

**1 Multidecadal variability in the transmission of ENSO**  
**2 signals to the Indian Ocean**

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3 Since 1980, transmission of El Niño-Southern Oscillation (ENSO) signals  
4 into the Indian Ocean involves an equatorial, and a subtropical North Pa-  
5 cific (NP) Rossby wave pathway. We examine the robustness of the amount  
6 of energy that leaves the Pacific via each of the pathway using the Simple  
7 Ocean Data Assimilation with the Parallel Ocean Program (SODA-POP)  
8 reanalysis and a multi-century coupled model control experiment. We find  
9 that in the pre-1980 period, little ENSO signal is transmitted to the Indian  
10 Ocean and does not involve the subtropical NP pathway. Such multidecadal  
11 variability is periodically produced by the climate model. Examinations re-  
12 veal that when ENSO is weak as determined by Niño3.4, their meridional  
13 extent is narrow, the associated discharge-recharge does not involve the sub-  
14 tropical NP pathway; further, weak ENSO events have a low signal-to-noise  
15 ratio, making the transmission hard to detect. The dynamics of multidecadal  
16 variability in ENSO strength awaits further investigation.

## 1. Introduction

17 Pacific Ocean variability is known to transmit to the Indian Ocean. The consensus  
18 is that variations in zonal Pacific equatorial winds force a response primarily along the  
19 Western Australia (WA) coast [*Clarke, 1991; Meyers, 1996; Masumoto and Meyers, 1998;*  
20 *Potemra, 2001; Wijffels and Meyers 2004; Cai et al., 2005a*]. The energy off the WA coast  
21 arises from equatorial Rossby waves generated by zonal wind anomalies in the central  
22 equatorial Pacific which become coastally trapped waves where the New Guinea coast  
23 intersects the Pacific equator. In addition to the equatorial pathway, *Cai et al.* [2005a]  
24 found an off-equatorial pathway: using an updated thermal analysis covering over 20 years  
25 since 1980 from the Australian Bureau of Meteorology Research Center (BMRC) [*Smith,*  
26 *1995*], they showed that subtropical NP Rossby waves associated with ENSO impinge on  
27 the western boundary and move equatorward along the pathway of Kelvin-Munk waves  
28 [*Godfrey, 1975*], and reflect as equatorial Kelvin waves. The reflected Kelvin waves im-  
29 pinge on the Australasian continent and move poleward along the northern WA coast as  
30 coastally-trapped waves, radiating Rossby waves into the south Indian Ocean. *Cai et al.*  
31 [2005a] showed that some 55% of the total interannual variance of the WA thermocline  
32 is linked to the subtropical NP Rossby waves and participate in the ENSO recharge-  
33 discharge [*Jin, 1997; Meinen and McPhaden, 2000*]. Here we examine the robustness of  
34 the amount of energy transmission via each of the pathway in the pre-1980 period and  
35 find that there are significant differences to the post-1980 period. We then examine the  
36 presence of such multidecadal variability in a coupled climate model.

## 2. Data and Method

37 We utilize the newly available reanalysis of SODA-POP (version 1.4.2) [*Carton and*  
38 *Giese, 2006*]. The new model product uses the European Center for Medium Range Fore-  
39 casts ERA-40 atmospheric reanalysis winds. It has a spatial resolution of  $0.5^\circ$  latitude  
40 by  $0.5^\circ$  longitude grid, and covers a period from 1958 to 2001. Both the SODA-POP  
41 and BMRC reanalyses independently incorporated Expendable Bathythermograph pro-  
42 files and time-series from the Tropical Atmosphere Ocean buoy array [*McPhaden et al.,*  
43 *1998*]. We find that there are remarkable differences in the transmission between the pre-  
44 and post-1980 periods. To examine the robustness of such multidecadal variability, we  
45 take outputs of a multi-century control experiment with the new CSIRO coupled climate  
46 model (version 3.5). The new version simulates a more realistic transmission process,  
47 although it still suffers from the common cold tongue bias, i.e., the equatorial Pacific cold  
48 tongue extends too far west. The ENSO frequency is reasonably simulated as reported  
49 earlier [*Cai et al., 2004*], but the amplitude is too large. Despite these deficiencies the  
50 model produces similar multidecadal variations in the transmission.

