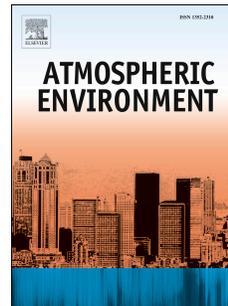


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Conceptualization: Omid Rahmati, Mahdi Panahi, Seid Saeid Ghiasi, Ravinesh C. Deo, John P. Tiefenbacher. **Data curation:** Ali Jahani, Hamid Goshtasb, Aiding Kornejady, Himan Shahabi, Ataollah Shirzadi, Hassan Khosravi. **Methodology:** Omid Rahmati, Mahdi Panahi, Biswajeet Pradhan, Davoud Davoudi Moghaddam, Maryamsadat Mohtashamian, Dieu Tien Bui. **Writing—original draft preparation:** Omid Rahmati, Mahdi Panahi, Aiding Kornejady, Himan Shahabi, Ataollah Shirzadi, Hassan Khosravi. **Writing—review and editing:** Omid Rahmati, Ravinesh C. Deo, John P. Tiefenbacher, and Dieu Tien Bui

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Hybridized Neural Fuzzy Ensembles for Dust Source Modeling and Prediction

Omid Rahmati^{a,b}, Mahdi Panahi^c, Seid Saeid Ghiasi^d, Ravinesh C. Deo^e, John P. Tiefenbacher^f,
Biswajeet Pradhan^g, Ali Jahani^h, Hamid Goshtasb^h, Aiding Kornejadyⁱ, Himan Shahabi^j, Ataollah
Shirzadi^j, Hassan Khosravi^d, Davoud Davoudi Moghaddam^k, Maryamsadat Mohtashamian^l, Dieu Tien
Bui^{m*}

^a Geographic Information Science Research Group, Ton Duc Thang University, Ho Chi Minh City, Viet Nam

^b Faculty of Environment and Labour Safety, Ton Duc Thang University, Ho Chi Minh City, Viet Nam

^c Department of Geophysics, Young Researchers and Elites Club, North Tehran Branch, Islamic Azad University, Tehran, Iran

^d Department of Arid and Mountainous Regions Reclamation, Faculty of Natural Resources, University of Tehran, Karaj, Iran

^e School of Agricultural, Computational and Environmental Sciences, Centre for Sustainable Agricultural Systems & Centre for Applied Climate Sciences, University of Southern Queensland, Springfield, QLD 4300, Australia

^f Department of Geography, Texas State University, San Marcos, TX 78666, USA

^g Center for Advanced Modeling and Geospatial Information Systems (CAMGIS), Faculty of Engineering and IT, University of Technology Sydney, 2007 NSW, Australia

^h Department of Natural Environment and Biodiversity, College of Environment, Karaj, Iran

ⁱ Department of watershed management, Gorgan University of Agricultural Sciences and Natural Resources, Gorgan, Iran

^j Department of Geomorphology, Faculty of Natural Resources, University of Kurdistan, Sanandaj, Iran

^k Department of Watershed Management, Faculty of Agriculture and Natural Resources, Lorestan University, Khorramabad, Iran

^l Department of Computer Engineering, University of Qazvin, Qazvin, Iran

^m Institute of Research and Development, Duy Tan University, Da Nang 550000, Viet Nam

* Corresponding author's email address: buitiendieu@duytan.edu.vn

Abstract

Dust storms are believed to play an essential role in many climatological, geochemical, and environmental processes. This atmospheric phenomenon can have a significant negative impact on public health and significantly disturb natural ecosystems. Identifying dust-source areas is thus a

33 fundamental task to control the effects of this hazard. This study is the first attempt to identify dust
34 source areas using hybridized machine-learning algorithms. Each hybridized model, designed as an
35 intelligent system, consists of an adaptive neuro-fuzzy inference system (ANFIS), integrated with a
36 combination of metaheuristic optimization algorithms: the bat algorithm (BA), cultural algorithm (CA),
37 and differential evolution (DE). The data acquired from two key sources – the Moderate Resolution
38 Imaging Spectroradiometer (MODIS) Deep Blue and the Ozone Monitoring Instrument (OMI) – are
39 incorporated into the hybridized model, along with relevant data from field surveys and dust samples.
40 Goodness-of-fit analyses are performed to evaluate the predictive capability of the hybridized models
41 using different statistical criteria, including the true skill statistic (TSS) and the area under the receiver
42 operating characteristic curve (AUC). The results demonstrate that the hybridized ANFIS-DE model
43 (with AUC=84.1%, TSS=0.73) outperforms the other comparative hybridized models tailored for dust-
44 storm prediction. The results provide evidence that the hybridized ANFIS-DE model should be
45 explored as a promising, cost-effective method for efficiently identifying the dust-source areas, with
46 benefits for both public health and natural environments where excessive dust presents significant
47 challenges.

48 **Keywords:** Environmental modeling; dust; neural fuzzy; ensemble; Iran

49 **1. Introduction**

50 Dust storms are natural atmospheric events that mainly occur in arid areas, reducing air quality and
51 visibility (Nazari, 2016). Dust is comprised of large-grained particulate matter (PM) that is light
52 enough to be entrained by horizontal atmospheric flows. However, dust storms also carry minute and
53 fine-grained solid matter that is small enough to be more easily elevated aloft and carried by prevailing
54 winds. The occurrence of dust storms has increased in the Middle East in recent years, providing

55 compelling evidence that dust particles are carried long distances (Khaniabadi et al., 2017; Soleimani et
56 al., 2016). From a sustainable development viewpoint, dust storms present challenges to people that run
57 counter to the sustainable development goals (SDGs) outlined the United Nations in its 2030 Agenda
58 on Sustainable Development. For example, out of 17 goals, goal 3 (good health and well-being), goal 6
59 (clean water and sanitation), goal 7 (affordable and clean energy), goal 9 (industry, innovation and
60 infrastructure), goal 11 (sustainable cities and communities), goal 13 (climate action), and goal 15 (life
61 on land) are directly or indirectly made more challenging to achieve by dust storms. Since dust is
62 generated by wind erosion, it seems rational that land-use practices are a cause (Lu and Shao, 2001;
63 Keesstra et al., 2016). Land degradation and dust generation can directly affect SDGs. Therefore,
64 prevention of land degradation by maintaining or enhancing the natural capital and associated
65 ecosystem services of the land should dominate the land management paradigm (Keesstra et al., 2018).

66 Dust storms are integral to Earth's natural systems and have impacts that are numerous and wide-
67 ranging. These include effects on-air chemistry, soil characteristics, water quality, nutrient dynamics,
68 and biogeochemical cycling in both oceanic and terrestrial environments (Crooks et al., 2016;
69 Khaniabadi et al., 2017; Middleton and Kang, 2017). Local and regional climates can be affected by
70 dust storms for the scattering and absorption of solar radiation by dust particles, but the impacts can
71 extend great distances from the sources of dust. Dust can modify the microphysical properties of clouds
72 and change precipitation efficiency. In totality, dust storms can affect atmospheric conditions at many
73 different scales (Wang et al., 2018; Yilbas et al., 2015).

74 The airborne PM is a health-damaging pollutant that adversely affects human cardiovascular
75 systems and causes respiratory problems (Crooks et al., 2016). Inhalation of PM can also exacerbate
76 various diseases and trigger health issues such as asthma in children and the elderly, ultimately
77 increasing morbidity (Kanatani et al., 2010). Pathogenic and non-pathogenic microorganisms

78 (including *Coxiella Burnetii*, *Mycobacterium*, *Aspergillus*, *Mycobacterium*, *Brucella*, *Cladosporium*,
79 *Actinomycetes*, *Clostridium perfringens*, and *Bacillus*), toxins, and influenza viruses can adhere to dust
80 particles and can be transported to great distances (Goudie, 2014; Leski et al., 2011; Soleimani et al.,
81 2016). Moreover, metallic elements are transported as inhalable dust particles, and these could
82 potentially affect the respiratory tracts and can cause neurological and other physiological impacts
83 (Neisi et al., 2016; Yamaguchi et al., 2012). In addition to the health impacts, there are economic
84 impacts from sand and dust storms. Crops and livestock have also been destroyed by dust and
85 sandstorms (Schepanski, 2012). Recently, Gholami et al. (2020) used several data-mining models to
86 map the provenance of storm dust in Khuzestan Province, Iran. Although they provided information
87 about dust movement that can be used to mitigate its off-site effects, the control of wind erosion and
88 dust production in dust-source areas was not explored.

