

1 **Title:** Five Year Weight Loss Outcomes in Laparoscopic Vertical Sleeve Gastrectomy  
2 (LVSG) Versus Laparoscopic Roux-en-Y Gastric Bypass (LRYGB) Procedures: A  
3 Systematic Review and Meta-Analysis of Randomized Controlled Trials  
4

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43 **ABSTRACT**

44 **Background:** Laparoscopic vertical sleeve gastrectomy (LVSG) has overtaken the  
45 laparoscopic Roux-en-Y gastric bypass (LRYGB) as the most frequently performed bariatric  
46 surgical procedure. To date little has been reported on the long-term outcomes of the LVSG  
47 procedure comparative to the traditionally favoured LRYGB. We undertook a systematic  
48 review and meta-analysis to review the five year outcomes of comparing LVSG and LRYGB.  
49 We undertook a systematic review and meta-analysis to compare five year weight loss  
50 outcomes of randomized controlled trials (RCTs) comparing LVSG to LRYGB.

51 **Methods:** Searches of electronic databases (Pubmed, EMBASE, CINAHL, Cochrane) were  
52 undertaken for RCTs describing weight loss outcomes in adults at five years postoperatively.  
53 Where sufficient data was available to undertake meta-analysis, the Hartung-Knapp-Sidik-  
54 Jonkman (HKSJ) estimation method for random effects model was utilised. The review was  
55 registered with PROSPERO and reported following in accordance with Preferred Reporting  
56 Items for Systematic Reviews and Meta-Analyses.

57 **Results:** Five studies met the inclusion criteria totalling 1028 patients (LVSG=520,  
58 LRYGB=508). Moderate but comparable levels of bias were observed within studies.  
59 Statistically significant BMI loss ranged from  $-11.37\text{kg/m}^2$  (range  $-6.3$  to  $-15.7\text{ kg/m}^2$ ) in the  
60 LVSG group and  $-12.6\text{ kg/m}^2$  (range  $-9.5$  to  $-15.4\text{ kg/m}^2$ ) for LRYGB at five years ( $p<0.001$ ).  
61 Systematic review suggested that LRYGB produced a greater weight loss expressed as  
62 percent excess weight and percent excess BMI loss than LVSG: this was not corroborated in  
63 the meta-analysis.

64 **Conclusions:** Five year weight loss outcomes suggest both LRYGB and LVSG are effective  
65 in achieving significant weight loss at five years postoperatively, however differences in  
66 reporting parameters limit the ability to reliably compare the outcomes using statistical  
67 methods. Furthermore, results may be impacted by large dropout rates and per protocol

68 analysis of the two largest included studies. Further long-term studies are required to  
69 contradict or validate the results of this meta-analysis.

70

71

72 ***Key words***

73 Meta-analysis, Systematic review, sleeve gastrectomy, Roux-en-Y gastric bypass, five year

74 outcomes, weight loss

75

76

## 77 INTRODUCTION

78 The prevalence of obesity has increased three-fold in the last four decades, with 37% of  
79 American adults now classified as obese <sup>1</sup>. Obesity poses health risks to the individual  
80 through contributing to the development of chronic diseases such as heart disease, diabetes,  
81 musculoskeletal conditions and some types of cancer. Furthermore, the annual economic  
82 impact of obesity has recently been estimated to approach \$US150 billion on medical costs  
83 alone <sup>2</sup>.

84

85 Bariatric surgery continues to be increasingly utilized to mitigate both individual health risks  
86 and healthcare costs associated with obesity <sup>3</sup>. While laparoscopic Roux-en-Y gastric bypass  
87 (LRYGB) has traditionally been used as the procedure of choice, in recent years the  
88 laparoscopic vertical sleeve gastrectomy (LVSG) has been increasingly favoured <sup>3-6</sup>. Until  
89 recently, however, long-term data regarding the safety, efficacy and clinical outcomes for the  
90 LVSG compared to the LRYGB procedure have not been available.

91

92 The aim of this systematic review and meta-analysis is to investigate five-year weight loss  
93 outcomes reported from randomized controlled trials (RCTs) that compare LRYGB with  
94 LVSG. The present work represents a continuation of our previous work <sup>7</sup>, focusing  
95 exclusively the long-term weight outcomes with the intent of strengthening the evidence base  
96 used to inform bariatric procedure selection.

