

1 **A review on the ability of smartphones to detect ultraviolet (UV) radiation**
2 **and their potential to be used in UV research and for public education**
3 **purposes.**

4
5
6 Abstract

7 The effects of ultraviolet (UV) radiation on life on Earth have continuously been the subject of
8 research. Over-exposure to UV radiation is harmful, but small amounts of exposure are required for
9 good health. It is, therefore, crucial for humans to optimise their own UV exposure and not exceed
10 UV levels that are sufficient for essential biological functions. Exceeding those levels may increase
11 risk of developing health problems including skin cancer and cataracts. Smartphones have been
12 previously investigated for their ability to detect UV radiation with or without additional devices that
13 monitor personal UV exposure, in order to maintain safe exposure times by individuals. This review
14 presents a comprehensive overview of the current state of smartphones' use in UV radiation
15 monitoring and prediction. There are four main methods for UV radiation detection or prediction
16 involving the use smartphones, depending on the requirements of the user: devoted software
17 applications developed for smartphones to predict UV Index (UVI), wearable and non-wearable
18 devices that can be used with smartphones to provide real-time UVI, and the use of smartphone image
19 sensors to detect UV radiation. The latter method has been a growing area of research over the last
20 decade. Built-in smartphone image sensors have been investigated for UV radiation detection and the
21 quantification of related atmospheric factors (including aerosols, ozone, clouds and volcanic plumes).
22 The overall practicalities, limitations and challenges are reviewed, specifically in regard to public
23 education. The ubiquitous nature of smartphones can provide an interactive tool when considering
24 public education on the effects and individual monitoring of UV radiation exposure, although social
25 and geographic areas with low socio-economic factors could challenge the usefulness of smartphones.
26 Overall, the review shows that smartphones provide multiple opportunities in different forms to
27 educate users on personal health with respect to UV radiation.

29 Keywords

30 Smartphone, ultraviolet, UV radiation, UV irradiance, CMOS, sensor, UVB, UVA

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33 Section 1.0

34 Rationale/Introduction:

35 Research has long established that excessive exposure to ultraviolet (UV) radiation has detrimental
36 effects on human health. Acute and prolonged UV exposures have been linked to erythema (sun
37 burn), eye conditions such as cataracts, pterygiums and photokeratitis, photoaging and immune
38 suppression, development of non-melanoma skin cancer and melanoma (Godar 2005). On the other
39 hand, small UV exposures contribute to good health; UV radiation is essential for the synthesis of
40 vitamin D which is required for bone health and general wellbeing, including contributing to
41 maintaining healthy circadian rhythm (Matsui et al. 2016). Lack of vitamin D is directly related to
42 diseases such as rickets (Holick 2006), while there are also links relating vitamin D deficiency to
43 cancer of the breast, colon and prostate amongst other cancers (Garland et al. 2009). The global
44 disease burden caused by UV radiation was estimated to be 0.1%, with an estimated 1.6 million
45 disability-adjusted life years, due to diseases associated with UV radiation (Lucas et al. 2008),
46 however it has been suggested that this burden could increase as other diseases are linked with UV
47 radiation as a causative, or non-causative protective role. In comparison to other disease burdens, this
48 may seem low in relative importance; however there is significant economic burden in related
49 treatment costs.

50 The ability of humans to monitor and control their own UV exposure, whilst understanding the
51 consequences of that exposure, is essential in maintaining good health. Studies have reported the need
52 for deeper understanding by the public on UV radiation measurements and how to moderate an
53 individual's exposure (Carter & Donovan 2007; Hacker et al. 2018a; Nicholson et al. 2019).

54 Continuous effort is required to provide interventions and education that influence the public's
55 understanding and knowledge of this important topic (Mahler et al. 2005; Rodrigues et al. 2017).

56 Recent research has demonstrated that e-Health (electronic Health) focused solutions could play a role
57 in reducing the disease burden caused by UV radiation (Hacker et al. 2018a; Hacker et al. 2018b;
58 Hussain, Nicholson & Freyne 2017) by increasing education and public awareness of the effects of
59 UV radiation. These e-Health methods for intervention and education purposes has involved the use
60 of smartphones, by education through social media and self-instruction, and as a personal

61 measurement device. Previous reviews (Grossi 2018; Li et al. 2016) have considered a wide range of
62 applications of a large number of smartphone sensors. Other reviews are limited to specific types of
63 UV sensing devices called dosimeters (Kanellis 2019). e-Health solutions are an emerging field which
64 is associated with fast growing technology and measurement techniques (Burggraaff et al. 2019). The
65 use of smartphones for health purposes is not new and a large number of health related applications
66 (apps) are available (mostly free) for smartphones (Camacho et al. 2014; Grossi 2018). In a study
67 conducted in 2012, Chang Brewer et al., (2013) reviewed almost all available health related
68 smartphone apps. The study found that out of 229 studied apps, 8.3% (19) were devoted to offering
69 advice on sunscreen application or about UV exposure. Another review (Patel et al. 2015) reported
70 that the number of apps related to UV radiation or sunscreen application has increased from a total of
71 19 applications in 2013 to 34 applications in 2014. In the latter review, it was found that the most
72 reviewed smartphone application by users was a UV Index with a sun exposure and sunscreen
73 recommendation app.

74 Mobile phones have been proven to be an excellent means to conduct cognitive studies (Dufau et al.
75 2011). For instance, studies that use text messages as an intervention to raise UV exposure awareness
76 or provoke sunscreen application showed increasing user awareness and adherence to sunscreen
77 application (Armstrong et al. 2009). A broader trial conducted by Gold (2011) on the intervention of
78 smartphones on both sexual health and UV exposure showed an increased awareness of sexual health,
79 but the data did not show that awareness of UV exposure and preventative measures in decreasing UV
80 exposure were improved. These studies, however, did not investigate the effect of self-motivated
81 applications, such as those mentioned in some dermatological studies, on raising levels of awareness.
82 Newer studies have provided some alternative results to consider. A study in Germany (Brinker et al.
83 2017) presented a sample of teenagers with a smartphone app capable of altering personal photos to
84 visualise the photoaging effect of UV exposure. Although the study was not conclusive, it found some
85 changes in perception around the importance of protection from solar exposure or tanning booths
86 (Brinker et al. 2017). Other studies such as those conducted by Buller et al. (Buller et al. 2013; Buller
87 et al. 2015a, 2015b), which also cannot be considered conclusive, found evidence that a smartphone

88 app can provide useful mechanisms to change individuals' perceptions on sun protection. A more
89 recent study by Hacker et al. (2018b) concluded that reduced UV exposures and enhanced UV
90 protection can be achieved in young adults using smartphone apps and dosimeters, and suggested
91 conducting further research in this field. Hacker et al. (2018a) showed that a smartphone app diary
92 was a suitable replacement for other data collection methods in UV exposure research.

93 This review seeks to provide an overview on the current state of smartphone technology that is being
94 investigated or employed to detect UV radiation. It will also discuss the use of this technology in
95 public education to better communicate information about UV radiation and its effects. The review
96 will start with an investigation into the use of smartphone applications used for UV radiation sensing.
97 This is separated into using smartphones with and smartphones without devoted sensors. Then the
98 review will explore the smartphone as a UV radiation sensor itself. The next section will explore how
99 the smartphone as a sensor has been applied in research disciplines to measure UV radiation related
100 factors. Finally the review proposes future directions for extending the use of this ubiquitous and
101 accessible technology in UV related fields.

102 Literature for this review was obtained by focusing on searches in databases, using keywords such as
103 "ultraviolet", "UV", "smartphone", and "apps" and other related search terms. However, as this is an
104 emerging field, many resources were not found using this process. Many sources were identified from
105 web searches. Another factor noted was that there appeared to be some disconnect between the
106 literature in different research disciplines. For example, some published work in computing
107 disciplines had little connection to those in published health disciplines (citing very few publications
108 on the same topic in the health related areas). It is hoped this review will bridge the gap between these
109 disciplines and provide better sharing within cross-disciplinary research.

110

111 Section 2.0 – Smartphones, applications and sensors.

112 This section describes and reviews the employment of smartphones in either predicting or detecting
113 UV radiation; and describes some devices used with smartphones to satisfy this purpose. Given how
114 quickly technology can change and new innovative applications are developed, it is likely that not all

115 devices and measurement techniques and initiatives can be covered here by the time of publication.
116 The following sections will elaborate on the most known applications and devices over the last
117 decade. In addition, the first section will briefly review information about UV radiation and how it is
118 influenced by the surrounding environment.

