)]

205 located to represent route trajectories where pedestrians are con-  
206 strained and walk along (Seneviratne 1989; Kretz et al. 2006).  
207 In many buildings such as offices, schools and hospitals, pedestrian  
208 flow is constrained to corridors, and pedestrians have little or no  
209 choice about the route they take between a particular origin and  
210 destination. In shopping malls or plazas, however, objects such  
211 as benches, fountains and kiosks or display stands frequently pre-  
212 vent pedestrians from following straight lines between their origins  
213 and destinations.

### Physical Characteristics of Pedestrians

214  
215 Besides involving physical aspects of built environments, the  
216 physical characteristics of pedestrians need to be considered in  
217 models as well. Fruin (1972) found that the fully clothed dimen-  
218 sions of the 95th percentile of the population (95% are less than  
219 this) are 33 cm in body depth and 58 cm in shoulder breadth.  
220 The average male human body occupies an area of approximately  
221 0.14 m<sup>2</sup>. These figures could be helpful in determining the *buffer*  
222 *zone* between pedestrians required for comfortable use of a  
223 walkway. Fruin (1972) also reported that behavioral experiments  
224 involving personal space preferences showed minimum desirable  
225 occupancies ranging between 0.47 and 0.93 m<sup>2</sup> per person, where  
226 physical contact with others is avoidable. People require a lateral  
227 space of 71–76 cm for comfortable movement. The longitudinal  
228 spacing for walking would be 2.5–3 m. This results in a minimum  
229 personal area of 1.9 – 0.8 m<sup>2</sup> per person for relatively unimpeded  
230 walking in groups on level surfaces. Individual area occupancies  
231 of at least 3.3 m<sup>2</sup> per person are required for pedestrians to attain  
232 normal walking speeds and to avoid conflict with others. In addition,  
233 Fruin (1972) found that unimpeded walking speed varies be-  
234 tween 46 and 107 m per min, and the average is 82 m per min.

235 Fruin (1972) defined two types of queues: the linear/ordered  
236 queue, in which pedestrians line up and are served in their order  
237 of arrival; and the undisciplined or bulk queue, where there is more  
238 general, less ordered crowding. Fruin (1972) also stated that spac-  
239 ing between people in linear queues is generally 48–50 cm; the  
240 recommended lateral single file width for railings or other dividers  
241 is 76 cm.

### Routing Dynamics of Pedestrians

242  
243 Although the behavior of pedestrians in the urban environment is  
244 sometimes stochastic and unpredictable, especially for crowds,  
245 there is good reason to believe it is governed by simple rules.  
246 At first glance, molecules in a liquid are presumed to epitomize  
247 the behavior of people in a crowd, because they all behave in more

248 or less the same way. Ciolek (1978) stated that pedestrian routes  
 249 usually fulfill the following criteria:

- 250 1. The route is the shortest one connecting the point of departure
- 251 with the point of destination,
- 252 2. The route should avoid physical objects or stationary groups of
- 253 people,
- 254 3. The route should not involve sharp and rapid changes in
- 255 direction,
- 256 4. The adopted route is the quickest and most convenient one
- 257 to use,
- 258 5. The route should not lead across areas where it is difficult
- 259 to walk,
- 260 6. The selected route should not involve rapid changes in eleva-
- 261 tion of the walking surface, especially for older people and
- 262 those with luggage or pushing prams,
- 263 7. The route is likely to provide interest such as shop win-
- 264 dows, and
- 265 8. The importance of the location of the route in relation to the
- 266 nearness of curbs and walls.

267 Existing models of crowd behavior tried to predict how a crowd  
 268 will behave (Lovas 1994; Hughes 2003; Ali and Shah 2008). They  
 269 treat moving masses of humanity as though they were fluids. How-  
 270 ever, this approach usually cannot predict dynamics when pedes-  
 271 trian flow increases and becomes chaotic. There is a need to treat  
 272 people as if they were truly human beings who can actively sense  
 273 the environment, instead of treating them as molecules. In a desired  
 274 approach, a pedestrian should be able to chart a path to a destina-  
 275 tion, such as an exit or the end of a corridor, while avoiding ob-  
 276 stacles, including other pedestrians (Moussaïd et al. 2009). The  
 277 pedestrian could also make decisions according to some predefined  
 278 rules. For example, he/she may possess a walking-speed variable  
 279 and can adjust his/her speed according to his/her distance from such  
 280 obstacles. All this can be realized by a computer model. Observa-  
 281 tions of pedestrian speed, density, and flow relations have been  
 282 carried out in previous studies (Fruin 1972). Mōri and Tsukaguchi  
 283 (1987) added a relation between speed and density as shown in  
 284 Fig. 5. Pedestrian area ( $\text{m}^2$  per ped) was used instead of pedestrian  
 285 density (peds per  $\text{m}^2$ ).

286 Fig. 5 shows that speed is approximately 1.5 m/sec for free-  
 287 flow, decreasing gradually to a density of 1.5 peds/ $\text{m}^2$ , where  
 288 the relation between pedestrian speed and density is shown as

$$V = -0.204K + 1.48 \quad (2)$$

after which speed drops sharply.

289 Because a pedestrian cannot necessarily see his final destination  
 290 from his starting point, and may in any case choose to deviate from  
 291 a direct path, route selection is based around the concept of inter-  
 292 mediate destinations (or nodes) generated by the objects in the  
 293 open area. Gipps and Marksjo (1985) used the physical layout  
 294 to generate a number of nodes in their model. A pedestrian walking  
 295 between his origin and destination moves from one node to another.  
 296 When he is within a short distance of the node to which he is walk-  
 297 ing, he has to make a decision about the following node. The choice  
 298 is limited by the requirement that the next node must not be hidden  
 299 from his/her present position by a fixed obstacle. That is, a straight  
 300 line between the present node and the next does not intersect any  
 301 obstacle. Besides physically accessible quality factors, various soft  
 302 factors or social forces can also lead to either attracting or repelling  
 303 pedestrian to parts of the network and influencing their routing de-  
 304 cisions. These factors have in common that prior knowledge must  
 305 be available to the individual pedestrian about their character  
 306 and location (Czogalla and Herrmann 2011). If these soft factors  
 307 exist temporarily, an influence on a routing decision can only be  
 308 assumed if it is visible to the individual at the point of decision.  
 309 Examples of attractions are possibilities of social interaction such  
 310 as groups of persons, street artists, street markets, and temporary  
 311 exhibitions or street festivals. Examples of repelling factors are so-  
 312 cially insecure places such as known crime spots and areas known  
 313 for loitering and begging, and alcohol and drug abuse. Czogalla and  
 314 Herrmann (2011) indicated that the valuation of soft factors, as an  
 315 increase or decrease of the pedestrian quality attribute (PQA), can  
 316 be realized by estimating the social force factor  $a_{SF}$  for each con-  
 317 cerned network element. The domain of  $a_{SF}$  is defined as:

$$-1 < a_{SF} < 1 \quad (3)$$

318 valued from repulsion ( $-1$ ) to attraction ( $1$ ). The social force factor  
 319  $a_{SF}$  is added to the evaluated link related PQA. As such, the social  
 320 force factor serves as an additive measure for the further increase or  
 321 decrease of the virtual distance between nodes of the network:

$$\text{walkability attribute} = \frac{1}{2}(PQA + a_{SF}) \quad (4)$$

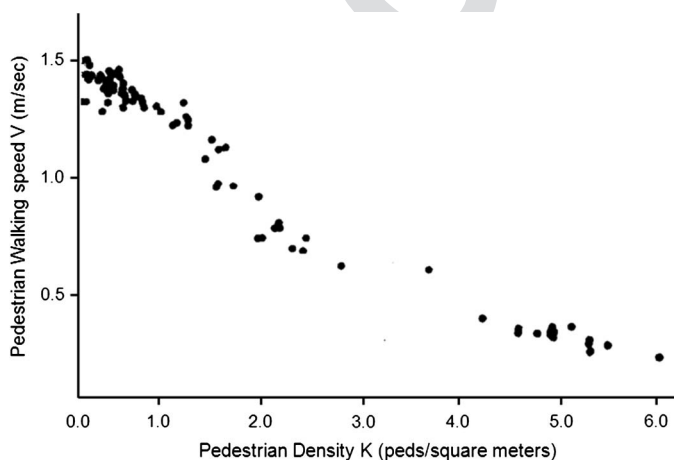
322 The resulting attribute is denoted as the walkability attribute and  
 323 measures the cost for traveling the network paths. Decisions for  
 324 route choice are drawn during the routing process that determines  
 325 the shortest virtual path.