### 3. ENSO cycle and transmission into the Indian Ocean in the pre- and post-1980 period

51 Figure 1 displays the lag-correlation between Niño3.4 and  $D20$  at various lags for the  
52 post-1980 (left column) and pre-1980 (right column) from SODA-POP. The ENSO cycle  
53 is well simulated in both periods but with noticeable differences. The meridional extent is  
54 narrower in the pre-1980 period. This difference, and the feature of stronger ENSO since  
55 1980, have been observed by previous studies [*Wang, 1995; Wallace et al., 1998; Wang*

56 *and An, 2001*]. A central difference is that little signal is transmitted into the Indian  
57 Ocean in the pre-1980 period.

58 In the post-1980 period (left column), 9 months prior to the peak of an El Niño, the  
59 pattern in the equatorial Pacific (5°S- 5°N) shows a recharged phase, but an off-equatorial  
60 upwelling Rossby wave (indicated by negative contours) develops and radiates from the  
61 eastern boundary, and is reinforced in the vicinity of (155°W, 17°N). After a strong growth  
62 en-route westward, it impinges on the western boundary, moves equatorward and then  
63 reflects back as an equatorial Kelvin wave (Lag -3). The reflected Kelvin wave then forces  
64 a coastally-trapped wave, which propagates poleward along the WA coast, contributing  
65 to a discharged phase of an El Niño at Lag 0. The discharge off the WA coast reaches  
66 a maximum approximately three months after an El Niño peaks (Lag +3). Thus some  
67 of the signal along the central WA coast can be traced to the subtropical NP. This is  
68 the subtropical NP pathway described by *Cai et al.* [2005a] and SODA-POP simulates  
69 this well. The phase speed of the off-equatorial Rossby waves is far faster than that of  
70 observed Rossby waves [*Chelton and Schlax, 1997; Cipollini et al., 2001*]; however, these  
71 waves are not free Rossby waves but are strongly controlled by wind anomalies or by the  
72 atmosphere-ocean coupling [*Cai et al., 2005a*].

73 Rossby waves in the pre-1980 period are closer to the equator, mostly within about  
74 10°S-10°N. As a result, there is little transmission via the subtropical NP pathway. This  
75 is further illustrated in Fig. 2, which shows lag correlation between  $D20$  at the NP western  
76 boundary (Philippine Sea (PS) box, 120°E-125°E, 12.5°N-17.5°N) and  $D20$  everywhere.  
77 To compare with Fig. 1, the PS  $D20$  is sign-reversed so that a discharge signal is rep-

resented by negative correlations. Rossby wave propagation is seen in both periods, but in the post-1980 period (left column), there are strong coherence between ENSO and NP Rossby waves and clear signal transmission to the WA coast; these features are virtually absent in the pre-1980 period (right column).

Does the energy leave the Pacific Ocean via the equatorial pathway in the pre-1980 period? We conduct a lag-correlation analysis of  $D20$  anomalies with time series of  $D20$  anomalies averaged over a central WA box ( $112^{\circ}\text{E}$ - $120^{\circ}\text{E}$ ,  $15^{\circ}\text{S}$ - $22^{\circ}\text{S}$ ) (Fig. 3). To compare with Fig. 1, the WA  $D20$  is sign-reversed. Maximum discharge off the WA coast appears at Lag 0, and at Lag -3 it corresponds to an El Niño peak (at Lag 0 in Fig. 1).