89 Dust particles emitted from different sources (termed dust-source) are likely to affect a plant's life in
90 different ways (Supe and Gawande, 2015). The largest sources of dust in Earth's atmosphere are from
91 the Sahara and Sahel regions of North Africa (so-called "African dust"), the Gobi, Taklamakan, and
92 Badain Juran deserts of Asia ("Asian dust"), and Australian desert environments ("Australian dust")
93 (Griffin, 2004; Uno et al., 2009). Asian dust particles can also migrate globally, perhaps
94 circumnavigating the Earth in as minimum as 13 days, as recorded in the French Alps (Grousset et al.,
95 2003) and ice and snow cores from Greenland (Bory et al., 2003). Recent changes to regional climates
96 have considerably increased the frequency of dust storm events in the Middle East (Yilbas et al., 2015).
97 Given the hazardous effects of dust storms, new measures are needed to identify and control their
98 genesis regionally proactively. Furthermore, it is also crucial for all sectors to mitigate the catastrophic
99 effects of dust storms.

100 Although the dust has long been known to be important in weather processes and storms and can
101 influence local weather, the prediction of dust-source areas is challenging, rudimentary and somewhat
102 not effective in current systems. Despite the sophisticated weather models, it remains difficult to
103 forecast the entrainment and transport of dust in the lower atmosphere. One reason for this is a limited
104 understanding of the distribution of the sources and behavioral mechanisms of dust concerning their
105 spatiotemporal volatility in response to various activities and processes (Feuerstein and Schepanski,
106 2019). For the analysis of dust sources, and the modeling of their impact on Earth's natural system, it is
107 crucial to identify the spatial and temporal diffusion rates of sources (Feuerstein and Schepanski,
108 2019). In some previous studies, a diverse range of remotely operating methods have been used to
109 identify dust source areas including, but not limited to: (1) remote sensing analysis, (2) horizontal
110 visibility, (3) mineralogy of dust samples, and (4) Lagrangian back-trajectory (Baddock et al., 2009).
111 The drawbacks of each have been discussed in Schepanski et al. (2012). Although these approaches
112 provide useful information regarding the potential sources of dust and the coupling and analysis of geo-
113 environmental and weather conditions, to recognize dust sources over large areas remains relatively
114 difficult.

115 Considering this need, artificial-intelligence models that apply machine-learning techniques have
116 been developed in the context of geo-environmental research. Adaptive neuro-fuzzy inference system
117 (ANFIS) is a common machine-learning technique in geosciences due to its advantages, such as having
118 the abilities to integrate information from several sources, to handle large amounts of noisy, and to find
119 non-linear relationships between inputs and outputs (Sambariya et al., 2014). However, the main
120 drawback of the ANFIS model is its poor generalization capability for unseen data. Another
121 disadvantage is weak scalability with the number of membership functions and a number of inputs
122 (Jang, 1991; Jang, 1993; Jang et al., 1997). Furthermore, it often requires relatively large data sets for

123 calibration and validation purposes (Liška et al., 2018). In dust-source assessments, however, it is
124 difficult to collect and/or generate adequate amounts of data, particularly over large regions (e.g.,
125 deserts), due to the constraints of time, costs, and measurement difficulties. To address this significant
126 gap in dust-source prediction methodologies, this study aims to develop a suite of hybridized artificial-
127 intelligence models using ANFIS where metaheuristic optimization algorithms are used to improve the
128 resulting predictive model. To determine the accuracy of the models, field investigations were
129 conducted, and statistical analyses were performed to identify the dust-source areas in three provinces
130 of eastern Iran. This research promotes the SDGs by developing a modeling approach that can identify
131 dust-source areas. Sustainable land management in dust-source regions can focus on reducing wind
132 erosion (Cerdà et al., 2018a, b).

133

134 **2. Material and methods**

135 **2.1. Study area**

136 The study region, the provinces of Razavi Khorasan, Jonobi Khorasan, and Sistan-Balochistan in
137 eastern Iran (Fig. 1) covers an area of 444,904 km² and forms a homogenous geographical unit with
138 specific characteristics: proximity to the eastern deserts of the Iran plateau, variability and deficiency of
139 precipitation, desertification, high evaporation rates, frequent high wind conditions, and lack of
140 permanent surface water bodies. The climate of this region is hot and arid. The wind is more frequent
141 here than in other parts of the country with approximately 120 high-wind days annually. To develop a
142 predictive model for dust storm sources, model hybridization was achieved by combining ANFIS with
143 three metaheuristic optimization algorithms: the bat algorithm (BA), the cultural algorithm (CA), and
144 the differential evolution (DE) approach. This framework integrated several modeling approaches and

145 achieved a model with superior performance and efficient computing time. The method presented here
146 can be used to distinguish source regions of dust in arid and semi-arid regions.

147 Fig. 1 here

148 **2.2. Methodology**

149 The methodology (Fig. 2) involved several steps, including conducting a dust-source inventory,
150 identification of the factors that influence dust generation, and modeling.

151 Fig. 2 here

152 **2.2.1 Dust-source inventory**

153 This study has used two common satellite remote-sensing products to identify dust sources in the study
154 region: the “Moderate Resolution Imaging Spectroradiometer (MODIS)” Deep Blue and the Ozone
155 Monitoring Instrument (OMI). These have been widely applied in previous research as not only they
156 are cost-effective and robust sources of data but also they provide the first direct characterization of the
157 origin of individual sources possible (Baddock et al., 2009; Ginoux et al., 2010; Prospero et al., 2002).
158 Following these studies, we have also used the frequency-of-occurrence (FOO) to localize dust sources.
159 As one of its advantages, the use of this method is not limited to arid regions but can be used beyond.
160 FOO is the number of days that aerosol optical thickness (τ) is greater than $\tau_{\text{threshold}}$, and Ångström
161 exponent (α), and single scattering albedo (ω_0) satisfy criteria of freshly emitted dust particles (i.e.,
162 large particles which have not yet been omitted by gravitational settling). Therefore, the satellite-
163 retrieved values of τ , α , and ω_0 for each day should be monitored. Simultaneous consideration of these
164 factors provides comprehensive information on the column-averaged features of the air mass that
165 allows the distinction of dust from aerosols (e.g., anthropogenic pollution aerosols). A detailed
166 description of this method is given by Ginoux et al. (2010) and Prospero et al. (2002), and we provide

167 only a brief overview. We investigated dust storms using the previously described indices during April
168 and July (2014-2018). In the analyses, the high α values were not observed which implies that there are
169 not fine-mode anthropogenic pollutions (smoke) in the study area (i.e., dust can be recognized by a
170 small Ångström exponent), reducing the complexity of dust identification. After July 2018, several
171 field surveys were conducted, and geo-environmental and terrain characteristics were identified and
172 investigated. The most frequent (i.e., having the highest FOO) were significantly associated with the
173 dried bed of the Jazmurian wetland, the Hirmand River, Hamun Lake and some ephemeral wetlands. A
174 relatively similar spatial distribution of dust storm occurred in these areas during April and July in all
175 five years. Beyond these areas, there were also other locations that are sensitive to wind erosion. Both
176 the frequency and intensity of dust storms have increased in 2017 and 2018 compared to previous
177 years. A total of 85 dust-source areas were detected and geolocated with a GPS receiver. These regions
178 are quite active, and they pumped significant amounts of dust particles into the atmosphere during this
179 period. The locations were randomly divided into two groups for training (n=56 or 70%) and for
180 validation (n=29 or 30%) of the models (Figure 1).

181 **2.2.2 Factors that influence dust generation**

182 There is no predetermined set of geo-environmental and topographical factors known to be linked to
183 dust-source areas. According to the field investigations and previous studies, a total of eight factors –
184 wind speeds, geology, maximum air temperatures, land uses, slopes, soils, precipitation amounts, and
185 land cover were considered to be potential predictive factors for modeling locations of dust generation
186 (Fig. 3).