326 The walkability attribute defines a measure for the virtual dis-  
 327 tance that is essential for a routing decision that takes into account  
 328 the link quality and social factors. In the process of utility maxi-  
 329 mization which is presumed as a basis for the routing decision, al-  
 330 ways the shortest virtual distance will be chosen by the pedestrian.

331 Apart from quality-related factors, there are important human  
 332 factors that will have a strong impact on routing decisions at the  
 333 tactical level. The trip purpose, personal fitness, and time con-  
 334 straints will have a significant influence on route choices. It is ex-  
 335 pected that these factors will not change during a trip. Hence, the  
 336 individual factors are considered as additional input quantities for  
 337 the utility maximization process of route choice that will influence  
 338 the decisions evenly over the entire network.

### 341 Limitation of Macroscopic Models

342 Particle representation theory is a good way to evaluate macro  
 343 outcomes of pedestrian flows; for example, the total number of  
 344 pedestrians who occupy a corridor or a building space. However,



F5:1 **Fig. 5.** Pedestrian walking speed and density (reprinted from Trans-  
 F5:2 portation Research Part A: General, Vol. 21, Masamitsu Mōri and  
 F5:3 Hiroshi Tsukaguchi, a new method for evaluation of level of service  
 F5:4 in pedestrian facilities, 223–234, 1987, with permission from Elsevier)

345 if more detailed information is required, such as how pedestrians  
346 react in a crowd or how pedestrians' interactions with building  
347 facilities impact on macro flow, the notion of pedestrian flow could  
348 be less useful.

349 The ability to predict the response of a pedestrian to the behavior  
350 of his neighbors in a corridor or an open area is important in  
351 estimating the effect of changes in the walking environment  
352 (Greenwald 2001; Landis 2001; Saelens et al. 2003). Whereas  
353 objects provide foci of interest around which people are likely  
354 to congregate, though talking or watching the passing traffic, they  
355 also involve pedestrians in a choice of route. From the viewpoint of  
356 management of such facilities, these objects fulfil a useful role in  
357 reducing the speed of pedestrians and dispersing them, as pedes-  
358 trians who walk too quickly are unlikely to be attracted by window  
359 displays. If there are too many impediments in corridors, the mall  
360 may be unable to handle the crowds at times of peak usage. Thus,  
361 controlling pedestrian movements within and around buildings is  
362 an important facet of design.

363 In this regard, there exists a research opportunity to investigate  
364 the interactions among pedestrians and ambient environments so as  
365 to understand how a built environment impacts on pedestrian flow.  
366 For designers of buildings and other constructed facilities, it ap-  
367 pears to be important to be able to predict how changes in the walk-  
368 ing environment will affect the pedestrian flow. These changes can  
369 act on an individual pedestrian directly by diverting him/her from  
370 their preferred route, and indirectly through their effect on other  
371 pedestrians.

372 Although the ability to predict pedestrian flows within and  
373 around constructed facilities is important, existing macroscopic  
374 models of pedestrian flow are, in the most part, limited to the quasi-  
375 steady state flow in corridors (Fruijn 1972). However, many build-  
376 ings have pedestrian flows that are transient and vary over relatively  
377 short time intervals. Such variations in flows can arise from events  
378 such as a lift disgorging its passengers, or a set of traffic signals  
379 outside the building allowing pedestrians to cross the road and enter  
380 the building. Consequently, it is desirable to be able to model the  
381 behavior of pedestrians in more detail than is provided by macro-  
382 scopic models.

### 383 Microscopic Models

384 Pedestrian flow is categorized into macroscale and microscale  
385 perspectives. Microscopic approaches separately concentrate on  
386 each individual's behavior. The term *microscopic* here refers to  
387 the philosophy of the methodology rather than attributes of prob-  
388 lems. It does not mean that microscopic approaches can be totally  
389 distinguished from macroscopic approaches in terms of applica-  
390 tions. Normally, when pedestrians walk free of congestion in a  
391 sparse environment, the macroscale side is more informative; when  
392 passengers aggregate into dense crowds, the microscale side is  
393 more determinative for integral performance (Xu and Duh 2010).

394 A microscopic approach treats each individual as an independ-  
395 ent entity which consists of multiple traits. Microscopic models  
396 have been evolving since the development of a pedestrian model  
397 based on fluid dynamics (Helbing 1992). Later, some models of  
398 crowd behaviors were developed (Helbing and Molnar 1995; Batty  
399 et al. 1999), and closely matched various observed pedestrian  
400 behaviors. In such models, pedestrians can spontaneously form  
401 lanes, for the purpose of avoiding collisions and quick movement.

402 Microscopic analysis has been made possible by the rapidly  
403 increasing speed of computation. A microscopic simulation of a  
404 microscale pedestrian flow problem is often computationally inten-  
405 sive. Pedestrian flow is loose and free, and is more complex than

vehicular flow which is constrained by *lanes* (Jian et al. 2005).  
From the standpoint of general principles for modeling, human  
flow is a complicated system, consisting of sets of interacting  
elements, namely, people. Performing a microsimulation of pedes-  
trian movements is a simple way to handle the stochastic nature of  
such pedestrian flows (Kholshchevnikov et al. 2008). A microscopic  
pedestrian simulation model is a computer simulation model of pe-  
destrian movement where every pedestrian in the model is treated  
individually (Teknomo et al. 2000).

### Micro Models of Pedestrian Dynamics

Pedestrian flow involves both the physical and the behavioral char-  
acteristics of crowds. It is perceived as a typical complex system  
(Helbing et al. 2001). Physical laws alone are considered insuffi-  
cient to represent pedestrian walking dynamics. Therefore, experts  
from physics, applied mathematics, psychology, sociology, and  
transportation engineering have been working on different aspects  
of the problem (Kholshchevnikov et al. 2008).

The distinction among models of pedestrian behavior noted  
by Haklay et al. (2001) is determined by limited local information  
(reactive), or by overall knowledge of global outcomes (cognitive).  
In previous models most of the cognitive work done by agents oc-  
curs outside the dynamic part of the model. It can be argued people  
know the overall purpose of their trip before they do it, but some  
may plan as they go along, and pedestrians who are unfamiliar with  
an area may have no plans other than to explore, but adapt their  
behavior as they become more familiar with the environment. Ward  
(2005) devised the *JPed* model which allows both cognitive and  
reactive behavior to be modeled together in the dynamic stage  
of simulation. The cognitive mechanism of the modeled pedestrian  
has not yet studied in detail, such as how pedestrian behave way-  
finding and communicate with each other when they are walking  
through a built environment. Regarding to fields of urban planning,  
the spatial layout and configuration of an environment is an integral  
part of the planning process that has a direct impact on the move-  
ment and behavior of pedestrians.

Pedestrian dynamics has not been studied as extensively as  
vehicular traffic owing to the very nature of pedestrian walking.  
It is always unpredictable about walking routes and a sense of ran-  
dom speeds of pedestrian walking. Unlike vehicular traffic, pedes-  
trians can stop and change their directions suddenly without a  
significant slowdown process. Although the speeds of pedestrian  
walking can be concluded through the statistics of surveys and  
inspections, it is also difficult to verify correct walking speeds in  
simulation. In terms of modeling large population of pedestrians  
in urban environments, pedestrians are always treated as particles  
subject to certain interaction rules with obstacles of the urban envi-  
ronments and other pedestrians. There are generally five models in  
modeling pedestrian dynamics.

Microscopic pedestrian flow models include the benefit-cost  
cellular model (Gipps and Marksjo 1985), cellular automata model  
(Blue and Adler 1999; Dijkstra et al. 2000), magnetic force model  
(Okazaki 1979), social force model (Helbing et al. 1995), and mod-  
els derived from other mature technologies such as game theory (Lo  
et al. 2006) (Fig. 6). If the behavior of individuals can be adequately  
modeled, and the appropriate distribution of pedestrian types is em-  
ployed, their combined behavior would be realistic.

The benefit-cost cellular model focused on the interactions  
between pedestrians which were intended to be used in graphical  
computer simulation. It simulated the pedestrian as a particle in a  
cell. The program used interactive color graphics to display the op-  
eration of the model and assist in the validation and verification of

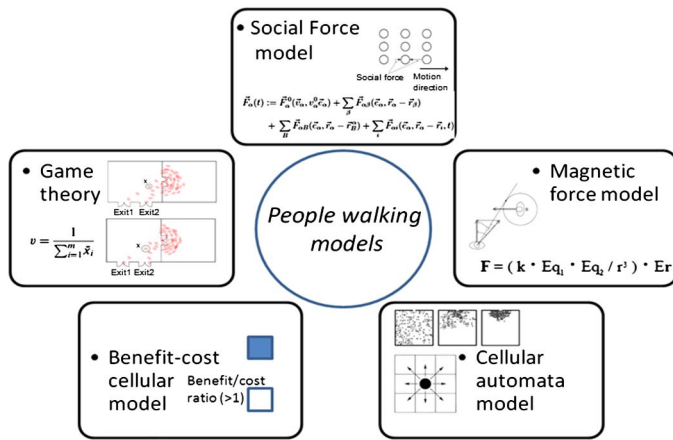


Fig. 6. Current pedestrian walking models

F6:1

the model. However, the model is limited by restricted computation capacity and, as a result, is not suitable for practical purposes.

