

The Occurrence of Small, Short-period Planets Younger than 200 Myr with TESS

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Abstract

Within the first few hundreds of millions of years, many physical processes sculpt the eventual properties of young planets. NASA's Transiting Exoplanet Survey Satellite (TESS) mission has surveyed young stellar associations across the entire sky for transiting planets, providing glimpses into the various stages of planetary evolution. Using our own detection pipeline, we search a magnitude-limited sample of 7219 young stars (≤ 200 Myr) observed in the first 4 yr of TESS for small (2–8 R_{\oplus}), short period (1.6–20 days) transiting planets. The completeness of our survey is characterized by a series of injection and recovery simulations. Our analysis of TESS 2 minute cadence and Full Frame Image (FFI) light curves recover all known TESS Objects of Interest (TOIs), as well as four new planet candidates not previously identified as TOIs. We derive an occurrence rate of 35^{+13}_{-10} % for mini-Neptunes and $27^{+10}_{-8}\%$ for super-Neptunes from the 2 minute cadence data, and $22^{+8.6}_{-6.8}\%$ for mini-Neptunes and $13^{+3.9}_{-4.9}\%$ for super-Neptunes from the FFI data. To independently validate our results, we compare our survey yield with the predicted planet yield assuming Kepler planet statistics. We consistently find a mild increase in the occurrence of super-Neptunes and a significant increase in the occurrence of Neptune-sized planets with orbital periods of 6.2–12 days when compared to their mature counterparts. The young planet distribution from our study is most consistent with evolution models describing the early contraction of hydrogen-dominated atmospheres undergoing atmospheric escape and inconsistent with heavier atmosphere models offering only mild radial contraction early on.

Unified Astronomy Thesaurus concepts: Exoplanets (498); Mini Neptunes (1063); Transit photometry (1709); Exoplanet evolution (491); Planetary system evolution (2292); Young star clusters (1833); Exoplanet astronomy (486)

Supporting material: machine-readable table

1. Introduction

During the first ~ 100 Myr postformation, many evolutionary processes sculpt the eventual properties and architectures of young planetary systems. Planet-disk (Lin & Papaloizou 1986; Ida & Lin 2004; 510 Myr) and planet-planet and planetplanetesimal (≤ 100 Myr) interactions (Chatterjee et al. 2008; Nagasawa et al. 2008; Nagasawa & Ida 2011; Schlichting et al. 2015) can cause perturbations to planetary orbits, shaping the eventual system stability and architecture. Further, the evolution of the host star and its interactions with evolving planets $(\leq 1 \text{ Gyr})$ can shape their physical properties (e.g., Lammer et al. 2003; Jackson et al. 2012; Owen & Wu 2013; Ginzburg et al. 2018).

Population studies of mature planetary systems have unveiled scarcities and overdensities within the period-radius distribution of close-in small planets, motivating investigations

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into the mechanisms sculpting this distribution (e.g., Beaugé & Nesvorný 2013; Mazeh et al. 2016; Fulton et al. 2017; Cloutier & Menou 2020; Hardegree-Ullman et al. 2020). Thermal contraction, paired with atmospheric erosion and mass loss driven by photoevaporation (Lopez & Fortney 2013; Owen & Wu 2013) and core-powered mass loss (Ginzburg et al. 2018; Gupta & Schlichting 2019) are thought to be the dominant processes. Atmospheric erosion driven by photoevaporation resulting from high-energy X-ray and UV (XUV) flux is thought to be most active within the first ~ 100 Myr, whereas core-powered mass loss is thought to be a more subtle process predicted to occur over a span ~ 1 Gyr. However, recent studies have illustrated that the exact timescales and interplay between these two mechanisms are not yet well understood and require further study (Owen & Schlichting 2023). As a result of these processes, the demographics of the close-in, small planet population most heavily impacted by these evolutionary processes should vary as a function of age. Kepler (Borucki et al. 2010) and K2 (Howell et al. 2014) identified a number of young planets (e.g., David et al. 2016, 2019; Mann et al. 2016, 2018; Rizzuto et al. 2018; Vanderburg et al. 2018; Barber et al. 2022; Bouma et al. 2022). These surveys provided the first glimpse into the temporal evolution that small planets undergo early in their lives.

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Since the launch of NASA's Transiting Exoplanet Survey Satellite (TESS; Ricker et al. 2016) in 2018, we have now been able to observe \sim 95% of the sky. This has allowed us to gather precise space-based photometry for stars located in well-aged moving groups and associations across the entire sky, providing the first opportunity for detailed investigations into the occurrence rates of planets as a function of age.

TESS has already detected and characterized tens of young planetary systems (e.g., Newton et al. 2019; Battley et al. 2020; Plavchan et al. 2020; Zhou et al. 2022; Wood et al. 2023), providing initial insights into the differences between young planetary systems and their mature counterparts. Initial investigations into the population of young planets observed by TESS span a wider age range (Fernandes et al. 2023), targeting clusters which already have confirmed planets (Fernandes et al. 2022), and explored methods of light-curve extraction that best preserve transit signals in the scenario of heightened photometric variability from stellar activity (Nardiello et al. 2020).

In this paper, we present the occurrence rates of close-in (1.6–20 days), small (2–8 R_{\oplus}) planets around stars in nearby moving groups and associations younger than 200 Myr. In Section 2, we describe our parent stellar sample and our selection criteria. Utilizing the photometry provided by TESS we present calculated rotation periods for our parent stellar population in Section 3. Our planet detection pipeline, including our detrending method, transit search, vetting process, and four newly identified planet candidates, are presented in Section 4. In Section 5, we present our injection and recovery test results in order to probe the completeness of our planet search pipeline. We present our calculated occurrence rates in Section 6. We compare our results to the Kepler yield and complete a forward modeling exercise to validate our derived occurrence rates. We present a discussion of our findings in Section 7, and our conclusions in Section 8.

2. TESS Observations

TESS is an all-sky photometric transit planet survey. TESS observations are conducted via four individual cameras covering a $24^{\circ} \times 96^{\circ}$ area of the sky, with each sector of observations lasting an average of 27 days. TESS samples the light curves of preselected target stars at 2 minute and 20 s cadences via target pixel stamp observations. These data products are processed by the Science Processing Operation Center (SPOC; Jenkins et al. 2016) at the NASA Ames Research Center. We make use of the SPOC Simple Aperture Photometry for our SPOC planet search.

Additionally, TESS observes its entire field of view via Full-Frame Images (FFIs). FFIs were taken every 30 minutes in the TESS primary mission and then every 10 minutes in the first extended mission. The FFIs are downlinked and processed by the MIT Quick-Look Pipeline (QLP; Huang et al. 2020a, 2020b; Kunimoto et al. 2022). We utilize the QLP default aperture raw flux light curves for our QLP planet search program.

We make use of the light curves made available through the Mikulski Archive for Space Telescopes (MAST)¹⁰ as of 2023 February. These include the first 4 yr (Sectors 1–58) of TESS.¹¹

3. Stellar Population

ESA's Gaia (Gaia Collaboration et al. 2016, 2018, 2021) mission has provided relative motions, proper motions, and parallaxes for almost all nearby stars, which has revealed previously unknown comoving populations.

We collate a sample of stars from literature analyses of Gaia kinematics to assemble a population of young stars for our planet search. We make use of associations and clusters assembled by Gagné et al. (2018), Kounkel & Covey (2019), Ujjwal et al. (2020), and Moranta et al. (2022), as well as identified members for well-characterized associations and clusters from Rebull et al. (2016, 2018, 2022) and Meingast et al. (2019). Gagné et al. (2018) and Ujjwal et al. (2020) provided updated memberships for previously known young stellar associations. Ujjwal et al. (2020) also updated the ages of the associations via Gaia magnitudes and MIST isochrone modeling (Dotter 2016). Kounkel & Covey (2019) applied unsupervised learning to Gaia DR2 space motions to assemble groups of associated stars, named as Theia groups, and aged via isochrone fits to their Gaia magnitudes. Moranta et al. (2022) searched for nearby stellar streams within ~ 200 pc using 6D kinematics from Gaia EDR3, named Crius groups. For newly identified groups, Moranta et al. (2022) estimate age ranges for identified associations via isochrone fits to Gaia magnitudes. However, we only adopt the members of Crius groups that are affiliated with previously identified associations and clusters that have well-characterized ages. Rebull et al. (2016) and Rebull et al. (2018) use literature photometry and Gaia astrometry to classify previously identified members of the Pleiades cluster and Upper Sco; we make use of stars identified as best/gold members (see Rebull et al. 2016 Table 1; Rebull et al. 2018 Table 1). Rebull et al. (2022) select members of Upper Centarus-Lupus (UCL) and Lower Centaurus-Crux (LCC) from the literature, additionally requiring V and Kphotometry and no source confusion in TESS; we only adopt members identified as gold members (see Rebull et al. 2022 Table 2). Meingast et al. (2019) used 6D Gaia kinematics to identify overdensities in velocity space, indicative of nearby stellar streams. We make use of the members identified to be part of Pisces-Eridanus stellar stream (Curtis et al. 2019; Meingast et al. 2019). The assigned associations for each source star are listed in Table 1; where a star is listed from more than one source, all references and literature association assignments are noted. Figure 1 shows the Galactic coordinates of our stellar population.

We concatenate these membership lists and crossmatch them with TICv8 (Stassun et al. 2019). TICv8 stellar parameter values (Stassun et al. 2019) are adopted for all subsequent analyses. With the exception of planet-hosting stars, we do not propagate the stellar parameter uncertainties for individual stars forward in the occurrence rate analyses. We then select for stars with age estimates ≤ 200 Myr, stellar radii $\leq 2 R_{\odot}$, stellar masses $\leq 2 M_{\odot}$, effective temperatures ≤ 7000 K, and TESS band magnitudes ≤ 12 . This yields 9847 unique stars, with 8804 having been observed by TESS.

Following previous planet occurrence studies with the Kepler sample (e.g., Berger et al. 2020; Kunimoto & Matthews 2020), we filter for binaries by removing stars with a Gaia DR3 renormalized unit weight error (RUWE) >1.4 (Kervella et al. 2022). However, a blind RUWE cut removes stars from our sample that have a resolved companion. Therefore, for any star with RUWE > 1.4, we check for a resolved companion within

¹⁰ https://mast.stsci.edu/portal/Mashup/Clients/Mast/Portal.html

¹¹ We do not make use of newer 200 s FFI light curves in this analysis as they were made available post–February 2023.