119 2.1 Background Information about UV radiation

120 UV radiation comprises approximately 8 to 9% of the entire solar spectrum at the top of the Earth's
121 atmosphere (Frederick, Snell & Haywood 1989), but it represents only about 5% of the solar spectrum
122 at the Earth's surface, with the majority (95%) of that UV radiation being UVA radiation (320 nm-
123 400 nm), while the rest is UVB radiation (280-320 nm). The divisions between the different
124 wavebands of the UV spectrum are somewhat arbitrary and dependent on the research area (Diffey
125 2002) and may vary according to disciplines (315 nm was the original cut-off between UVB and
126 UVA radiation, but environmental and dermatological photobiologists primarily use 320 nm as the
127 industry cut-off). All UV radiation between 200 nm to 280 nm is classified as UVC; however, UVC
128 and a proportion of UVB radiation are absorbed by ozone in the atmosphere before it can reach the
129 Earth's surface. UV radiation is influenced by several factors that control the amount of UV exposure
130 received by an individual at any time. Factors affecting UV exposure include: ozone, atmospheric
131 components such as aerosols, solar zenith angle, latitude, altitude, cloud coverage and reflectance
132 from surfaces and clouds. In addition, personal factors such as skin type can alter the potency of UV
133 exposure. Overall, with the myriad of factors that can change the UV exposure of an individual, it
134 becomes increasingly important to use a variety of methods to learn more about an individual's UV
135 exposure. The approved method of communicating UV radiation exposure levels is through the use of
136 the UV Index (UVI), this is a unitless measure that provides the rate of exposure from erythemally
137 weighted UV irradiance (Gies et al. 2004; WHO et al. 2002). The erythemal weighting indicates the
138 likelihood of sunburn. Most weather reporting outlets include UVI in their weather reports.

139

140 2.2 Current literature and published or commercial tools

141 This section provides information on a number of UV sensing devices. A summary of these devices
142 discussed in sections 2.1.2 and 2.1.3 is provided in Table 1.

143

144 2.2.1 Smartphone Applications without devoted UV sensors

145 There has been considerable research in developing solar irradiance-based apps that rely on receiving
146 external information and do not use any smartphone internal or added external sensors to detect UV
147 radiation. These apps mostly access data provided freely on the web and use algorithms to present that
148 data in a meaningful way to the user. A smartphone app, in general, is an interaction between external
149 data sources, user input and in some cases, the computing power of the smartphone itself.

150 Most of the apps introduced for monitoring human health associated with sun exposure aim to
151 improve attitudes and behaviours towards sun protection, monitor vitamin D levels, or raise awareness
152 of other related UV exposure mechanisms such as tanning booths (Brinker et al. 2017; Buller et al.
153 2015a; Correia 2014; Dunstone & Conway 2014; Morelli et al. 2016b; Wakely et al. 2018). Two
154 broad types of apps for human health without additional sensors are found in the literature, namely
155 informational and visual, the latter using augmented reality features (Brinker et al. 2017; Wakely et al.
156 2018).

157 Informational based apps can access weather, cloud cover and UV Index (UVI) data from official
158 sources (such as The National Oceanic and Atmospheric Administration or the Australian Bureau of
159 Meteorology). These sources are generally networks of weather stations across states or countries.

160 These apps apply user inputted data along with externally sourced atmospheric data and data from the
161 smartphone's internal calendar and clock to provide users with details of safe levels of sun exposure,
162 optimum UV levels for vitamin D production and also alerts to reapply sunscreen or to seek shade.

163 Examples of these apps include Australia's *SunSmart* app (Dunstone & Conway 2014; Jenkins 2017;
164 Wakely et al. 2018), *Solar Cell* from the United States (Buller et al. 2013; Buller et al. 2015a, 2015b),
165 and the *HappySun* app from the United Kingdom. *HappySun* is slightly different, in that it interfaces
166 satellite-based data with atmospheric radiative transfer modelling and user input (Morelli et al.

167 2016b). Satellite based information is used with personal data entered by the user, to calculate real
168 time personal UV exposure measurements which are displayed on the smartphone's screen (Morelli et
169 al. 2016a). Interestingly, it is promoted as a sensorless personal UV dosimeter (SIHealth 2018) rather
170 than a smartphone application. Many apps are, by the nature of the information accessed, restricted to
171 certain continents or locations, but there are a variety that aim to provide UVI predictions or
172 measurements across the world, including *GlobalUV* (NIWA 2016), *UVIMate* (Unknown 2018) and
173 *WorldUV* (British Association of Dermatologists no date).

174 Recent apps have sought to use the advent of augmented reality algorithms and the prevalence of
175 people taking pictures of themselves, or 'selfies' to provide a visual representation of the effects of
176 excessive sun exposure, such as the effects of skin cancer and photoaging. This is achieved by
177 developing an overlay of known sun damage on to the user's image ('selfie'), although this
178 technology is still developing methods to perfect the accuracy and realism of the overlay (Wakely et
179 al. 2018). It has also been found that this visual approach is more appealing to younger users who are
180 often at the critical age for developing good lifetime sun exposure habits (Brinker et al. 2017).
181 Examples of this method include *seeUV* developed by *SunSmart* in Australia (Wakely et al. 2018),
182 and *Surface* from Germany (Brinker et al. 2017).

183

184 2.2.2 Smartphone applications with devoted wearable UV electronic sensors

185 Although there is no freely available technical specification data about the UV sensors used in
186 smartphones and similar devices, it is reasonable to assume that the internal UV photodiode would
187 follow similar operational principles as those used in external devices, such as *Sundroid*, where the
188 incident irradiation on the UV photodiode is converted to a small electric current. The magnitude of
189 the electric current is dependent on the intensity of the incident irradiation and the spectral sensitivity
190 of the photodiode itself (Fahrni et al. 2011). This sensitivity to the UV is analogous to the inherent
191 UV sensitivity of the smartphone complementary metal-oxide semi-conductors (CMOS) image sensor
192 (Turner et al. 2017).

193 The period from 2009 to 2017 was prolific in the number of UV sensors developed. Fahrni et al.,
194 (2011) developed a wearable sensor *Sundroid* that incorporated the use of UV photodiodes (UVB and
195 UVA photodiodes) with an embedded Bluetooth module. *UVsense wearable* was developed by a
196 start-up company in New Zealand (Cheuk, Xu & McLean 2014), although it is unknown if this sensor
197 has been commercially produced. It is unlikely that its production has continued, given that another
198 device with the same name *UVsense* was being marketed in early 2018 by L'Oréal. This is the first
199 non-battery electronic device that senses UV radiation in conjunction with a smartphone (L'Oreal
200 2018a) that is small enough to stick to a person's nail. However, since November 2018, the product
201 has become known as *My Skin Track UV*, (L'Oreal 2018b). It is not quite clear if they are definitely
202 the same product, as *UVsense* adheres to a fingernail while *My Skin Track UV* is a clip on device. One
203 of the developers of this product previously developed a sensor that can monitor various health related
204 features on the human body called the *Biostamp*. The *Biostamp* uses stretchable circuits supported by
205 thin rubber that can be attached to the skin much like a temporary tattoo. This multifunctional sensor
206 is composed of UV radiation sensors for UVB exposure, UVA exposure, UVB and UVA exposure as
207 well as UVA and UVB intensity sensors along with body temperature sensors. The *Biostamp* can be
208 connected with Android based devices, although the reports in 2015 suggested that these would soon
209 be compatible with non-Android devices (Perry 2015). The UV radiation intensity measurement is
210 achieved by taking a digital picture of the *BioStamp*. The colorimetric sensors are made up of
211 photoactivators, colour changeable dyes and absorptive optical filters that make up the main
212 components of the UV detection unit of the *BioStamp* (Araki et al. 2017). An algorithm then translates
213 the information captured within the smartphone to provide data on the information collected by the
214 *Biostamp*. A similar product (but without the electronics) is *My UV Patch* (La Roche-Posay), which
215 requires image capture with a smartphone to measure colour changes due to UV exposure (Shi et al.
216 2018). This device is discussed in section 2.1.4.

