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High-resolution mapping of upland swamp vegetation using an unmanned aerial vehiclehyperspectral system

Bikram Pratap Banerjee,^a Simit Raval^{b,*} and Patrick Joseph Cullen^c

^aAustralian Centre for Sustainable Mining Practices, School of Mining Engineering, University of New South Wales, Sydney, Australia, NSW 2052. ORCID: <u>https://orcid.org/0000-0002-5542-3751</u>

^bAustralian Centre for Sustainable Mining Practices, School of Mining Engineering, University of New South Wales, Sydney, Australia, NSW 2052. E-mail: simit@unsw.edu.au. ORCID: <u>https://orcid.org/0000-0002-0421-0940</u>

^cSchool of Chemical Engineering, University of New South Wales, Sydney, Australia, NSW 2052. ORCID: <u>https://orcid.org/0000-0001-7654-6171</u>

Mapping of vegetation species and communities in sensitive ecosystems is essential for identification and management of anthropogenic impacts. Unmanned aerial vehicle (UAV)-hyperspectral systems are among the latest technologies in remote sensing that hold a potential for obtaining unprecedented quality of remote sensing data for vegetation mapping and health status monitoring applications. In this study, high-resolution (1–1.5 cm) spectral imaging data (15 bands) from a tunable spectrometer is used to map five species of vegetation in a complex upland swamp environment. The overall accuracy of classification was found to be 88.9% with a kappa coefficient of 0.83. Three classes (bare earth, sedgeland grass and black sheoak) have achieved higher accuracy (above 78%) and one class (bracken fern) has lower accuracy (58%). UAV-hyperspectral technology is, therefore, an effective tool to identify and map sensitive swamp vegetation. The technology can be potentially applied to determine the health status of the species.

Keywords: UAV, hyperspectral, sensitive species, upland swamps

Introduction

Upland swamps are extensive areas of treeless heaths and sedgelands and are listed as highly sensitive ecosystems in New South Wales, Australia.¹ The ecosystem is diverse and threatened. Precise and frequent mapping of the vegetation communities is essential for the sustainability of the environment. However, traditional aerial and satellite-based methods are limited to the delineation of the swamp boundaries.² Identification of individual species and vegetation group is critical to characterise the vegetation communities in the

Correspondence

Simit Raval (<u>simit@unsw.edu.au</u>)

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swamps and is the first step towards monitoring of

the changing health of the characteristic species under

natural or anthropogenic stress. Previous studies, using

ground-based spectroscopy for differentiation of vegetation species on wetland environment, revealed the

spectral complexities of the process.³ Unmanned aerial

vehicles (UAV) with optical and infrared cameras have

been recently used, but were limited to mapping of the community boundaries⁴ and detection of a single

species (Gleichenia dicarpa) only.⁵ It was, therefore,

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deemed necessary to investigate the potential of a UAV-hyperspectral system to map the area at individual species level. In this study, we present preliminary results of the species differentiation and vegetation complexes over this spectrally diverse swamp environment. The high overall classification accuracy obtained in this study indicates benefits of high-resolution near-surface spectroscopy through UAVs for a heterogeneous ecosystem.

Materials and methods Test area and ground truth

The study area is located near Wollongong, southwest to the city of Sydney, Australia (34° 21' 24.0" S, 150° 51' 51" E). The area is comprised of shrub type vegetation thickets (Banksia and tea tree), and sedgelandheath complexes (Cyperoid, Restioid and sedgelands).¹ To simplify the complexity in classification, we narrowed down to a set of five swamp species (*Pteridium aqulinum*, *Allocasuarina littoralis, Empodisma minus, Lepidosperma limicola* and *Lepidosperma neesii*) based on their abundance over the area and significance in terms of sensitivity (Figure 1).

A transect-based sampling design was adopted to collect ground truth points for the defined species using a handheld global positioning system (GPS). A transect is an imaginary line that spans the area of interest to select sample plots along this line to minimise sampling bias. In this case, the individual sampling transects were made to conform with the transects in which the UAV was operated.

Remote sensing acquisition

To scope the potential of spectroscopy in classification and to avoid loss of pixel purity due to low resolution, the UAV data were acquired at a low flying altitude of around 25 m in transects. Due to the high heterogeneity of the ecosystem together with the small and fragile canopy of a few target species, it was deemed necessary to acquire data at high spatial resolution. A high spatial resolution of 1–1.5 cm ground sampling distance (GSD) was achieved throughout the planned mission. Weather conditions were clear and illumination was sunny during the mission. Furthermore, the effect of shadow was minimal during the acquisition due to the sun angle at the time. For this mission, we used an octocopter-UAV assembled from off-the-self available parts (Walkera QR-X900). The flight control system (FCS-RX705) is based on Ardupilot Mega (APM).