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TIC	R.A.	Decl.	$T_{\rm eff}$ (K)	Parallax (mas)	$R_{\star}~(R_{\odot})$	$M_{\star}~(M_{\odot})$	TESS _{mag}	Age (Myr)	Association	$P_{\rm rot}$ (days)
289434416	315.36223	50.52834	6400	2.11	1.26	1.6	11.3	1.0	Theia1	
454364155	168.17869	-76.61802	4700	5.23	0.75	1.45	11.2	1.0	Theia2	6.1
454364158	168.10123	-76.61838	5100	5.16	0.86	1.73	10.5	1.0	Theia2	2.9

 Table 1

 Parent Stellar Population

Note. The full version of this table is made available in the supplementary online material.

(This table is available in its entirety in machine-readable form.)

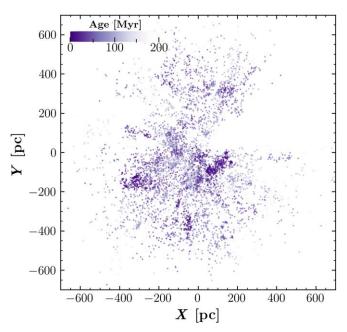


Figure 1. Galactic (X, Y) coordinates of the parent stellar sample from Gaia as a function of age (darker colors indicate younger literature ages).

2'' and a delta magnitude of 4, as the resolved companion explains the high RUWE and does not suggest a possible false positive (El-Badry et al. 2021). If this criterion is matched, the high-RUWE star is included in our parent population. This yields 7219 stars with TESS observations. Of these, 7154 were observed in the TESS FFIs and 1927 were preselected to have SPOC 2 minute cadence data. The distributions of the stellar properties of our parent stellar population are shown in Figure 2 anda CMD is shown in Figure 3.

The population of stars within our SPOC sample is biased toward the proposed science cases for their observations. As a result, the distributions of stellar properties in our SPOC and QLP parent samples differ. Figure 2 shows our QLP sample is skewed toward brighter, earlier-type stars leading to intrinsic differences in our two surveys' parent populations.

Our target list is a collation of multiple catalogs, some of which encompass the traditional clusters and associations, while others are composed of new associations identified via clustering studies. A fraction of our target list may be contamination stars that are not part of their assigned associations, while others may have ages that are older than our thresholds. For example, Kounkel & Covey (2019) estimate a field star contamination rate of 5%–10% for the Theia group memberships. From the catalogs we used to collate our parent stellar sample, only Kounkel & Covey (2019) estimate an age uncertainty, ~0.15 dex, which we adopt for our

entire parent sample in our forward modeling exercises (see Section 6.1).

To independently verify the contamination rate of our target sample, we measure the rotation periods and variability signatures of our sample with the available TESS photometry. We attempt to derive rotation periods for each star with TESS input catalog effective temperatures $T_{\rm eff} < 6500$ K via a Lomb-Scargle (Lomb 1976; Scargle 1982) periodogram over all available TESS long-cadence photometry from the QLP. We only attempt to derive rotation periods for later-type stars in our sample, as they are more likely to host convective envelops and exhibit strong stellar rotational variability at ages up to 200 Myr. The membership contamination rates estimated from the rotational variability of late-type stars are extrapolated and adopted for our entire survey sample (see Section 6.2). We search for stellar rotation periods between 0.5 and 12 days, as we do not attempt to search for rotational periods longer than that of the TESS orbital period. We note that stars with ages < 200 Myr should have rotation periods < 10 days (e.g., Messina 2021), and as such the upper limit cutoff should not bias our sample. The rotation periods are then manually vetted to check if the signals resemble that of (1) rotational variability, (2) binarity, (3) nonrotational variability, or (4) no variability. Example light-curve figures that were used for our manual vetting process are shown in Appendix D. We analyzed rotational signatures for a sample of 6402 stars that satisfy our stellar properties thresholds, of which 4878 exhibit variability resembling rotational modulation from our manual vetting. Our measured rotation periods are presented in Table 1.

Rotation-based age estimates are unreliable for the youngest stars we sample, as pre-main-sequence stars that are still contracting exhibit a significant spread in rotation periods for a given age. As such, we do not directly make use of gyrochronological relationships to directly compare against literature-reported isochrone-derived ages. We do make use of the age-rotation relationships to quantify the membership contamination rate for our sample.

We make use of the Bouma et al. (2023) gyrochronology relationship to convert rotation periods to age posteriors on a star-to-star basis. This is only performed for a subsample of stars that exhibit rotational variability and are not identified to be binaries based on their light curves and Gaia stellar parameter flags. We find that 9% of stars have gyrochronologybased posteriors with 1 σ age upper limits >200 Myr, making them inconsistent with the bounds of our sample selection. In addition, late-type young stars should exhibit significant stellar activity due to spot-induced modulations in their light curves. Our manual vetting flagged 30% of G and K stars as not exhibiting significant photometric variations. As such, we conservatively adopt a membership contamination rate ranging over 10%–30% for our parent sample in this study.

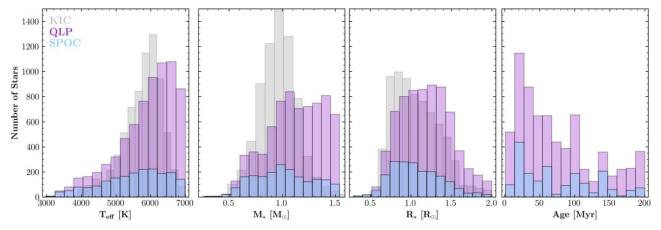


Figure 2. Histograms of the stellar properties of the parent stellar sample in our survey. To select our parent population, we perform a radius cut at $<2 R_{\odot}$, a mass cut at $<2 M_{\odot}$, a temperature cut at <7000 K, and an age cut at <200 Myr. The stellar sample observed by SPOC (1927 stars) is shown in blue, and the stellar sample observed by TESS in the FFIs (7154 stars) is shown in purple. The left panel shows a histogram of the effective temperatures of the parent sample, the middle panel shows a histogram of the stellar masses, and the right panel shows a histogram of the stellar radii (Stassun et al. 2019). We plot a random sample of 7154 stars of the Kepler Input Catalog (Mathur et al. 2017) in gray for comparison to our parent sample.

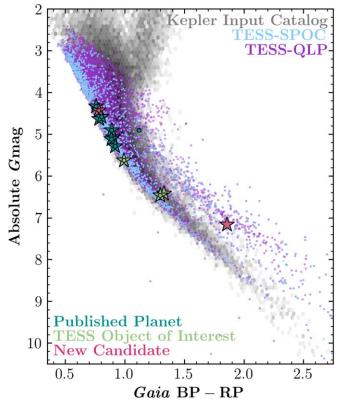


Figure 3. CMD of the stellar population. The comoving stars that have been kinematically aged to be ≤ 200 Myr that have been observed by TESS in 2 minute cadence (light blue) and in the TESS FFIs (purple) are plotted over the Kepler Input Catalog (Mathur et al. 2017; gray). Overlaid are the planet hosts identified in our SPOC (circles) and the QLP (stars) surveys. The dark blue are published planetary systems, the green are known TESS Objects of Interest (TOIs), and the pink are new planet candidates identified in this search.

In addition, we also specifically check for consistency between the literature-reported ages and our gyrochronologyderived ages for the associations that host new planet candidates identified in this study. Figure 4 shows our measured rotation period distributions of LCC, Theia 116, and Theia 214 against those of previously well-characterized populations. These associations host new planet candidates.

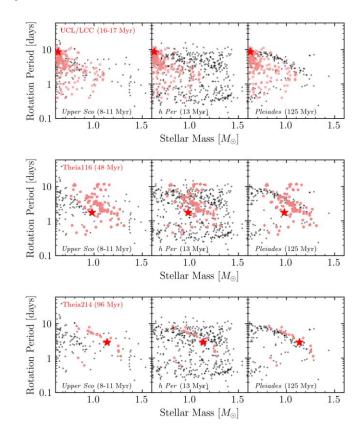


Figure 4. Stellar rotation period plotted as a function of stellar mass for stars identified to host planet candidates (red star) and the members of their respective stellar associations (light red): UCL/LCC (upper panel), Theia 116 (middle panel), and Theia 214 (lower panel). We compare the rotation periods of the stellar associations to rotation periods of well-characterized clusters at a variety of stages: Upper Sco (left; Rebull et al. 2018), h Per (middle; Moraux et al. 2013), and Pleiades (right; Rebull et al. 2016; Godoy-Rivera et al. 2021). We compare our derived rotation periods from the TESS QLP light curves to check for youth, and identify possible falsely identified nonmembers.

We find general consistency between their literature ages to the rotation distributions of their members, and that no planet candidates need to be excluded from our occurrence rate due to their parent association being older than 200 Myr.

4. Planet Detection Pipeline

We describe in the sections below our transit search routine, as well as a series of checks to analyze the completeness and reliability of our transit search pipeline. We present our recovered planets and candidates in Table 2 and Figure 5.

4.1. Detrending Stellar Activity

Young stars present additional challenges during the detrending processes of their light curves as a result of heightened activity. Photometric variations on the scale of 1%–2% due to rapid stellar rotation, along with stellar flares, require special attention.

We perform iterative sigma clipping outlier rejection for major flare rejection and then model and subtract the photometric signal induced by rapid stellar rotation. Our detrending method is as follows.

- 1. Perform an initial spline fit of the stellar rotational variability via the routine described in Vanderburg et al. (2019).
- 2. Identify flare rejection masks via 10 iterations of upward outlier rejection at the 5σ level with standard deviation calculated by scaling the measured median absolute deviation value.
- 3. Refit stellar variability via the spline model to the raw light curve with the flare rejection masks applied and flatten the resulting light curve (e.g., see Figure 10).

4.2. Box Least-squares Transit Detection

With the detrended light curves, we perform a box leastsquares (BLS; Kovács et al. 2002) search to identify planetary transits in the TESS light curves. We sample 100,000 periods linearly spaced between 0.5 and 20 days and 500 transit durations linearly sampled between 0.008 times and 0.08 times each tested orbital period.

4.3. Vetting Threshold-crossing Events

We identify any signal with a calculated signal-to-pink noise ratio (Hartman & Bakos 2016) ≥ 8 to be a threshold-crossing event (TCE). For each individual TCE, we perform a visual inspection to vet for false positives resulting from both astrophysical events and detrending artifacts. Each candidate event is inspected to determine if the signal that triggered the TCE is transit shaped. Further, we require a minimum of three transit events in order to determine a period.