217 Table 1 - Summary of UV sensing devices discussed in Section 2.0

Sensor	Form	Sensor Type/Data source	Data measured	Commercial availability	Cost
Non-wearable Sensors					
YOUVI	Plugs into smartphone headphone port/jack	Unknown	Unknown – output is UV Index	No	Not applicable
Integrated Environmental Monitoring System	Handheld device	UV photodiode: UVM-30A, Guangzhou Logoele Electronics Technology Co. Ltd	Broadband (200nm-370nm)	Unknown	Not applicable
Samsung Galaxy Note 4	Smartphone	Proprietary Information	Assumed: UV Index	No longer in production	Not applicable
Wearable Sensors					
Sundroid	Wearable sensing unit with Bluetooth module.	UVB and UVA photodiodes attached to custom made circuit board	Output: Accumulated dose in MED (minimum erythral dose)	Unknown	Not applicable
UVsense wearable		AlGaIn photodiode	Broadband UV	Unknown – undergraduate research project	Unknown
My Skin Track UV, L’Oreal (previously known as UVsense)	Adheres to skin surface Clips to clothing etc	Proprietary information www.laroche-posay.us	Output: Accumulated dose	https://www.laroche-posay.us/my-skin-track-uv-3606000530485.html	\$59.95 US
Biostamp	Adheres to skin surface	Proprietary information www.mc10inc.com	Broadband UV	No – company assisted in development of My UV Patch	Not applicable
JUNE-by-Netatmo	Wristband – Bracelet design	Proprietary information	Unknown	No longer in production	Average price was \$100 US.
Sunsprite	Magnetic Badge or suspended on necklace	Lux meter style sensor	Visible radiation (may include possible UV radiation)	www.sunsprite.com	Temporarily out of stock at time of review
Microsoft Band	Wristband – Fitness Tracker	Proprietary information	Unknown	No longer in production	Average price was \$199.00 US
QSun	Clip to clothing	UV sensor (type not specified)	UVB/UVA +/- 0.5UVI (extracted from specs)	https://qsun.co/	\$149.00 - \$199.00 CAN
Huawei Honor Band A1	Fitness tracker	UV sensor: LTR 390	Unknown	www.amazon.com.au Associated app no longer available	\$29.95 AUD
Shade	Magnet attachment to clothing	Proprietary information	Output: UV Index	www.wearshade.com	\$299.00-\$599.00 US
Samsung Gear S	Sports Watch	UV sensor (type not specified)	Unknown	No longer in production	Not applicable
SeaWatch - Sphere	Sports Watch	UV sensor (type not specified)	Output: UV Index	Shop.spheredrones.com.au	\$59.95 AUD
My UV Patch	Adheres to skin surface temporarily	Colorimetric change (assumed)		Limited release with other La Roche-Posay products (sunscreen)	Not applicable
LogicInk	Temporary Tattoo	Colorimetric change (assumed)		Logicink.com	10 for \$39.00 and other price ranges

Uvision	Arduino system	Adafruit SI1145 UV/Visible/IR sensor	UV Index	Unknown – MIT Undergraduate project	Not applicable
UV Dosimeter	Wrist attachment	Semiconductor sensor	Broadband	scienterra.com/home/4567276434	\$450.00 NZD

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219 Similar to the *UVsense wearable*, which was designed by an undergraduate team, the *Uvision* was
220 presented by MIT undergraduates, however the sensor used in this device detected visible and infrared
221 radiation and predicts UV radiation from that information (Hoblos et al. 2015). It is unknown if this
222 device progressed any further. The *JUNE-by-Netatmo* (JUNE-by-netatmo 2015) was a device
223 marketed as a jewellery and beauty product, enabling the user to monitor their UV exposure by
224 wearing it like a watch or bracelet. The target market looks to be those who are able to afford luxury
225 items, offered in the same price bracket as lower cost jewellery items. The *SunSprite* is a similar
226 jewellery-smartphone paired device, with more options on how it is worn, including as a necklace
227 (SunSprite 2017). The *SunSprite* is similar to the *Microsoft Band* (Microsoft 2018) which was
228 included in a study that reviewed the effectiveness of promoting awareness of UV exposure (Hussain
229 et al. 2016; Hussain, Nicholson & Freyne 2017). It is also similar to the *QSun* UV exposure tracker
230 (QSun 2018). Both the *SunSprite* and the *QSun* focus on obtaining optimal daylight exposure, or
231 vitamin D exposure, while the *Microsoft Band* is a fitness tracker similar to another fitness tracker by
232 Huawei (GSMarena 2016). Work by Puente-Mansilla et al. (Puente-Mansilla et al. 2016) developed a
233 wearable UV sensor with smartphone accessibility and auditory warning signals for people with
234 visual impairments. Another wearable device was proposed by Dey et al.(2017). This UV device uses
235 a lux meter and a correlation model between lux and UVI measurements to determine the UV
236 exposure of the user. The process used in this device could be considered similar to that used by Mei
237 et al., (Mei, Cheng & Cheng 2015a; Mei, Cheng & Cheng 2015b; Mei et al. 2017). Their work uses
238 fog computing to capture visible images through the smartphone CMOS and compute the UV
239 irradiance from global irradiance as presented by key characteristics of the visible image. Banarjee et
240 al., (2017) reviewed an array of wearable devices that detect UV radiation and compared them to a
241 calibrated radiometer. Their study concluded that their own designed wearable UV device *Shade*,
242 (Shade 2019) was the most comparable to the calibrated radiometer. *Shade* also appears to be
243 accessed by an accompanying smartphone application. Samsung developed “smart” watches and
244 included a UV sensor within the Samsung Gear S, but not in the subsequent model S2 (Mei et al.
245 2017). The added feature of a UV sensor is not prolific amongst similar products. Sphere created a

246 watch with a UV tracker (Sphere Drones) but it does not appear to be used in conjunction with a
247 smartphone.

248 A small wearable electronic dosimeter that is solely devoted to UV radiation exposure measurement
249 and suitable for research (Allen & McKenzie 2005; Seckmeyer et al. 2012) has previously only been
250 accessible using devoted devices to extract data. Forthcoming work indicates that data collected by
251 these dosimeters will soon be accessible via smartphone devices, with an array of new features
252 (Sherman 2018).

253

254 2.2.3 Smartphone applications with devoted non-wearable UV electronic sensors

255 There is a wide range of devices that can be used with smartphones, however this section focuses
256 specifically on devices employed in conjunction with a smartphone to detect UV radiation that are not
257 designed to be worn by the user. Large numbers of commercial and non-commercial products for UV
258 measurements are available online and widely used in research and are gaining traction in education
259 and citizen science. The field of smartphone based UV measurements is rapidly expanding, therefore
260 this summary is current at the time of writing.

261 A device using UV photodiodes was proposed in 2009 (Amini et al. 2009). Many UV devices are
262 introduced in the numerous patents found online to be used with smartphones (ETH Zurich 2013;
263 Sandhu, Alavi & Reshef 2014; Shi, Pielak & Balooch 2017). In 2011, DoCoMo conceived of a
264 smartphone case or cover that would monitor the UV Index of the smartphone user (Ishida, Hayashi &
265 Yoshikawa 2012), although there is little evidence on the success of this product. Interestingly, this
266 smartphone cover was targeting females rather than males. In 2014, the release of the Samsung
267 Galaxy Note 4, revealed that a UV sensor was included within the smartphone (Acharya 2014),
268 however it appears that the sensor was removed from later models. Another UV device called *YOUVI*,
269 designed to plug into the smartphone headphone jack port, was proposed for creation through a
270 crowd-funding website (Indiegogo.com) by a commercial company but was subsequently not funded
271 and therefore not mass marketed (Somalingam, Greuet & Gilliam 2014). A large hand-held device,

272 known as the Integrated Environmental Monitoring System, developed by Wong, Yip & Mok (2014)
273 can measure temperature and air quality as well as UV Index. This device is a portable low-cost
274 sensor used in conjunction with smartphones. Notable amongst the smaller, bulkier devices is that
275 they are rarely designed to detect only UV radiation. It seems that it is more desirable and cost-
276 effective to have a device that monitors multiple factors, such as air quality and volcanic plumes
277 (examples such as these will be discussed later), rather than a single device measuring only one
278 quantity. Most of these multifunctional devices rely on UV sensitive photodiodes that are gathering
279 broadband data and would not be considered effective for research based work that requires spectral
280 information.

281

282 2.2.4 Smartphone applications with devoted UV non-electronic sensors

283 The *Biostamp* mentioned in an earlier section may be argued to be almost non-electronic in its overall
284 design for UV detection, apart from the construction design that allows connection to the smartphone
285 (Araki et al. 2017; Perry 2015). However, there are examples of devices that definitely are non-
286 electronic in their construction. One example is the colorimetric analysis of UV radiation (which the
287 *Biostamp* also uses). Meng et al. (2016) have used the colorimetric concepts to create a UVI
288 indication card that uses digital image capture and an associated smartphone algorithm to calculate the
289 UVI. However, this method requires an externally held reference card to always be available, rather
290 than being inbuilt into the device, like the *Biostamp*. Most non-electronic based devices used with
291 smartphones, require some reference due to the possible changes in light during the image capture.
292 This reference allows the digital image analysis to correctly calculate the observed colorimetric
293 changes in the device. This method was also used by the more recent epidermal sensor *My UV Patch*,
294 which was developed by L'Oreal, and distributed jointly by La Roche-Posay and L'Oreal (Shi et al.
295 2018). The patch is a heart shaped patch with multiple squares of colour in shades of blue. The
296 different squares show a reference colour and a reversible or irreversible UV variable ink. The
297 smartphone uses digital capture and a devoted algorithm to determine UV exposure. In a similar way
298 with the *Biostamp*, the *My UV Patch* can stay affixed to the skin for several days and therefore can be

299 used with sunscreen applied over the top of the patch to measure its sun protection factor. A recent
300 Kickstarter introduced by a company called LogicInk has also created a temporary tattoo that provides
301 information about UV dose. The introduced dosimeter does not require the use of a smartphone to
302 measure UV exposure. Instead, the colour of an indicator bar on the tattoo changes gradually
303 throughout UV exposure, until a maximum is reached. A separate indicator shows the UV intensity
304 with a reversible variable section. The tattoo is single use, and it is not clear from the company's site
305 (Logic.Ink.com 2019) whether it uses dyes that change colour under UV, or some other mechanism.