97

## 98 MATERIALS AND METHODS

### 99 Inclusion and Exclusion Criteria and Search Strategies

100 The current work is an updated research synthesis of our previous meta-analysis <sup>7</sup> and  
101 therefore the inclusion and exclusion criteria previously described were maintained: These

102 included RCTs comparing the weight loss outcomes of LRYGB with LVSG performed in  
103 patients over 18 years old. Any study with additional, potentially confounding interventions  
104 were excluded. Similarly, the previously utilized methodology for the searching of electronic  
105 databases remained unchanged, with the exception of date ranges altered to capture papers  
106 published since our original searches (2015 to 2019), and the addition of “five years” and  
107 “long term” to the search terms used. (See supplementary data).

108

### 109 **Data collation**

110 Two authors (EO and MAM) independently conducted searches and reviewed the identified  
111 papers for inclusion on confirmation of meeting the inclusion criteria. Data extraction was  
112 undertaken by one author (EO), which was cross-checked for consensus by a second author  
113 (MAM). Corresponding authors of included papers were contacted for additional information  
114 in situations where the reporting of the published data limited the ability to include, combine  
115 and analyse. Where there was not a unanimous interpretation of inclusion criteria/data for  
116 extraction, discussion was undertaken until consensus was reached. The Cochrane tool for  
117 assessing bias was used to assess included RCTs<sup>8</sup>. The review was registered prospectively  
118 with PROSPERO (registration number 112054) and reported in accordance with Preferred  
119 Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)<sup>9</sup>.

120

### 121 **Statistical Analysis**

122 Qualitative assessment was undertaken for all studies meeting inclusion criteria. Computation  
123 of BMI change between baseline and five years data by procedure were obtained by paired  
124 sample t-tests. Meta-analysis was undertaken for outcome variables where number of studies  
125 and reported data were sufficient for this to be undertaken. Weighted mean differences  
126 (WMD) were computed using the Hartung-Knapp-Sidik-Jonkman (HKSJ) estimation method

127 for random effects model <sup>10</sup>. Heterogeneity present in the effect size was determined using  
128 Cochran's Q statistic and I<sup>2</sup> index. Point estimates of the population effect sizes and forest  
129 plots of 95% confidence intervals were produced using metafor package in R <sup>11</sup>. Funnel plots  
130 were generated to assess the presence of publication bias. Significance test of the population  
131 effect size was conducted using t statistic. A p-value of 0.05 was considered to be  
132 statistically significant.

133

## 134 **RESULTS**

135 Search outcomes yielded 167 records; 165 were identified from electronic database searches  
136 and two were identified from specific searches for further longitudinal data from the clinical  
137 trial numbers of the RCTs included in our previous work on this topic <sup>7</sup>. After removal of  
138 duplicates, 109 abstracts were screened, resulting in five studies remaining for full text  
139 review, all of which met criteria for inclusion <sup>12-16</sup> (LVSG=520, LRYGB=508). (Figure 1;  
140 Table 1).

141

142 Four of the five studies meeting the inclusion criteria in the present work represent the five-  
143 year follow-up data of included studies in our original meta-analysis <sup>12-16</sup>. Although Ruiz-  
144 Tovar et al <sup>16</sup> RCT included a third surgical arm, one anastomosis gastric bypass data was  
145 omitted for this review. The STAMPEDE study was excluded as the intensive medical  
146 intervention included concurrently with all surgical interventions posed a significant point of  
147 difference between it and the other included studies <sup>17</sup>. Four of the five studies have reported  
148 five-year outcomes within the last two years <sup>13-16</sup>, while one had reported five year follow-up  
149 data at the time of our initial analysis <sup>12</sup>.

150

151 Weight loss was the primary outcome for all studies. Weight loss was described in terms of  
152 percent excess weight loss [%EWL] (k=2) and percent excess BMI loss [%EBMIL] (k=3).  
153 BMI data was available for all studies.

154

155 A moderate degree of bias was present in all studies (Figure 2). As the bias levels appeared  
156 largely comparable between the included studies, no further sensitivity analyses were  
157 undertaken.