We search for the presence of secondary eclipses and differences in the depths between even and odd transits to determine if the transit is from an obvious EB. For identified TCEs, we fit for the transit depth of even and odd transits respectively to rule out EB scenarios. To measure the transit depth, we fix all parameters except for transit depth, δ . The transits are modeled using batman (Kreidberg 2015). We explore the best-fit transit depth and posteriors with a Markov Chain Monte Carlo run implemented with emcee (Foreman-Mackey et al. 2013). We require the difference in our calculated odd and even transit depths to be $<5\sigma$ to not be classified as an EB, though no reported candidate has an odd-even difference $> 3\sigma$ in our sample. We visually vet for secondary eclipses by phase folding the detrended TESS light curves at the derived TCE period and transit time. The entire

phase-folded light curve is visually inspected for signs of secondary eclipses. Targets exhibiting EB-like secondary eclipses, including those in eccentric orbits, are excluded as planet candidates.

We check for obvious nearby eclipsing binaries (NEBs) by comparing the pixel-by-pixel light curves centered around the target star to determine if the signal that triggered the TCE is consistent with being on target. We then perform a centroid analysis with the TESS FFIs to create difference images using the transit-diffImage code base¹² following the techniques described in Bryson et al. (2013). For each candidate in each sector, we produce a difference image between the in-transit and out-of-transit pixel stamps. We calculate the centroid of the target star via the TESS pixel response function fit to the difference image. The calculated centroid is compared against the expected centroid of the target star based on a catalog projection. Some offset in the difference image is expected if nearby stars are present, as the centroid naturally shifts away from the dimming star during transit. We flag any centroid offset > 1 pixel for visual inspection to determine if the high centroid offset is due to an astrophysical false positive.

Remaining candidates were then checked for planetary multiplicity. We masked the initial signal that triggered the TCE in the nondetrended light curves and then repeated the detrending and transit search (see Sections 4.1 and 4.2). Any signal that passes our S/N criteria is visually vetted in the same method as mentioned above. We additionally check for aliasing of the original signal recovered. All remaining candidates are compared to the list of TOIs and known planets.

Our stellar sample contained 26 TOIs (see Table 2), 22 of which were within our search parameters (0.5 days $\leq P \leq 20$ days and 1 $R_{\oplus} \leq R_P \leq 8$ R_{\oplus}), as well as two previously previously confirmed transiting planets from K2. Of these, 16 have both FFI light curves and 2 minute cadence light curves (see Table 2), as well as the two K2 planets. Of the 22 known TOIs within our survey parameters, 20 are either planet candidates or confirmed planets, the remaining two have been ruled as either false alarms or false positives by the TESS Follow-up Observing Program Working Groups (TFOP WG; SG1 and SG2).

We recovered all known TOIs in our planet search using the SPOC light curves, including 15 planet candidates within our search parameters and the known planets V1298 Tau c and d. Our QLP planet search recovered all 20 TOIs within our parameters but was unable to recover V1298 Tau c and d. Our pipeline identified a signal corresponding to V1298 Tau c, with a signal-to-pink noise ratio of 6.29, which did not trigger a TCE. Upon visual inspection of the FFI light curves for V1298 Tau, the long cadence of the FFIs and the heightened stellar activity subsequently diluted the transit signal in our detection pipeline.

We identified new planet candidates TIC 88785435.01, TIC 150070085.01, TIC 434398831.01, and TIC 434398831.02 (S. Vach et al. 2024, in preparation) in our QLP search. Out of the new planet candidates, only TIC 150070085.01 also has SPOC data and is independently identified by our SPOC search. These candidates are described in detail in Appendix C.

¹² https://github.com/stevepur/transit-diffImagehttps://github.com/ stevepur/transit-diffImage

 Table 2

 Planets and Candidates Identified in Our Search

TIC Name	$R_P (R_{\oplus})$	P (days)	Pipeline ^a	$T_{\rm eff}$ (K)	Age (Myr)	Association	False-positive Rate
Confirmed and verified planets							
15756231 V1298 Tau c (a)	5.16 ± 0.38	8.25	SPOC	5160	23	Taurus–Auriga Complex	0
15756231 V1298 Tau d (a)	6.43 ± 0.46	12.41	SPOC	5160	23	Taurus–Auriga Complex	0
299798795 TOI-1224.01 (b)	1.90 ± 0.050	4.18	SPOC and QLP	3390	178	MELANGE-5	0
299798795 TOI-1224.02 (b)	3.00 ± 0.14	17.95	SPOC and QLP	3390	178	MELANGE-5	0
360630575 HD 109833 b (c)	2.89 ± 0.15	9.19	QLP	5880	26	LCC	0
360630575 HD 109833 c (c)	2.59 ± 0.2	13.90	QLP	5880	26	LCC	0
384984325 TOI-6109.01 (d)	4.51 ± 0.46	8.54	SPOC and QLP	5760	55	Theia 133	0
384984325 TOI-6109.02 (d)	2.78 ± 0.32	5.70	SPOC and QLP	5760	55	Theia 133	0
410214986 DS Tuc Ab (e)	5.70 ± 0.17	8.14	SPOC and QLP	5410	40	Tuc-Hor	0
441420236 AU Mic b (f)	4.20 ± 0.20	8.46	SPOC and QLP	3590	25	β Pic	0
441420236 AU Mic c (f)	3.24 ± 0.16	18.86	SPOC and QLP	3590	25	β Pic	0
460205581 TOI-837 b (g)	8.60 ± 1.0	8.32	SPOC and QLP	6100	35	IC 2602	0
464646604 HIP 94235 b (h)	3.00 ± 0.21	7.71	SPOC and QLP	5990	120	AB Dor	0
434398831 TIC 434398831.01 (i, j)	3.65 ± 0.28	3.69	QLP	5550	48	Theia 116	0
434398831 TIC 434398831.02 (i, j)	5.41 ± 0.32	6.21	QLP	5550	48	Theia 116	0
257605131 TOI-451 b (k)	1.94 ± 0.15	1.86	SPOC and QLP	5480	120	Pisces-Eridanus	0
257605131 TOI-451 c (k)	3.07 ± 0.14	9.19	SPOC and QLP	5480	120	Pisces-Eridanus	0
257605131 TOI-451 d (k)	4.03 ± 0.15	16.36	SPOC and QLP	5480	120	Pisces-Eridanus	0
Planet candidates							
46631742 TOI-5358.01 (i)	2.61 ± 0.14	2.66	SPOC and QLP	4640	134	Theia 369	0.01126 ± 0.00088
157081737 TOI-6095.01 (i)	1.87 ± 0.16	2.16	SPOC and QLP	5260	106	Theia 301	0.1204 ± 0.0020
460950389 TOI-6715.01 (i)	4.52 ± 0.19	2.86	QLP	4740	35	Theia 92	0.00538 ± 0.00047
88785435 TIC 88785435.01 (i)	4.88 ± 0.28	10.51	QLP	4000	16	UCL	0.0947 ± 0.0037
150070085 TIC 150070085.01 (i)	3.64 ± 0.41	10.47	SPOC and QLP	6070	96	Theia 214	0.0312 ± 0.0067
Planets and planet candidates not i	ncluded in the o	ccurrence r	ate calculation				
36332984 TIC 36332984.01 (i)	4.40	6.33	QLP	5400	84	Theia 217	
47720259 TOI-2519.01 ^b	2.29	6.96	QLP	4740	94	Theia 204	
64837857 TOI-6550.01 ⁿ	14.1	20.3	SPOC and QLP	6740	43	Theia 117	
86951294 TOI-4596.01 ^b	2.72	4.12	SPOC and QLP	5600	55	Theia 133	
166527623 HIP 67522 b (l) ^c	10.1	6.96	SPOC and QLP	5770	17	Sco-Cen	
238395674 TIC 238395674.01 (i)			QLP	5700	22	Theia 12	
238395674 TIC 238395674.02 (i)			QLP	5700	22	Theia 12	
259606227 TOI-6555.01 (i) ^d	3.77	10.1	QLP	6800	172	Theia 436	
441546821 HD 114082 b (m) ^c	11.2		SPOC and QLP	6610	16	LCC	
TOIs not included in the occurrence	e rate calculatio	n					
14091633 TOI-447.01 ^e	23.5	5.52	SPOC and QLP	6430	35	Crius 164	
151284882 TOI-2595.01 ^e	3.32	3.81	QLP	6030	48	Theia 116	
117689799 TOI-3504.01 ^e	14.2	2.16	SPOC and QLP	5860	99	Theia 254	
133505138 TOI-4359.01 ^f	2.47	2.436	SPOC and QLP	6530	91	Theia 215	
164150539 TOI-1372.01 ^g	8.22	6.16	SPOC and QLP	5770	100	Theia 312	
294500964 TOI-2550.01 ^g	10.5	9.07	SPOC and QLP	5740	83	Theia 211	
457939414 TOI-1990.01 ^h	11.8	3.78	SPOC and QLP	6080	35	Theia 92	

Notes.

^a The pipeline column identifies which of our surveys recovered the signal.

^b No signatures of youth in spectroscopic follow up.

^c Not in our survey parameters.

^d Low signal-to-noise ratio (S/N), ambiguous signal-not a TOI as of 2023 February.

^e Ambiguous planet candidate.

^f Nearby eclipsing binary (EB) SG2.

^g Nearby planet candidate.

^h EB SG2.

References. (a) David et al. (2019); (b) P. Thao et al. (2024, in preparation); (c) Wood et al. (2023); (d) A. Dattilo et al. (2024; in preparation); (e) Newton et al. (2019); (f) Plavchan et al. (2020); (g) Bouma et al. (2020); (h) Zhou et al. (2022); (i) This work; (j) S. Vach et al. (2024, in preparation); (k) Newton et al. (2021); (l) Rizzuto et al. (2020); and (m) Zakhozhay et al. (2022).

4.4. False-positive Rate

Our planet detection pipeline recovered 14 confirmed planets within our SPOC parent sample, and 16 confirmed planets in the QLP parent sample. For planets with confirmed planet dispositions by the TFOP WGs, we adopt a false-positive rate of zero, as these planets have undergone extensive spectroscopic and photometric follow-up observations to rule out false-positive scenarios.

Five remaining planet candidates have not received sufficient follow up to be categorized as verified or validated planets. As such, there exists a possibility that they are the result of astrophysical false-positive scenarios, instrumental systematics, stellar variability, detrending artifacts, etc. There are insufficient

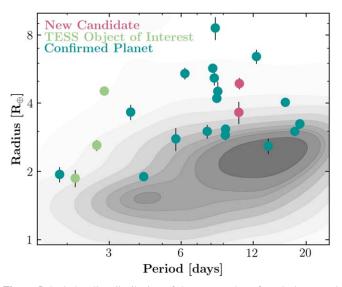


Figure 5. Period–radius distribution of the recovered confirmed planets and planet candidates in our SPOC and QLP surveys. Published planets are shown in blue, planet candidates that are known TOIs are shown in green, and planet candidates newly identified in this work are shown in pink. We plot the contour distribution of the known transiting exoplanet population in gray.

planet candidates around young stars from which we can derive a secure false-positive rate estimate.