To realize the interactions of pedestrians, pedestrians should be of a number of different types, and it should be possible to change their characteristics and numbers to suit the situation being investigated. The parameters in the model should correspond to obvious characteristics of pedestrians whenever possible (Gipps and Marksjo 1985). However, little work has been done to conclude pedestrian characteristics until now. On the one hand, urban environments and building facilities are varied. It seems impossible to have a set of identical characteristics of pedestrians for all contexts. On the other hand, the interaction functions which link pedestrian characteristics and the action responses regarding the built environment are sophisticated.

In terms of the repulsive effect among pedestrians and obstacles, Gipps and Marksjo (1985) used simple arbitrary scores to assign cell occupation, which evidently lost physical meaning. To improve this, Okazaki and Matsushita (1993) developed the magnetic force model to apply to pedestrian movement. Each pedestrian and obstacle has a positive pole. The negative pole is assumed to be located at the goal of the pedestrian. Thus, the intensity of the magnetic load of a pedestrian and the distance between pedestrians bring about the magnetic force which leads pedestrians to move to their goals. Pedestrians move their goals and avoid collisions. Every pedestrian applies two forces: one is a magnetic force, which is assumed to be dependent on the intensity of the magnetic load of pedestrian and distance between pedestrians; the other one acts on a pedestrian to avoid collisions with other pedestrians or obstacles. As a consequence, it will exert acceleration. Although the model involves certain physical meanings of real pedestrian movement, it still deviates from the true sense to some extent.

The cellular automata model is able to model pedestrians (Burstedde 2001). In this model, space, time, and state are discrete. The walkway is modeled as grid cells. Each pedestrian can only occupy one cell at a time, and at the next time the pedestrian will either move to or leave a cell. The occupancy of a cell is governed by localized neighborhood rules. The movements of a pedestrian are lane changing and cell hopping. Although it is effective enough to estimate the probability that a certain direction and place will be chosen as a destination, the model cannot deal with each pedestrian movement in a more fine-scale environment. Pedestrian models which can be applied to the erratic movements of users in multi-purpose spaces, such as shopping malls and airport terminals, are strongly needed.

Helbing et al. (1991–1999) developed the social force model which supposes a pedestrian is subjected to social forces that motivate the pedestrian. The model is based on the assumption that every pedestrian has the intention to reach a certain destination at a certain target time. The direction is a unit vector from a particular location to the destination point. The ideal speed is equal to the remaining distance per remaining time. It is the most popular microscopic pedestrian model up to now and has been implemented in many specific pedestrian simulations (Seyfried 2005; Xu and Duh 2010). However, like the other two microscopic pedestrian simulation models reviewed above, there is no statistical guarantee that the parameters would be feasible for general cases.

Besides the above models, a queuing network model is also used in microscopic pedestrian simulation (Watts 1987; Lovas 1994; Thompson and Marchant 1995). The approach is a discrete-event Monte Carlo simulation. It suggested that each room is denoted as a node and the doors between rooms are links. Each person departs from one node, queues in a link and arrives at another node. A lot of pedestrians move from one node to another in search of the exit door. In one evacuation model, all people have to move from their present position to an exit as quickly and safely as possible. Walking route and evacuation time are recorded in each node. As soon as a pedestrian arrives in a node, it makes a weighted-random choice to choose a link among all possible links. The weight is a function of actual population density in the room, but a pedestrian may have to wait and find another route to follow when the current link cannot be used. In the source node, a pedestrian needs a limited time to react before movement begins, whereas in the final destination node it will stop.

The research in the present thesis considers pedestrian flow in normal conditions within airport terminals, so the sense of the reality of passenger flow is critical. In contrast with these microscopic models, the social force model is the most suitable for the research, because its variables have concrete physical meaning and can be explicitly measured. The variables in the social force model can also be easily adapted to real passenger walking behaviors. Table 1 gives a comparison of four applicable microscopic pedestrian simulation models. The other two are not sophisticated enough for the research in this thesis, either because of low capability (as in the benefit-cost cellular model) or because it is not applicable (game theory). Because the proposed passenger flows in an airport terminal will be envisaged by emergent phenomena of autonomous individual passenger behaviors, only the social force model meets the needs of the research.

Nevertheless, Moussaid et al. (2011) also indicated that cognitive, heuristics-based models in pedestrian simulation have the potential to replace conventional physics and force-based models. This approach seems to be especially suitable for high density situations as, for example, the crowd disaster in Duisburg, Germany, and other similar mass events. Technically, this is done by introducing a contact force that becomes active and effective in dense situations. The new heuristic approach is based on the vision dynamics of pedestrians—and in this way on the proactive behavior—in contrast to physics-based models where pedestrians are passively influenced by forces. However, at this stage, this proposal is not yet proven to be able to intuitively capture collective pedestrian behaviors such as lane formation and dynamics in high density situations, although it seems very promising according to first results and validations.

### Social Force Model

Based on the comparison of the models (Table 1), the social force model is very well suited for modeling pedestrian flow in the

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**Table 1.** Comparison of Microscopic Pedestrian Simulation Models

T1:1	Features	Cellular automata	Magnetic force	Queuing network	Social force
T1:2	Movement to goal	Min (gap, max speed)	Positive (negative) magnetic force	Weighted random choice	Intended velocity
T1:3	Repulsive	Gap or occupied cell	Positive and negative magnetic forces	Priority rule	Interaction forces
T1:4	Value of the variables	Binary	Arbitrary score	Physical meaning	Physical meaning
T1:5	Higher programming orientation in	If-then rules (heuristic)	Heuristic	Queuing model	Dynamical system (continuous)
T1:6	Phenomena explained	Macroscopic	Queuing, way finding in maze	Queuing, evacuation	Queuing, self-organization

microscopic aspect. The social force model provides easy adaptation of real passenger behaviors. In this regard, it is envisaged that a newly devised model of pedestrian walking dynamics can utilize the social force model as a basic pedestrian walking model and then build its own tactical dynamic model for routing dynamics. In addition, because the social force model is restricted to walking interactions of pedestrians, it suits models based on other new physical built environments.

The mechanisms and capability of the social force model are provided in detail. Helbing et al. (2001) indicate that pedestrians can move freely only at small pedestrian densities, otherwise their motion is affected by repulsive interactions with other pedestrians, giving rise to the self-organization phenomenon. They believed that the dynamics of pedestrian crowds are predictable, although pedestrians have individual preferences, aims and destinations. Because human behavior is *chaotic* or at least very irregular, many have pointed out that individuals will usually not take complicated decisions in standard situations between various possible alternative behaviors, but apply an optimized behavioral strategy, which has been learned over time by trial and error. Therefore, a pedestrian will react to obstacles and other pedestrians in an automatic way.

The optimal pedestrian behavior can be in principle determined by simulating the learning behavior of pedestrians, which indicates pedestrians' parameters can be changed randomly in the simulation, and the inverse travel times and the collision rates with different behavioral strategies can be compared with each other. Once successful strategies are replicated, they will be further refined over time. After several time cycles, it yields a parameter set which does not change anymore. The parameter set finally determines the optimal pedestrian behavior in terms of interaction strength, acceleration behavior, and path choosing. Helbing (1995) also developed an approach to modeling behavioral changes and put it into mathematical terms.

As the position of the pedestrian  $\alpha$  can be represented by points  $r_\alpha(t)$  in space, which change continuously over time, pedestrian dynamics can be described by the following equation of motion:

$$\frac{dr_\alpha(t)}{dt} = v_\alpha(t) \quad (5)$$

The functions delineating the temporal changes of the actual pedestrian velocities  $v_\alpha(t)$  can be interpreted as the driving force of this motion, which are called behavioral forces or social forces.

Fig. 7 shows a simple social force model of pedestrian motion (Helbing and Molnar 1995). There are three force terms in the presented model of pedestrian behavior [Eq. (3)]: There is acceleration towards the desired velocity of motion. A pedestrian keeps a certain distance to other pedestrians and environmental obstacles. A pedestrian is distracted and walks to a specific attractive location.