For the post-1980 period (left column, Fig. 3) there is a strong similarity between Fig. 1 and Fig. 3, and the WA anomaly is predominantly generated by ENSO processes. In the pre-1980 period (right column, Fig. 3), the evolution is vastly different. There is little correlation between WA  $D20$  and anomalies elsewhere at most lags, except at Lag -6, when weak but significant correlations exist in the western equatorial Pacific. Corresponding maps of correlation with zonal winds also show a maximum in the western equatorial Pacific at Lag -6, implying that some Pacific signals do propagate through the equatorial pathway. Nevertheless, the overall lack of correlation suggests that in the pre-1980 period the transmission via the equatorial pathway is so weak that it does not manifest above the stochastic noise.

What we have described above is the difference of the statistical properties between the two periods. Within each period, the proportion of energy transmission via each pathway varies significantly from one event to another; for example, in the 1997 event,

100 transmission via the NP pathway is smaller than that via the equatorial pathway. Despite  
101 this, it is rather significant that the statistical property of events over one 20-year period  
102 is so different from that over another 20-year period, highlighting the existence of an  
103 underlying mechanism.

104 The thermocline in the Pacific Ocean has been changing on decadal timescales,  
105 and could have affected the Indian Ocean [markcite*McPhaden and Zhang, 2002;*  
106 markcite*Annamalai et al., 2005*]. This is supported by our results: the stronger post-1980  
107 ENSO discharge signals contribute to a shallowing thermocline in the southern tropical  
108 Indian Ocean (figure not shown) and could affect the development of the Indian Ocean  
109 Dipole (IOD), by pre-conditioning a shallower thermocline [markcite*Annamalai et al.,*  
110 2005] as the associated stronger easterly anomalies lift the thermocline. These might ex-  
111 plain the better defined IOD pattern during the post-1980 period (Fig. 1, lowest panel).

#### 4. The dynamics

112 Pre-1980 ENSO events are weaker and have a narrower meridional extent than the  
113 post-1980 ENSOs (Fig. 1) [*Wang, 1995*]. Does such multidecadal variability in the ENSO  
114 properties contribute to the difference in the pre- and post-1980 transmission? We take  
115 outputs of the new CSIRO multi-century control experiment and examine if similar mul-  
116 tidecadal variations exist. Time series of WA  $D20$  and Niño3.4 are constructed from the  
117 coupled model outputs and a 20-year sliding window is used to calculate the correlation  
118 between them at Lags +3 and +6 (Fig. 4, black and blue curve). We calculated more  
119 than one lag in case the model transmission signal does not peak at exactly the same time  
120 as in SODA-POP. The model transmission undergoes similar multidecadal fluctuations:

121 in some 20-year periods the correlation is not significant, i.e., little is transmitted or gen-  
122 erated; in other periods the correlation reaches as high as 0.8. We then calculate a time  
123 series of standard deviation of Niño3.4 using a 20-year sliding window (red curve, Fig.  
124 4). The amplitude fluctuates significantly, between about 0.6°C and 1.1°C. The central  
125 point is that a strong correlation exists between the standard deviation curve (red) and  
126 the Lag +3 curve (black) with a correlation of 0.79: a strong transmission is seen when  
127 ENSO events are strong, and vice versa.

128 Maps of correlation (not shown) between Niño3.4 and  $D20$  everywhere and between the  
129 WA  $D20$  and  $D20$  everywhere for the strong (centered at year 315) and weak (centered  
130 at year 215) transmission periods resemble those of Figs. 1 and 3 for the post- and  
131 pre-1980 periods, respectively. For the weak transmission period (year 215), there is  
132 little involvement of the subtropical NP pathway and the meridional extent of the ENSO  
133 anomaly is narrower. These contrasts are also reflected in maps of one-standard deviation  
134 anomaly patterns of SST and surface wind associated with ENSO for the strong (left  
135 column, Fig. 4) and weak (right column, Fig. 4) transmission periods, reminiscent of the  
136 difference between the post- and pre-1980 periods in SODA-POP.