To attain false-positive estimates, we make use of the publically available TRICERATOPS (Giacalone et al. 2021) code to estimate the probability that a transit signal is planetary in nature or the result of an astrophysical false positive. We explore the impact on the false-positive rates when derived using blend analyses codes, including TRICERATOPS and MOLUSC (Wood et al. 2021). The false-positive rates from TRICERATOPS are adopted in our occurrence rate calculations hereafter and are typically 1%-5% for a given candidate. When we adopt TRICERATOPS and MOLUSC together, the falsepositive rate approaches 0% for all candidates, and its impact on the calculated occurrence rates is the same as if we assume all candidates are true planets. This upper-bound case is further explored in Appendix B. We do not attempt to differentiate the false-positive rates between multi- and single-planet systems. We present our calculated false-positive rates in Table 2.

We adopt a false-positive rate of 0% for TIC 434398831.01 and TIC 434398831.02, which are presented in this work. These are characterized as confirmed planets as space- and ground-based follow up have ruled out any false-positive scenarios (see Appendix C).

We perform a series of checks to illustrate our false-positive rate assumptions do not significantly influence our derived occurrence rates (see Appendix B).

4.5. Candidates Identified but Not Included in the Occurrence Rate Calculations

We identify nine planets or planet candidates that are not included in our occurrence rate calculations. These are presented in Table 2. HIP 67522 b (10.1 R_{\oplus} ; Rizzuto et al. 2020), HD 114082 (single transit, 11.2 R_{\oplus} ; Zakhozhay et al. 2022), and TOI-6550.01 (14.1 R_{\oplus} , P = 20.3 days) were recovered in both our SPOC and QLP pipelines, but are not included in our occurrence rate calculations as they fall outside the bounds our survey investigates. We note that TOI-2519.01 (QLP only) and TOI-4596.01 (SPOC and QLP), which we recover in our survey, both show no Li features in groundbased follow-up observations. Upon visual inspection, their respective light curves are also not consistent with youth. We therefore exclude them from our occurrence rate calculations as they are likely not members of their respective clusters. TOI-4596 has an additional single transit event, but we are unable to constrain the period.

TOI-6555.01 is excluded as our vetting processes did not find it to be consistent with being on target. Additionally, TOI-6555.01 was not alerted until Sector 65, which was not made available until after 2023 February.

We identify two new additional candidates, TIC 36332984.01 and TIC 238395674.01, which both pass all of our initial vetting procedures, but we are unable to uniquely determine the orbital periods. TIC 238395674 is likely a multiplanet system, where we observe two transits of TIC 238395674.01 and an additional single transit of TIC 238395674.02. Therefore we do not include these candidates in our occurrence rate calculations.

Additionally, TOI-6715, previously identified as PATHOS 31 (Nardiello et al. 2020), has both QLP and SPOC data. However, as of 2023 February only the QLP data were available, therefore we only include TOI-6715 in our QLP occurrence rate calculations, but not in our SPOC occurrence rate calculations.

4.6. False-positive TESS Objects of Interest Not Included in the Occurrence Rate Calculations

We identified seven TOIs that failed our own vetting process but were identified as TOIs by QLP and/or SPOC Transiting Planet Search. These TOIs are presented in Table 2.

Follow-up observations by the TFOP WGs rule five as nearby planet candidates, meaning the signal triggering the TCE is from a planet transiting a nearby star, or ambiguous planet candidates. TFOP WG SG2 identified the remaining two as a NEB and an EB respectively.

5. Injection Recovery Test

We perform a set of transit injection and recovery tests to test the completeness of the transit detection pipeline. We perform 1000 iterations of transit injections into each of the nondetrended 7154 QLP and 1927 SPOC light curves. The injected transits are modeled via batman (Kreidberg 2015), with periods, P, and radii, R_p , sampled from log-uniform distributions ranging from 0.5 days to 20 days and 1 R_{\odot} to 10 R_{\odot} , respectively, impact parameters, b, selected from a uniform distribution, between 0 and 0.8, and a transit time, T_0 , uniformly selected from a random phase. The models are resampled to 2, 10, and 30 minute cadences, and multiplied into the nondetrended QLP and/or SPOC light curves for each target. The injected light curve is then run through our detection pipeline as described in Section 4.

An injected planet is recovered if the BLS signal-to-pink noise ratio threshold is met and if the output ephemeris matches that of the injection. As with our planet detection pipeline, we require a TCE to have a signal-to-pink noise ratio ≥ 8 . The detected TCE is also required to have its detected transit time and period from the BLS to match the injected time and period with a significance of $\Delta \sigma_P \geq 2.5$ and $\Delta \sigma_T \geq 2$ (see Coughlin et al. 2014 for definitions of $\Delta \sigma_P$ and $\Delta \sigma_T$).

We present our derived injection and recovery maps in Figure 6. These maps present our full series of injection and recovery tests summed across the entire parent stellar

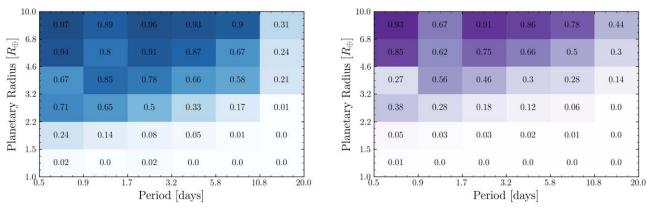


Figure 6. Recoverability maps from a series of injection and recovery tests performed on each star in our SPOC (left) and QLP (right) surveys. We inject 1000 simulated planet signals into the light curves of every star within our survey sampling orbital periods between 0.5 and 20 days and radii between 1 and 10 R_{\oplus} . The darker shades indicate a higher recoverability rate.

population of QLP and SPOC respectively. Our recoverability of larger planets ($\gtrsim 5 R_{\oplus}$) is consistent across the two surveys, but we see a sharper decline in recoverability in the region between 2 and 4 R_{\oplus} for QLP compared to SPOC. This is consistent with our expectations due to the difference in stellar populations between the two samples. The on-average larger stellar radii in the QLP sample lead to more difficulties in recovering smaller planets.

We also investigate our susceptibility to false alarms with an inverted light-curve test, as described in Appendix A, finding our manual vetting to be sufficient in removing such cases.

6. Occurrence Rate Calculations

6.1. Forward Modeling

We perform a forward modeling test to compare the demographics of planets around young stars against that of the Kepler distribution. We synthesize a population of planets using the Kepler statistics as presented in Kunimoto & Matthews (2020) and compute the expected number of recovered planets as per our survey efficiency. The forward modeling process allows us to compare the expected characteristics of the planet population against those that we identify from the young stellar sample.

We adopt the Kunimoto & Matthews (2020) Kepler occurrence rates for F, G, and K stars. We draw the occurrence rates assuming half-Gaussians based on the asymmetric uncertainties in Kunimoto & Matthews (2020) for each of the simulations. For each star in our parent sample, we simulate a planetary system based on its Kepler period-radius planet frequency grid for its specific stellar type.¹³ The planets are first randomly assigned into a period-radius cell based on the twodimensional cumulative probability. Then, their period and radius are drawn within the cell assuming log-uniform distributions in both axes. We then account for transit probability and detection probability as per the injection and recovery exercise described in Section 5. For each planet, the transit probability is calculated as $0.8 \times R_{\star}/a$, as we reject near-grazing candidates with impact parameters of b > 0.8 due to their difficulty for confirmation. Detection probability is computed as the percentage of recovered planets within a single

period–radius bin as shown in Figure 6 from our injection and recovery exercise. This forward modeling process is performed 100 times each for the QLP and SPOC samples to quantify its associated uncertainties. The resulting comparison between the expected population and that identified from the TESS sample is presented in Figure 7.

We compare the predicted planet yield from the forward modeling to the detected sample further in Section 6.3. The forward modeling comparison accounts for intrinsic differences in occurrence rates due to the differing host star populations sampled by the QLP and SPOC samples from TESS and the Kepler sample. Such differences, such as that of SPOC preferentially sampling later-type stars, may contribute to an intrinsic shift in the planet occurrence rates compared to that from the Kepler sample, as well as a difference in the measured occurrence rates between the two TESS subsamples.

6.2. Approximate Bayesian Computation

We use a population Monte Carlo approximate Bayesian computation (ABC-PMC; Beaumont et al. 2009) method to estimate the occurrence rates of young planets. We use the ABC-PMC algorithm implemented in the python sampler cosmoabc (Ishida et al. 2015), described in Kunimoto & Matthews (2020), which requires three elements:

- 1. a prior probability distribution, where we adopt the perbin polynomial distribution as implemented in Kunimoto & Matthews (2020),
- 2. a forward modeled population, used to generate a simulated set of data to compare to observations, and
- 3. a distance function, used to determine the consensus between the simulated data and the observations.

We use the prior probability distribution described in Kunimoto & Matthews (2020) and modify their forward modeling method and distance function to accurately represent the characteristics of the TESS data, rather than Kepler data. Typically, in Kepler occurrence studies, the recoverability of transit signals is computed by a function dependent on their estimated S/Ns based on injection and recovery experiments (e.g., Christiansen et al. 2015). In our study, we also require recoverability to be a function of the host star spectral type, such that the differences in stellar variability and transit duration are accounted for. This approach is also computation-ally more efficient compared to the per-star recovery map

 $^{^{13}}$ The definition of the stellar-type boundaries are adopted to be the same as Kunimoto & Matthews (2020) For the M dwarfs in our sample, we use the occurrence rates for K stars to approximate their planet occurrence rate.