187 *Wind speed.* Wind is the primary factor for aeolian erosion (Borrelli et al., 2014). Generally, winds can
188 transport sands and dust at various altitudes; this is dictated by wind speed and uplift. In this study,
189 wind speed data were obtained from weather stations. Several interpolation techniques were used to

190 generate a wind speed map and their accuracies were compared. Subsequently, kriging was selected as
191 the technique to use, as it yielded the lowest root mean square error (RMSE). The wind speed in the
192 study region averages between 10 to 17 m/s at the surface (Fig. 3a). Therefore, wind speed is an
193 essential factor for mapping dust-source potential because it increases the probability of dust
194 entrainment. The wind-speed map demonstrates that speeds are high in the eastern part of the region
195 and are moderate in the western part. Winds tend to be lower in the northern portion of the study area.

196 *Geology.* A geological map of the study area was obtained from the Geological Survey of Iran (GSI).
197 The study area's geology is comprised of alluvium, ophiolites, conglomerates, sandstones, acidic and
198 basic igneous, and volcanic rocks (Fig. 3b). Dolomites, limestones, mud volcanics, recent volcanics,
199 and some colored series are also found in the area. Some areas have not been surveyed geologically,
200 however. The Jazmurian basin is the largest basin in the study area. However, rocks from the Cambrian
201 to the Triassic period are found in this region (Stocklin, 1968). Pyroclasts, alluvium, limestone,
202 sandstone, basic and ultra-basic stones, and ophiolites are easily eroded by wind and provide for
203 abundant sources of dust production.

204
205 *Air temperature.* Air temperature plays a vital role in dust production. Higher air temperatures increase
206 rock decomposition to rapidly generate significant quantities of dust particles (Kimura, 2012). Ambient
207 air temperature measurements were obtained from weather stations in the study area. Like the wind
208 speed map, several interpolation techniques to generate an air temperature map were compared and
209 kriging was deemed the most appropriate because it was most accurate (i.e., had the lowest RMSE).
210 The maximum air temperatures in the study region ranged from 49°C to 42.1°C (Fig. 3c).

211 *Land use.* Land use is also an indicator used to map dust potential (Kimura, 2012). Land use reflects
212 the intensity of human activities and the potential for environmental degradation and disturbance of the

213 surface. This study used a land-use map derived from a Landsat OLI image (2016) employing an
214 object-based image-classification technique (Fig. 3d). The image was radiometrically corrected with a
215 pre-processing technique by converting the detected radiometrics into reflectance values.

216 *Slope.* Slope is crucial to dust production and it is incorporated into dust emission and transport models.
217 The dust sources are widely distributed in areas of lower slopes and can be identified and assessed with
218 remotely sensed time-series data (Hahnenberger and Nicoll, 2012). The slope value is represented as a
219 percentage; the highest slope value was 185.3 (Fig. 3e).

220 *Soil.* The characteristics of soils, directly and indirectly, affect the dust-storm initiation (Hahnenberger
221 and Nicoll, 2012; Kimura, 2012). Eroded particles vary in size (i.e., from dust particle to boulder).
222 Heavier materials cannot be moved very far by wind, but dust particles can be transported long
223 distances and are deposited when they collide with obstacles in their paths or when wind speed
224 diminishes and loses its capacity to move them. Soil type is also a primary influence on plant growth.
225 Fig. 3f shows the distribution of the dominant soil types in the region.

226 *Rainfall.* Rainfall influences soil moisture, significantly impacting the strength of some soils against
227 erosion and, consequently particulate production. If rainfall and or soil moisture decreases, dust
228 increases. It, therefore, has a significant influence on the spatial distribution of dust potential. In this
229 study, precipitation data were obtained from the Iranian Department of Water Resources Management
230 (IDWRM). The rainfall map was also produced by using kriging. The study area is dominated by
231 landscapes of sparse shrubs and annual plants that reflect the arid climate with low precipitation; the
232 northern and southern parts receive more precipitation than the central region of the study area (Fig.
233 3g).

234 *Land cover.* Land cover is relevant to discerning dust-source potential. Land cover influences the
235 susceptibility of the soil to erosion. Compared to forests, land degradation is more severe on land with
236 scant vegetation. A land cover map of the study area was obtained from the Forest, Range and
237 Watershed Organization (FRWO) of Iran (Fig. 3h).

238 Fig. 3 here

239

240 **2.2.3 Basics and application of models**

241 While artificial neural networks (ANNs) can model any function regardless of its complexity and are
242 characterized by excellent learning and generalization capacities, they have drawbacks: difficulty
243 selecting the optimal number of layers and neurons in the model and interpreting functionality (Al-
244 Mahasneh et al., 2016; Jahani, 2019; Liška et al., 2018). Fuzzy inference systems (FIS) are based on
245 fuzzy logic, enabling classifications that allow partial membership in multiple classes. The advantages
246 and disadvantages of fuzzy inference systems and ANNs have explained in Al-Mahasneh et al. (2016).
247 ANFIS, also known as the universal estimator, is the combination of artificial neural networks (ANNs)
248 and the Takagi–Sugeno fuzzy-inference system which was first developed in the early 1990s (Jang,
249 1991; Jang, 1993; Jang et al., 1997). Combining neural networks and fuzzy logic is one way to
250 overcome the disadvantages of both techniques (Singh et al., 2012). Several studies report that ANFIS
251 integrates the advantages of neural networks in dealing with the implicit knowledge that can be
252 acquired by learning and fuzzy systems and in dealing with the explicit knowledge that can be
253 explained and understood (Heddami et al., 2012; et al., 2012; Wei, 2016). ANFIS also analyzes, learns,
254 and adapts quickly (Chen et al., 2013). Furthermore, fuzzy if-then rules serve as inference-engines that
255 enable ANFIS to approximate non-linear patterns by perpetually updating the knowledge of that system
256 based on newly defined rules, and concurrently updating the linear and nonlinear parameters based on

257 gradient descent and recursive least-square algorithms (Polat and Güneş, 2006). Therefore, ANFIS is
258 an artificial intelligence approach used for solving complicated problems in several scientific fields
259 (Premkumar and Manikandan, 2016; Wang and Elhag, 2008). A feed-forward network that includes
260 different layers with various functions is the fundamental configuration of the ANFIS. One of the
261 important steps in an ANFIS model is the fuzzification of input data which is implemented using fuzzy
262 membership functions. There are different membership functions including the triangular, trapezoidal,
263 Gaussian, and bell (Chen et al., 2017). The Gaussian function was used in this study. It is popular for
264 specifying fuzzy sets, and its curve is smooth and never equals zero (Tzeng, 2010). It is defined as (Eq.
265 1):

$$266 \quad g(x) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{1}{2}\left(\frac{x-\mu}{\sigma}\right)^2} \quad (1)$$

267 where μ and σ are parameter sets that change the shape of the membership function. Optimizing
268 parameters of the Gaussian function increases the accuracy of the ANFIS model. One crucial feature of
269 ANN and ANFIS models is the activation function that decides whether a neuron should be activated or
270 not (Yilmaz and Kaynar, 2011; Sun et al., 2015). When the activation function is not used, weights and
271 bias simply do a linear transformation; consequently, such ANNs and ANFIS models are substantially
272 linear regressions (Rani et al., 2019). In fact, the activation function applies a non-linear transformation
273 to input to enable the learning and prediction of complex tasks (Toghyani et al., 2016). The most
274 common activation functions are identity, binary step, sigmoid, tanh, ramp, ReLU, leaky ReLU, and
275 softmax (Mishkin et al., 2017). In this study, the sigmoid activation function was used. It has been used
276 in several subfields (Topçu and Sarıdemir, 2008; Sarıdemir, 2009; Hajduk, 2017). Sigmoid also has
277 advantages: it is characterized as a smooth function (i.e., it ranges from zero to one and has an S shape)
278 and it is continuously differentiable (Alçın et al., 2016). When multiple neurons include a sigmoid

279 function, the output will be nonlinear (Da and Xiurun, 2005). To achieve the best fit between estimated
 280 and measured values, the RMSE was used as the cost function (Eq. 2):

$$281 \quad RMSE = \sqrt{\frac{\sum_{t=1}^n (X_{est} - X_{obs})^2}{n}} \quad (2)$$

282 where X_{est} and X_{obs} are defined as the estimated and observed (actual) dust, respectively, and n is the
 283 number of dust observations. However, it is crucial to tune the learning parameters because it requires
 284 considerable time and requires a significant amount of input data. Also, the accuracy of ANFIS
 285 significantly depends on the adequacy of training data (Chen et al., 2017). Therefore, many
 286 optimization algorithms have been developed to automatically optimize these learning-parameters with
 287 inadequate data (Polat and Güneş, 2006; Tien Bui et al., 2018a). Among metaheuristic optimization
 288 algorithms, bat, cultural, and differential evolution algorithms were adopted and fused into the ANFIS
 289 model as ANFIS-BA, ANFIS-CA, and ANFIS-DE.