137 During strong-ENSO periods, the tropical Indo-Pacific system is overwhelmed by ENSO  
138 signals, therefore the ratio of “ENSO signal to stochastic noise” is greater than that  
139 during weak-ENSO periods. To illustrate this, we define signal as the standard deviation  
140 associated with the Niño3.4, determined from a linear regression onto the Niño3.4 index,  
141 and noise as the standard deviation of the residual after removing ENSO signals. Maps of  
142 such ratios for  $D20$  for SODA-POP and the coupled model are displayed in Fig. 5. The



143 ratios are generally much larger for the strong-ENSO periods. The results are therefore  
144 consistent with the multidecadal variation of the Indo-Pacific teleconnection depicted in  
145 Figs. 1-4, and provide an explanation as to why in weak-ENSO periods a transmission  
146 signal might not manifest itself above stochastic noises.

## 5. Conclusions

147 Based on data since 1980, ENSO discharge-recharge signals are believed to transmit  
148 into the Indian Ocean arriving mainly at the WA coast via an equatorial pathway, and  
149 a subtropical NP pathway. The present study examines the robustness of energy leaving  
150 the Pacific via these pathways. Using SODA-POP, we find that in the pre-1980 period,  
151 little ENSO signal is transmitted to the Indian Ocean. The lack of transmission results  
152 from two interconnected factors: firstly, the NP pathway is not involved because of a  
153 narrower meridional extent of ENSO; secondly, the ENSO events are weaker leading to  
154 smaller transmission signals via the equatorial pathway that are drowned under stochas-  
155 tic noise. A multi-century coupled climate model experiment reproduces these features,  
156 confirming that these are not artefacts of the reanalysis system. The presence of these  
157 multidecadal fluctuations in our model without climate change forcing suggests that the  
158 stronger discharge in the post-1980 may not be green-house induced. The dynamics that  
159 drive the multidecadal fluctuations of ENSO properties need to be investigated, and this  
160 will be pursued in another paper.

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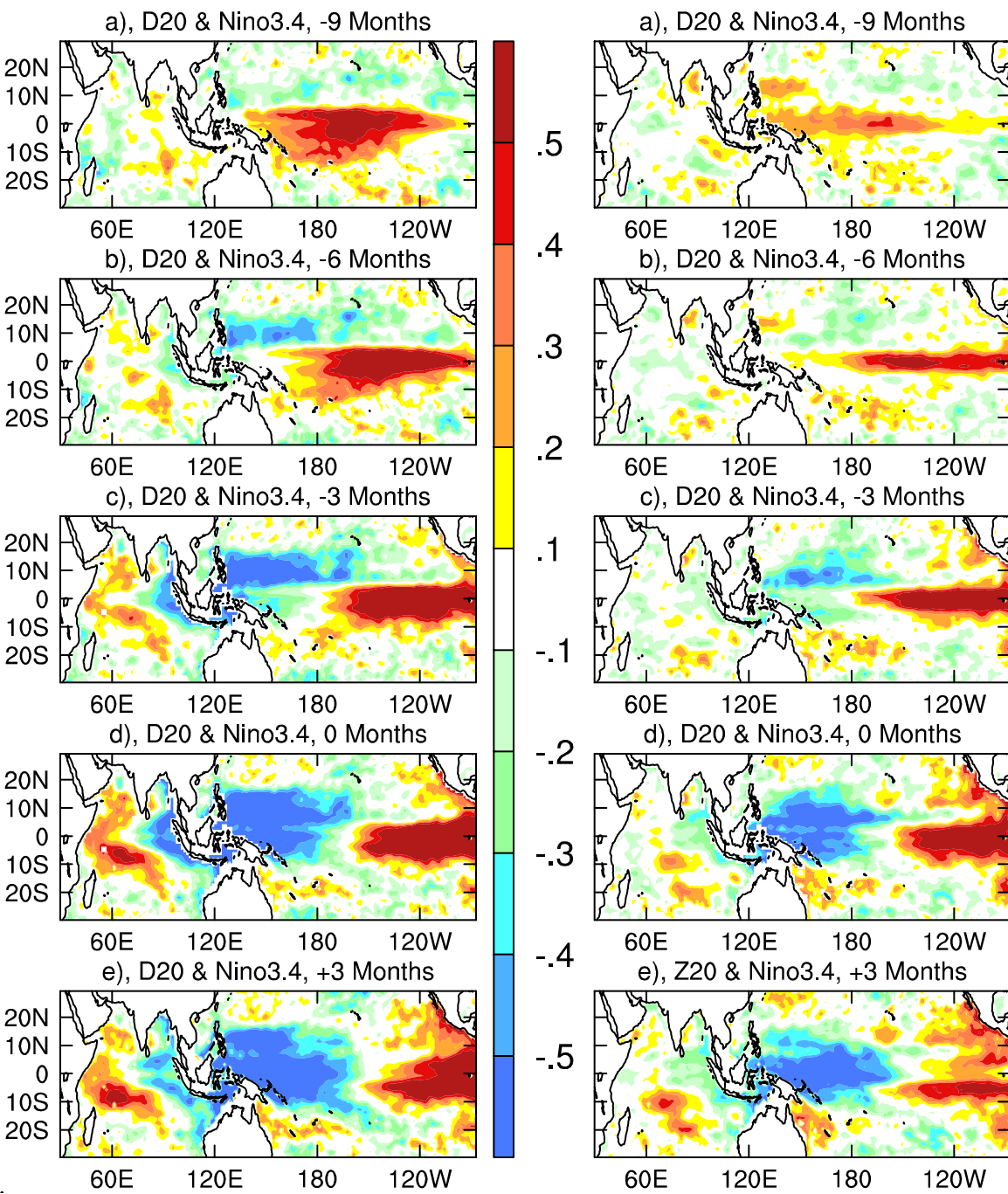
**Figure 1.** Outputs from the SODA-POP reanalysis (Version 1.4.2), showing correlation between Niño3.4 and gridpoint  $D20$  at various lags with a 3-month interval. Positive correlations imply deeper depths, and negative lags mean the Niño3.4 lags. Left column is for the post-1980 and right column for the pre-1980 period. A value of 0.28 indicates statistical significance at 95% confidence level.