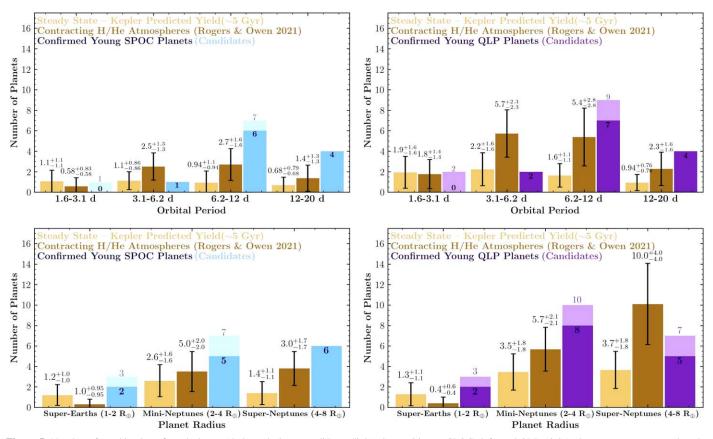


Figure 7. Number of combined confirmed planets (dark) and planet candidates (light) detected in our SPOC (left) and QLP (right) planet surveys compared to the expected yield (light yellow) from our forward model calculations using the Kepler occurrence rates (Kunimoto & Matthews 2020) and the expected yield from evolution models for hydrogen-dominated (dark yellow) planets (Rogers & Owen 2021) as a function of orbital period (top) and planet radius (bottom). We find a significant excess in planets with orbital periods between 6.2 and 12 days around young stars. We also find a mild excess of young super-Neptunes compared to the predicted Kepler yield. Note, the forward modeled population as a function of orbital period does not include planets in the super-Earth bin to allow for a more direct comparison to our estimated occurrence rates, as we do not attempt to derive an occurrence rate for the $1-2 R_{\oplus}$ bin. We find that the yield in both our QLP and SPOC surveys is consistent with evolutionary models for the contraction of hydrogen-dominated atmospheres undergoing photoevaporative escape.

method detailed in our Section 6.1. We use one realization of an ABC-PMC run to test that the S/N recovery curve approach yields similar occurrence rates compared to the per-star recovery map approach and use the recovery curves for the final occurrence rate results presented in Section 7.

We follow Kovács et al. (2002) to derive the expected S/N of an injected transit signal for the SPOC observations. We adopt a similar S/N calculation for the QLP light curves, modified to account for its varying cadences (30 and 10 minutes),¹⁴ and we modify the calculation as follows.

For each injected planet with transit depth δ , we split the light curves of the star into two segments with the same cadence, and estimate the number of transits, $N_{tr,i}$, and the average number of points in transit, $N_{in,i}$ for each segment. The expected S/N is then expressed as:

$$S/N_{\star} = \delta \sqrt{\sum_{i} \frac{N_{tr,i} N_{in,i}}{\sigma_{i}^{2}}},$$
(1)

where σ_i is the per-point noise level, and is calculated as $1.48 \times$ the median average deviation of the light curve.

Figure 8 shows our computed recoverability as a function of S/N by stellar type in the QLP and SPOC survey. Our recoverability for both surveys plateaus at ~80%.

In addition, we adopt a distance function similar to Kunimoto & Matthews (2020) Equation (21) but require the computation to be done over the radius, period, and stellar-type bins, rather than just radius and period. This allows us to account for the differences in stellar types in our parent stellar samples.

Following Hsu et al. (2019) and Kunimoto & Matthews (2020), we first fit for the occurrence rates over a fine period-radius grid. We break the period-radius space into bins between periods of 0.78, 1.56, 3.125, 6.25, 12.5, and 20 days and radii of 0.5, 0.71, 1.41, 2.0, 2.83, 4.0, 5.66, 8.0, 11.31, and 16 R_{\oplus} . For each period-radius cell, the occurrence rate is obtained from fitting five radius bins (two on each side in radius dimension) simultaneously. The edge radius bins (0.5–1.41 R_{\oplus} and 8–16 R_{\oplus}) are then excluded from the reported occurrence rates. These finer-resolution occurrence rate values are then combined into the final occurrence rates we report in larger cells in Section 7.

To account for the radius uncertainty of each planet and false-positive probability of the unconfirmed planet candidates in our sample, we perform multiple realizations of the occurrence rate calculations. At each realization, the radius of each planet is drawn from a Gaussian distribution about their fitted mean and standard deviation values. Each unconfirmed planet candidate is included, or excluded, based on a random draw as per their false-positive probability (see Section 4.4). 10

 $[\]frac{14}{30}$ and 10 minute observations; at the time we downloaded the light curves there were no 200 s FFI light curves made available on MAST.

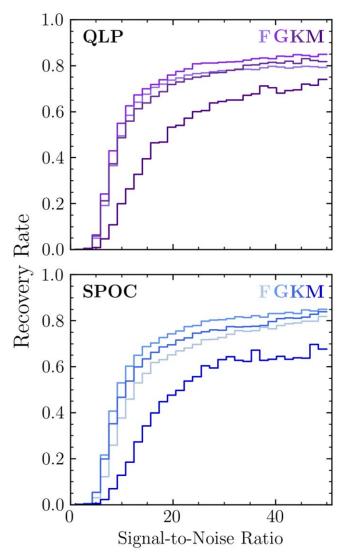


Figure 8. Recovery rate as a function of expected transit S/N derived for the QLP (top panel) and SPOC (bottom panel) samples. We compute the recovery rate for F-, G-, K-, and M-type stars individually to illustrate potential differences that might incur from differing levels of stellar variability between the spectral classes.

realizations of the SPOC and QLP samples are performed, with their resulting posteriors concatenated to attain the final occurrence rate posterior distribution, such that the uncertainties associated with planet radius and false-positive rate are incorporated into our reported occurrence rates.

Additionally, we account for the possibility of stars falsely identified as members of kinematically young comoving groups in our parent stellar population. Our survey uses catalogs collated from Gaia kinematic associations described in Gagné et al. (2018), Kounkel & Covey (2019), Ujjwal et al. (2020), and Moranta et al. (2022). Our analysis of the rotational variability of the stars in our parent sample indicates 10%–30% of the stellar population does not exhibit rotational variations consistent with the literature ages. To incorporate this uncertainty in our parent stellar population, we randomly sample 70%–90% of the parent stellar population in each of our 10 realizations to estimate our occurrence rates. Each of the identified planet candidates and confirmed planets shows signs of variability consistent with literature ages; as such we do not resample the planet population.

Due to our visual vetting process, we induce nonreproducible biases, especially near the detection limit, as signals induced by smaller planets are more challenging to identify by eye. This would manifest as an underrepresentation of the derived occurrence rates for small planets. In this work, we do not correct our completeness maps to account for the possibility of planets being mislabeled as false positives or false alarms in our vetting process. We therefore do not attempt to derive an occurrence rate for the $1-2 R_{\oplus}$ bin, which would be most heavily impacted by this bias.

6.3. Comparing Occurrence Rates and Forward Modeling Expectations

We find general agreement between the forward modeling results and the occurrence rate calculations. The results from our forward model exercises are presented in Figure 7.

Comparing bulk planet occurrence rates between different host star populations can be made difficult by the numerous factors on which planet frequencies depend. A number of works have demonstrated that the occurrence rate of small planets depends strongly on spectral type (e.g., Kunimoto & Matthews 2020). The forward modeling approach accounts for spectral type dependencies of the host population.

The forward modeled planet yield agrees well with that recovered from the young planet population for mini-Neptunes, and for short-period (1.6–6.2 days) planets. This agreement is also reflected in the occurrence rate results, where the differences between our survey results and those from the Kepler sample differ by $<2\sigma$. We find an excess of super-Neptunes, and planets at longer period orbits compared to that expected from the forward model, resulting in a noteably higher occurrence rate for these subpopulations as discussed in Section 7.1.

6.4. Comparing the QLP and SPOC Results

The derived occurrence rates for our SPOC and QLP samples are presented in Figure 9. There exist differences between the QLP and SPOC samples at the $1-1.5\sigma$ level. We find a generally lower planet occurrence rate in the QLP sample compared to the SPOC sample. The small planet and parent stellar population sample size makes it difficult to interpret the validity of this difference.

Figure 2 shows the QLP parent sample is skewed toward earlier-type stars. Kunimoto & Matthews (2020) find K dwarfs to host $2-3 \times$ more planets in the $2-8 R_{\oplus}$ range compared to F dwarfs. The potential differences in the QLP and SPOC occurrence rates highlight the difficulty in a direct interpretation of planet frequency results without also accounting for the bulk parent stellar type. If such stellar-type dependencies are validated, it points to the paucity of small planets around F stars being developed early in their evolutionary history, as expected due to the differing levels of irradiation received by these planets around early-type stars.

Target stars selected as a part of the initial TESS mission and TESS Guest Investigator Programs to have their light curves sampled at 2 minute cadences may bias the derived SPOC occurrence rate. These young stars may have been known to host planets (i.e., V1298 Tau) or have been identified as planet candidate hosts in the FFIs subsequently receiving SPOC observations during the extended TESS mission, resulting in an overall higher occurrence rate compared to the QLP sample.

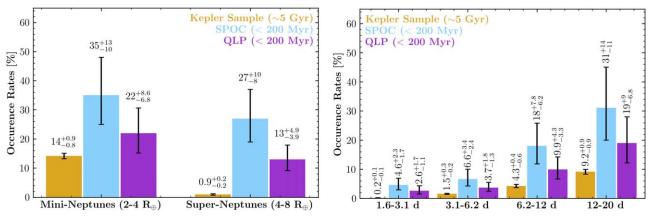


Figure 9. Occurrence rates for short-period, small planets around stars younger than 200 Myr in known clusters from the TESS 2 minute cadence observations (blue) and FFIs (purple) as a function of planet radius (left) and orbital period (right). We compare our derived occurrence rates to that of a mature population (gold) as derived from the Kepler yield (Kunimoto & Matthews 2020).

Further, the SPOC sample favors later-type stars, which are known to have higher occurrence rates (e.g., Kunimoto & Matthews 2020).

It is also likely the contamination rate for cluster membership of the parent population is different for QLP and SPOC. The low occurrence rate of planets in the QLP sample is more consistent with that of the mature planet population. To investigate the impact of our false-positive rate for cluster membership on the derived occurrence rates, we repeat our occurrence rate calculations as described in Section 6 without resampling the parent stellar population for the 10%-30% assumed contamination rate. Using the full stellar population observed by SPOC, we derive an occurrence rate of 28^{+11}_{-85} % for mini-Neptunes and $25^{+8.6}_{-7}\%$ for super-Neptunes. For the full QLP population, we calculate an occurrence rate of $17^{+6.4}_{-5.0}$ % for mini-Neptunes and $8^{+2.9}_{-2.3}$ % for super-Neptunes. The excess of super-Neptunes is still prevalent in these calculations, indicating that the uncertainties on our occurrence rates (see Section 7.1) are derived from the small planet number statistics rather than the membership contamination rate of our parent sample. Similarly, we find the excess of Neptune-sized planets with periods of 6.2–12 days as well in the full stellar sample. We derive an occurrence rate of $17^{+7.3}_{-5.6}$ % for the 6.2–12 day bin with the SPOC sample and $8^{+3.5}_{-2.6}$ % with QLP. Secure young star membership lists may help future studies resolve the discrepancies between the derived SPOC and QLP occurrence rates.