290 In summary, the bat algorithm, as the name implies, imitates the echolocation behavior of bats (i.e.
 291 sound pulses) and was first developed by Yang (2010). It entails three main components: frequency,
 292 loudness, and pulse emission rate (See Yang (2010) for details). Flying with random velocity in a
 293 random space (i.e., randomly moving through the parameters' space) and analyzing the three variables
 294 mentioned above, bats distinguish an object from obstacles and obstacles from open space (i.e., the
 295 presence and absence of localities) (Ali, 2014; Sambariya and Prasad, 2014). With this information, the
 296 bat optimizer can tune the learning parameters of ANFIS.

297 The CA algorithm, on the other hand, develops with evolutionary computations. It is a mathematical
 298 representation of how societies evolve or adapt to their environments. First expounded by Reynolds
 299 (Reynolds et al., 2008), the algorithm is underpinned by a two-level computational process, termed a
 300 dual-inheritance (Soza et al., (Soza et al., 2002). The first level focuses on a population that shares a set

301 of behavioral traits that is continuously handed down through the generations and is possibly spread to
302 others in society by social motivators. The second level focuses self-experiences and self-forecasts that
303 can be generalized and merged into a global belief. Thus, the circulation between the population, a
304 belief and subcomponents therein provide an outline for a cultural-evolution framework that can be
305 mathematically represented by various models, such as genetic algorithms (Schepanski et al., 2012).

306 DE, as a stochastic global-optimization method, can optimize the properties of a non-linear and non-
307 differentiable problem in a continuous space (Wang et al., 2014; Wu et al., 2016). The DE targets an
308 objective function (e.g., a cost function) and minimizes it under certain constraining functions with an
309 easy-to-operate implementation process (Soleimani et al., 2016). Using a vector (or parameter)
310 population and reliable handling of stochastic perturbations in the population enables DE to fairly
311 quickly provide practical results. The DE has been used to contribute to evolutionary optimization and
312 is one of the fastest and most practical optimization methods, particularly in comparison to other
313 prominent minimization methods such as annealing and genetic algorithms. The DE algorithm contains
314 four basic steps: initialization, mutation, crossover (also known as recombination), and selection⁸. The
315 last three steps are reiterated until a termination criterion is satisfied. Several termination criteria can be
316 considered in the modeling process. In this study, its iterative process was terminated when the root-
317 mean-square error (RMSE) was minimal.

318 A schematic of each metaheuristic optimization algorithm (i.e., BA, CA, and DE) is given in Fig. 4.
319 There are some notable differences between their architectures and their data analytical processes.
320 Detailed descriptions of these popular algorithms can be found in the literature (Premkumar and
321 Manikandan, 2016; Tien Bui et al., 2018a; Tien Bui et al., 2018b). In this study, all individual and
322 hybrid models (i.e., ANFIS, ANFIS-BA, ANFIS-CA, and ANFIS-DE) were executed with MATLAB
323 software.

324

Fig. 4 here

325 To apply the ANFIS model (i.e., standalone), the presence/absence of a dust source was used as the
326 dependent variable, whereas the dust-influencing factors were independent variables. ANFIS was
327 calibrated using the training data set (70% of dust-source locations in inventory) as explained in the
328 previous sections. Therefore, a dust-source probability map was generated with the standalone ANFIS
329 model. Subsequently, the training data set was also used for training the hybridized models (i.e.,
330 ANFIS-BA, ANFIS-CA, and ANFIS-DE). Three dust-source probability maps were produced for the
331 study area using the hybridized models. It should be noted that the validation data set (30% of dust-
332 source locations) was not used in the training stage.

333 **2.2.4 Accuracy assessment**

334 In this study, some standard evaluation metrics including root mean square error (RMSE), the area
335 under the receiver operating characteristic curve (AUC), and true skill statistic (TSS) were used. The
336 RMSE was described in the previous sections. These metrics are used to assess goodness-of-fit and
337 predictive performance.

338 The AUC metric is calculated with the receiver operating characteristic (ROC) curve and measures
339 how well a model generally performs (Pham et al., 2019; Tien Bui et al., 2018b). ROC curve plots the
340 “1–specificity” (also known as false positive rate, FPR) on the horizontal axis against the sensitivity
341 (also termed as true positive rates, TPR) on the vertical axis (Tien Bui et al., 2018a). The sensitivity
342 reflects the probability of correctly predicting the positives (i.e., dust source sites) as observed, whereas
343 the “1–specificity” shows the probability of incorrectly predicting a non-event location (i.e., non-dust
344 source) as an event (i.e., dust source). “1–specificity” and sensitivity can be calculated using the
345 components of the confusion matrix, including true positives (TP), false positives (FP), false negatives

346 (FN), and true negatives (TN). TP and TN are dust source and non-dust source locations correctly
 347 classified, respectively. FP and FN are the numbers of misclassified positives (i.e., dust source) and
 348 negatives (i.e., non-dust source). TPR and FPR can be calculated:

$$349 \quad TPR = \frac{TP}{TP+FN} \quad (3)$$

$$350 \quad FPR = \frac{FP}{FP+TN} \quad (4)$$

351 In an analytic expression of the ROC curve, it is denoted as f . The AUC is formulated as (Eq. 5):

$$352 \quad AUC = \int_0^1 f(FPR)dFPR = 1 - \int_0^1 f(TPR)dTPR \quad (5)$$

353 TSS is the other metric used to check the model performance based on the TPR and FPR statistical
 354 measures. It can be expressed as follows (Eq. 6):

$$355 \quad TSS = \frac{TP}{TP+FN} - \frac{FP}{FP+TN} = TPR - FPR \quad (6)$$

356 To suggest or reject a model for other susceptible areas, its reliability and performance should be
 357 evaluated using training and validation datasets (Bahraminejad et al., 2018; He et al., 2019). Therefore,
 358 all three evaluation metrics were calculated during training (i.e., using the training data set) and
 359 validation (i.e., using the validation data set).

360

361 **3. Results and discussion**

362 **3.1 Preparation of maps of potential dust-sources**

363 The spatial distribution of potential dust sources derived from standalone ANFIS models and from the
 364 equivalent hybridized models in which optimization algorithms used are illustrated in Fig. 5. Upon
 365 initial inspection, the spatial distribution of potential dust sources seems to be clearly differentiated

366 across the study area. Notably, all four predictive models (i.e., the standalone ANFIS, as well as the
367 ANFIS-BA, ANFIS-CA, and ANFIS-DE hybridized models) reveal a relatively similar spatial pattern
368 of dust potential across the study region. The northern, eastern, and southwestern parts of the region are
369 highly active dust-production sources, while the central parts show significantly less dust-potential and
370 are a rather low-dust zone. Visual comparison of the enlarged insets clipped from the dust-potential
371 maps reveals the less precise classifications dust-potential produced by the standalone ANFIS model
372 (Fig. 1a inset), particularly in areas without original source-data. The hybridized models produce a
373 clearer and more precise differentiation of localities with and without dust storms. This is discernible in
374 the proportional distribution of the dust-potential classes each hybridized model generates (Table 1).

375 The ANFIS model has classified nearly 69% of the region as highly dust storm active, which
376 contradicts the empirical evidence of dust storms in this particular region. These predictions are of little
377 practical value to guide pragmatic action to mitigate the impacts of dust storms. Conversely, the study
378 areas classified as 'high' and 'very high' by the hybridized models are smaller proportions of the
379 whole; they present more realistic representations of dust storm occurrence. This attests to the
380 enhancement that optimized ANFIS models provide for more differentiation between classes and,
381 therefore, perhaps, a more accurate solution. All three hybridized models indicated that the dried bed of
382 the Hirmand River, the Jazmurian wetland, Hamun Lake and some ephemeral lakes are the most active
383 dust sources. These findings are consistent with Rashki et al. (2015) who also investigated transport
384 pathways and mechanisms of dust using meteorological station datasets describing the southern part of
385 the study area (the Sistan region). Their results indicated that the dried bed of the Jazmurian wetland
386 and Hamun Lake were the most active dust sources in the Sistan region. Specific management should
387 be implemented in dust-source areas. For example, human activities related to water resources and land
388 cover should be rationally controlled.