The resulting equations of the motion are nonlinearly coupled LANGEVIN equations:

$$\begin{aligned} \vec{F}_\alpha(t) = & \vec{F}_\alpha^0(\vec{v}_\alpha, \nu_\alpha^0 \vec{e}_\alpha) + \sum_\beta \vec{F}_{\alpha\beta}(\vec{e}_\alpha, \vec{r}_\alpha - \vec{r}_\beta) \\ & + \sum_B \vec{F}_{\alpha B}(\vec{e}_\alpha, \vec{r}_\alpha - \vec{r}_B) + \sum_i \vec{F}_{\alpha i}(\vec{e}_\alpha, \vec{r}_\alpha - \vec{r}_i, t) \quad (6) \end{aligned}$$

$$\frac{d\vec{w}_\alpha}{dt} = \vec{F}_\alpha(t) + \text{fluctuations} \quad (7)$$

where

- $\alpha$  and  $\beta$  stand for two different pedestrians. 621
- $B$  stands for an environmental obstacle in the model. 622
- $\vec{F}_\alpha(t)$  is interpreted as social force, 623
- $r_\alpha(t)$  represents the actual position of pedestrian  $\alpha$  at time  $t$ , 624
- $\vec{v}_\alpha$  is the actual velocity of a pedestrian, 625
- $\vec{e}_\alpha$  represents passenger's desired direction, 626
- $\nu_\alpha^0$  is the desired velocity, which equals to  $\nu_\alpha^0 \vec{e}_\alpha$ , 627
- $\vec{r}_B$  denotes the location of that piece of border  $B$  that is nearest to pedestrian  $\alpha$ . 628
- $\vec{F}_{\alpha\beta}$ ,  $\vec{F}_{\alpha B}$  and  $\vec{F}_{\alpha i}$  represent repulsive effect that a pedestrian interacts with another pedestrian  $\beta$ , a border  $B$  and an attractor  $i$ . 629
- $\frac{d\vec{w}_\alpha}{dt}$  is the systematic temporal changes. It is of the preferred velocity  $\frac{d\vec{w}_\alpha}{dt}$  of a pedestrian  $\alpha$ . It is described by a vectorial quantity  $\vec{F}_\alpha(t)$ . The fluctuation term considers random variations of the behavior. 630

The social force model is capable of describing the self-organization of several observed collective effects of pedestrian behavior very realistically. The computer simulations of pedestrian groups not only demonstrate the development of lanes consisting of pedestrians who walk in the same direction, but also discover oscillatory changes of the walking direction at narrow passages. The segregation effects of lane formation are not a result of the initial pedestrian configuration but a consequence of the pedestrians' interactions. Nevertheless, it normally leads to a more effective pedestrian flow because time-consuming avoidance maneuvers occur less frequently. These spatiotemporal patterns arise owing to non-linear interactions of pedestrians. They are not the effect of strategic considerations of the individual pedestrians because they were assumed to behave in a rather automatic way. 631

The social force model can be extended by a model for the route-choice behaviors of pedestrians. As soon as such a computer program is completed it would provide a feasible tool for pedestrian traffic planning. Helbing et al. (2005) used video-based techniques (time-lapse recordings and single-frame analysis) to explore the effects of bottlenecks, obstacles, and intersections. Their evaluations of video-recordings showed that the geometric boundary conditions were not only relevant for the capacity of the elements of pedestrian facilities; they also influence the time gap distribution of pedestrians, indicating the existence of the self-organization phenomenon. Self-organization indicates that these patterns are not 632

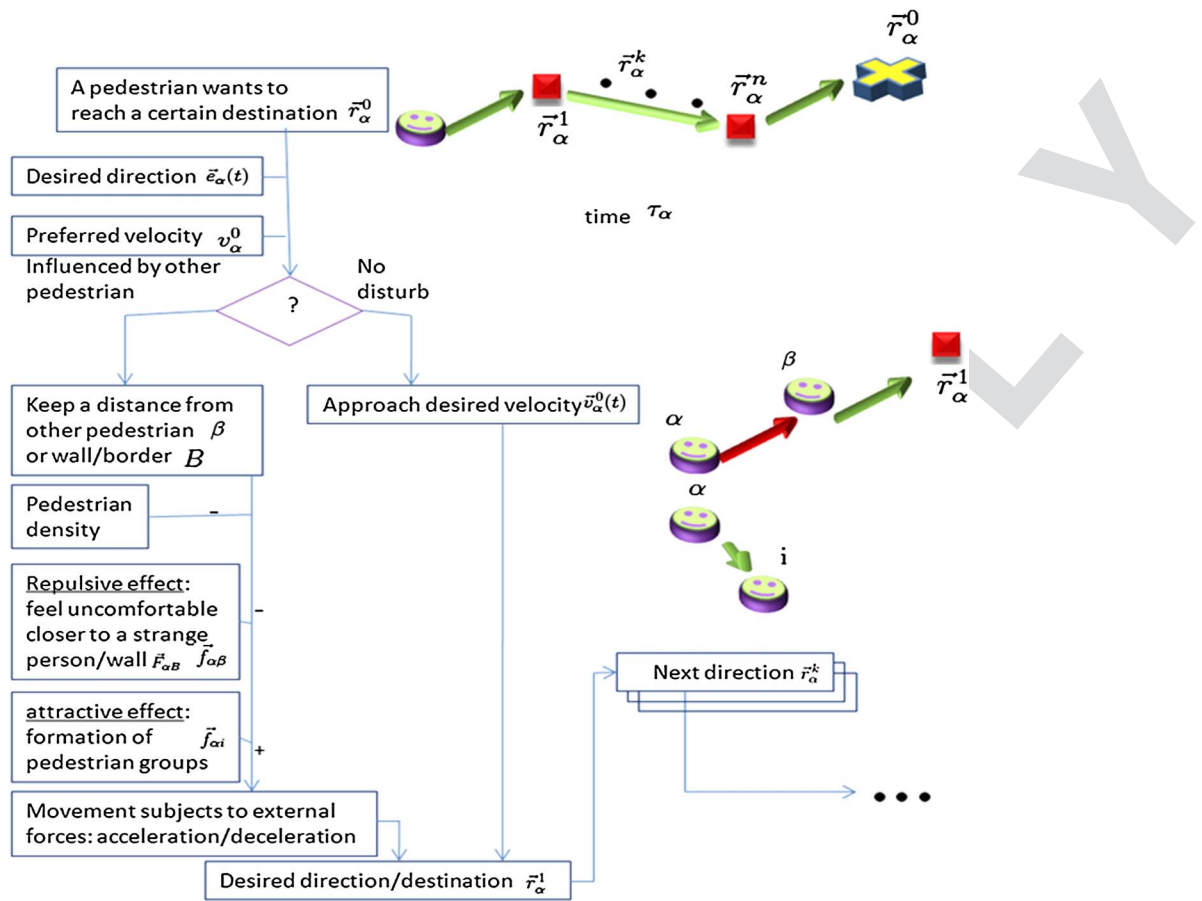


Fig. 7. Social force model

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externally planned, prescribed or organized by, for example, traffic signs, laws or behavioral conventions. Instead, the spatiotemporal patterns emerge attributable to the nonlinear interactions of pedestrians. These interactions are more reactive and subconscious rather than being based on strategic considerations or communication. Early investigations of the self-organization phenomenon in pedestrian crowds are based on qualitative empirical observations and simulation studies (Helbing 1991; Helbing et al. 2001).

The great challenge for simulation models is the reproduction of the observed collective phenomena in pedestrian crowds. This includes lane formation in corridors and oscillations at bottlenecks in normal situations, whereas different kinds of blocked states are produced in panic situations. By means of microsimulations based on a generalized force model of interactive pedestrian dynamics, the spatiotemporal patterns in pedestrian crowds can be successfully reproduced and interpreted as self-organized phenomena. The advantage of the social force-based simulation approach is its simple form and its small number of parameters, which do not need to be calibrated for each new situation. Therefore, the model is suitable for the prediction of pedestrian streams in novel architectures and new situations.

### 683 Tactical Routing Models

684 Pedestrian flow was previously illustrated by representing it in  
 685 terms of elementary flow models (Hankin and Wright 1958; Lovas  
 686 1994), namely, people moving in an orderly fashion in the same  
 687 direction. Kholshchevnikov et al. (2008) addressed the problem  
 688 that the location of people within pedestrian flows can be quite ran-  
 689 dom and stochastic. The spacing between people is variable. Local

congestion occurs and dissipates within different parts of the flow. In their approach, travel speed was defined in terms of an average from data obtained from several sectors in a pedestrian flow when extended over many tens of m. Travel speed in any interval of time, characterized by a particular, random density value depends on a number of factors. In this case, randomness is a characteristic of a real process and hence, in terms of a mathematical description, the relation between travel speed and density is a random function.