7. Occurrence Rate Results

The computed occurrence rates are shown in Figure 9. We break down the occurrence rates as a function of planet radius over the mini-Neptune $(2-4 R_{\oplus})$ and super-Neptune $(4-8 R_{\oplus})$ ranges. Due to only detecting two super-Earths in our SPOC and QLP surveys, we do not attempt to derive an occurrence rate for the $1-2 R_{\oplus}$ bin. We also break down the occurrence rates as a function of orbital period, over bins of 1.6-3.1, 3.1-6.2, 6.2-12, and 12-20 days.

For short-period (1.6–20 days), small planets (2–8 R_{\oplus}) younger than 200 Myr, we derive an occurrence rate of 61^{+14}_{-13} % from our SPOC survey and $33^{+10}_{-7.3}$ % from our QLP survey. For comparison, the Kepler occurrence rate for similar planets in this period range is $15.0^{+1.1}_{-1.3}$ %. This result is qualitatively consistent with previous works that show an increase in the planet occurrence rate about young stars (e.g., Rizzuto et al. 2017; Christiansen et al. 2023; Fernandes et al. 2023).

7.1. The Planet Distribution about Young Stars is Different to that of the Mature Population

In both the QLP and SPOC samples, we find (1) a mild excess of super-Neptunes in the young planet population and (2) a significant excess of Neptune-sized planets at ~ 10 day orbital periods in the young planet population.

7.1.1. We Find a Mild Excess of Super-Neptunes Orbiting Young Stars

Previous young planet censuses have found a higher occurrence rate of super-Neptunes (e.g., Rizzuto et al. 2017; Christiansen et al. 2023; Fernandes et al. 2023) at ages up to that of the Hyades cluster. David et al. (2021) and Berger et al. (2023) found the distribution of small planets is continuously modified over a billion-year timescale, pointing to the role core-powered mechanisms play in shaping the mature planet population.

Our work presents the radius distribution of planets among one of the youngest stellar distributions studied so far. We find a mild excess of super-Neptune-sized planets around the youngest surveyed population in the literature. The Kepler sample yielded an occurrence rate of $0.9\% \pm 0.2\%$ for super-Neptunes in the mature population (Kunimoto & Matthews 2020). We derive higher occurrence rates for super-Neptunes (SPOC: $27^{+10}_{-8}\%$, differing to the equivalent Kepler occurrence rate at 2.9σ significance; QLP: $13^{+3.9}_{-4.9}\%$, differing from Kepler at 2.8σ) in our <200 Myr sample (see Figure 9). A similar significance exists in the forward modeling comparison, at 2.4σ for SPOC and 1.4σ for QLP. These qualitatively agree with previous works showing an excess of inflated planets around young stars.

7.1.2. We Find a Significant Excess of ~10 day Period Planets Around Young Stars

The period distribution of young planets appears distinctively different from that of the mature population. Within our SPOC sample, we predict $0.94^{+1.1}_{-0.94}$ planets between 6.2 and 12 days and find seven. The same excess of ~10 day period planets is also apparent in our QLP sample. The Kepler statistic predicts 1.6 ± 1.1 planets between 6.2 and 12 days, while we recover nine such planets.

This apparent period evolution between our <200 Myr sample and that of the Kepler distribution helps us understand the mechanisms that shape the sub-Saturn desert. Hallatt & Lee (2022) and Thorngren et al. (2023) find that XUV-driven mass loss can lead to runaway envelope stripping of the least dense hot Saturns. In this scenario, close-in planets with initial radii > 22 R_{\oplus} undergo runaway mass loss within 100 Myr. In addition, close-in planets that have undergone runaway mass loss remain puffy at an ~8 R_{\oplus} radius due to residual heat, before cooling and contracting to ~4 R_{\oplus} at timescales of over ~500 Myr.

Hansen & Murray (2013) find that an initial overdensity of planets in the 5–10 day orbital period range is a natural result of the in situ formation of rocky cores with the subsequent accretion of gaseous envelopes. This distance corresponds to the dust sublimation radius for Sun-like stars (Swift et al. 2013). Tidal evolution models from Hansen & Murray (2015) show that this initial buildup of planets at \sim 8 days can migrate inward via tidal dissipation and eccentricity damping over a billion-year time-scale if they exist in compact multiplanet systems that mutually undergo eccentricity excitation. Such tidally driven effects may be responsible for smoothing the overdensity of young planets into the mature planet period distribution.

7.2. Comparison to Hydrogen–Helium-dominated Planet Evolution Models

Mapping the distribution of young planets has the potential to disentangle otherwise degenerate modes of planet formation. Some super-Earths and Neptune-sized planets may have formed in close-in volatile- and gas-depleted regions of the protoplanet disk (e.g., Lee et al. 2014), while others may be composed of water-rich envelopes and were formed further out (e.g., Zeng et al. 2019; Luque & Pallé 2022). These different formation modes produce planets of similar bulk physical properties in the mature planet distribution. In the water-rich scenario, the planets may have formed in the outer ice-rich protoplanet disk, migrating inward to their current locations (e.g., Mordasini et al. 2009). These planets may have silicate cores, icy mantles, and steam atmospheres (e.g., Rogers & Seager 2010; Zeng et al. 2019).

In the alternate scenario, the planets may be born with a thick hydrogen-helium envelope surrounding an iron-silicate core in the volatile-depleted inner regions of the disk (e.g., Lee et al. 2014). Some of these hydrogen-helium-dominated planets may undergo runaway mass loss early in their evolution driven by boil-off (Ginzburg et al. 2016; Owen & Wu 2016), photo-evaporation (Lopez & Fortney 2013; Owen & Wu 2013), and core-powered (Ginzburg et al. 2018; Gupta & Schlichting 2019) mechanisms. Owen & Schlichting (2023) suggested that a combination of these mechanisms is likely acting with timescales from ~100 Myr to gigayears, although the details of this timeline require further study.

These different formation pathways differ most drastically early in their evolution. In particular, hydrogen-helium-dominated atmospheres are expected to experience rapid contraction within the first few hundred million to billion years of evolution (e.g., Lopez et al. 2012; Chen & Rogers 2016), while steamdominated atmospheres experience very mild radius contraction as they cool (Lopez et al. 2012; Lopez 2017), and our young planet population should resemble that of the Kepler distribution. Furthermore, atmospheric escape mechanisms should be evident with an increased frequency of planets $\gtrsim 1.8 R_{\oplus}$ around younger stars. As some of the planets have their atmospheres stripped and transition to below the radius valley, this frequency should decrease with time.

To test these predictions, we perform a forward modeling exercise using the models presented in Rogers & Owen (2021) and Rogers et al. (2023). These models consider the thermal contraction and photoevaporative mass loss for small, close-in exoplanets hosting hydrogen-helium atmospheres. We apply our forward modeling framework (Section 6.1) to a population of synthesized planets orbiting around our stellar population, to test for consistency between the observed distribution and a synthetic distribution of planets evolving through these physical processes.

For each star in the underlying SPOC and QLP stellar samples presented in Table 1, we forward model 100 planets, assuming the underlying core mass, initial atmospheric mass fraction, core composition, and orbital period distributions inferred in Rogers & Owen (2021). The synthesized planets have ages corresponding to that estimated for each respective association as listed in Table 1. We then randomly draw planets from this sample to match the Kepler occurrence rates, as done in Rogers & Owen (2021). This population therefore represents a sample of young planets orbiting around our observed stellar sample, under the photoevaporation model, which would eventually evolve to reproduce the Kepler sample of \sim 5 Gyr old super-Earths and mini-Neptunes.

We compare the predicted yield to our QLP and SPOC planet yields in Figure 7. The models are in agreement at the 0.5–1.5 σ level, showing the derived occurrence rates in this work are consistent with the contraction of hydrogen-dominated atmospheres undergoing photoevaporative escape (Rogers & Owen 2021). Of note, one can see that the occurrence rate of super-Neptunes in the Rogers & Owen (2021) models is increased when compared to the Kepler sample in Figure 9. This is because the young mini-Neptunes in this model host significant hydrogen–helium atmospheres that are inflated to super-Neptune sizes for ages < 200 Myr. While the results are generally inconsistent with noncontracting steam-based atmospheres (see Figure 7), we leave model comparisons of evolving hydrogen versus high mean-molecular weight (e.g., steam) atmospheres for future work.

8. Conclusion

Understanding how the occurrence rates of small, shortperiod planets evolve as a function of age can unveil the universal mechanisms driving planetary evolution. This paper presents the occurrence rates for young (≤ 200 Myr), shortperiod (1.6–20 days), small (2–8 R_{\oplus}) planets from both the short-cadence and FFI light curves from TESS.

- 1. We conduct two independent planet surveys with the SPOC data (1927 stars) and the FFIs (7154 stars) obtained from TESS targeting known moving groups and cluster members with literature ages ≤ 200 Myr (Gagné et al. 2018; Kounkel & Covey 2019; Ujjwal et al. 2020; Moranta et al. 2022).
- 2. We identify a total of 23 planets, including four new planet candidates in the SPOC and QLP data previously not identified as TOIs. Initial ground-based follow up rule out any obvious astrophysical false positives.

3. We derive occurrence rates for young, short-period, small planets using an ABC approach, finding an increase in the occurrence of super-Neptunes within the ≤ 200 Myr population, consistent with models of planetary evolution, and a significant excess of planets residing at ~ 10 day orbital periods when compared to the mature population of exoplanets.

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Facility: TESS.

Software: astropy (Astropy Collaboration et al. 2013, 2018, 2022), batman (Kreidberg 2015), cosmoabc (Ishida et al. 2015), emcee (Foreman-Mackey et al. 2013), Matplotlib (Hunter 2007), MOLUSC (Wood et al. 2021), Numpy (van der Walt et al. 2011), scipy (Virtanen et al. 2020), and TRICERATOPS (Giacalone & Dressing 2020).

Appendix A Inverted Light-curve Test

False alarms are particularly prevalent at lower S/Ns. Kunimoto et al. (2023) illustrate the presence of an excess of false alarms from BLS searches of TESS light curves at periods related to both astrophysical and instrumental signatures. Specifically, Kunimoto et al. (2023) found buildups of BLS false-alarm detections at aliases of the TESS orbit, \sim 13.7 days. As this work performs a BLS search for planets with periods on

the order of a TESS orbit and $0.5 \times$ a TESS orbit, we perform a blind planet search on both inverted and noninverted light curves to test the reliability of our detections.