389

Table 1 here

390

Fig. 5 here

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392 **3.2 Validation and comparison of the novel hybridized- and standalone-ANFIS models**

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To determine the accuracy of the hybridized ANFIS models, a goodness-of-fit test assessed the models in terms of mean-square error (MSE), root-mean-square error (RMSE), mean, and standard deviation (StD) metrics from the observed and predicted data (supplementary Figures S1-S4). All performance metrics computed for the ANFIS model with the training dataset were set to 0 (Fig. S1b, c). However, the values generated by the validation dataset for MSE, RMSE, mean, and StD were about 0.072, 0.269, 0.018, and 0.271, respectively (Fig. S1e, f). This indicated that the model had over-fitted the training dataset during its learning stage. These results demonstrated the tendency of the standalone ANFIS model to over-fit, as was shown in the study of Tien Bui et al. (2018b). By contrast, in the ANFIS-BA model, the values of 0.023, 0.153, 0.06, and 0.154 were obtained for the MSE, RMSE, mean, and StD, respectively, in the training phase (Fig. S2b, c). The values for the same variables generated with validation data were 0.020, 0.143, 0.013, and 0.144, respectively (Fig. S2e, f).

Similarly, the values of MSE, RMSE, mean, and StD obtained with the training dataset as input into the hybridized ANFIS-CA model were about 0.021, 0.146, 0.016, and 0.146, respectively (Fig. S3b, c). And for the validation dataset, they were about 0.022, 0.149, 0.010, and 0.150, respectively (Figure S3e, f). For the hybridized ANFIS-DE model, the training-data generated values for MSE, RMSE, mean, and StD were 0.016, 0.126, 0.005, and 0.127, respectively (Fig. S4b, c) and the validation-data values were 0.020, 0.142, -0.016, and 0.143, respectively (Fig. S4e, f). In this regard, similar to Tien Bui et al. (2018a, 2018b), we have demonstrated that a hybridized-ANFIS model can be considered to be a more robust predictive model for dust-storm prediction, as it attained a much greater accuracy than with the standalone-ANFIS model.

413 Therefore, it is evident that as MSE and RMSE values diminish, goodness-of-fit increases, as does the
414 overall performance for each optimized hybridized-ANFIS model. The ANFIS-DE model performed
415 the best, followed by the ANFIS-BA, ANFIS-CA, and ANFIS models. There are several possible
416 reasons for these results. DE has several advantages over the other algorithms. There are no restrictions
417 on the regularization methods and error function (i.e., non-differentiable transfer functions may be
418 used). Easy tuning of the algorithm parameters (mainly population size). Not only can convergence to a
419 global minimum be expected, but the linear time and space complexity of the algorithm can also be
420 established. Ilonen et al. (2003) confirmed that the structure of the DE algorithm influences its
421 capabilities. Our study indicated that DE's characteristics (compact structure, reliable search capability,
422 high convergence characteristics, and few control parameters) have made it a powerful population-
423 based stochastic optimizer. Some researchers believe that the main reason for its strength is its design
424 principles (simplicity, efficiency, and real coding) (Noman and Iba, 2008; Price, 2013; Das et al.,
425 2016). As discussed by Khazraee et al. (2011), the use of the differential evolution (DE) algorithm is
426 likely to generate a more robust and efficient optimization tool for any predictive model, given its
427 ability to perform a direct search of data features without requiring any derivative estimation or
428 assumptions. This explains the enhanced performance capability of the ANFIS-DE hybridized model.

429 To evaluate the validity of the models developed for dust-storm prediction, the resulting susceptibility
430 maps were also evaluated spatially for their validity. We tested the accuracy of the prediction of dust
431 storms that have occurred and those that are expected to occur using the training and validation
432 datasets. The results showed that the AUC in the training step (i.e., a measure of the goodness-of-fit)
433 were about 88.1%, 84.9%, 83.0%, and 85.4% for the ANFIS, ANFIS-BA, ANFIS-CA and ANFIS-DE
434 models, respectively. These values in the validation step (i.e., predictive performance) were about
435 63.7%, 83.4%, 80.3%, and 84.1%, respectively (Table 2).

436 Another robust statistical metric applied to validate the dust-susceptibility maps is the true skill statistic
437 (TSS). Accordingly, the training TSS value for the ANFIS, ANFIS-BA, ANFIS-CA and ANFIS-DE
438 models was found to be about 0.78, 0.74, 0.73, and 0.75, respectively. Slightly lower values of about
439 0.64, 0.72, 0.70 and 0.73 were produced with the validation dataset. Although the AUC and TSS
440 metrics produced from the training data and the ANFIS model had the highest performance, ANFIS-
441 BA's metrics using the validation dataset indicated the highest power of prediction. Therefore, the best-
442 hybridized models in order of performance are ANFIS-DE, ANFIS-CA, and ANFIS-BA.

443 A direct comparison of our results to the findings of other studies is difficult and must be done with
444 caution because the prediction performance of these hybridized models has not been compared in other
445 studies. As described by Das et al. (2016), the differential evolution algorithm's automatic adaptation
446 property, used as a unique feature extraction tool, can significantly enhance the search process of the
447 algorithm for solving multi-objective, dynamic, constrained, and large-scale optimization problems.
448 Besides, both Wang et al. (2008) and Wu et al. (2016) have also explained that the differential
449 evolution algorithm, when used as a population-based stochastic search technique, exhibited
450 remarkable performance in terms of final accuracy, robustness, computational speed. On the other
451 hand, this algorithm requires only three control parameters (i.e., crossover rate, scale factor, and
452 population size) which can be applied to solve a different real-world problem from a diverse array of
453 science and technology areas in practical ways.

454 According to the literature, the standalone ANFIS model can also have some drawbacks (Jang, 1991;
455 Jang, 1993). The main one is the poor generalization capability for unseen data. Another disadvantage
456 is its weaker scalability when using several membership functions and inputs required to train and
457 execute the model. Also, this model often requires numerous recalibrations. In dust-source assessments,
458 however, it is difficult to collect sufficient data over large regions (e.g., deserts) for various reasons

459 such as time and cost constraints, and measurement difficulties. In this study, metaheuristic
460 optimization algorithms, notably the DE algorithm, were intended to address these significant gaps and
461 enabled not only efficient fitting of data to the model but also enhanced the generalizability of the final
462 model. Consequently, metaheuristic optimization algorithms are likely to dramatically improve the
463 predictive performance of the ANFIS model when applied for spatial prediction of dust storms.

464 Table 2 here

465 3.3 Comparison of the models' predictions

466 Scatter plots for the standalone ANFIS model predictions were compared to those from each
467 hybridized ANFIS model predictions (Fig. 6). The distributions are very near and evenly distributed on
468 both sides of the 1:1 line implying strong agreement between the two data series (i.e., the predictions of
469 ANFIS and each hybridized model are shown accordingly). Clear patterns are not discernable in these
470 plots, indicating that there is almost no agreement between the predictions of the ANFIS and the
471 hybridized ANFIS model. However, two distinct point-patterns are visually discernable on the plots
472 and they are grouped as two clusters of points using cluster analysis. Most of the high values predicted
473 by the ANFIS model (roughly higher than 0.5 on the x -axis) lie below the 1:1 line, which means that
474 they are under-predicted by the hybrid model. In contrast, most of the low values produced by the
475 ANFIS model (values lower than 0.5 on the x -axis) are over-predicted by the hybrid models.