The value of the functioning parameter for each person depends on their individual properties (physiological and psychological characteristics of people in the flow) and it changes as interactions between people and common factors occur (emotional state, route type, and physiological reactions). Kholshchevnikov et al. (2008) demonstrated, in a changing emergency context, that psychophysics and psychophysiology theory are able to establish rules to link the emotional state of persons to their travel speed and pedestrian flow density. Regarding pedestrian flow in normal conditions, their work did not address these aspects, and there is still much work to be done in terms of considering not only physical influence factors but also psychological aspects.

Czogalla and Herrmann (2011) focused on the modeling of a decision process that takes place at the tactical level of a pedestrian's trip. The tactical level is defined in delimitation to the superior strategic level and subordinated operational level with respect to trip purpose and spatial relations. On the strategic level, the purpose, origin, and destination, the choices for traffic mode and time of departure are set before the trip starts; whereas, on the tactical level, decisions are being made for the actual route or diversions within the pedestrian's network during the trip. At the tactical level, the decision-making process can be modeled by the minimization



720 problem of walking costs in a network that takes into account both  
721 the network-related quality and individual-related factors (Czogalla  
722 and Herrmann 2011). For the tactical level, that is, on the trip dur-  
723 ing walking, the decision-making process for route choice can be  
724 modeled by minimizing the problem of walking costs that take into  
725 account both the network-related quality and individual-related  
726 factors. It is assumed under the preconditions of acquired prior  
727 knowledge and assessment of the walking network by the pedes-  
728 trian (Czogalla and Herrmann 2011). Individual factors, such  
729 as time constraints and physical abilities, are incorporated in the  
730 model as they influence the weight of attributes used in the process  
731 of maximizing the personal utility of the human individual.

## 732 **Agent-Based Pedestrian Models**

733 Agent-based modeling offers a way to model social systems that are  
734 composed of agents who interact with and influence each other,  
735 learn from their experiences, and adapt their behaviors so they are  
736 better suited to their environment. Agent-based modeling is cur-  
737 rently applied to model people walking at spatial scales and in city  
738 or urban areas.

739 **14** Deadman (1994) introduced research on people-environment  
740 interactions using agent-based models, in which they simulated  
741 people deciding on taking a route during recreational trips in forest  
742 areas. Batty (2001) indicated that there was a dearth of work on  
743 pedestrian movement and introduced an agent-based method in  
744 modeling urban pedestrian movements. Teknomo and Gerilla  
745 (2005) presented a pedestrian movement model, which used a multi-  
746 agent system for pedestrian traffic analysis. The model captured  
747 the dynamic microscopic interaction between pedestrians, which  
748 cannot be addressed using the traditional macroscopic approach.  
749 The pedestrians were modeled as autonomous agents with nonlinear  
750 system different equations. A critical issue for such multiagent  
751 pedestrian models, however, is the validation of the model against  
752 real-world data.

753 Haklay et al. (2001) introduced recent advances and develop-  
754 ments in modeling techniques and showcased an agent-based  
755 model, namely, the *STREETS* model, developed using the Swarm  
756 simulation toolkit and GIS. The *STREETS* model adopted a holistic,  
757 agent-based approach to pedestrian simulation, and as a result  
758 synthesized existing models and offered a test-bed for synergetic  
759 and cumulative influences between those models.

760 The traditional methods for observing and recording the move-  
761 ment of pedestrians in city streets are basically physical counts and  
762 time-lapse photography (Helbing et al. 2001). Gravity or spatial  
763 interaction techniques are rarely performed at the level of detail  
764 required for the prediction of pedestrian numbers, although they  
765 are able to distribute overall flow results across transport networks  
766 to predict the intensity of use of different routes. Thus, they are  
767 rarely successfully applied to modeling pedestrian movement at  
768 the scale of buildings and streets (Kurose et al. 2001). The reasons,  
769 to this extent, are the absence of adequate data at the level of detail  
770 and the limitation of the modeling capability. They are less appli-  
771 cable at small spatial scales, only suited to model general patterns  
772 of movement and can never be used to model the movement of  
773 individuals.

774 The *STREETS* model was initially loaded with pedestrians  
775 who have prescribed activity schedules or plans. These pedestrians  
776 are then modeled as agents who may choose to change their plans  
777 in response to their surroundings and the behavior of other agents.  
778 Each agent has characteristics under two broad categories: socio-  
779 economic and behavioral. The socioeconomic characteristics  
780 relate to income and gender, and are used to create a planned ac-  
781 tivity schedule for the agent. With the activity schedule, the agent

782 autonomously decides a route that it intends to take in the model.  
783 Many other heuristic methods may also be used in this route  
784 planning.

785 Behavioral characteristics contribute to the detailed behavior  
786 of agents. Factors include speed, visual range, and fixation. In the  
787 dynamic operation of the model, agents have five programmed con-  
788 trol modules to compute local movements. They are the Mover, the  
789 Helmsman, the Navigator, the Chooser, and the Planner. Moreover,  
790 the more abstract goals of the upper levels can be decomposed to  
791 simple actions as control and target variables of the state of agents.  
792 All modules can access agent states. However, the *STREETS* model  
793 does not claim to imitate the behavior of cognitive movement. So it  
794 hardly represents a particular psychological model of movement.

795 Emergence is generally seen as unidirectional, because agents  
796 are autonomous objects. The habitual, patterned, aggregate behav-  
797 iors are the key drivers of change at more aggregate levels, and it  
798 takes time for actors in any socioeconomic setting to recognize the  
799 patterns and adjust their individual and collective responses to those  
800 patterns. Emergence should be understood as occurring through  
801 social action through the cognitive processing of events by individ-  
802 uals over time.

803 The agent-based modeling approach is highly applicable to  
804 the pedestrian dynamics field. It is also clear that the application  
805 of socioeconomic and other data to populate agent models with  
806 representative populations is viable and promises to enhance the  
807 prospects for this modeling approach in built environment planning  
808 more generally.

## 809 **Agent-Based Modeling and Simulation**

### 810 **Agent**

811 Regarding each individual's behaviors, the independent agent  
812 approach is feasible to represent each individual pedestrian as an  
813 independent pedestrian agent and construct a pedestrian flow  
814 model through a bottom-up approach. It is also described as the  
815 microscopic approach.

816 An agent can be thought of as an autonomous, goal-directed  
817 software entity. An agent's autonomy is constrained by the fact that  
818 it is constructed by human programmers and, in this context, this  
819 indicates that it pursues its goals in an open-ended manner. The  
820 definitive example of agent-based modeling technology is provided  
821 by the Santa Fe Institute's *Swarm* simulation toolkit (Minar et al.  
822 1996). Agents incorporate sophisticated artificial intelligence  
823 techniques whereby they learn new ways to attain their goals  
824 (O'Sullivan and Haklay 2000). For the proposed pedestrian agent  
825 in particular, it is possible for detailed traits of a pedestrian to be  
826 modeled. Together with advanced computational technologies,  
827 it provides a feasible way to tackle large crowds of pedestrian  
828 movement.

829 An agent-based model could have hundreds of agents or more  
830 interacting in an artificial virtual world, which represents a real-  
831 world environment. The modeler programs agents with proper rules  
832 governing their behavior and examines simulation outcomes to ob-  
833 tain insight into real-world scenarios. It seems evident that built  
834 environment planners are well placed to investigate such models  
835 in both theoretical and substantive ways, contributing to the devel-  
836 opment of spatial dynamics in these models, and evaluating the  
837 assumptions which underlie them. In this sense, agent-based mod-  
838 els of people walking regarding spatiotemporal dynamics are  
839 introduced.