A programmable hyperspectral camera based on Fabry-Pérot interferometer (FPI)⁶ technology was used with the UAV (Rikola, Senop Optronics, Finland). The integrated UAV-hyperspectral system is shown in Figure 2. The sensor provides spectral data cubes using a snapshotbased scanning mechanism; this enables high spatial registration between the pixels and bundle-block adjustment for photogrammetric applications. The sensor was used in un-binned mode (1024 × 1024) with a pixel size of 5.5 µm, focal length of 9 mm and field of view (FOV) of 36.5°. Furthermore, an onboard GPS receiver was used to acquire the start position of the hypercube acquisitions. A total of 15 wavelength bands were acquired over the operational wavelength range of 500-900 nm. The exposure time was set at 10ms to provide good image quality for the existing illumination condition. We used



Figure 1(a). Sedgeland grass (Empodisma minus, Lepidosperma limicola, Lepidosperma neesii), (b) black sheoak (Allocasuarina littoralis) and (c) bracken fern (Pteridium aqulinum).



Figure 2. The integrated UAV-hyperspectral sensor system (a) on-ground, (b) during the survey and (c) enlarged view of system.

an indices-based methodology to tune the bands of the FPI sensor, i.e. the selected wavelengths are the primary input wavelengths for a set of 12 existing narrowband vegetation indices, listed in Table 1. The selected wavelengths were 515.14, 531.50, 550.14, 570.18, 610.10, 670.31, 700.08, 710.12, 720.27, 740.40, 750.19, 762.02, 780.33, 800.35 and 850.35 nm with respective full width at half maximum (FWHM) of 10.93, 10.29,

9.58, 9.63, 11.88, 11.76, 9.87, 9.78, 9.61, 9.58, 9.58, 9.37, 9.58, 10.62 and 12.94 nm.

Processing workflow

As a part of the data preprocessing routine, systematic corrections (spectral smile and dark signal) were first performed using laboratory calibration parameters. Ground-based reflectance signatures were used for

Table 1. List of narrowband vegetation indices.

No.	Narrowband vegetation indices				
1	Vogelmann index (VOG) ⁷	$VOG = R_{740} / R_{720}$			
2	Red edge (RE) ⁸	$RE = R_{750} / R_{710}$			
3	Transformed chlorophyll absorption ratio index (TCA	$RI)^{9}$ $TCARI = 3 \times \left[(R_{700} - R_{670}) - 0.2 \times (R_{700} - R_{550}) \times \left(\frac{R_{700}}{R_{670}} \right) \right]$			
4	Transformed chlorophyll absorption ratio index/optir (TCARI/OSAVI) ⁹	nised soil adjusted vegetation index $\frac{\text{TCARI}}{\text{OSAVI}} = 3 \times \frac{\left[(R_{700} - R_{670}) - 0.2 \times (R_{700} - R_{550}) \times \left(\frac{R_{700}}{R_{670}} \right) \right]}{\left[(1 + 0.16) \times \frac{R_{800} - R_{670}}{R_{800} + R_{670} + 0.16} \right]}$			
5	Modified triangular vegetation index (MTVI1) ¹⁰	$MTVI1 = 1.2 \times \left[1.2 \times \left(R_{800} - R_{550}\right) - 2.5 \times \left(R_{670} - R_{550}\right)\right]$			
6	Modified chlorophyll absorption ratio index 2 (MCAF	$CARI2 = 1.2 \times \frac{\left[1.2 \times (R_{800} - R_{550}) - 2.5 \times (R_{670} - R_{550})\right]}{\left(2 \times R_{800} + 1\right)^2 - \left(6 \times R_{800} - 5 \times \sqrt{R_{670}}\right) - 0.5}$			
7	Photochemical reflectance index at 570 nm (PRI ₅₇₀) ¹¹	$RI_{570} = \frac{R_{570} - R_{531}}{R_{570} + R_{531}}$			
8	Photochemical reflectance index at 515 nm (PRI ₅₁₅) ¹²	$PRI_{515} = \frac{R_{515} - R_{531}}{R_{515} + R_{531}}$			
9	Greenness index (G) ¹³	$G = \frac{R_{550}}{R_{670}}$			
10	Normalised difference vegetation index (NDVI) ¹⁴	$NDVI = \frac{R_{800} - R_{670}}{R_{800} + R_{670}}$			
11	Re-normalised difference vegetation index (RDVI) ¹⁵	$RDVI = \frac{R_{800} - R_{670}}{\sqrt{R_{800} + R_{670}}}$			
12	Triangular vegetation index (TVI) ¹⁶	$TVI = 0.5 \times \left[120 \times (R_{750} - R_{550}) - 200 \times (R_{670} - R_{550}) \right]$			