We randomly sample 1000 stars in both our QLP and SPOC parent population to quantify our false-alarm rate. We ensure that both subsamples include the light curves of all planet hosts detected in this work (see Table 2). For each individual light curve, we perform a random draw to determine if the light curve is to be inverted. For stars selected to have their light curves inverted, we follow Thompson et al. (2018), multiplying the zero-mean flux by -1 to invert the light curve. Each light curve is run through our planet detection pipeline (see Section 4).

From our test, no signals from our inverted light-curve sample were wrongly classified as planet candidates. Of the positive light curves, all planet candidates were successfully recovered. In the QLP test sample, all the planet hosts were randomly selected to have their light curves inverted, none of which triggered a TCE. In the SPOC test sample, we identified TOI-2550.01 and DS Tuc Ab as planet candidates, neither of which had their light curves inverted. Additionally, HD 114082 b triggered a TCE, however, the period and radius are not within our search parameters and therefore was not identified as a planet candidate. The remaining planet hosts all had their light curves inverted. The inverted light curve of AU Mic triggered a TCE, but our vetting procedure rejected the event due to asymmetries in the detected possible transit events.

Appendix B

Robustness of the Occurrence Rate on Sample Assumptions

To verify our derived occurrence rate calculations, we perform a series of checks to test the occurrence rates' robustness to astrophysical false positives and false positives induced by human vetting.

B.1. Impact of the Renormalised Unit Weight Error Threshold on the Occurrence Rates

We investigate the impact of binarity on our derived occurrence rates by repeating our occurrence rate calculations without removing high-RUWE stars. We collate our stellar parent population following Section 3. We find 8852 stars with TESS observations. Of which, 8505 were observed in the FFIs and 2243 were observed in the target pixel stamps.

The occurrence rates derived from the full sample, without attempting to filter for binarity, agree with our presented occurrence rates at the $<1\sigma$ level. Similarly to the occurrence rates presented in Figure 9, we find a mild excess of super-Neptunes and a significant excess of Neptune-sized planets at orbital periods ~ 10 days in the population without filtering for binarity. We search for transiting planets following Section 4, and characterize our pipeline completeness following Section 5. The planet sample recovered is identical to the sample presented in Table 2. We follow Section 6, and perform 10 realizations of both the QLP and SPOC occurrence rate calculations, with each realization randomly sampling 70%–90% of the stellar population to account for the membership contamination rate. See Table 3 full results.

B.2. Impact of the False-positive Rate Assumptions on the Occurrence Rates

To investigate the degree to which our adopted false-positive rate assumptions via TRICERATOPS impact our derived

Occurrence Rate Bin	This work ^a	Without Filtering for Binarity	Confirmed Planets only	All Planets and Planet Candidate	
SPOC					
Mini-Neputnes (2–4 R_{\oplus})	$35^{+13}_{-10}\%$	$31^{+12}_{-9.4}\% (0.25\sigma)$	$29^{+12}_{-9.3}\%$ (0.38 σ)	$36^{+12}_{-9.9}\% (0.063\sigma)$	
Super-Neputnes (4–8 R_{\oplus})	$27^{+10}_{-8}\%$	$21^{+8.5}_{-6.3}\%$ (0.51 σ)	$27^{+10}_{-8.5}\%$ (0 σ)	$31^{+12}_{-8.9}\%$ (0.29 σ)	
1.6-3.1 days	$4.6^{+2.3}_{-1.7}\%$	$3.6^{+1.9}_{-1.3}\%~(0.39~\sigma)$		$4.4^{+2.2}_{-1.6}\%$ (0.072 σ)	
3.1-6.2 days	$6.6^{+3.4}_{-2.4}\%$	$6.5^{+5}_{-2.5}\%$ (0.021 σ)	$5.7^{+2.9}_{-2}\%$ (0.24 σ)	$10^{+3.6}_{-3.3}\%$ (0.75 σ)	
6.2–12 days	$18^{+7.8}_{-6.2}\%$	$18^{+6.7}_{-5.9}\%$ (0 σ)	$19^{+8.3}_{-6.4}\%$ (0.098 σ)	$21^{+8.4}_{-6.4}\%$ (0.29 σ)	
12-20 days	$31^{+14}_{-11}\%$	$23^{+12}_{-88}\% (0.49\sigma)$	$29^{+14}_{-10}\% (0.12\sigma)$	$31^{+14}_{-11}\%$ (0 σ)	
QLP					
Mini-Neputnes (2–4 R_{\oplus})	$22^{+8.6}_{-6.8}\%$	$18^{+7.9}_{-5.5}\%$ (0.39 σ)	$17^{+7.2}_{-5.1}\%$ (0.51 σ)	$19^{+8.1}_{-6.2}\%$ (0.28 σ)	
Super-Neputnes (4–8 R_{\oplus})	$13^{+4.9}_{-3.9}\%$	$9.5^{+4}_{-2.9}\%$ (0.63 σ)	$8.0^{+3.0}_{-2.3}\%$ (0.98 σ)	$10^{+4.1}_{-3.1}\%$ (0.53 σ)	
1.6–3.1 days	$2.6^{+1.7}_{-1.1}\%$	$1.6^{+0.84}_{-0.61}\%$ (0.63 σ)		$2.0^{+1.1}_{-0.7}\%$ (0.36 σ)	
3.1–6.2 days	$3.7^{+1.8}_{-1.3}\%$	$3.9^{+1.8}_{-1.3}\%$ (0.090 σ)	$2.8^{+1.3}_{-1}\%$ (0.47 σ)	$3.5^{+2}_{-1.3}\%~(0.088\sigma)$	
6.2–12 days	$9.9^{+4.3}_{-3.3}\%$	$7.8^{+3.8}_{-2.7}\% (0.42\sigma)$	$7.3^{+3.4}_{-2.6}\%$ (0.53 σ)	$8.6^{+3.7}_{-2.8}\%$ (0.26 σ)	
12–20 days	$19^{+9}_{-6.8}\%$	$14^{+7.6}_{-5.5}\% (0.49\sigma)$	$13^{+6.9}_{-4.8}\% (0.61\sigma)$	$15^{+7.7}_{-5.6}\%$ (0.39 σ)	

Table 3 Calculated Occurrence Rates

Note.

^a Sigma differences are computed against this column. These values are the same as presented in Figure 9.

occurrence rates, we explore the bounding cases where (a) all planet candidates are counted as confirmed planets and (b) only verified/published planets are included in our occurrence rate calculation and all planet candidates are treated as false alarms. We calculate the occurrence rates following Section 6.

In both bounding cases, we find that the main conclusions presented in Section 7 are also present: a mild excess of super-Neptunes and a significant excess of planet with orbital periods ~ 10 days when compared to the Kepler statistics. Our full results are presented in Table 3.

Appendix C New Planetary Candidates

We report from our planet search the following new planet candidates previously unidentified in the public TESS candidate list: TIC 150070085.01 (SPOC and QLP), TIC 88785435, and TIC 434398831.01 and TIC 434398831.02 (QLP).

All new candidates have been submitted to the TFOP WG for ground-based follow-up observations for further confirmation and characterization. We also conducted photometric and spectroscopic ground-based follow-up observations for TIC 434398831 to allow us to rule out any false-positive scenarios. Further, TIC 434398831 has been observed by the CHaracterising ExOPlanet Satellite (CHEOPS; Benz et al. 2021) as a part of AO-4 program PR230002 (PI: S. Vach).

Precise planet radii and periods are key for calculating planetary occurrence rates. To properly characterize the newly identified planet candidates, we perform a global model to constrain the planetary parameters for the individual systems. We follow Zhou et al. (2021) and model the stellar properties and transit light-curve parameters simultaneously. The free parameters for our global model are stellar mass, M_{\star} , stellar radius, R_{\star} , the orbital period, P, the time of transit center, T_0 , the radius ratio, R_P/R_{\star} , transit inclination *i*, and eccentricity parameters $\sqrt{e} \cos \omega$ and $\sqrt{e} \sin \omega$ that influence the transit duration. The limb-darkening parameters are fixed to those interpolated from Claret (2017). The transits were calculated using the BATMAN (Kreidberg 2015) implementation of the Mandel & Agol (2002) models. At each iteration, we interpolate the stellar parameters onto a MIST isochrone (Dotter 2016) to estimate their magnitudes. These isochrone

magnitudes are compared against that observed in the Gaia, (Gaia Collaboration et al. 2016), the Two Micron All Sky Survey, and Wide-field Infrared Survey Explorer bands, corrected for the distance modulus via the Gaia parallax. We calculate a likelihood function incorporating the magnitudes and light curves. The best-fit parameters and posteriors are explored via a Markov Chain Monte Carlo run via emcee (Foreman-Mackey et al. 2013). The derived planet radii and associated uncertainties are incorporated into our occurrence rate calculations (See Section 6). We present our best-fit values for the new planet candidates identified in this work below.

C.0.1. TIC 150070085

TIC 150070085 was observed by TESS in Sectors 20 and 47, receiving both 2 minute target pixel stamps and FFI observations. TIC 150070085.01 was identified in both our SPOC and QLP planet search with a signal-to-pink noise ratio of 8.2 and 9.5 respectively. Our vetting diagnostic figure for TIC 150070085.01 is shown in Figure 10. We utilize the 2 minute cadence observations for our global model.

TIC 150070085 ($T_{\rm eff} = 6070 \pm 146$ K) is located in the Theia 214 moving group. We use our derived age to inform our model by constraining the stellar age. Our global best-fit model for the TIC 150070085 system finds $R_P = 3.64 \pm 0.41 R_{\oplus}$, $t_0 = 2458843.8908 \pm 0.0041$ BJD, $P = 10.4745 \pm 0.0036$ days, and $t_{\rm dur} = 0.1466 \pm 0.0031$ days. The stellar rotation period, $P_{\rm rot} = 3.0$ days, calculated from the QLP light curves shows the rotational spread for Theia 214 is consistent with the literature age ~ 100 Myr.

C.0.2. TIC 88785435

TIC 88785435 was observed by TESS in Sectors 11 and 38 in the FFIs. TIC 88785435.01 was identified in our QLP planet search with a signal-to-pink noise ratio of 9.47. Figure 11 shows our vetting diagnostic figure for TIC 88785435.01.