**Figure 2.** The same as Figure 1, but with time series of  $D20$  in a Philippine Sea (PS) box ( $120^{\circ}\text{E}$ - $125^{\circ}\text{E}$ ,  $12.5^{\circ}\text{N}$ - $17.5^{\circ}\text{N}$ ). To show discharge signals the PS  $D20$  is sign-reversed before analysis for comparisons with Figure 1.

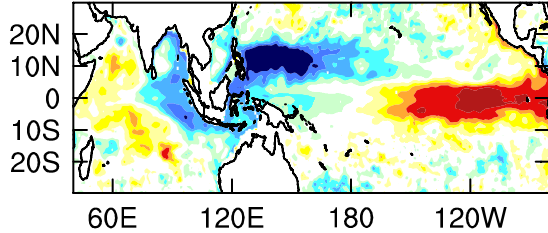
**Figure 3.** The same as Figure 1, but with time series of  $D20$  averaged over a central WA box ( $112^{\circ}\text{E}$ - $120^{\circ}\text{E}$ ,  $15^{\circ}\text{S}$ - $22^{\circ}\text{S}$ ). To show discharge signals the WA  $D20$  is sign-reversed before analysis for comparisons with Figure 1.

**Figure 4.** Coupled model results: a), time series of correlation between Niño3.4 and the WA  $D20$  at Lags +3 (black curve) and +6 (blue) (i.e., 3 months and 6 months, respectively, after an ENSO event peaks), and time series of standard deviation of Niño3.4 (red curve), calculated using a 20-year sliding window; b) and c), patterns of one-standard deviation anomalies of SST and zonal wind associated with ENSO for a strong transmission period (year 315); d) and e), the same as b) and c) but for a weak transmission period (year 215).