476 The ANFIS model tends to generate results that are composed of a greater number of extreme
477 outliers, while the hybridized models seem to produce predictions with outliers that have moderate
478 values. Although this does not prove that hybridized ANFIS models perform significantly better than a
479 standalone ANFIS model, there is a significant difference between the prediction patterns of the
480 standalone ANFIS and hybridized ANFIS models. Since the ANFIS model by itself has not been
481 applied to topics in this field of study, a direct comparison to results from previous studies is not

482 possible. However, to explore these results further, we consider that several other studies in
483 environmental and hydrological fields have demonstrated that the hybridized ANFIS models can
484 improve prediction of extreme observed values compared to a standalone ANFIS model. For example,
485 the study of Yaseen et al. (2017, 2018) found that the standalone ANFIS model integrated with the
486 firefly optimization algorithm (ANFIS-FFA) was able to capture heavy to extreme rainfall events more
487 accurately than did a standard, non-optimized ANFIS model. In a study on streamflow forecasting, the
488 authors demonstrated that although both standalone- and hybridized-ANFIS models were able to
489 forecast peak streamflow data points quite successfully, the hybrid ANFIS model could forecast low
490 flows more accurately.

491 Fig. 6 here

492

493 **4. Conclusion**

494 An ANFIS model was developed and hybridized with model-optimization algorithms to
495 perform comparative analysis for the spatial identification of dust source. The state-of-the-art models
496 developed and tested were standalone ANFIS and three equivalent hybridized models – ANFIS-BA,
497 ANFIS-CA, and ANFIS-DE. The resulting dust-source maps were validated using actual field data and
498 statistical metrics comparing predicted and observed dust-source datasets divided into training and
499 validation subsets. Several model parameters – historical dust-storm data, high-speed wind event data,
500 soil types, air temperatures, geomorphic units, slope, land use, and rainfall – were used as predictors
501 that enabled mapping of potential dust-source areas. We can draw several conclusions from this study.

- 502 • Based upon the models developed, there is a significant potential for increasing amounts of dust in
503 the study region because of the interactions of the factors that initiate and promote dust production;
- 504 • ANFIS hybridized models can be used to map dust-source areas at a regional scale, creating new
505 pathways to assess dust-storm potential and to examine the effects of these storms on human health

506 and the environment. The four ANFIS models achieved strong predictive capacities as indicated by
507 the AUC and TSS statistical tests: standalone ANFIS (AUC=63.7%, TSS=0.64), and the hybridized
508 ANFIS-BA (AUC=83.4%, TSS=0.72), ANFIS-CA (AUC=80.3%, TSS=0.7), and ANFIS-DE
509 (AUC=84.1%, TSS=0.73). These accuracy assessments demonstrate that hybridization enhances
510 standalone algorithms, at least with models depicting dust-generation.

- 511 • This approach should be of interest to local environmental and health agencies and to governments
512 to identify and mitigate sources of dust. They should consider methods to transfer this approach to
513 other regions that are experiencing a similar problem. This new dust-storm potential modeling
514 approach can be replicated to identify current and future dust sources in other regions.
- 515 • The Gaussian membership function was used in this study. Future studies should examine the
516 influence of it and other membership functions (triangular, trapezoidal, Gaussian, and bell functions)
517 on the performance of hybridized models.

518

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528

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Table 1 The area of dust-source potential classes assigned by the four models (in percent)

Model Type	Model Name	Very low	Low	Medium	High	Very high
Standalone	ANFIS	22.30	1.56	7.49	60.25	8.38
Hybridized Models	ANFIS-BA	5.60	17.95	29.97	33.60	12.85
	ANFIS-CA	3.28	18.47	31.10	24.41	22.71
	ANFIS-DE	2.95	15.82	29.40	36.78	15.1

Table 2. The goodness-of-fit and predictive performance of hybrid and individual models based on AUC and TSS metrics.

Model Type	Model Name	AUC (%)		TSS	
		Training	Validation	Training	Validation
Standalone	ANFIS	88.1	63.7	0.78	0.64
Hybridized Models	ANFIS-BA	84.9	83.4	0.74	0.72
	ANFIS-CA	83.0	80.3	0.73	0.7
	ANFIS-DE	85.4	84.1	0.75	0.73

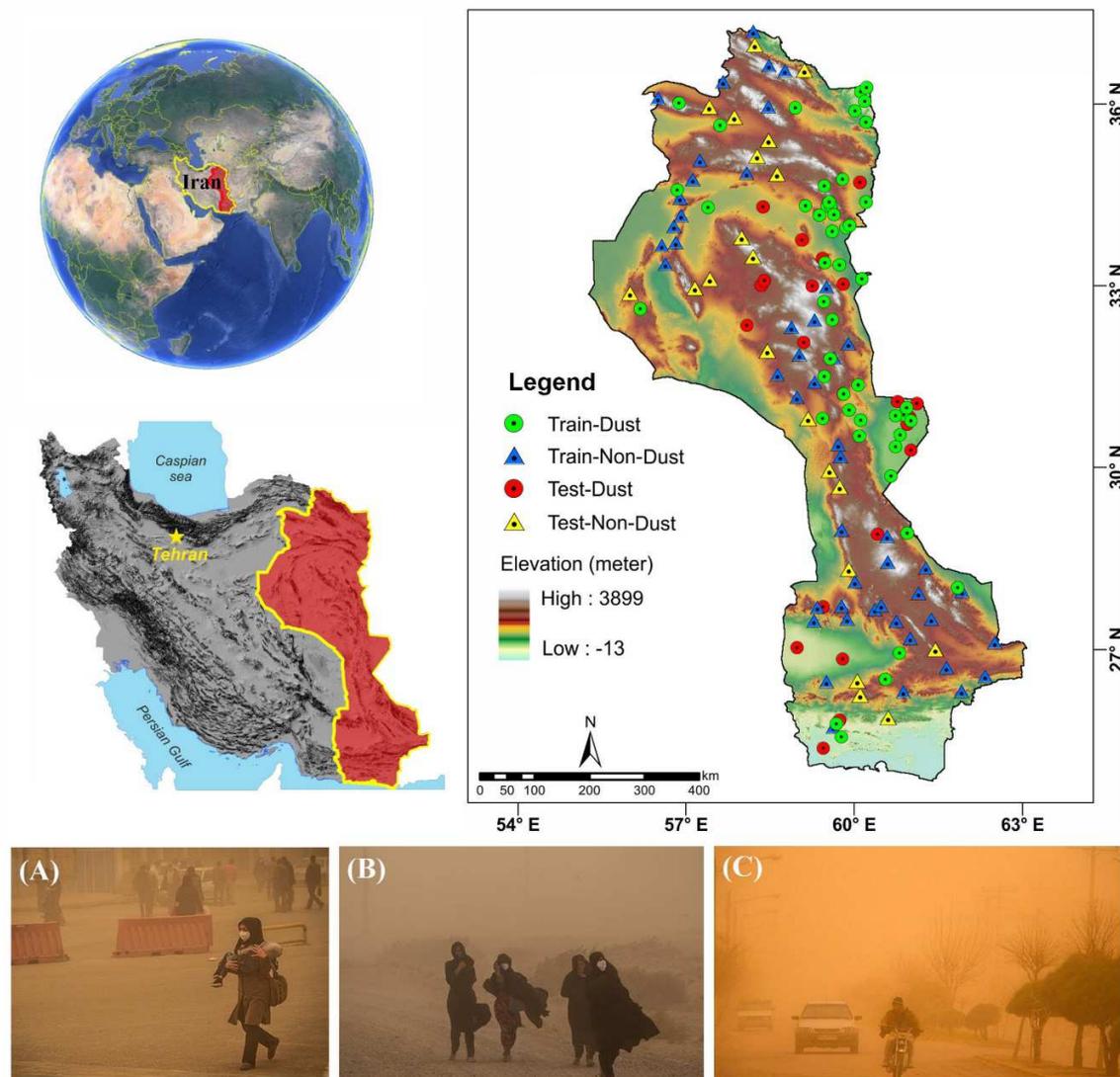


Fig. 1 A map of the study area and field photographs of some major dust storms that have occurred in (A) Zabol, (B) Zahedan, and (C) Iranshahr, Iran (field photographs were taken by the third author (S.S.G.)).