840 Agent-based modeling and simulation is a relatively new ap-  
841 proach to modeling complex systems composed of interacting,

842 autonomous agents (Macal 2010). Agents have behaviors, often de- 904  
843 scribed by simple rules, and interactions with other agents, which in 905  
844 turn influence their behaviors. By modeling agents individually, the 906  
845 full effects of the diversity that exists among agents in their attrib- 907  
846 utes and behaviors can be observed and give rise to the behavior of 908  
847 the system as a whole. By modeling systems from the ground up— 909  
848 agent-by-agent and interaction-by-interaction—self-organization 1510  
849 can often be observed in such models. Patterns, structures and 911  
850 behaviors emerge that were not explicitly programmed into the 912  
851 models, but arise through the agent interactions. The emphasis on 913  
852 modeling the heterogeneity of agents across a population and the 914  
853 emergence of self-organization are two of the distinguishing fea- 915  
854 tures of agent-based simulation as compared with other simulation 916  
855 techniques such as discrete-event simulation and system dynamics. 917

856 A typical agent-based model has three elements (Macal 2010): 918

- 857 1. A set of agents, their attributes and behaviors. 919
- 858 2. A set of agent relationships and methods of interaction—an 920  
859 underlying topology of connectedness defines how and with 921  
860 whom agents interact. 922
- 861 3. The agents' environment—agents interact with their environ- 923  
862 ment in addition to other agents. 924

863 Most often agent-based modeling is used to model systems 925  
864 where outcomes have a high degree of dependency on the actions 926  
865 of humans. Common applications include the spread of diseases or 927  
866 information between populations, people or traffic movements, and 928  
867 the impact of marketing campaigns. 929

868 In the nonacademic area, as suggested by the British Airport 930  
869 Association in terms of complex and comprehensive airport sys- 931  
870 tems (de Neufville and Odoni 2003), there are no off-the-shelf tools 932  
871 that could meet all future requirements. Therefore, a skillful and 933  
872 comprehensive modeling solution for future complex airport sys- 934  
873 tems is needed. The outcome of agent-based modeling and simu- 935  
874 lation for passenger flow could have a promising application. 936

875 From the comparison of the common features of the above mod- 937  
876 els, several advantages of agent-based models are concluded: 938

- 877 1. An agent is a discrete entity with its own goals and behaviors; 939  
878 it is also autonomous, with the ability to adapt and modify its 940  
879 behavior. 941
- 880 2. Agent-based models are inclined to perform methodological 942  
881 individualism. 943
- 882 3. This commitment to individualism is accompanied by a one- 944  
883 way notion of emergence: the social can emerge only from the 945  
884 individual. 946
- 885 4. Less behavioral complexity would be preferred; simplicity can 947  
886 help model and understand. 948

887 In summary, microscopic pedestrian models can deal with single 949  
888 passengers and allow the study of their interactive tendencies with 950  
889 each other and the neighboring environment. 951

## 890 Agent-Based Model

891 An agent-based model is one in which the basic unit of activity is 952  
892 the agent. Agents represent actors at the individual level. An agent 953  
893 is an identifiable unit of computer program code which is auton- 954  
894 omous and goal-directed (Hayes 1999). An agent is an entity (either 955  
895 computer or human) that is capable of carrying out goals, and is 956  
896 part of a larger community of agents that have mutual influence 957  
897 on each other. Agents may coexist on a single processor, or they 958  
898 may be constructed from physically separate but intercommunicat- 959  
899 ing processors (such as a community of robots) (Hayes 1999). The 960  
900 key concepts in this definition are that agents can act autonomously 961  
901 to some degree, and they are part of a community in which mutual 962  
902 influence occurs (Hayes 1999). The outcomes of the model are 963  
903 determined by the interactions of many agents, usually tens or even 964  
965

thousands. However, physical spatial mobility in many models is 904  
not considered at all, because in most agent-based models the main 905  
concern is to understand how individual behavior leads to global 906  
outcomes in a generic sense, rather than in the modeling of the 907  
real world. 908

A typical agent-based model is composed of agents who interact 909  
with each other and also with their environments (Castle et al. 1510  
2008). Agent-based models are usually considered as forming 911  
a miniature laboratory where the attributes and behaviors of the 912  
agents and the environment in which they are housed can be 913  
altered. In turn, they can be experimented upon, and the repercus- 914  
sions of such experimentation can be observed over the course of 915  
multiple simulation runs. 916

Agent-based models are good tools for studying the effects on 917  
process that operate at multiple scales and organizational levels, 918  
because they not only simulate the individual actions of many di- 919  
verse agents but can also measure the resulting system behavior and 920  
outcomes over time (Brown 2006). Basically, agent-based models 921  
provide tools to tackle those change ideas which have emerged 922  
from complexity science, changing from the aggregate to disaggre- 923  
gate and from the static to the dynamic. It allows exploration of 924  
how individual decisions are made and how such decisions lead 925  
to emergent structures evolving (Crooks 2009). 926

Agent-based modeling is derived from complexity science and 927  
complex systems. Because the world is increasingly complex, the 928  
systems that need to be analyzed are consequently becoming more 929  
complex as well, particularly in terms of their interdependencies. 930  
Traditional models for some systems are not as applicable as they 931  
once were, because many human-made systems have been viewed 932  
as complex systems which cannot be adequately modeled by usual 933  
methods; large airport systems are a prime example. 934

Over the last three decades, simulation has become a frequently 935  
used modeling tool for supporting studies of complex systems. The 936  
simulation modeling paradigms used in this regard can be classified 937  
in three groups, as compared in Table 2: 938

- 939 1. System dynamics modeling 940
- 941 2. Discrete-event simulation modeling 942
- 943 3. Agent-based simulation modeling. 944

Agent-based modeling takes another perspective on simulation. 945  
Agent-based modeling is centered on interacting individuals with a 946  
view to assessing the system-wide effects of their individual behav- 947  
ior and interactions, rather than system dynamics models which 948  
model from an overall picture of the flow in a system. Typically, 949  
thinking of a discrete-event simulation model of an airport, passen- 950  
gers are pushed or pulled between check-in and security processes, 951  
and it works through to model several aspects of the airport: for 952  
example, some passengers might stop at a restaurant/café and then 953  
browse a gift/book shop. With an agent-based mindset, however, 954  
the passengers are in control and, like in real life, would make their 955  
own decisions on where to go and when. Instead of a centralized or 956  
global simulation control, agent-based modeling attaches rules of a 957  
system to individual agents. In discrete-event simulation, work- 958  
items are passive and actions are defined by activities that process 959  
them. Therefore, agent-based modeling is particularly suitable for 960  
modeling situations where large numbers of humans are present 961  
and each makes their own choice between many alternatives. This 962  
makes it easy to include individuality and see the impact on the 963  
overall system of the variations in different people's behaviors. 964  
965

## Applications of Agent-Based Simulation 962

Agent-based modeling can be viewed as a methodical advancement 963  
and generalization of microscopic modeling styles in object- 964  
oriented and discrete-event simulation. Agent-based simulation is 965

**Table 2.** Comparison of System Dynamics, Discrete-Event and Agent-Based Simulation

T2:1	Features	System dynamics	Discrete-event simulation	Agent-based simulation
T2:2	Overall approach	Abstract, state variables and equations that are solved to simulate behavior over time	Randomness associated with interconnected events leads to system behavior	Physical emulation of <i>agents</i> whose rules for behavior mirror the real world
T2:3	Mathematics	Calculus; numerical integration of differential equations	Statistical distributions to model the increments of simulation clock	Logic, algorithms, and simple probabilities
T2:4	Representation	System represented as stocks and flows	System represented as queues and activities, schedules, processes, buffers	Autonomous, responsive and proactive agents which interact with each other to achieve their objectives
T2:5	Problem key	The understanding of the problem lies in analysis of causal feedback effects	Randomness associated with interconnected processes and events	Individual agent classes with the rules for their interaction
T2:6	Ease of communication	Very good for showing model structure and numerical results	True representation of system	Excellent for showing the behavior of individual entities
T2:7	Relationship	Interested in identification of nonlinear relationships	Relationships can be nonlinear but mostly are linear	Relationships are nonlinear
T2:8	Spatial relationship between entities	Spatial relationship is not represented because entities are aggregated	Distances between entities in the model cannot be calculated; discrete-event simulation model can take account of distance between entities and resources	Spatial relationship can be a key driver in the model. Individual agent behavior can be influenced by spatial relationship
T2:9	Accuracy of the model	Moderate in accuracy; the outcome of model is as learning laboratories	Owing to its heavy reliance on data, the model produces accurate, statistically valid models	Models are much more difficult to construct compared with discrete-event simulation models and can have accurate models
T2:10	Parameters	Model's parameters are affected feedbacks loops with the system	Parameters are set after intensive research on historical data	The paradigm carefully considers the definition of agents and specifies their behavioral rules in the simplest possible fashion
T2:11	Structure-determined performance	Based on the concept that performance of the model over time is determined by its structure	Based on the concept that performance of system over time is determined by randomness and by the internal structure of the system	Based on the concept that performance of system is the emergence of ordered structures independently of top-down planning
T2:12	Role of computer simulation	Computer simulations are used as learning laboratories that allow managers to run models in the gaming environment	Models are less used as learning tools for nontechnical people	The models are flexible; it is easy to add more agents to an agent-based model; a natural framework is provided for tuning the complexity of the agents.
T2:13	Computer animation	Computer simulation is limited to graphs and equations	With its computer animation capabilities where entities can be shown moving across the system, can help more in visual understanding of process flow	With its computer animation capabilities, can display visual world environment for understanding operation process

Note: Wakeland et al. (2004); Borshchev and Filippov (2004); Owen (2008).