306

307 2.2.5 Proposed smartphone devices with UV sensors - patents

308 The concept of developing UV sensors built in existing systems is not new. There is a patent that
309 proposes a mobile device (such as a smartphone) with embedded UV sensors or alternatively uses
310 devoted camera capture of UV radiation to detect UV irradiance on an added embedded sensor
311 (Sandhu, Alavi & Reshef 2014). Another patent proposes to use multiple mobile devices that can be
312 connected to networks and rely on "crowd sourcing" UV data as input (Reshef et al. 2015). An
313 alternative patent suggests using real time reflectance imaging from a generated video (Feldman
314 2016). This might be considered somewhat similar to the UV imaging systems that can be used to
315 show users of sunscreen how sunscreen application works within the UV spectrum. An example of a
316 similar device is the Nurugo Smart UV device, that attaches to a smartphone to capture reflected UV
317 radiation for reviewing sunscreen application (nurugo 2019).

318

319 Section 3.0 Detection of UV irradiance using Smartphones or devices connected with Smartphones

320 Nowadays most people carry a smartphone, which is an ideal mechanism to incorporate UV
321 measurement. The camera image sensors used in digital cameras and smartphones are silicon-based
322 CMOS. The multiple advantages of the CMOS sensor (Bigas et al. 2006; Daponte et al. 2013;
323 Theuwissen 2008) makes it an ideal sensor not only for compact smartphones, but also for scientific
324 measurements and research. Luo, Yang & Yan (2010) pointed out that CMOS sensors are capable of

325 detecting UV radiation. Unfortunately, extra mechanisms put in place to protect the CMOS from UV
326 radiation so that visible imaging is prioritised by the sensor, means that the usefulness of the CMOS
327 sensor in the smartphone is reduced unless modified or calibrated. Extending outside the UV
328 spectrum, recent studies showed that a smartphone CMOS sensor has the potential to detect high
329 energy radiation used for medical applications (X-rays and gamma rays) (Kang et al. 2016). Some
330 details of the historical aspects of smartphone usage for UV detection and measurements were
331 recently outlined by Grossi (2018).

332 This section summarises the requirements for using smartphones in a self-contained manner to
333 measure UV radiation, primarily with the focus on radiation detection via the camera CMOS image
334 sensor hardware held within the smartphone device. The main stages that have been performed in the
335 research to date to characterise the smartphone camera response to UV irradiation will be reviewed,
336 from laboratory settings and when observing the sun.

337

338 3.1 Characterisation of Smartphones for measurement purposes

339 The use of a smartphone sensor for measurement purposes requires the characterisation or calibration
340 of the camera sensor response, this is done in the form of the pixel digital values to the magnitude of
341 the irradiation source being measured. Any measurement of the incident irradiance by an opto-
342 electronic sensor requires a calibration between the input and the resulting pixel values (Wu et al.
343 2010). The camera sensor response is provided by the pixel values of the respective red (R), green (G)
344 and blue (B) channels, with each respective 8-bit value ranging from 0 to 255 in the default JPEG, and
345 more recently: RAW format images provided as standard by a smartphone camera. The size of the
346 respective RGB pixel values will vary depending on the energy per photon of the irradiation source
347 being measured.

348 This relationship can be determined by irradiating the sensor with narrow band radiation of known
349 spectral irradiances at a series of wavelengths from an irradiation monochromator or determined by
350 narrow passband filters (Igoe 2013; Turner et al. 2017). For all cases, a preliminary investigation of

351 the maximum expected irradiance needs to be undertaken to establish if any of the R, G or B pixel
352 values will be saturated (Igoe 2013; Turner et al. 2017). If any saturation is anticipated, the relevant
353 neutral density filters have to be employed over the camera sensor. Prior to use as a measurement
354 device to measure a variable, all smartphones need to be characterised in the manner described above
355 due to image sensor manufacturing differences. Recently, initial research has been made to
356 standardise image sensor responses (Burggraaff et al. 2019), this important research is progressing.

357

358 3.1.1 Dark response characterisation

359 Associated with the calibration of the camera sensor response is the influence of temperature on
360 sensor response, particularly influencing dark noise and dark current, for the purposes of this review,
361 these are referred to as dark response (Igoe & Parisi 2014; Igoe et al. 2018a; Kim et al. 2017), the
362 sensor spectral response and the response of the sensor to the source being measured (Igoe 2013; Igoe,
363 Parisi & Carter 2013b, 2013a, 2014). Dark noise characterisation is a critical step for any low-
364 illuminance observation and measurement (Kim et al. 2017).

365 The influence of dark noise can be evaluated by ensuring no signal reaches the camera, recording a
366 number of images and determining the average pixel value for the three colour channels (Igoe, Parisi
367 & Carter 2014; Igoe et al. 2018c; Igoe et al. 2018a). The response of the camera sensor to variations
368 in temperature is determined by varying the ambient temperature and recording and analysing a series
369 of dark noise images (Igoe, Parisi & Carter 2014). Investigations of the temperature response have
370 indicated that the smartphone camera sensors are sufficiently shielded from the temperature changes
371 attributable to normal daily fluctuations, thus causing negligible variations (Burggraaff et al. 2019;
372 Igoe, Parisi & Carter 2014; Turner et al. 2017). Knowledge of the spectral response of the camera
373 sensor is required to ensure that the sensor is responsive to the required wavelengths.

374

375 3.2 Laboratory characterisation of smartphone camera responses

376 Methods for laboratory characterisation of an unmodified smartphone camera image sensor response
377 to UVA narrowband wavelengths (340 nm, 360 nm, 380 nm) were initially developed to determine
378 overall grayscale response (Igoe 2013). This research was further extended to narrowband filters with
379 a centre wavelength of 400 nm where the red, green and blue colour channel alongside the grayscale
380 response to irradiance on the image sensor was measured (Xu et al. 2015). The observations made by
381 Xu et al. (Xu et al. 2015) and Igoe et al. (Igoe 2013) identified that the smartphone image sensor
382 response was approximately logarithmic to incident irradiance, the laboratory response to varying
383 wavelength was modelled according to the algorithm developed by Debevec and Malik (Debevec &
384 Malik 2008). This relationship was described by Turner et al. (2017) as a Hurter-Driffield modelled
385 relationship.

$$f(Z) = \ln I_{\lambda} + \ln \Delta t$$

387 Where:

- 388 • I_{λ} is the incident irradiance from the irradiation monochromator.
- 389 • Δt is the camera exposure time and is generally constant for smartphone cameras, and so can
390 be removed from further analysis
- 391 • $f(Z)$ is a function of the pixel intensity values (Igoe 2013; Turner et al. 2017).

392 The function $f(Z)$ is based on the individual R, G and B pixel values or combinations of these
393 respective pixel values. Various combinations of the pixel values have been employed. Examples are:

- 394 • Chromaticity values, $\frac{\{R,G,B\}}{\sum R,G,B}$ (Igoe 2013; Malacara 2011; Turner et al. 2017),
- 395 • Grayscale values provided by $Y = 0.30 R + 0.59 G + 0.11 B$ (Alala, Mwangi & Okeyo 2014;
396 Ruderman & Bialek 1994) or other combinations to provide the grayscale values (Xu et al.
397 2015)

398 Investigations and observations have been extended into the UVB bandwidths. Laboratory
399 observations were made of the response of a de-lensed (outer lens excised) image sensor to discrete
400 UVB irradiation from a monochromator (Turner et al. 2017), in a similar manner to earlier UVA

401 characterisation (Igoe, Parisi & Carter 2013b). The outer lens of certain smartphone models did not
402 have any significant transmission in the UVB in laboratory settings.

403

404 3.3 Solar irradiance characterisation

405 3.3.1 UVA measurements

406 Laboratory observations were then tested in the field, to measure and quantify the smartphone image
407 sensor response to direct solar UVA irradiances at 340 nm and 380 nm, calibrated against
408 measurements recorded by a Microtops II sunphotometer (model E540, Solar Light) (Igoe 2013; Igoe
409 & Parisi 2015a; Igoe, Parisi & Carter 2013a). An example of the setup is shown in Figure 1. The
410 observational method was simplified with the development of an app that calculated the average
411 grayscale response of the image sensor (Igoe 2013; Igoe, Parisi & Carter 2014), and systems that send
412 data via the ‘cloud’ (Mei, Cheng & Cheng 2015a). Due to differences in manufacturing, each image
413 sensor was found to have its own response to irradiances, but all image sensor responses in the UVA
414 were found to follow a general logarithmic relationship similar to laboratory observations (Igoe 2013;
415 Igoe, Parisi & Carter 2013a, 2014):