158

### 159 **Missing data and loss to follow-up**

160 Follow-up at five years across the included studies ranged from 73 to 92% (median 84%;  
161 IQR 11%). Two studies applied intention to treat (ITT) statistical analysis <sup>12,13</sup> while per  
162 protocol (PP) analysis was utilised by Ruiz-Tovar et al <sup>16</sup>, Salminen et al <sup>15</sup>, and Peterli et al  
163 <sup>14</sup>, the latter two studies in accordance with their adoption of equivalence study design.  
164 Missing data represented 0.46%, 25.8%, 3.2%, 31.8% and 5.5% of data points at one to five  
165 year respectively in the SM-BOSS study <sup>14</sup>, while Salminen et al report missing data was  
166 present in at least one time point in 25% of their patients <sup>15</sup>. Both studies managed missing  
167 data in the same way: Missing values were imputed by a multiple imputation technique based  
168 on a Markov Chain Monte Carlo simulation, and sensitivity analyses were conducted using  
169 10 sets of generated data <sup>14,15</sup>.

170

### 171 **BMI from baseline to five years**

172 Following correspondence with the authors of the SM-BOSS and SLEEVEPASS studies <sup>14,15</sup>,  
173 BMI data was available for all studies at three and five years follow-up.

174

175 *Systematic review*



176 The average BMI at baseline in the European studies were  $\sim 45\text{kg/m}^2$ , while that of Zhang et  
177 al's<sup>12</sup> Chinese study was lower at  $\sim 39\text{kg/m}^2$ , reflecting the difference in BMI thresholds for  
178 obesity-related disease and indications for bariatric surgery between differing ethnic groups.  
179 All studies demonstrated a rapid BMI reduction in the first 12 months and a gradual but  
180 progressive regain over the five year follow-up period in both the LRYGB and LVSG groups  
181 <sup>12-16</sup>. Based on data collated from the included studies, there was a significant BMI loss  
182 maintained from baseline to five years follow-up ( $p < 0.001$ ), with an average BMI loss of -  
183  $11.37\text{kg/m}^2$  (range  $-6.3$  to  $-15.7\text{ kg/m}^2$  in the LVSG group and  $-12.6\text{ kg/m}^2$  (range  $-9.5$  to -  
184  $15.4\text{ kg/m}^2$ ) for LRYGB. BMIs at five years were similar between studies and procedures  
185 ( $30\text{-}35\text{kg/m}^2$ ). (Figure 3a and b).

186

187 When considering the results of individual studies, statistically significantly lower BMIs were  
188 reported in the LRYGB vs LVSG group consistently from the second to fifth year of  
189 postoperative follow-up by Zhang et al<sup>12</sup> and Ruiz-Tovar et al<sup>16</sup>; conversely Ignat et al<sup>13</sup>  
190 demonstrated significantly lower BMIs in the LVSG vs LRYGB groups from the third to fifth  
191 postoperative years. Though not described as being statistically significant, trends favouring  
192 lower BMIs in the LRYGB relative to LVSG were reported by both Peterli et al<sup>14</sup> and  
193 Salminen et al<sup>15</sup>.

194

### 195 *Meta-analysis*

196 Meta-analysis was performed for BMI data available annually to five years postoperatively.  
197 With the exception of a statistically significant difference favouring LRYGB at two years  
198 (WMD  $0.87$ , 95% CI  $0.27\text{-}1.46$ ,  $p = 0.02$ ;  $Q = 2.87$ ,  $p = \text{NS}$ ,  $I^2 = 0\%$ ) that was lost in subsequent  
199 years' analysis, statistically significant differences were not found in BMIs attained between  
200 the two procedures in the pooled estimates. A non statistically significant trend favouring

201 lower BMI at five years was noted with LRYGB over LVSG (WMD 0.72, 95% CI -1.48-  
202 2.92,  $p=NS$ ;  $Q=14.7$ ,  $p=0.01$ ,  $I^2=81.62\%$ ). (Figure 4a and b).

203

204 Funnel plots vary in distribution over the time points, however no data points fall outside of  
205 the expected range to suggest the presence of publication bias. This is difficult to interpret in  
206 the context of less than 10 studies for the generation of a funnel plot [14].

207

### 208 **Percent Excess BMI Loss (%EBMIL) from baseline to five years**

209 Peterli et al <sup>14</sup>, Zhang et al <sup>12</sup> and Ruiz-Tovar et al <sup>16</sup> reported their weight loss outcomes  
210 meeting the %EBMIL definition.