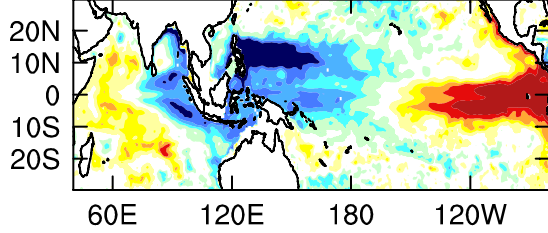
**Figure 5.** Maps of “signal to noise” ratio defined as the standard deviation of a signal over the standard deviation of noise for the coupled model (left column) and SODA-POP (right column) in terms of  $D20$ . See text for details. Upper row shows patterns for a strong transmission period (model year 315, and post-1980) while lower row shows those for a weak transmission period (model year 215 and pre-1980 SODA).



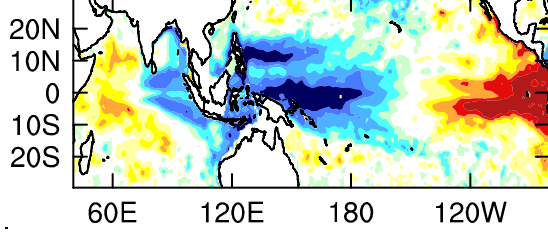
a), D20 & -1\*PHIL D20, -3 Months



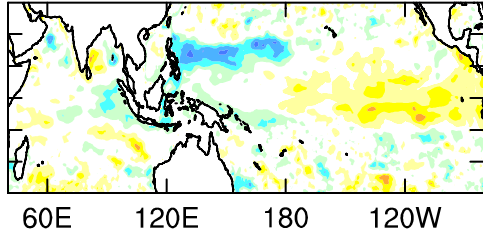
b), D20 & -1\*PHIL D20, 0 Month



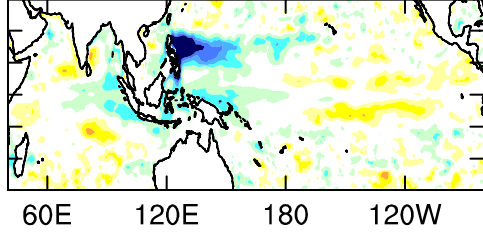
c), D20 & -1\*PHIL D20, +3 Months



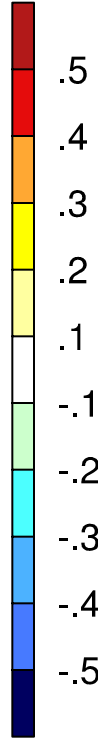
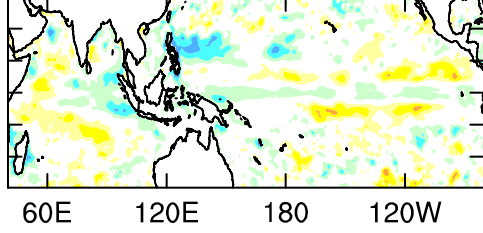
d), D20 & -1\*PHIL D20, -3 Months