$$416 \quad \ln I_{\lambda} = f[\ln(\{Y, R, G, B\}D^2 \cos^4 \theta_{SZA})]$$

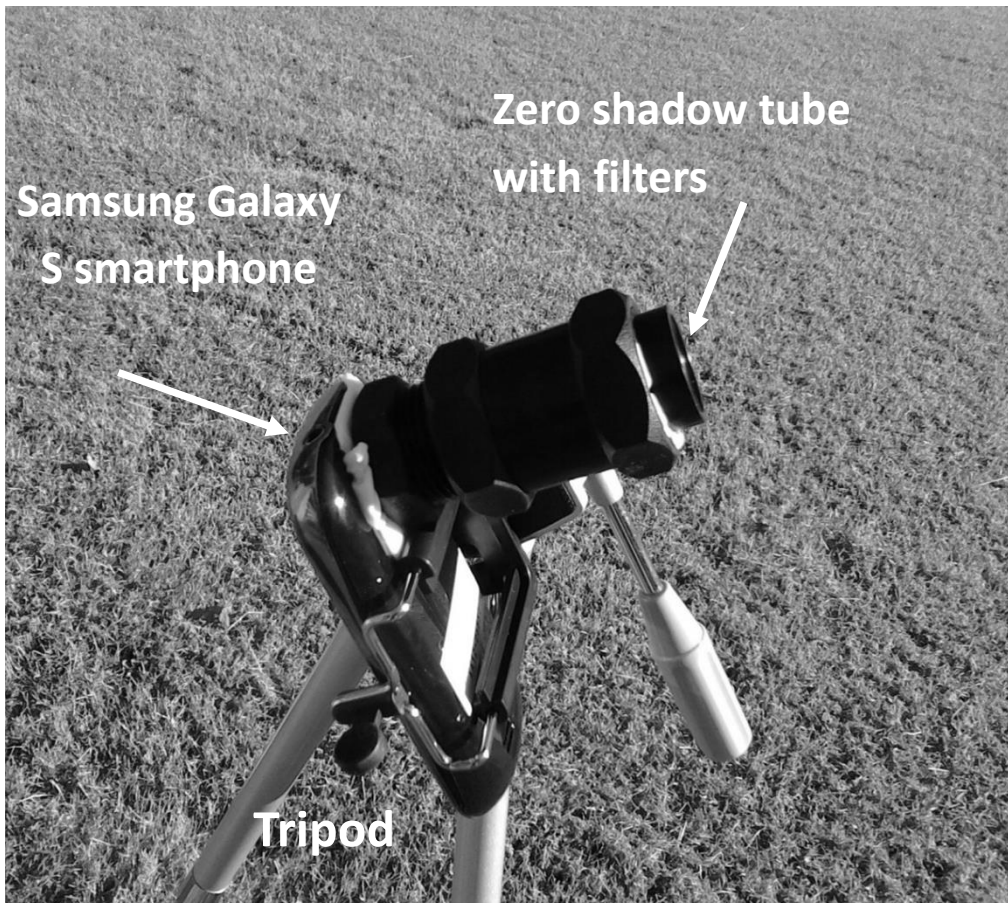
417 Where:

- 418 • I_{λ} is either the direct UV irradiance measured with a sun photometer or the global UV
419 measured with a radiometer.
- 420 • $\{Y, R, G, B\}$ is the appropriate average of grayscale (Y), red (R), green (G) or blue (B) pixel
421 values averaged after an adaptive threshold is applied to separate it from background noise
422 (Igoe et al. 2017; Igoe et al. 2018c; Igoe et al. 2018b).
- 423 • D^2 is the Earth-sun distance factor (Porter et al. 2001).
- 424 • θ_{SZA} is the solar zenith angle.
- 425 • f is the calibration regression function.

426 Figure 2 shows an example of the conversion of the captured image to digital number.

427 In further observations made in Hong Kong, the equation was modified to account for different
428 configurations of instruments and narrowband filters being used, providing a similar accuracy (Fung
429 & Wong 2016).

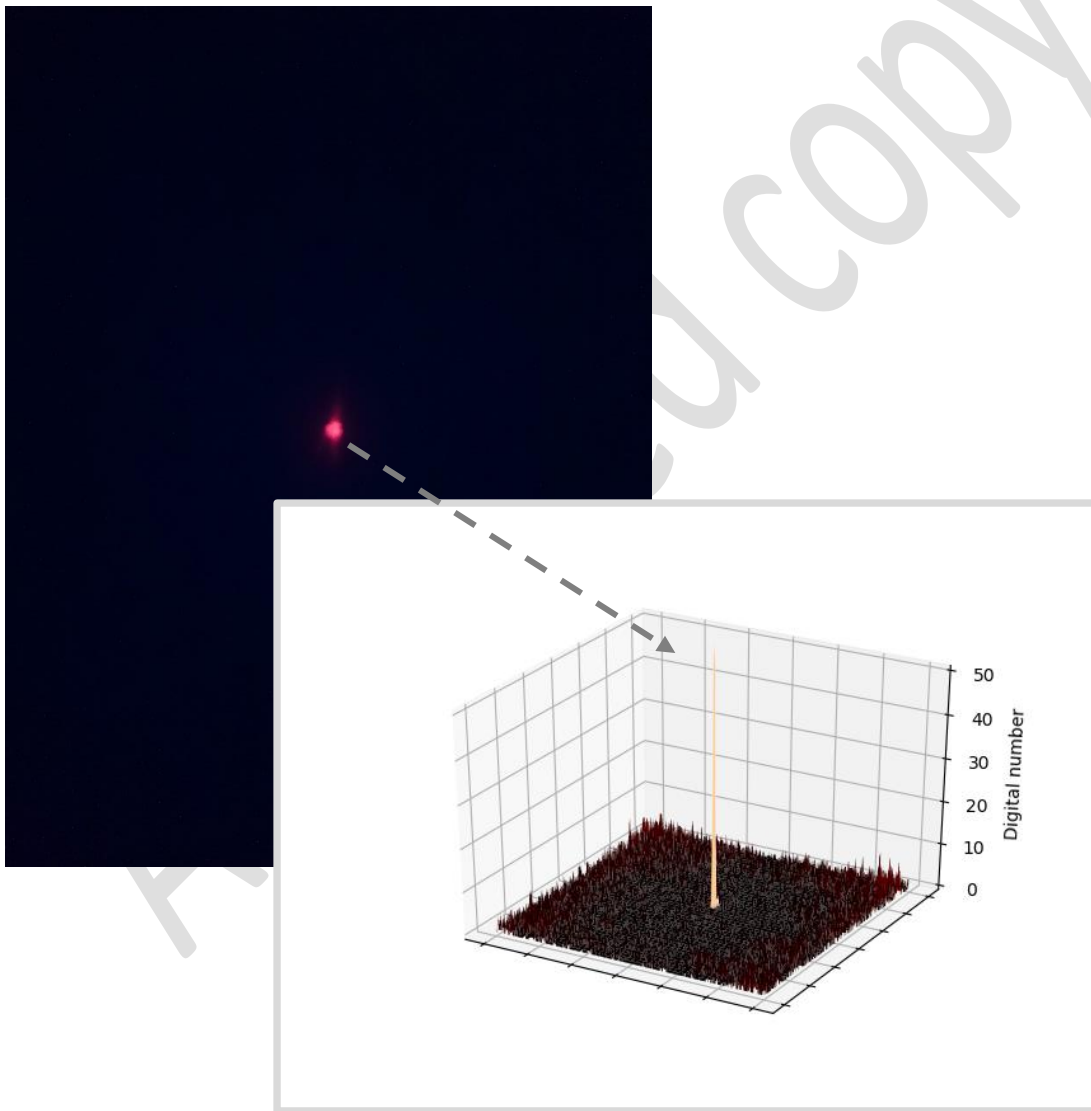
430
$$\ln I_{\lambda} = m \ln(Y^{1.5} \cos \theta_{SZA}) + c$$



431
432 *Figure 1 – Example of Setup, using a second-hand Samsung Galaxy S. The 340 nm filter was held in place using plumbing*
433 *supplies (tube), and held together with blutak and electrical tape. Photo courtesy D. Igoe.*

434 Research into narrowband observation of solar UVA radiation was extended to establish broadband
435 UVA models using an unmodified smartphone image sensor, this was achieved by using narrowband
436 UVA responses as a basis to develop broadband models, calibrating strongly against a UVA Meter
437 (model 3D, Solar Light) (Igoe & Parisi 2015c, 2015b). The modelled image sensor responses were
438 found to achieve similar accuracy as for narrowband observations (Igoe & Parisi 2015b).

439 Development of wearable sensors linked to smartphone sensors (discussed in Section 2.0), such as the
440 use of *Arduino* have been developed, where inexpensive small UV sensors are used to measure solar
441 ultraviolet radiation, such as used in the *UVision* system (Hoblos et al. 2015). Using a diffuser over
442 the lens and a prewritten pyranometer app, after calibration, reasonably accurate measurements of
443 broadband UVA can be achieved (Al-Taani & Arabasi 2018). Aggregate broadband data from several
444 devices with an *UV Meter* app have been employed to determine broadband UV levels (Mei et al.
445 2017).