211

#### 212 *Systematic review*

213 Zhang et al <sup>12</sup> reported statistically significant greater %EBMIL in the LRYGB group from  
214 the second through to the fifth year of postoperative follow-up comparative to LVSG ( $p<0.05$   
215 at these time points). Peterli et al <sup>14</sup> also reported significant differences between the  
216 %EBMIL achieved between procedures when adjustments for multiple comparisons were not  
217 applied ( $p=0.03$ ). These differences were lost, however, when adjusted for multiple  
218 comparisons <sup>14</sup>. In view of this, the predefined minimal clinical difference of  $\pm 10\%$  EBMIL  
219 was not detected (i.e. LVSG and LRYGB yielded comparable %EBMIL outcomes at five  
220 years) <sup>14</sup>. Ruiz-Tovar et al <sup>16</sup>, on the other hand, did not report differences between  
221 procedures in %EBMIL were found at one, two or five years follow-up.

222

223 Generally, the greatest %EBMIL was seen in the first year following surgery, and %EBMIL  
224 reduced marginally with each year of postoperative follow-up. However, there was a small  
225 degree of further %EBMIL observed in the LRYGB group between the first and second

226 postoperative year described by Peterli et al <sup>14</sup> (76.7% to 77.4%) before falling into the  
227 reported pattern. At five years follow-up, LRYGB maintained a greater average ~10% more  
228 %EBMIL than LVSG based on individual study data. (Figures 5a and b).

229

### 230 *Meta-analysis*

231 Meta-analysis was not undertaken for %EBMIL owing to insufficient data being available for  
232 analysis.

233

### 234 **Percent Excess Weight loss (%EWL) from baseline to five years**

235 Ignat et al <sup>13</sup> and Salminen et al <sup>15</sup> reported their weight loss outcomes meeting the definition  
236 of %EWL. Data points were not available for two and four years for Salminen et al <sup>15</sup>, and  
237 four years for Ignat et al <sup>13</sup>.

238

### 239 *Systematic review*

240 Ignat et al <sup>13</sup> demonstrated a statistically significant difference in %EWL favouring LRYGB  
241 in the third and fifth years of postoperative follow-up ( $p < 0.05$ ). Salminen et al <sup>15</sup>, though  
242 reporting their data in terms of an equivalence methodology, did not demonstrate equivalence  
243 between procedures based on their predefined equivalence margins of  $\pm 9\%$  EWL: LRYGB  
244 was shown to produce greater weight loss compared to the LVSG at all timepoints, however  
245 the difference was not considered to be clinically significant.

246

247 With the exception of the three year LRYGB data by Ignat et al [11], %EWL reduced with  
248 each year of postoperative follow-up, with LRYGB maintaining on average ~8% more  
249 %EWL than LVSG at five years follow-up. (Figures 6a and b).

250

251 *Meta-analysis*

252 No statistically significant differences in %EWL were found at one, three or five years  
253 postoperatively between LVSG and LRYGB, however by the fifth year of postoperative  
254 follow-up there was a non-significant trend favouring LRYGB (LVSG n=153, LRYGB  
255 n=140 from k=2; WMD -7.86 [-23.67, 7.95], p=NS; Q=0.23, p=NS, I<sup>2</sup> =0%). The significant  
256 heterogeneity observed between the included studies at three years had resolved at the five  
257 year data points. (Figure 7).

258

259 Funnel plots do not suggest the presence of publication bias, however with such a low  
260 number of eligible studies no valid conclusion can be made <sup>18</sup>.

261

262 **DISCUSSION**

263 This systematic review and meta-analysis of RCTs has examined the five year weight loss  
264 outcomes obtained in RCTs comparing LVSG and LRYGB. When results are considered  
265 holistically, it appears that both procedures are effective in facilitating and maintaining long-  
266 term weight loss. Despite differences in the measures used to report on weight loss outcomes,  
267 all included RCTs suggest a trend towards greater weight loss and maintenance at five years  
268 with LRYGB over LVSG, however this does not appear to be clinically different based on the  
269 conclusions from the equivalence methodology utilised by two of the largest studies <sup>14,15</sup>.