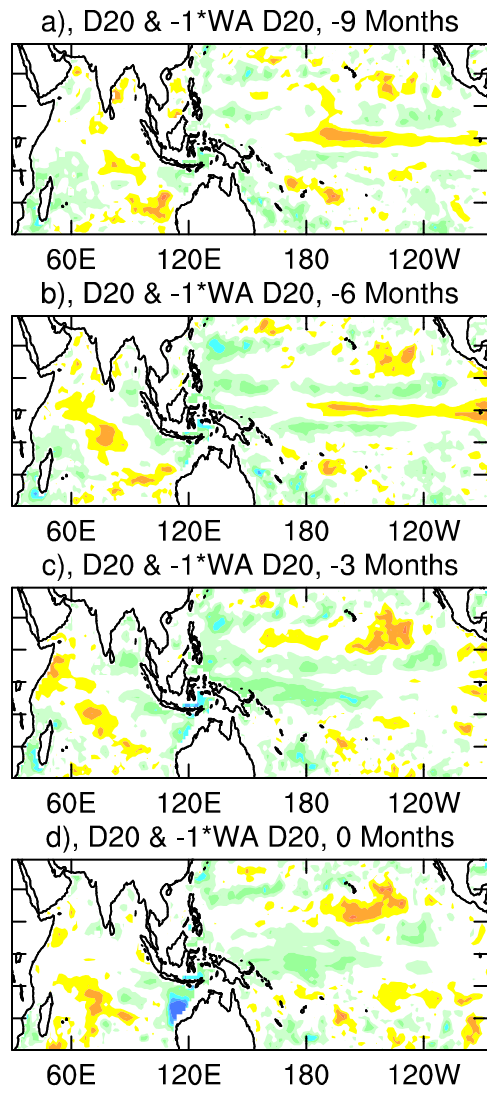
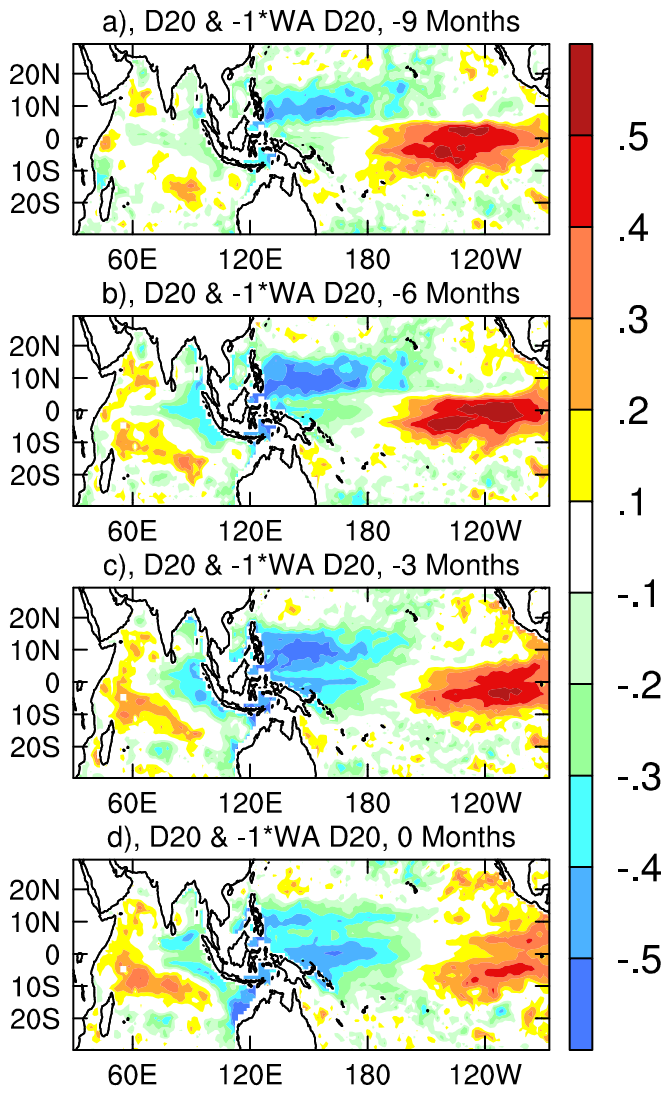