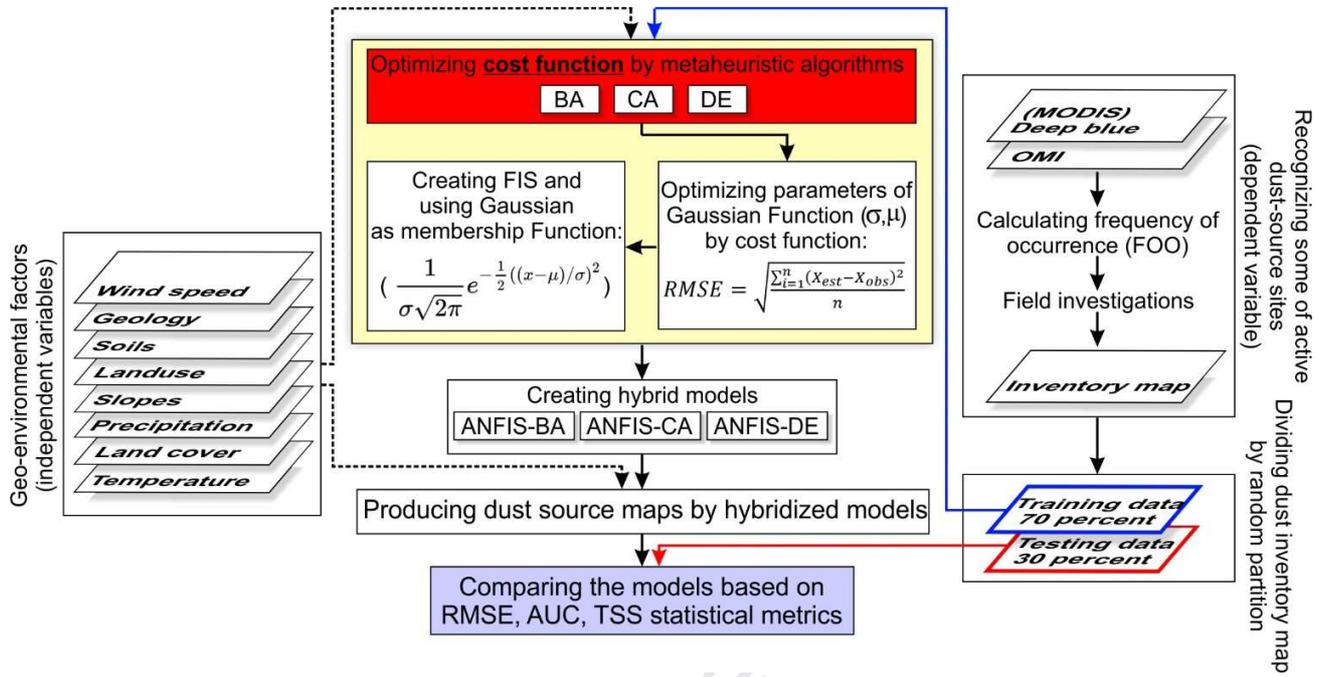


Fig. 2 Methodological flowchart of the present study.

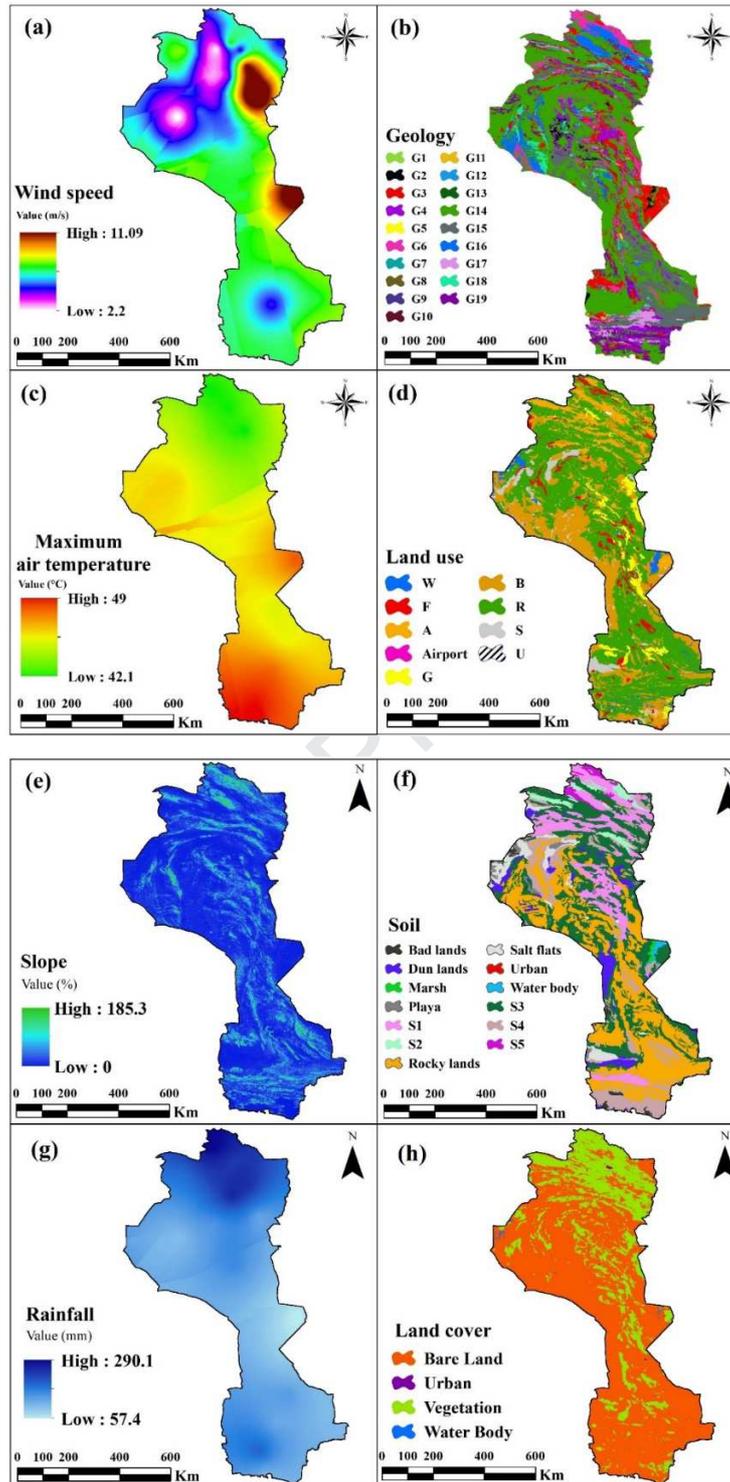


Fig. 3 Dust influencing factors: a) wind speed, b) geology, c) maximum air temperature, d) land use, e) slope, f) soil, g) rainfall, h) land cover.