270

271 The current review builds on our previous work by focusing on weight loss outcomes at a  
272 five year follow-up period. In our earlier meta-analysis, which similarly suggested  
273 equivalence in weight loss outcomes between the two procedures, only one of the nine  
274 included studies reported data to five years <sup>12</sup>, with the majority only reporting weight loss  
275 data to 12 months <sup>7</sup>. Achieving long-term, sustainable weight loss is a primary goal of

276 bariatric surgery, and as such, the ability of the offered procedure to deliver this outcome is of  
277 key importance to patients, clinicians and third party payers. It is therefore essential that the  
278 strongest possible evidence base underpinning our understanding of long-term weight loss  
279 outcomes of the commonly utilised bariatric procedures be strengthened by follow-up  
280 synthetic reviews as further data becomes available so as to inform clinical decisions.

281

282 Unfortunately, the ability to make stronger conclusions regarding the weight loss outcomes in  
283 the present work were limited by the lack of consistent reporting measures used between  
284 included studies. While the American Society for Metabolic and Bariatric Surgery have  
285 proposed reporting standards for bariatric surgery research that include the use of initial BMI,  
286 change to BMI, % total body weight loss and %EBMIL<sup>19</sup>, there are no universally accepted  
287 metrics for reporting weight loss outcomes in bariatric surgery studies.

288

289 This issue of using different metrics to describe weight loss outcomes affects the ability to  
290 synthesise data across studies and may also confound the interpretation of actual outcomes  
291 achieved. A retrospective review of the weight loss outcomes from the Bariatric Outcomes  
292 Longitudinal Database (BOLD) computed and compared the coefficient variation (CV)  
293 between percentage total weight loss (%TWL), %IBMIL, %EWL, and percent of initial body  
294 weight lost (%IWL) (equivalent of %TWL)<sup>20</sup>. They concluded that given %IWL / %TWL  
295 represent the most accurate expression of weight loss in the post bariatric surgery population  
296 owing to them demonstrating the lowest CV and therefore recommend their use for reporting  
297 <sup>20</sup>. Furthermore, modelling from retrospective clinical data has suggested the degree of  
298 obesity may affect the comparability of these metrics: While %EWL yields comparable  
299 results in both the obese and super obese, %TWL will appear greater in the super obese,  
300 while %EBMIL has been shown to be higher in less obese patients in the first two years

301 postoperatively <sup>21</sup>. It appears that a greater understanding of the implications of the choice of  
302 weight loss metric is required, and that this should inform standardized reporting of weight  
303 loss outcomes in bariatric surgical research in the future.

304

305 BMI was the only weight-related metric common to all studies in this systematic review and  
306 meta-analysis. While BMI as a standalone outcome lacks the specificity to describe the  
307 magnitude of postoperative weight loss seen, it nevertheless allows for qualitative review of  
308 weight change and weight maintenance in the post-operative period and describes the weight  
309 trajectory to five years after surgery. From qualitative review of the data we see that BMIs  
310 reduced in all procedures, and from quantitative review we see that there is no significant  
311 difference in BMI at any time points between procedures. Given the nature of the measures,  
312 the relative measures of weight loss reported in the included studies (%EWL and %EBMIL)  
313 were observed to inversely follow the trend of BMI over the follow-up period.

314

315 Notwithstanding the data points at two and three years that suggest further small weight loss  
316 continuing in the LRYGB groups in the Peterli et al <sup>14</sup> and Ignat et al <sup>13</sup> studies respectively, a  
317 clear trend for modest weight regain over the five year follow-up is evident across the  
318 measures of weight and weight loss for both LRYGB and LVSG. This appears to be  
319 relatively equivalent between procedures, representing  $\sim 2$ - $2.5\text{kg/m}^2$  between the lowest BMI  
320 reported at the first postoperative year and that reported at the fifth. This pattern of weight  
321 recidivism is consistent with studies of across a range of methodologies that report weight  
322 outcomes annually to five years in LRYGB and/or LVSG to five years <sup>22-26</sup>.

323

324 The factors contributing to weight recidivism are complex, and a number appear to be  
325 attributable to aspects specific to the procedures utilized. Dilatation of the gastric pouch and

326 gastric outlet in LRYGB, and sleeve in LVSG, may negate the desired volume restriction and  
327 have been observed to be associated with postoperative weight regain <sup>27,28</sup>. Where this is the  
328 primary identified reason for weight regain, revisional procedures have been used with  
329 success to reverse the undesired weight trends observed in individuals <sup>29</sup>.