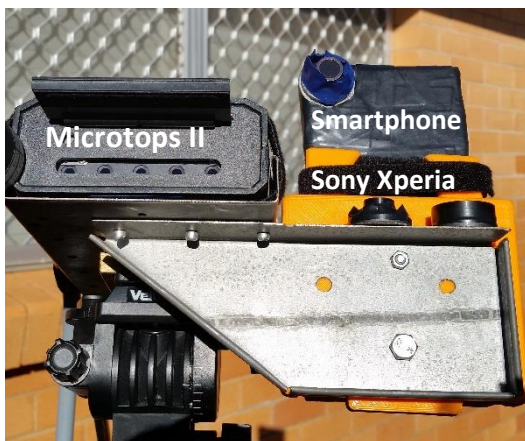


446
447 *Figure 2- 340 nm image of the sun taken using a Sony Xperia Z1 with a 340nm filter, with the relative scale of the red*
448 *channel shown. Photo by A. Amar, 3D rendering by D. Igoe.*

449

450 3.3.2 UVB measurements

451 The observations indicated that smartphone image sensors were sensitive to the entire UVB
452 bandwidth (Turner et al. 2017). External sensors were used to detect solar UVB irradiances to 310 nm
453 (Wilkes et al. 2016). It was found that the smartphone image sensor was able to detect solar UVB
454 radiation to 305 nm with the outer lens kept intact, even at high air masses (Igoe et al. 2017). This was
455 possible even though the lens transmission was low in the UVB due to the sun having a greater
456 irradiance than the laboratory monochromator. Observations were made at 305 nm (Igoe et al. 2017)
457 and at 312 nm (Igoe et al. 2017; Igoe et al. 2018c), using the same narrow bandpass filters with a 2
458 nm FWHM as used in the Microtops II sunphotometer (Igoe et al. 2018c). The use of the specialised
459 filters represents a cost limitation of this method. An example of this data collection setup is shown in
460 Figure 3.



461
462 *Figure 3 – Example set up of use of narrowband filters with smartphone and Microtops II. Filter is attached in image. Image*
463 *courtesy J.Turner*

464 The calibration exhibited the same relationship as for the UVA, except it was found that the green
465 channel was indistinguishable from background noise for several smartphone models such as the Sony
466 Xperia Z1 (Igoe et al. 2017; Igoe et al. 2018c; Igoe et al. 2018b). In the images taken in the UVB, the
467 solar disk appears magenta (Igoe et al. 2017), the red channel was found to be the most prominent
468 component with the highest signal to noise ratio (Igoe et al. 2018b). A proportional blue-red (PBR)
469 model based on an image's signal to noise ratio (SNR) was developed $PBR = xR + yB$ (Igoe et al.
470 2018b), this method has been mainly used for solar irradiances. Unlike the UVA responses, the

471 calibration formed broad quadratic curves, becoming more linear as wavelength increased (Turner et
472 al. 2018), suggesting a greater influence of ozone optical depth on image sensor responses (Igoe et al.
473 2018c).

474

475 Section 4.0 - Measurement of UV radiation to quantify atmospheric factors

476 Whether the focus is to measure UV radiation, or some subsidiary measurement that uses UV
477 evaluations to measure some other factor, it is apparent that smartphones could fill sensing gaps in
478 technology or be an accessible technology to supplement existing techniques. Likewise, it is equally
479 important to measure factors that influence UV radiation to help understand the patterns, trends and
480 anomalies in UV irradiance observations. In this section we review factors influencing UV radiation,
481 as well as factors that use UV radiation in the measurement process.

482

483 4.1 Aerosols

484 Aerosols in the atmosphere contribute to the total optical depth of the atmosphere and so influence the
485 solar radiation reaching the Earth's surface. The amount of aerosols is defined as the aerosol optical
486 depth (AOD) - also known as aerosol optical thickness (AOT). The influence on UV irradiances
487 increases with increasing aerosol optical depth (Wenny, Saxena & Frederick 2001). There have been
488 numerous studies in the use of smartphones for the detection of aerosols and the measurement of
489 AOD. The focus of this research has varied from attachable devices, using the processing power of
490 the smartphone to record, analyse and sometimes, transmit collected data; to systems where the
491 smartphone internal camera is used with accompanying processing power. There have been a few
492 very comprehensive reviews of mobile and portable devices for detection of particulate matter and air
493 quality, examples are given by Gozzi (2016), Thompson (2016) and Grossi (2018) respectively. Often
494 reviews are focused on other sensors available, not strictly on smartphone usage (Morawska et al.
495 2018). A recent review by (Grossi 2018) briefly summarised some aspects of this smartphone
496 application.

497 Much of the research involving the use of smartphones has been to detect and measure aerosols in the
498 visible wavelengths using attachments that interface with the smartphone (McGonigle et al. 2018;
499 Wilkes et al. 2016; Wilkes et al. 2017a; Wilkes et al. 2017b). Many of these studies took advantage of
500 the crowdsourcing potential that smartphones provide, given their ubiquity (Athanasopoulou et al.
501 2017; Cartwright 2016; Hasenfratz et al. 2012; Pierce et al. 2017; Rietjens et al. 2013; Snik et al.
502 2014). A prominent example of a crowdsourcing project using a smartphone attachment was the
503 *iSPEX* add-on that made air quality measurements available to many participants, allowing a greater
504 resolution of aerosol measurements (Athanasopoulou et al. 2017; Cartwright 2016; Hasenfratz et al.
505 2012; Snik et al. 2014). Optical scattering detected using a camera flash, available as an attachment or
506 inbuilt with some smartphone models, was used to develop a particulate matter dosimeter (Budde et
507 al. 2013).

508 Similar research was completed using related technologies such as digital cameras that employ similar
509 image sensing technology (Igoe 2011; Tetley & Young 2008; Williams & Williams 1993). UV-
510 capable digital cameras were also developed to measure aerosol SO₂ (Bluth et al. 2007). The
511 smartphone camera colour response to aerosols was the subject of a NASA ‘Space Apps’ challenge:
512 *My Sky Color*, when compared with GLOBE data (Bujosa & Pippin 2016). Yellow, green and blue
513 colour filters were employed to directly measure attenuation due to aerosols as detected by an iPhone
514 (Cao & Thompson 2014). Chemical analysis attachments to smartphones have been developed to
515 sense the presence of aerosol species with the data processed in the smartphone (Cao & Thompson
516 2014; Thompson 2016). These attachments include mobile gas sensors (Hasenfratz et al. 2012) and
517 aerosol filter samplers to measure and quantify aerosol black carbon (‘soot’) (Ramanathan et al.
518 2011).

519 Recently, there have been considerable efforts in employing similar techniques for the detection and
520 measurement of UV attenuating aerosols, both using external attachments and using the solar UV
521 sensitivity of the smartphone image sensor itself. It has been found that the same technique used to
522 detect and quantify UVA irradiances could be applied to measure AOD without making any
523 significant physical modification to the smartphone itself (Fung & Wong 2016; Igoe 2013; Igoe,

524 Parisi & Carter 2013a), and that a relatively simple *Android* app could be written and used to simplify
525 data collection (Cao & Thompson 2014; Igoe 2013; Igoe, Parisi & Carter 2014), achieving very high
526 accuracy when the observations were compared with a Microtops II sunphotometer. Raspberry Pi
527 attachments have been used to visualise SO₂ aerosols in the UV (to 310 nm) (McGonigle et al. 2018;
528 Wilkes et al. 2016; Wilkes et al. 2017a; Wilkes et al. 2017b).

529

530 4.2 Ozone

531 There is now evidence, because of the Montreal Protocol, of the beginning of a recovery of
532 stratospheric ozone over Antarctica (Bais et al. 2018). However, statistically significant increases are
533 yet to be detected at other latitudes. The ground based measurement of atmospheric ozone is
534 undertaken by employing the ratio of direct irradiances in narrow wavebands at UVB wavelengths
535 (Balis et al. 2007). Once it was shown that solar narrowband UVB wavelengths at 305 nm can be
536 quantified using specific smartphone image sensor colour channels (Igoe et al. 2017), observations
537 were made at 312 nm and of the total ozone column (TOC) with the same degree of accuracy when
538 compared with readings from the Microtops; however, the necessity of a lower full-width at half-
539 maximum (FWHM) for these measurements have required very expensive filters to be used (Igoe et
540 al. 2018c). One of the authors (A. McGonigle), with his team, has made significant progress towards
541 refining and improving the accuracy of ozone measurements using much more inexpensive and
542 accessible Raspberry Pi systems similar to those used for volcanic plume observations (McGonigle et
543 al. 2018; Wilkes et al. 2016; Wilkes et al. 2017b).

544

545 4.3 Clouds

546 For a given solar zenith angle, cloud is a significant influencing factor on the solar UV irradiances and
547 the global solar irradiances (Alados-Arboledas et al. 2003). Cloud type, amount and distribution
548 modify the solar irradiances that reach the Earth's surface, with the influence of cloud either
549 attenuating the solar irradiances or at times depending on the type and distribution of cloud,
550 enhancing the irradiances above that of a clear day (Calbo & Sabburg 2008; Sabburg & Long 2004a).