966 typically applied in microscopic modeling of systems where  
 967 common actions of autonomously deciding actors (people) are rep-  
 968 **16**resented (Page et al. 2007).

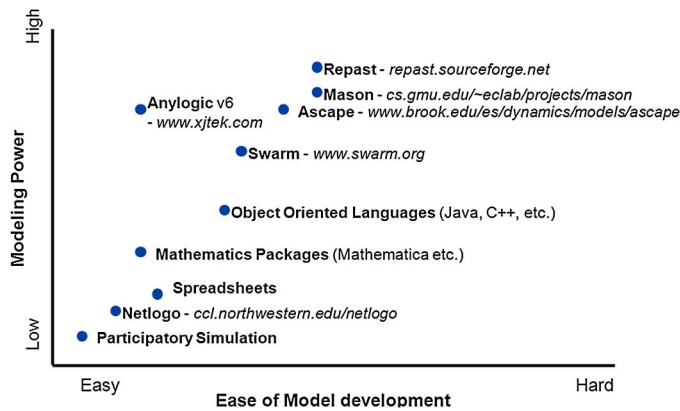
969 Agent-based simulations can serve as artificial laboratories  
 970 which will test ideas and hypotheses about phenomena which  
 971 are not easy to explore in the real world. Crooks (2009) introduced  
 972 **17**a simulation and modeling system called *Second Life*, and demon-  
 973 strated its usage for agent-based modeling, in particular illustrating  
 974 the integration of symbolic models with iconic structures. Crooks  
 975 made a basic three-dimensional (3D) agent-based pedestrian evacu-  
 976 ation model which combined both symbolic and iconic style mod-  
 977 els into a single form. Agents not only interact with each other but  
 978 also interact with their surrounding environment. Crooks (2009)  
 979 created a building in an artificial world, populated it with artificial  
 980 people, started a fire and watched what happened. Agent-based  
 981 models are quite suited to such topics where, with the help of sim-  
 982 ulations, modelers can identify potential problems such as bottle-  
 983 necks and test numerous scenarios such as the way various room  
 984 configurations can impact on evacuation time.

985 However, *Second Life* has a lot of disadvantages which limit its  
 986 capability for the creation of agent-based models. In addition, *Sec-  
 987 ond Life* is not free for use like most open source ones. The *Second  
 988 Life* visual environment is only a demonstration of agent-based

989 modeling application. Agent classes and rules for their interactions  
 990 cannot be built for their specific own purposes.

991 To have one's own agent classes and related rules, object-  
 992 oriented programming techniques should be chosen to build agent-  
 993 based models. The advanced computational technology helps  
 994 populate large numbers of agents and calculate their interactions  
 995 and emergence outcomes. In the last few years, the agent-based  
 996 modeling community has developed several practical agent-  
 997 based modeling toolkits that enable the development of agent-  
 998 based applications. The toolkits have a variety of characteristics.  
 999 Fig. 8 shows their capacity for modeling complex and large-scale  
 1000 applications compared with the ease of developing a model.

1001 The most popular tools to assist agent-based studies are the ob-  
 1002 ject-oriented languages Java, *Repast* symphony toolkit, *NetLogo*  
 1003 toolkit, *Swarm* toolkit and *AnyLogic v6*. According to the specific  
 1004 application, an appropriate toolkit may be chosen (Table 3). It  
 1005 would need a myriad of programming work to build the visual sim-  
 1006 ulation context (i.e., an airport terminal environment) in the *Repast*  
 1007 symphony toolkit before it can truly be implemented as an agent-  
 1008 based passenger flow simulation. *NetLogo* could be insufficient to  
 1009 model a large system owing to its comparative low modeling  
 1010 power. Comparing the *Swarm* toolkit and other software, *AnyLogic*  
 1011 is user-friendly and can be used to integrate agent-based concepts.



**Fig. 8.** Agent-based modelling software [data from Macal and North (2006)]

Any logic is used as the simulator platform to conduct modeling passengers flow in this thesis. *AnyLogic* is a multiparadigm/hybrid simulator capable of modeling systems as a combination of discrete-event, system dynamics and agent-based modeling. It is based on UML-RT and uses *hybrid state charts* to achieve this unique capability. It is based on Java and the models can also run on many other platforms. Any logic supports agent-based modeling and can be efficiently combined with other modeling approaches. *AnyLogic* has several embedded simulation libraries which can make building agent-based models easier. Its pedestrian library is convenient for setting up pedestrian walking in spatiotemporal circumstances.

Doing research on complex systems is a big challenge. However, it is becoming possible to take a more realistic view of these systems through agent-based modeling and simulation. Computational power is advancing rapidly, and such advances have made possible a growing number of agent-based applications in a variety of fields. Computing large-scale microsimulation models is becoming plausible at present. Furthermore, data are becoming organized into databases at finer levels of granularity. Microdata can now support microsimulations. The invention of relational databases indicates that data can now be organized into databases at microdata levels.

These findings can be used to improve design elements of pedestrian facilities and walking routes. Proper understanding of self-organization phenomena allows modelers to change the patterns of motion and their efficiency by suitable specification of the boundary conditions. For example, Helbing et al. (2005) used suitably located *obstacles* to stabilize flow patterns and to make

them more fluid. The flow pattern of people would behave *back and shock waves* in queues and crowds because of the impatience of some persons. It was suggested that long waiting time can be avoided by increasing the diameters of routes. In addition, zigzag-shaped geometries and columns could reduce the pressure in panicking crowds, if properly designed and placed. So, efficiency and safety of built environments could be increased accordingly. Furthermore, through parallel simulation of the social force model on PC clusters, it becomes possible to evaluate mass events within airport terminals and railway stations. Pedestrian flows in extended urban areas can also be simulated (Batty et al. 2003; Helbing et al. 2004). This allows access not only to information about the attractiveness of certain locations for new shops, but also the impact of new buildings like theaters or malls on overall pedestrian flows.

## Pedestrian Flow Simulation

### Measurement and Control of Pedestrian Interaction

Pedestrian interaction is the repulsive and attractive effect among pedestrians and between pedestrians with their environment. Because the movement quality of pedestrians can be improved by controlling the interaction between pedestrians, better pedestrian interaction is the objective of this approach.

Pedestrian interaction can be measured and controlled. Pedestrian flow performance is defined as the indicators to measure the interaction between pedestrians. The pedestrian interaction can be controlled by time, space and direction. Pedestrians may be allowed to wait for some time, or walk to a particular space (e.g., door) or right of way (e.g., walkway), or in certain directions. Case studies using microscopic simulation as reported by Helbing and Molnar (1998) and Burstedde et al. (2001) show that the flow performance of pedestrians in the intersection of pedestrian malls and doors could be improved by introducing some controls such as roundabouts or direction rules. More efficient pedestrian flow can even be reached with less space. Those simulations have rejected the linearity assumption of space and flow at the macroscopic level. Analytical models for microscopic pedestrian model have been developed by Henderson (1974) and Helbing (1992), but the numerical solution of the model is very difficult, and simulation is therefore favorable.

Therefore, microscopic pedestrian studies are needed to improve the quality of pedestrian movement. In microscopic pedestrian studies, every pedestrian is treated as an independent entity, and the behavior of pedestrian interaction is measured. It could be a third way of doing science besides deductive and inductive

**Table 3.** Comparison of Agent-Based Modelling Toolkits

Platform	Primary domain	License	Programming language	GIS capabilities	3D capabilities	Model power
NetLogo	Social and natural sciences	Free, not open source	NetLogo	Yes	Yes	Low
MATLAB	Simulation; programming; scientific and engineering math and computation; data analysis	Proprietary	Matrix-based data structures, m-language, and extensive catalogue of functions	N/A	Poor (SimuLink)	Moderate
Swarm	General purpose agent-based	General public license	Java	N/A	N/A	Moderate
Mason	General purpose; social complexity; physical modeling, abstract modeling, artificial intelligence/machine learning	Academic free (open source)	Java	N/A	N/A	High
Repast	Social sciences	Berkeley software distribution	Java (RepastS); Python (RepastPy); Net, C++	Yes	Yes	High
Anylogic	Agent-based; distributed simulation	Proprietary	Java; UML-RT (unified modeling language)	Yes	Yes	High

1083 reasoning (Macal and North 2005). There are a few research works  
1084 which tried to construct agent reasoning framework:

1085 The concept of motivations as the driving force that affects the  
1086 reasoning of agents in satisfying their goals is considered as the  
1087 underlying argument for agents to voluntarily comply with norms,  
1088 and to voluntarily enter and remain in a society (López et al. 2006).