empirical line correction,¹⁷ to generate a reflectance equivalent for the acquired hypercubes. The snapshotbased scanning mechanism employed by the FPI produces spatial shifts between the band wise acquisitions due to the motion of the UAV.¹⁸ An "affine" geometric transformation was used by employing a spatial-featurebased keypoint matching technique to stabilise the shift between the band frames. The employed keypoint matching technique automatically identifies unique spatial features between a band-pair (two bands at a time in the sequence of all bands) and then geometrically transforms one of the bands to spatially overlap with the other. The coding was done through MATLAB and

the method was evaluated through root mean square error (RMSE) and Pearson correlation coefficient (PCC). The individually stabilised reflectance images, along each transect, were then mosaicked together. In this mosaicking process, common spatial features between the adjacent bands in a transect were identified and used. All the pixels were adjusted to 1 cm GSD as an inherent resampling routine in the mosaicking process. Absolute geometric registration of the mosaicked datasets was performed using ground control points (GCP) collected from the survey and high-resolution optical airborne datasets (NearMapTM) at 7.5 cm GSD. The resulting mosaicked dataset was classified using a super-



vised *n*-dimensional parallel-piped classifier¹⁹ into three vegetation classes (Figure 1).

The training and the test sets were selected from the same image apart from the ground truth samples collected during the survey. The training polygons were collected using photo-interpretation of colour infrared composite (850.35, 670.31 and 550.14) of the mosaicked hyperspectral product for the classification. A total of 20 polygons (222,382 pixels) were selected for "bare earth", 22 polygons (498,031 pixels) were selected for "sedge-

Class	Producer accuracy (%)	Omission error (%)	User accuracy (%)	Commission error (%)
Bare earth (red)	99.07	0.93	95.95	4.05
Sedgeland grass (green)	78.83	21.17	97.83	2.17
Black sheoak (blue)	85.29	14.71	99.98	0.02
Bracken fern (yellow)	58.55	41.45	48.36	51.64

Table 2. Accuracy assessment for the classification.

land grass", 16 polygons (561,638 pixels) were selected for "black sheoak" and 18 polygons (6129 pixels) were selected for "bracken fern". The selection of the test polygons was based on the size and distribution of the target class. Furthermore, the set of training and test polygons were kept mutually separate in a 1:1 ratio for classification.

Results and discussion

Figure 3(a) shows the overlay of the data acquired through the UAV flight transects, Figure 3(b) and (c) show enlarged views of two transects, and Figure 3(d) and (e) show the classified outputs for the respective transects. The accuracy of the classification was evaluated with randomly selected test samples using a confusion matrix (Table 2). The overall classification accuracy was 88.9% with a kappa coefficient of 0.83. The evaluation of the performance of other supervised and un-supervised classification techniques could be useful for future research over this challenging space.

Individual class accuracy was high for bare earth, sedgeland grass and black sheoak. However, the accuracy of the shrub type vegetation class (bracken fern) was significantly lower with higher commission and omission errors. This is likely to be due to the shrub type vegetation being largely present within the sedgeland grass community and the fractional canopy of the species introduced spectral mixing. It will be interesting to see the performance of objectbased classifiers on the acquired UAV-hyperspectral data for classification of given shrub-type vegetation species. In addition, to the classified pixels, several pixels were found to remain unclassified. This category largely belongs to the list of shrub-type species that were not classified in this exercise. Detection and classification of these unclassified species in the spectrally complex environment also is an identified future aspect of this research and could be useful for ecological management.

Overall high accuracy in detection of bare earth and swamp vegetation classes can be directly applied to estimate the percentage coverage of the vegetation in upland swamps.

Conclusion

The result of this preliminary study indicates that it is possible to detect and differentiate threatened swamp species using UAV-based spectroscopy at the required high spatial and spectral resolution. The results are useful to estimate the abundance and distribution of the species over the upland swamp. Furthermore, this can later be employed to identify health status at the species level. This in turn is crucial for understanding the condition of the swamp and identification of stress induced from anthropogenic sources.

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