551 As a result, information on the amount and properties of cloud is necessary in any attempts to predict
552 solar UV irradiances for public health and global solar irradiances for solar energy generation
553 (Tapakis & Charalambides 2013). The prediction of the solar UV radiation on a daily basis through
554 the UVI (WMO 1994) is based on the modelled clear sky UV that does not consider the cloud cover.
555 Providing UVI that takes into account the effect of clouds improves the accuracy and usability of the
556 information delivered to the public (Sabburg & Long 2004b).

557 The fraction of the sky covered in cloud has originally been determined by trained observers at set
558 intervals during the day (Long, Slater & Tooman 2001). The introduction of whole sky cameras for
559 the imaging of the whole sky: examples are mentioned in (Long, Slater & Tooman 2001; Pfister et al.
560 2003; Shields et al. 2013), and sun tracking cameras (Sabburg & Wong 1999): along with associated
561 image analysis has enabled the automation of the determination of the fractional cloud cover of the
562 sky, along with various properties of the cloud (Calbo & Sabburg 2008; Long et al. 2006). The
563 prediction of global solar radiation for solar energy generation has also been investigated with fish eye
564 lens cameras and concurrent solar radiation measurements (Chu et al. 2014).

565 The widespread uptake of smartphones has provided an opportunity for the application of this
566 technology in the provision of cloud information. An app provided by NASA allows Citizen Scientists
567 to provide cloud information either by visual cloud observations or taking and uploading images of
568 clouds with a smartphone camera (GLOBE Observer 2018). Recently, a smartphone camera fitted
569 with an inexpensive fish eye lens has been employed in whole sky imaging (Parisi et al. 2016), along
570 with the analysis of the images on a personal computer for the determination of the cloud fraction,
571 proportion of thin and thick cloud and the amount of cloud in proximity to the sun. The further
572 development of this approach has the potential for uptake by Citizen Scientists, as well as input of
573 local cloud data into determination of the UVI and improved information of local cloud trends for
574 forecasting solar energy production.

575

576 4.4 Volcanic Plumes

577 Notwithstanding the significant progress reported in this review, unmodified smartphones are
578 fundamentally limited in their capacity to sense the UV spectral region. The reasons for this are
579 twofold: firstly, the lenses used to form images on the sensor plane are usually composed of UV
580 absorbing media, and secondly, the fore of the sensors themselves are typically coated with colour
581 filter arrays, which serve not only to generate RGB mosaics from the sensors, but also block most
582 ultraviolet light transmission.

583 Whilst disassembly of smartphones in attempts to remove/replace these elements in order to enhance
584 UV sensitivity has been achieved (McGonigle et al. 2018; Sabburg & Wong 1999; Turner et al. 2017;
585 Wilkes et al. 2017a; Wilkes et al. 2017b), there is a significant risk of destroying the possibly rather
586 expensive, entire smartphone assembly. For this reason, focus has been placed on modification of the
587 considerably cheaper hobbyist electronics Raspberry Pi camera modules, which are based on sensors
588 developed for the smartphone market. Recently there have been reports of successful removal of
589 colour filter arrays from these devices, with reassembly of the camera modules, using UV
590 transmissive quartz lenses, and 3D printed lens mounts (Wilkes et al. 2016). Given the back
591 illuminated CMOS architecture of these sensors, they have been demonstrated to have useable UV
592 sensitivity down to at least 300 nm, following this procedure.

593 The principle application area of these units has been remote sensing of sulphur dioxide (SO₂) fluxes
594 from volcanoes (Wilkes et al. 2017b), based on the significant UVB absorption by this gas, which is
595 typically the third most abundant molecule, behind water vapour and carbon dioxide, in volcanic gas
596 plumes. Various remote sensing protocols have been applied to measuring these emissions over the
597 last decades, with a view to constraining gas outputs from volcanoes, in order to better understand
598 subterranean volcanic dynamics and forecast impending eruptions. These approaches are normally
599 based on discriminating the absorption due to this gas species, from the broadband extinction caused
600 by aerosols across the UV. This is achieved either using differential optical absorption spectroscopy,
601 whereby the absorption spectrum is high pass filtered, to resolve the rapidly varying structure, in the
602 spectral domain, caused by the SO₂ absorption (McGonigle et al. 2002) and to eliminate broadband
603 aerosol effects. Alternatively, imaging using a pair of ultraviolet cameras can be applied, using

604 bandpass filters in front of each one, such that the units capture radiation at 310 nm and 330 nm,
605 respectively, where SO₂ does and does not absorb, enabling removal of the aerosol effects which are
606 common to both wavelengths.

607 Ultraviolet radiation is also subject to multiple scattering issues within volcanic plumes. In this
608 respect the radiative transfer can become very complicated, particularly where there is significant
609 condensation, in which case it become very challenging to retrieve usable SO₂ gas emission rate data.
610 Furthermore there are light scattering issues in the atmosphere between the remote sensing
611 instrumentation and the gas plumes, which, at significant distances from the source can act to reduce
612 the retrieved gas emissions from the volcano; for this reason, observations are typically made not
613 more than a few kilometers from the gases in order to try and minimise this effect (McGonigle et al.
614 2017).

615 In the case of the Raspberry Pi smartphone sensor based volcanic measurement configuration, dual
616 camera systems (310 nm and 330 nm, as detailed above) have been developed, which resolve SO₂
617 concentration profiles in the plumes rising from volcanoes. By contrasting these images, and applying
618 Beer's law, the gas column amounts across the instrumental field of view can be established. The
619 resulting images are then processed in order to determine emission rates from the source. This
620 modality has been applied to measure gas emission rates from power station sources, as well as from
621 volcanoes in Italy, Hawaii, Peru, Chile, Nicaragua, Papua New Guinea, Vanuatu and Ecuador. Given
622 the low cost of the developed devices (build cost per unit of hundreds of dollars), a particular
623 emphasis has been on dissemination of the unit to resource limited regions, where volcanic risk is
624 high.

625 The modified Raspberry Pi units have also been implemented in spectral UV sensing modes, by
626 housing the sensor within a low cost 3D printed spectrometer architecture (Wilkes et al. 2017a). The
627 unit is based on a Czerny Turner design, using off the shelf optical components, in order to yield a
628 linewidth of ≈ 1 nm at 300 nm. This unit has been utilised in measurements of SO₂ from volcanoes,
629 with fair performance reported in comparison with rather more expensive commercially available
630 units (Figure 4).



631
632 *Figure 4- Raspberry Pi based spectrometer being used to measure gas release from fissure 8, Kilauea volcano, Hawaii –*
633 *August 2018. At this point in time, this vent was one of the most prodigious point sources on the planet of sulphur dioxide to*
634 *the atmosphere.*

635

636 Section 5.0 Discussion

637 5.1 Practicalities, limitations and challenges of smartphone UV observation techniques.

638 The utility of smartphones as a tool for a greater accessibility, low cost UV observation and
639 measurement is very clear from the myriad of examples reported in this review. Overall, this review
640 has shown that there are four main utility methods that have been used for UV observations and
641 measurement, authored by multiple authors, companies and research groups:

- 642 1. Smartphone apps without UV sensors, where the smartphone processor calculates quantities,
643 usually for public health concerns, based on online and accessible databases accessed by the
644 internet.
- 645 2. Smartphone apps with UV sensors, where the smartphone processor analyses data from an
646 external UV detecting sensor, usually a photodiode. This method has been used for both
647 public health concerns and atmospheric observations.

648 3. Smartphone apps with non-electronic UV sensors, where the smartphone processor analyses
649 data from sources that are often in direct contact with a person, such as tattoos. These are
650 almost exclusively used for public health concerns.

651 4. The use of smartphone image sensors directly, where the camera response is calibrated
652 against standard equipment. This method has been primarily used for measuring atmospheric
653 phenomena.

654

655 Each have their own practicalities, limitations and challenges, these are summarised in a non-
656 exhaustive list in Table 2. All methods listed present potential limitations and challenges common
657 with smartphone applications – compatibility, support and version control, as well as automation. In
658 particular, a great challenge for methods 2-4 in particular is the cost and accessibility of devices
659 needed for calibration and validation (e.g. monochromator, sunphotometer etc). This situation is
660 expected to improve as technology and methodologies develop, capabilities expand and more data is
661 collected and cross referenced, potentially leading to standard measures used for comparison and
662 calibration.

663 Not included in the list and the tabulated summary are:

664

665 1. Augmented reality, primarily as this is a new development with the most recent applications
666 involved with simulations of the health effects of UV radiation (e.g. photoaging).

667 2. Smartphones with built-in UV sensors, this is due to the lack of applicable UV radiation
668 measurement research using these devices. Also, given the rarity of models having this
669 feature, it is unlikely to be used in anything other than small scale dedicated research.

670 3. Drones, specifically with interfaces with smartphones, once again there has been very little
671 applicable use of this technology.

672

673 These technologies have considerable scope to be used in research, but as of writing this review, very
674 few applications have been developed, so their practicalities, limitations and challenges cannot be

675 fully analysed. Considerable challenges that can be predicted for these technologies include their cost
676 and accessibility.