1089 In the SMART agent framework (d'Inverno and Luck 2003):

- 1090 • An attribute represents a perceivable feature of the agent's  
1091 environment, which can be represented as a predicate or its  
1092 negation.
- 1093 • A particular state in the environment is described by a set of  
1094 attributes.
- 1095 • A goal represents situations that an agent wishes to bring about.
- 1096 • Motivations are desires or preferences that affect the outcome of  
1097 the reasoning intended to satisfy an agent's goals.
- 1098 • Actions are discrete events that change the state of the environ-  
1099 ment when performed.

1100 A model developer must identify, model and program these  
1101 elements to create an agent-based model. The model should operate  
1102 satisfactorily in a discrete formulation. Because decisions and  
1103 movements in reality are being made in parallel in a continuous  
1104 space-time framework, the errors generated by resorting to sequen-  
1105 tial decisions in a discrete or partially discrete framework should not  
1106 be too gross. The model should be easy to upgrade to more detailed  
1107 descriptions of behavior if necessary. Approximations of real  
1108 behavior which are satisfactory in one context are not necessarily  
1109 suitable for general use. Consequently, the basic model should be  
1110 simple, but nevertheless relatively easy to modify or refine.

1111 The operation of the simulation should be suitable for real-time  
1112 graphical monitoring. Many potential users are more likely to be  
1113 interested in seeing what conditions certain layouts produce rather  
1114 than reading tables of figures describing them. The simulation is  
1115 implemented at the level of the individual pedestrian under the hy-  
1116 pothesis that if the behavior of individuals is modeled adequately,  
1117 and the appropriate distribution of pedestrian types is employed,  
1118 the behavior of the simulated pedestrians will be realistic. Further,  
1119 by working at the level of the individual it is possible to collect data  
1120 on individual travel times and diversions, and subsequently to an-  
1121alyze the variability between different types of pedestrian.

1122 However, to simulate pedestrian flows at the level of the indi-  
1123 vidual, it is necessary to be able to model the way in which pedes-  
1124 trians select their routes and move along them. The present model  
1125 separates these two aspects of pedestrian behavior into independent  
1126 submodels which can be treated sequentially. That is, the pedestrian  
1127 selects a route or part of a route, and then endeavors to follow it as  
1128 consistently as possible. This separation of pedestrian behavior into  
1129 these two components permits the development of efficient math-  
1130 ematical criteria at later stages. Moreover, it is necessary to discuss  
1131 the general principles of route selection so that the relationship be-  
1132 tween route selection and pedestrian interaction can be appreciated.  
1133 Unless the relationship is understood, the criteria and behavior as-  
1134 sociated with pedestrians, although following their route, may seem  
1135 too limited.

### 1136 Pedestrian Flow Validation

1137 In computer modeling and simulation, validation is the process  
1138 of determining the degree to which a model or simulation is an  
1139 accurate representation of the real world from the perspective of the  
1140 intended uses of the model or simulation. Often there is a trade-off  
1141 between increasing confidence in the level of accuracy of the mod-  
1142 els and the cost of data collection and effort required to validate the  
1143 models (Barton-Aschman Associates and Cambridge Systematics  
1144 1997).

1145 Model validation is a method of ensuring that the model repli-  
1146 cates the observed conditions and produces reasonable forecasting  
1147 results and to see whether there is an adequate agreement between a  
1148 model and the system being modeled. The validation part concerns  
1149 the determination of the numerical value of the parameters and the  
1150 results of the simulation. Validation involves testing the model's  
1151 predictive capabilities. Pedestrian flow models need to be able  
1152 to replicate observed conditions within reason before being used  
1153 to produce future forecasts. As urban areas and built environments  
1154 are not identical, the credibility of the pedestrian flow process will  
1155 depend largely on the ability of analysts to properly validate the  
1156 procedure and models used.

1157 A critical issue for pedestrian models is the validation of the  
1158 model against real-world data. Because of many factors being in-  
1159 volved in the simulation of individual pedestrians and the large set  
1160 of parameters in pedestrian models, the validation of a pedestrian  
1161 model is very difficult (Teknomo and Gerilla 2005). Only limited  
1162 validations of pedestrian flow systems have been done. Lovas  
1163 (1994) and Helbing and Molnar (1995) used simple observation  
1164 methods to validate pedestrian flow. Blue and Adler (2001) vali-  
1165 dated a pedestrian multi-agent system by utilizing matching speeds  
1166 with Highway Capacity Manual standards. Teknomo and Gerilla  
1167 (2005) conducted sensitivity analysis of control variables and  
1168 parameters of the pedestrian multi-agents model and applied an au-  
1169 tomatic validation method. All in all, validations for pedestrian  
1170 flow models require deep understanding of the behavior of the fac-  
1171 tors and parameters.

1172 The validation step ensures that the simulation model behaves as  
1173 expected. The pedestrian flow model involves the issue of both  
1174 space and time. Therefore, for pedestrian flow validation in general,  
1175 individual pedestrian factors and model parameters all need to be  
1176 considered. Typically, the radius of a pedestrian body is around  
1177 60 cm, and average speed is 1.34 m/s (Teknomo and Gerilla  
1178 2005). One way to inspect this behavior is the decline of the aver-  
1179 age speed as the density increases. According to Teknomo and  
1180 Gerilla (2005), data can be gathered manually or through video  
1181 of a specific location where pedestrians are crossing. Manually col-  
1182 lecting pedestrian flow data requires hard work and always takes  
1183 significant time. For video data collection, each camera captures  
1184 real pedestrian flow in one area. Sample video data can be collected  
1185 in a uniform time-period or instead through consecutively capturing  
1186 a constant number of pedestrians. Moreover, an image processing  
1187 method needs to be developed and to track pedestrians and record  
1188 the number of pedestrians passing the area. Analysis needs to be  
1189 done to generate related data, namely, the speed and number of  
1190 pedestrians in an area. Once real-world data are obtained, all the  
1191 statistics are used for validation with pedestrian flow modeling/  
1192 simulation results in certain aspects, such as speed of overall flow,  
1193 instantaneous occupancy by pedestrians at a specific area and rout-  
1194 ing phenomena.

### 1195 Conclusions

1196 Research interest in pedestrian dynamics spans the retail industry,  
1197 emergency services, urban planners and other agencies. Macro-  
1198 scopic models of pedestrian movement simply take into account  
1199 the predetermined pathways of pedestrians, such as corridors or  
1200 vacant areas within built environments, and do not consider de-  
1201 tailed interactions among pedestrians and building facilities. How-  
1202 ever, in fact, building facilities in general would occasionally divert  
1203 the pedestrians' walking path, such as window displays that will  
1204 attract certain pedestrians who are wandering around and looking  
1205 for something interesting in a mall. Thus, macroscopic models

1206 are not well suited for the accurate prediction of pedestrian flow  
1207 performance.

1208 On the contrary, microscopic models have more general usage  
1209 and consider detailed flow performance. Four major microscopic  
1210 pedestrian flow models were addressed. The benefit-cost cellular  
1211 model is limited by its physical representation and thus not con-  
1212 vincing in its ability to solve all the relevant interaction issues, that  
1213 is, walking speed, direction and avoidance with other pedestrians  
1214 and obstacles. The magnetic and social force models have more  
1215 variables with physical meaning and can better explain the behavior  
1216 of pedestrians. The pedestrian flow model of Kholshchikov  
1217 (2008) demonstrates that the emotional state of persons towards  
1218 their travel speed can be affected by pedestrian flow density. Be-  
1219 cause conventional studies are based on macroscopic aspects, the  
1220 capabilities of microscopic aspects are not fully developed. Agent-  
1221 based modeling is an important microscopic approach, which treats  
1222 each individual as an independent agent with multiple traits.

1223 Agent-based modeling was illustrated to demonstrate applica-  
1224 tions of modeling people walking at spatial scales and in city or  
1225 urban areas. Agent-based modeling is able to study interactions  
1226 among pedestrians and ambient environment objects. As comput-  
1227 ing technology advances, pedestrians are modeled more realisti-  
1228 cally, not simply as a dot or rectangle. The physical traits of a  
1229 pedestrian agent and the function of interactions within crowds  
1230 need to be modeled. Detailed physical interactions among pedes-  
1231 trians and building facilities are also expected to be clearly studied.  
1232 In terms of the aspects of physiology and psychology, research  
1233 opportunities exist for the physical interactions and route-choice  
1234 decisions of pedestrians.

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