330

331 Similarly, a range of physiological adaptations may occur over time to reduce weight loss  
332 efficacy, and may vary with the procedure utilized. Alterations to the secretion of  
333 gastrointestinal hormones such as of GLP-1, PPY, GIP, and ghrelin have been postulated to  
334 play in a role in the weight regain patterns as gastrointestinal hormone profile differences  
335 have been observed in patients with and without weight regain following RYGB <sup>30,31</sup>. Less  
336 information about the hormonal impact on the differences in weight outcomes post LVSG is  
337 currently available given the relatively recent uptake of this procedure <sup>31</sup>.

338

339 Additionally, it seems plausible that bypassed small bowel, as in the case of LRYGB, may  
340 experience similar luminal adaptation as described in short bowel syndrome (SBS): The  
341 timeframes associated with bowel adaptation for resumption of optimised adaptation  
342 following SBS mirror the patterns seen in weight recidivism following bariatric surgery <sup>32</sup>.

343

344 In addition to the considerations specific to the two procedures investigated, modifiable  
345 physiological, lifestyle and psychological factors also exert an impact on postoperative  
346 weight recidivism. Changes in metabolic rate following bariatric surgery have been  
347 hypothesized to contribute to weight regain. Lowered resting metabolic rates (RMR) have  
348 been found in post-bariatric surgery patients who regained weight compared to those with  
349 sustained weight loss <sup>33-35</sup>. These changes may in part be explained by postoperative  
350 reduction in fat free mass (FFM). FFM has been shown to be influenced by dietary choices

351 (reduced with high fat/carbohydrate and low protein consumption) <sup>36 28,36-38</sup> and to increase  
352 with physical activity in post-bariatric surgery patients <sup>39-41</sup>. Therefore, focusing on  
353 anthropometric and metabolic optimisation through postoperative lifestyle interventions may  
354 represent an effective avenue by which bariatric surgical weight outcomes may be sustained.

355

356 Finally, depression, anxiety <sup>28</sup>, lack of self-efficacy and inappropriate psychological  
357 dependence on food (such as comfort eating), if not identified and treated, are likely to  
358 continue to exert a negative impact on postoperative weight status. Similarly, given the high  
359 incidence of (often undiagnosed) binge eating disorders and food addiction in bariatric  
360 surgery candidates, ongoing manifestation of these issues may also contribute to weight  
361 regain following surgery <sup>37,42-44</sup>.

362

363 The prevention and management of post-surgical weight regain, therefore, is complex and is  
364 likely to benefit from multidisciplinary management. Of the included studies, only two make  
365 brief reference to any form of multidisciplinary postoperative follow-up provided to patients  
366 undergoing surgery – Ruiz-Tovar et al report dietary and exercise counselling at time of  
367 discharge <sup>16</sup>, and Peterli et al report nutritional counselling and participation in physiotherapy  
368 group <sup>14</sup>. Greater focus on supporting patients holistically after surgery with ongoing dietetic,  
369 psychological, physiotherapy or exercise physiology involvement, as well as specialist  
370 medical and/or surgical follow-up, has a role in maintaining the efficacy of weight loss  
371 outcomes in view of the modifiable reasons for postoperative weight regain. Bariatric surgery  
372 may therefore be better conceptualised as tool to support long-term lifestyle and behaviour  
373 changes, rather than ‘a magic bullet’ approach to managing obesity.

374



375 At five years there is a high rate of loss to follow-up and/or missing data points was reported  
376 in the included studies – this has implications for the interpretation of long-term clinical  
377 outcomes. Reviews of bariatric surgery trials highlight the challenges of maintaining  
378 adequate post-surgical follow-up in this patient group: Compared to the 6% average loss to  
379 follow-up reported by Akl et al in trials involving general medical patients <sup>45</sup>, average loss to  
380 follow-up in the bariatric surgery literature is described at 30% at the stated study end point  
381 <sup>46</sup>. Notably loss to follow-up further increased with study duration <sup>46</sup>. By contrast, the  
382 collective loss to follow-up described in the studies included in this systematic review and  
383 meta-analysis was 16%. This lower than previously described rate likely reflects the more  
384 rigorous procedures incorporated into a clinical trial compared to those that are possible in  
385 standard clinical practice. However, this degree of loss to follow-up represents a significant  
386 vulnerability for the conclusions that can be drawn from the studies individually as well as  
387 collectively. The means by which missing data is handled in clinical trials has been shown to  
388 lead to over- and underestimates of treatment effects <sup>45</sup>. Two of the largest studies included in  
389 this meta-analysis utilised PP analysis in keeping with their adoption of equivalence  
390 methodology <sup>47</sup>. In these analysis methodologies, PP analysis is favoured with a view to  
391 minimising the dilution of treatment effects, and thus reduce the risk of inadvertently  
392 predisposing results in the direction of the alternative hypothesis of the non-inferiority test  
393 (i.e. that there is no difference between interventions) <sup>47,48</sup>. However, PP analysis risks  
394 introducing bias <sup>47,48</sup> and modifications to traditional ITT analyses have been suggested to be  
395 more appropriate for use with equivalence and non-inferiority trial methodologies <sup>47</sup>. Given  
396 that the PP data from the SM-BOSS <sup>14</sup> and SLEEVEPASS <sup>15</sup> studies represent nearly half of  
397 the data (45%) in this systematic review and meta-analysis, the strength of conclusions that  
398 can be drawn from the present work must be viewed in light of this limitation.