677

678 5.2 Alternatives to sensing UV radiation in smartphones

679 It may seem counter-intuitive to present information about the alternatives to sensing UV radiation in
680 smartphones, however this area of interest is drawn from the possibility of extracting UV radiation
681 information from different sectors of the solar spectrum. Examples include the study by Downs et al.
682 (2017) which uses an infrared photodiode to track sun exposure, for a more effective sun diary for
683 solar exposure studies. Lack of infrared radiation detection indicates the device is inside as opposed to
684 outside, and is able to keep track of solar exposure for participants who may not recall their solar
685 exposure over the day accurately. Devices like this could be correlated to UV exposure and UV doses
686 could be extrapolated. Similarly, existing studies show that UV irradiance can be extracted from
687 existing global solar irradiance measurements or calculated from knowing the near infrared and
688 visible irradiance measurements (Escobedo et al. 2009, 2011). A not yet published study has proposed
689 the extraction of health related UV doses from PAR (photosynthetically active radiation) using a
690 relatively simple 2nd degree regression equation (Corrêa et al. 2019). Extraction of UV irradiance data
691 indirectly from other radiation that is more straightforward to detect, may provide a means to collect
692 UV exposure data to smartphone users. Neural networking has been used to predict PAR (Deo et al.
693 2019), therefore it is conceivable that UV radiation could similarly be predicted using similar
694 methods. This may be considered somewhat similar to the fog computing processes previously
695 discussed (Mei, Cheng & Cheng 2015a; Mei et al. 2017).

696

697 Table 2- Summary of the practicalities, limitations and challenges for each of the most widespread methods of smartphone UV observation.

					Method Type				
					1. Without devoted UV sensors	2. With devoted UV sensors	3. With non-electronic UV sensors	4. Use of the image sensor	
Practicalities					<ul style="list-style-type: none"> No additional device needed. Use of validated official data. No additional cost. 	<ul style="list-style-type: none"> Uncomplicated devices used based on educational kits (e.g. Raspberry Pi). Accurate real time observations. Relatively low cost. 	<ul style="list-style-type: none"> Real time observations of personal health information. Reasonable accuracy. Relatively low cost. 	<ul style="list-style-type: none"> Accurate real time observations. Minimal amount of additional equipment and no internet needed. Potential to work on all models with cameras. 	
Limitations					<ul style="list-style-type: none"> Most likely not real time data, or delayed data. Not every location has coverage. Internet connection not always available. 	<ul style="list-style-type: none"> Accessing standard equipment for calibration Assessing the accuracy over a range of conditions. Potential external device connectivity issues. 	<ul style="list-style-type: none"> Calibration of the data with the individual person's physiology – assessing the accuracy over a range of conditions. Potential medical and ethical concerns. Reference card typically required. 	<ul style="list-style-type: none"> Accessibility and cost of filters, particularly for UVB measurements. Accessing standard equipment for calibration. Calibration currently required for each smartphone model. 	
Challenges					<ul style="list-style-type: none"> Access to multiple sources of real-time data on demand. Universal coverage and acceptable data resolution. Utility to efficiently cross-reference and validate multiple data sources. 	<ul style="list-style-type: none"> Increasing accuracy and precision of measurements without significant cost increases. Maintaining unobtrusive device utility. Developing low cost and accessible calibration techniques. 	<ul style="list-style-type: none"> Developing the devices to be non-intrusive. Prevention of harmful health side effects. Increasing accuracy and precision of measurements without significant cost increases. 	<ul style="list-style-type: none"> Low cost filter alternatives. Standardising image sensor responses across smartphone models. Using all sensors to increase accuracy. 	

698

699

700

701 Another possibility within the alternative options to sensing within the UV radiation spectrum with
702 smartphones, is the use of augmented reality. Brinker (2017) uses simulations within the app used in
703 their study, however there is only one app to date that appears to truly use augmented reality (Wakely
704 et al. 2018) specifically within the scope of UV radiation effects. Online searches show that many
705 patents are reviewing this type of technology. However, at this stage, the augmented reality app is not
706 technically sensing UV radiation, rather it is relying on other information collected to inform the user,
707 while the augmentation provides a visual simulation to which the user can respond.

708

709 5.3 Implications for Public Education

710 Common among the articles reviewed for this discussion, is the key feature surrounding the
711 development of the sensor, the app, or both, being driven by the need to promote more effective
712 engagement with the public on the understanding of the implications and effects of UV radiation
713 exposure. The work by Buller et al. (2013); Buller et al. (2015a, 2015b); Gold et al. (2011); Hacker et
714 al. (2018a); Hacker et al. (2018b), while not conclusively demonstrating quantitative results that
715 people are more aware and engaged: provided qualitative analysis suggesting that participants can
716 potentially feel more motivated to learn about and monitor their own UV exposure, and that the
717 participants may suggest their perspective is changed regarding UV exposure. It is essential that the
718 work continues to engage the public about UV radiation exposure, as it has been previously posited
719 that education is the best way to reduce deleterious effects of UV radiation to humans. The
720 smartphone, an everyday item, can encourage engagement due to its ease of use and its ubiquitous
721 nature in modern society. Similarly, the electronic components used as external sensors in conjunction
722 with smartphones are often included in inexpensive educational kits (e.g. Raspberry Pi) and are
723 usually available in retail electronics stores or online.

724 While smartphones and associated technologies used with smartphones allow the ability to engage
725 with the public further on UV radiation understanding and knowledge, there are still challenges that
726 can be an issue. Use of apps without devoted sensors can be a good general provider of information,

727 but it does not satisfy the need for individualised information. These types of information sources may
728 confuse users particularly in countries like Australia, where the UVI is consistently at the level of
729 “extreme” throughout the year.

730 An individual sensor used in conjunction with the smartphone is the next step to individualising a
731 user’s understanding of personal UV exposure. However, amongst the challenges already noted in
732 Table 2, other hindrances include the ability to lose or forget the device, incorrect use of the device, or
733 damage to the device. The additional costs of these devices can shut out lower socio-economic
734 groups, as it is an additional cost compared to the multi-faceted use of a smartphone (which can range
735 in price significantly). Additional UV sensing devices that are non-electronic can substantially reduce
736 these costs, as well as barriers to access. However, the sensing mechanism may not be as consistent or
737 as comfortable to use as an electronic device, and unless the user is undertaking purposeful outside
738 activities, the user may not consistently use the device. Some of these devices are also for single or
739 short-term use, hence limiting their availability over time, potentially incurring additional expense
740 with their replacement.

741 The last option, in using the smartphone image sensor, has cost issues with requiring filters to isolate
742 the UV radiation for sensing purposes. At this stage the authors are unaware of any opportunities that
743 could reduce this cost. However, there are opportunities for manufacturing low cost lenses (Lee et al.
744 2014). Developing low cost substrates capable of filtering out visible and infrared radiation will
745 provide UV lenses that can be embedded into low cost smartphone cases. Possible configurations
746 could allow the filter to be placed across the image sensor (for example by smartphone case), without
747 impacting the construction of the smartphone itself. This of course adds additional cost of the item to
748 be obtained, which suggests there will be many more considerations required to solve the issues
749 facing sensing UV radiation with smartphone sensors.

750 Overall, the key implications surrounding the use of smartphones in educating people about UV
751 radiation is that the delivery process should be consistent with the current health messages regarding
752 UV exposure, and provide systems that are easy to use and understand.

753

754 Section 6.0 - Future Directions for UV detection with smartphones

755 This review has considered all aspects of sensing UV radiation in conjunction with smartphones,
756 including inbuilt sensors already existing within the smartphone; directly connected devices to
757 smartphones; wireless devices that can be used in conjunction with smartphones, or indirectly through
758 correlation with other solar irradiance detection methods.

759 In furthering the research that focuses on employing inbuilt smartphone sensors to measure UV
760 exposure, one of the key issues that should be addressed is the ability to calibrate each smartphone
761 CMOS sensor to UV radiation detection. Not only does each model of smartphone require calibration,
762 but equally every individual smartphone requires calibration. Using a standardised method such as
763 that used by Burggraaff et al., (2019) could provide a solution. However, it is still unavailable to be
764 delivered to smartphone users in a low cost and easy to access way. A possible application of this
765 calibration method could be the development of a device that can calibrate smartphone camera
766 sensors based on standardised principles. This in turn could provide a wider scale system of
767 calibration for multiple devices. Such a device could be made available in pharmacies or through
768 other health care providers. Consumers could plug their smartphone into the device for calibration
769 purposes, to be used with a specially designed smartphone application that can control the smartphone
770 sensors for UV radiation detection and hence UV exposure measurement.

771 Other issues that need to be overcome, in regard to using inbuilt sensors of smartphones for UV
772 detection, includes the current need for narrow bandpass filters to ensure CMOS sensors are not
773 saturated by UV wavelengths particularly when measuring for solar irradiances. A more
774 straightforward and less expensive option needs to be available for these narrow bandpass filters
775 which are currently relatively expensive.

776

777 Section 7.0 - References

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