e), D20 & -1\*PHIL D20, 0 Month



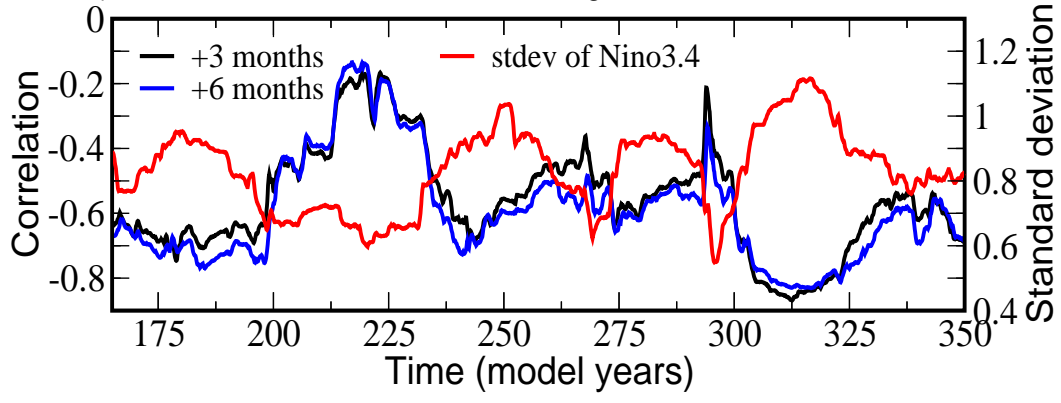
f), D20 & -1\*PHIL D20, +3 Months



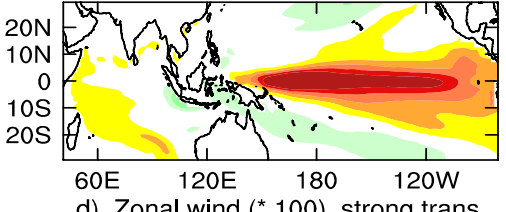




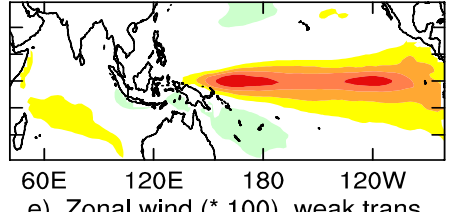
a), Time series of stdev. Nino3.4 and lag correl. btw WA D20 & Nino3.4



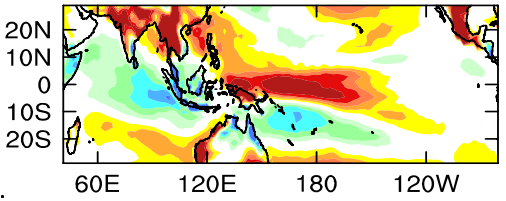
b), SST, strong trans.



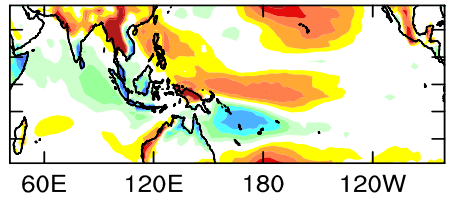
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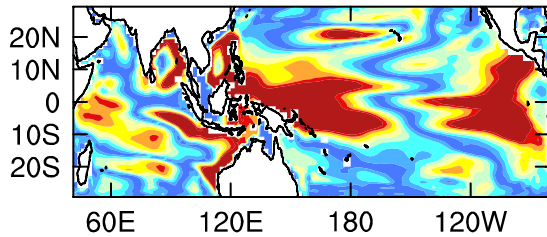
d), Zonal wind (\* 100), strong trans.



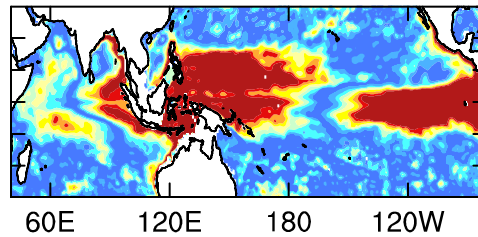
e), Zonal wind (\* 100), weak trans.



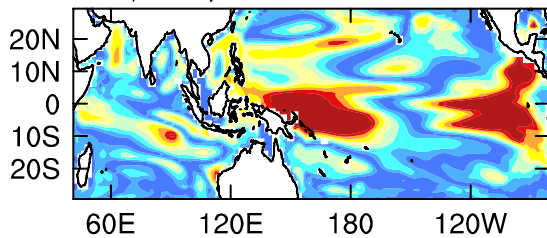
a), Coupled model, strong trans.



a), SODA-POP, strong trans.



b), Coupled model, weak trans.



b), SODA-POP, weak trans.