399

400 The primary limitation of the current systematic review and meta-analysis is that the  
401 statistical power to draw strong conclusions is limited in view of the few studies that meet our  
402 inclusion criteria at five years, and further due to the differences in metrics used to report  
403 weight outcome data. We have nevertheless proceeded with both a qualitative and  
404 quantitative analysis of the available five year data while acknowledging the subsequent  
405 limitations, as we recognise the clinical importance of the data involved in view of the rapid  
406 uptake of the LVSG procedure and the limitations of comparative long-term data currently  
407 available. In time we hope results of this work can be verified or updated as data from long-  
408 term RCTs comparing the two procedures become available.

409

410 Notwithstanding these limitations, the present work has several strengths that are unique to  
411 the reviews on this topic<sup>49-54</sup>. First, the strictest possible inclusion criteria have been adopted  
412 to minimise the influence of confounding factors. This is important as methodological  
413 diversity has been shown to exaggerate treatment effects<sup>55,56</sup>, and poor handling of avoidable  
414 clinical diversity risk undermining the assumptions that underpin the statistical models of  
415 meta-analysis<sup>57</sup>.

416

417 Second, the statistical expertise drawn from within the multi-disciplinary author group has  
418 allowed the early adoption of more sophisticated methods for the application of random  
419 effects models of meta-analysis (HKSJ)<sup>10</sup>. Compared to the DerSimonian and Laird (DL)  
420 method, HKSJ method has been shown to have less inflated error rates when combined  
421 studies are of unequal sizes, number of studies is small and demonstrate between-study  
422 heterogeneity<sup>10</sup>. Given that this description well describes the included studies, HKSJ  
423 method was considered a more appropriate method to be applied under these circumstances.  
424 Indeed, the HKSJ provides a more conservative summary data compared to that obtained

425 through DL method, which demonstrated statistical significance in %EWL outcomes  
426 favoring LRYGB (data not shown). Given the greater weighting placed on meta-analysis data  
427 within contemporary health care decision making, the judicious selection of method used for  
428 analysis is essential to support appropriate clinical decision making and represents the  
429 responsible handling of the data in question.

430

#### 431 **CONCLUSION**

432 This systematic review and meta-analysis of five year weight outcomes described in RCTs  
433 comparing LVSG and LRYGB have suggested both procedures are effective in achieving and  
434 maintaining statistically significant weight loss at five years postoperatively. While all studies  
435 display a trend toward greater weight loss being achieved with LRYGB, these are not  
436 supported by results of meta-analysis. These conclusions, though based on the strictest  
437 application of meta-analysis methodology, should be viewed with caution due to the small  
438 numbers of RCTs with five year data currently available, the differences in the weight loss  
439 metrics used to report outcomes and the degree of loss to follow-up within some of the  
440 included studies. This review has highlighted that long-term studies providing comparative  
441 outcomes of LVSG and LRYGB continue to be required, and that there is a critical need for  
442 the adoption of standardized reporting to facilitate synthesis of data with a view to allowing  
443 valid and meaningful qualitative and quantitative reviews to occur in the future.

444

#### 445 **Conflict of Interest Statement**

446 The authors declare that they have no conflict of interest.

447

#### 448 **Ethical approval Statement**

449 This article does not contain any studies with human participants or animals performed by  
450 any authors.

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