TIC 88785435 ($T_{\rm eff} = 4000 \pm 150$ K) is located in the UCL/ LCC moving group. We use our derived age to inform our model by constraining the stellar age. Our global best-fit model for the TIC 88785435 system finds $R_P = 4.88 \pm 0.28$ R_{\oplus} , $t_0 = 2458609.2308 \pm 0.0036$ BJD, $P = 10.508907 \pm 0.000035$

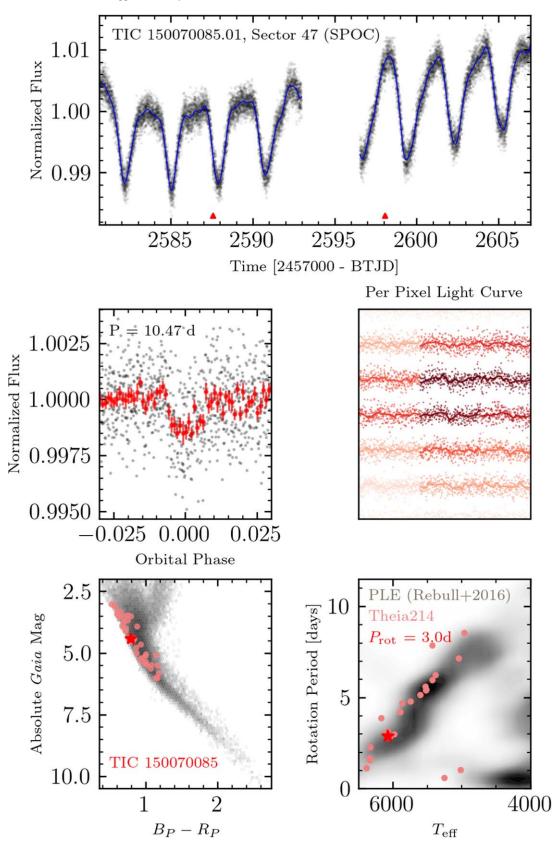


Figure 10. Vetting plot for TIC 150070085.01. The upper panel shows the TESS SPOC light curve (gray) from Sector 47. We model the stellar variability with a spline (blue) and mark the transits in the full light curves (red triangles). The middle panels show the phase-folded binned light curve (red) on the left using the BLS period and transit times overlaid with the full phase-folded FFI light curve (gray) and the per-pixel FFI light curves on the right. The bottom panel shows the CMD of the candidate (red star) and its comoving group (light red), Theia 214 (96 Myr). The Kepler Input Catalog (Mathur et al. 2017) CMD is overplotted for reference (gray scale). On the right, we plot our derived stellar rotation periods as a function of effective temperature of TIC 150070085 (red star) and the members of Theia 214 (light red). The rotation periods of the 120 Myr old Pleiades association are overlaid in gray for reference (Rebull et al. 2016).

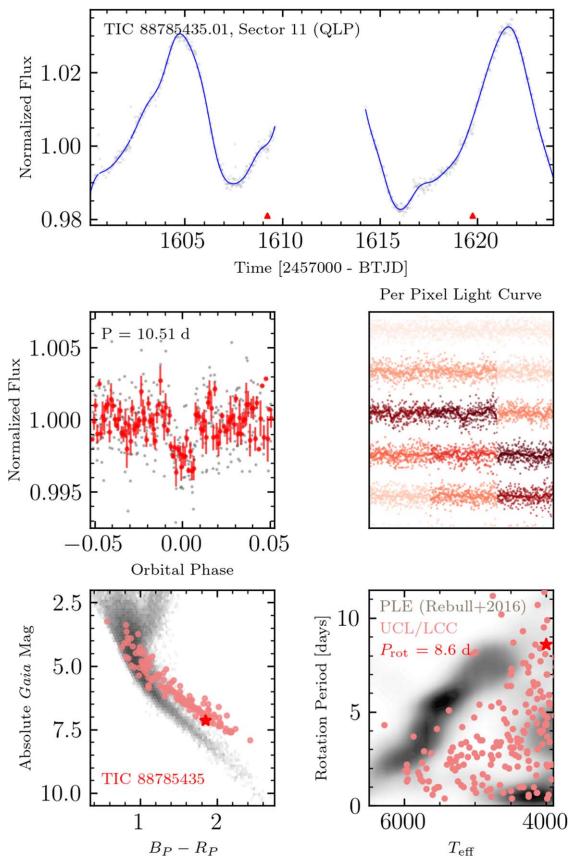


Figure 11. Vetting plot for TIC 88785435.01 following the style of Figure 10.

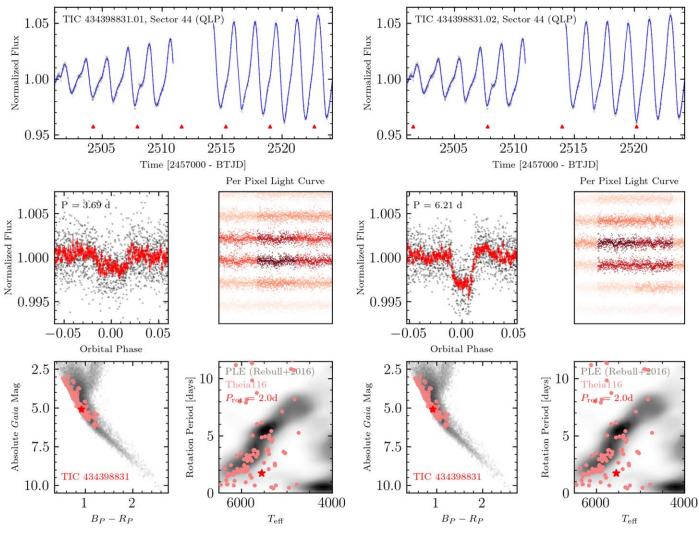


Figure 12. Vetting plots for TIC 434398831.01 (left) and TIC 434398831.02 (right) following the style of Figure 10.

days, and $t_{dur} = 0.1466 \pm 0.0031$ days. The stellar rotation period, $P_{rot} = 8.6$ days, is calculated from the QLP light curves, consistent with the literature age of UCL/LCC.

C.0.3. TIC 434398831

TIC 434398831 (S. Vach et al. 2024, in preparation) received FFI observations over TESS Sectors 6, 33, 43, 44, and 45. Our planet search identifies two planet candidates, TIC 434398831.01 (signal-to-pink noise ratio of 11.8) and TIC 434398831.02 (signal-to-pink noise ratio of 15.7). Our best-fit parameters for TIC 434398831.01 are $R_P = 3.65 \pm 0.28 R_{\oplus}$, $P = 3.68551266 \pm$ 0.000019 days, $t_0 = 2458468.6318 \pm 0.0052$ BJD, and $t_{dur} =$ 0.1061 \pm 0.0014 days, and for TIC 434398831.02 they are $R_P = 5.41 \pm 0.32 R_{\oplus} P = 6.210377 \pm 0.000017$ days, $t_0 =$ 2458470.6110 \pm 0.0027 BJD, and $t_{dur} = 0.1289 \pm 0.010$ days. Figure 12 shows our vetting diagnostic plots for TIC 434398831.01 and 02.

The host star TIC 434398831 is on the premain sequence, with an effective temperature of $T_{\rm eff} = 5554 \pm 144$ K. Previous works place TIC 434398831 in the Theia 116 moving group. We measure the stellar rotation period, $P_{\rm rot} = 2.0$ days, using the FFI light curves. After analyzing the members of Theia 116, we find the rotational spread to be consistent with ~50 Myr, agreeing with the isochrone-derived age.

Three transits of TIC 434398831.01 and two transits of TIC 434398831.02 have been observed by ESA's CHEOPS mission (AO-4 program PR230002). Paired with extensive LCO ground-based follow up of TIC 434398831.01 and TIC 434398831.02, we were able to clear the field of NEBs and rule out any false-positive scenarios. Therefore, we classify TIC 434398831.01 and TIC 434398831.02 as confirmed planets in our occurrence rate calculations and adopt false-positive rates of zero for both (see Table 2).

Appendix D Rotation Analysis Plots

Our parent stellar population is a collation of multiple catalogs (see Section 3) from various clustering studies (Gagné et al. 2018; Kounkel & Covey 2019; Ujjwal et al. 2020; Moranta et al. 2022). To independently measure the field star contamination rate of our collated stellar population, we make use of the TESS FFI light curves to measure the rotation periods and variability signatures of stars in our survey with $T_{\rm eff} < 6500$ K. As we do not attempt to measure rotation periods longer than the duration of a single TESS orbit, we sample periods between 0.5 and 12 days using a Lomb–Scargle periodogram. Figure 13 shows examples of vetting figures that were used to classify rotational modulation light curves.

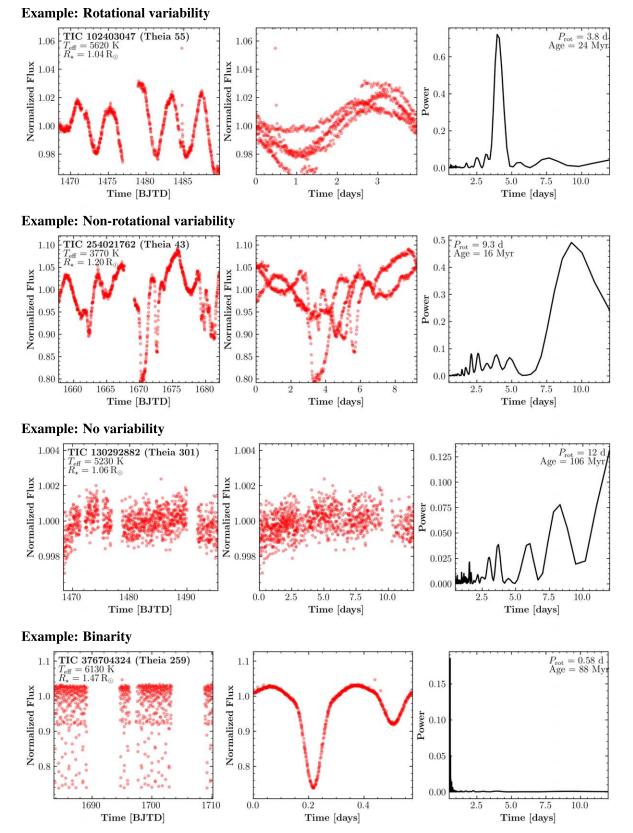


Figure 13. Sample rotation vetting plots. Each row shows the nondetrended FFI light curve (left) from a single sector of TESS observations, the phase-folded light curve based on the measured rotation period (middle), and the Lomb–Scargle (Lomb 1976; Scargle 1982) periodogram (right) sampling rotation periods between 0.5 and 12 days. The first panel displays an example rotational vetting plot in which a measured rotational period was identified. The second panel is an example of a variable star, but an incorrect/no rotation period is measured. The third panel illustrates an example where there is no apparent activity or rotation measured. The fourth panel is an example of a binary system.

visually examine each light curve for signatures of rotational variability, and report the measured rotation periods where possible in Table 1.

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Vach et al.

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