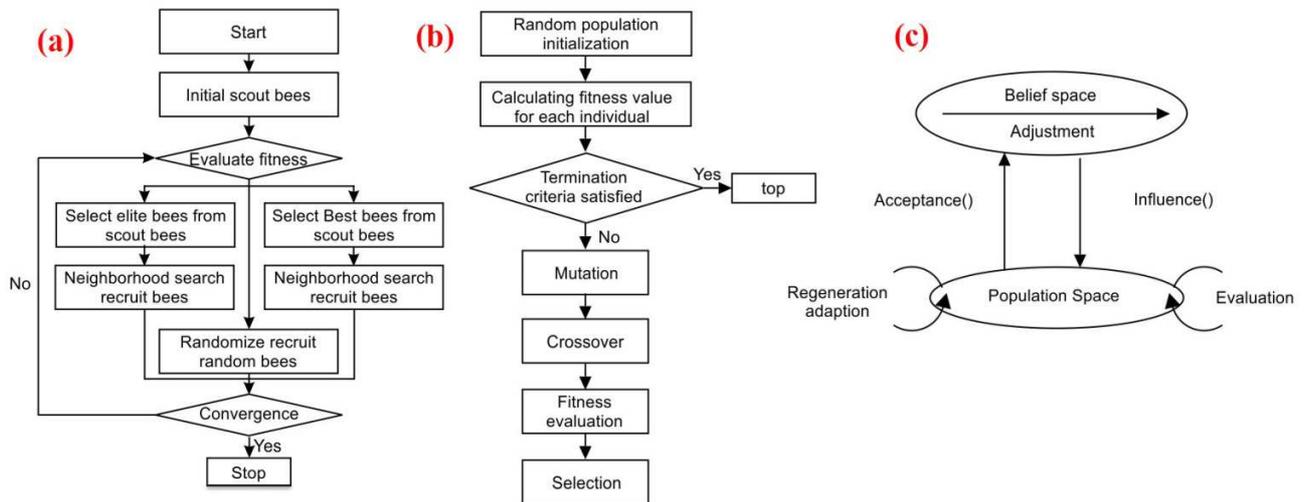


Fig. 4 Metaheuristic optimization algorithms: a) BA, b) DE, and c) CA

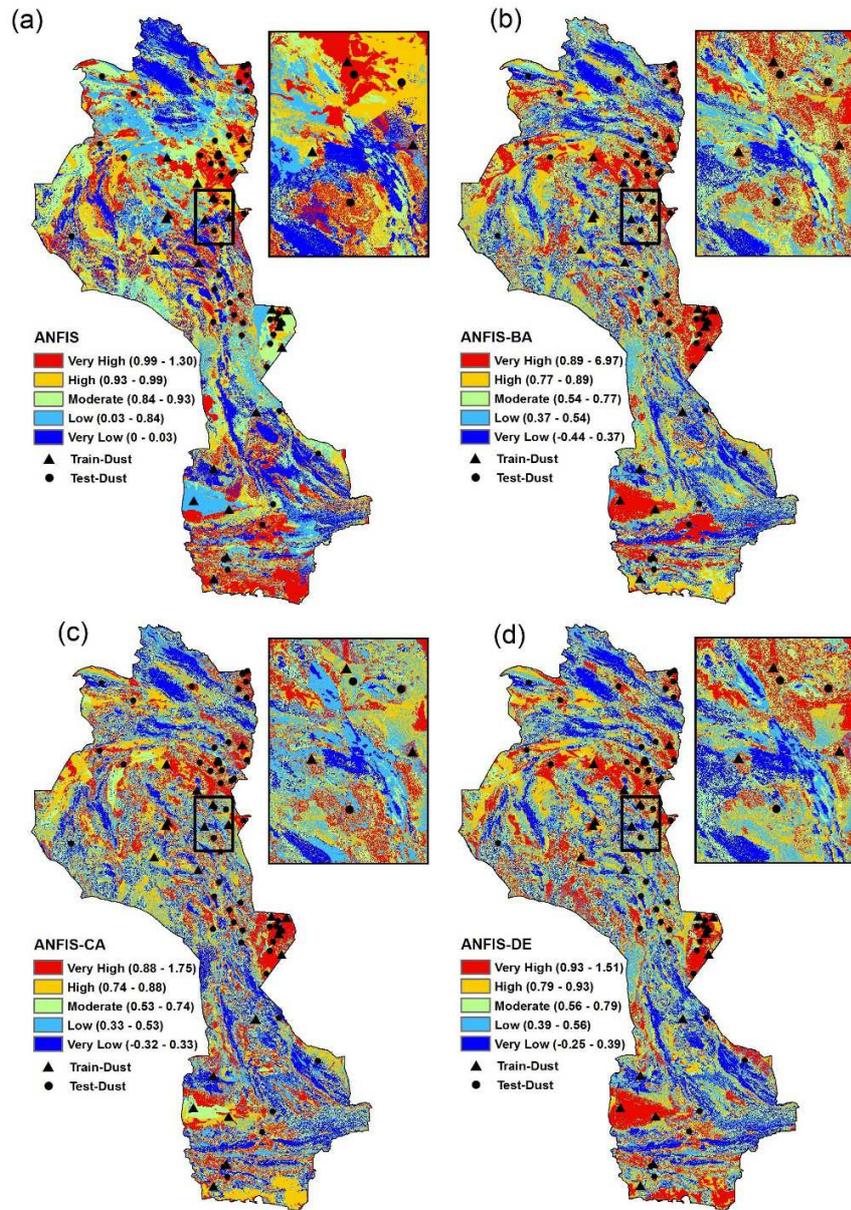


Fig. 5 Dust-source potential mapping prepared by the standalone and hybridized ANFIS models: a) ANFIS, b) ANFIS-BA, c) ANFIS-CA, and d) ANFIS-DE

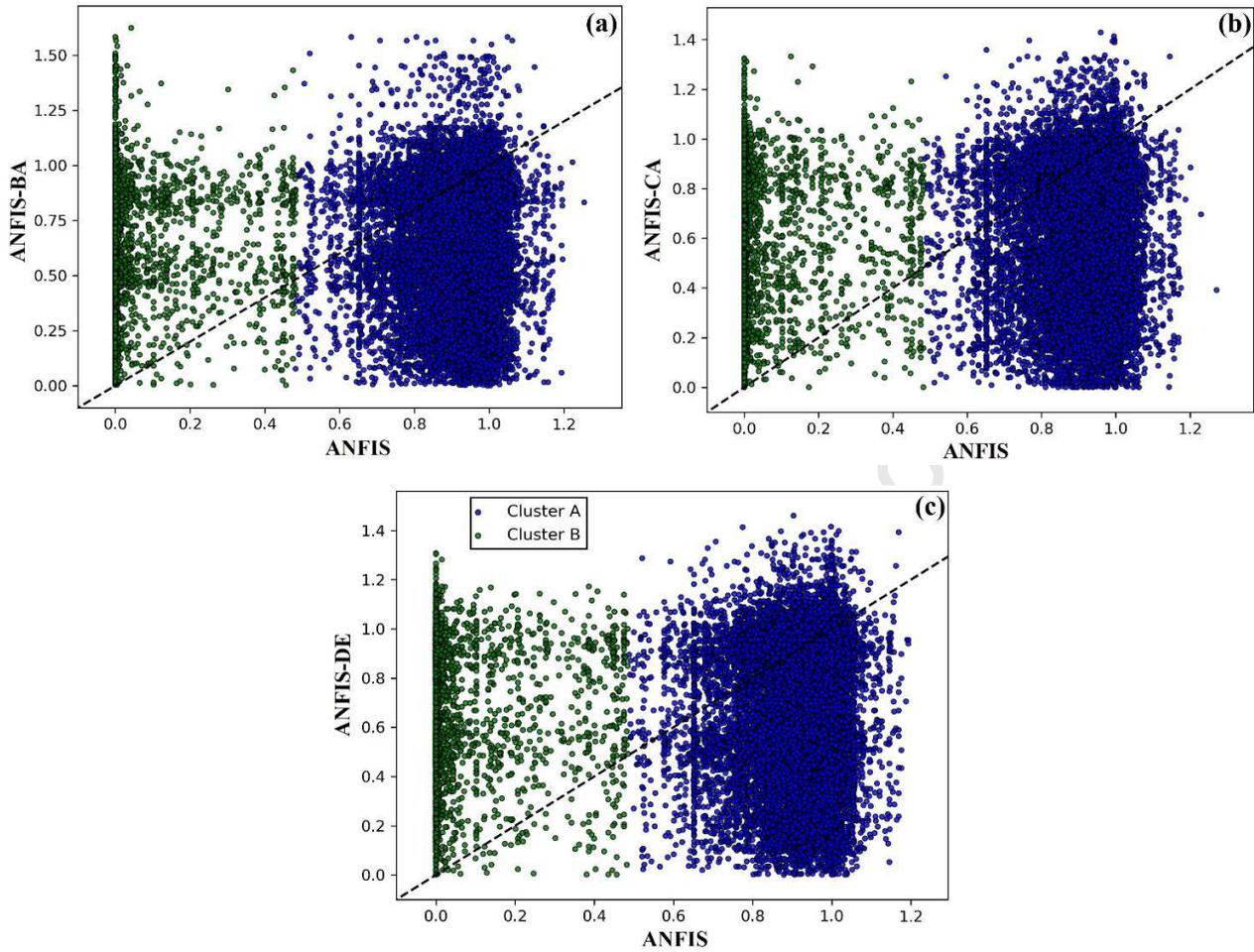


Fig. 6 The results of cluster analysis: a) ANFIS-BA versus ANFIS, b) ANFIS-CA versus ANFIS, and c) ANFIS-DE versus ANFIS

Highlights

- A new framework was developed for identification of dust-sources.
- Three novel hybridized ANFIS models were developed: ANFIS-BA, ANFIS-CA, ANFIS-DE.
- The hybridized ANFIS-DE model had the highest accuracy (AUC=84.1%, TSS=0.73).
- All hybridized models outperformed the standalone ANFIS model.

Conflicts of Interest:

The authors declare no conflict of interest

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