

1 **Tri-stable Electrochromism with Passive Radiative Cooling for Year-Round Building**
2 **Energy Saving**

3 Zewei Shao^{1,2,#}, Aibin Huang^{1,2,#}, Cuicui Cao^{1,2,#}, Xiaowei Ji^{1,2}, Wei Hu^{1,2}, Hongjie Luo³, John
4 Bell⁴, Ping Jin^{1,2}, Ronggui Yang^{5,*} and Xun Cao^{1,2,*}

5
6 ¹State Key Laboratory of High-Performance Ceramics and Superfine Microstructure,
7 Shanghai Institute of Ceramics, Chinese Academy of Sciences, Dingxi 1295, Changning,
8 Shanghai, 200050, China.

9 ²University of Chinese Academy of Sciences, Beijing, 100049, China.

10 ³Institute for the Conservation of Cultural Heritage, Shanghai University, Shanghai, 200444,
11 China

12 ⁴Office of the Deputy Vice-Chancellor(Research and Innovation), Research and Innovation
13 Division, University of Southern Queensland, Australia

14 ⁵State Key Laboratory of Coal Combustion, School of Energy and Power Engineering,
15 Huazhong University of Science and Technology, Wuhan, Hubei 430074, China.

16 #These authors contributed equally to this work.

17 *Corresponding Authors: cxun@mail.sic.ac.cn (X.C), ronggui@hust.edu.cn (R.Y.)

18

19 **Abstract:**

20 Building energy consumption for heating and cooling is a critical issue that has garnered wide
21 attention due to its significant impact on global warming and sustainability. In particular,
22 windows account for >20% of building energy loss. There is an urgent need for the independent
23 optimization of thermal radiative properties of windows for tri-band radiation, including visible,
24 near-infrared (NIR), and mid-infrared (MIR). Here we develop a new electrochromic structure
25 for thermal management of windows, which is able to maximize the utilization of both solar
26 radiation of visible and NIR light and radiative cooling of MIR light. We propose a tri-stable
27 electrochromic device (ECD) based on the phase transitions of VO₂ and WO₃ films. The VO₂-
28 WO₃ based ECD could realize three different optical states to independently regulate visible
29 and NIR transmittance. Due to the decoupled barrier for opaque state in rutile Li_xVO₂, our
30 device also maintains non-volatility and tri-stability (<10% bleaching over 4 hours). Moreover,
31 we introduce a new approach for thermal regulation by optimizing the emissivity of outside
32 ($\epsilon_{\text{MIR-O}}$ of 0.79) and inside ($\epsilon_{\text{MIR-I}}$ of 0.33) electrodes to minimize radiative heat exchange
33 between the indoor and outdoor environments. Outside experiments were performed in Sanya,
34 China, realizing continuous all-day cooling of ~2-8 °C compared to low-e windows on a typical
35 clear sunny day at Southern China latitudes. Simulation shows that this new ECD exhibits a
36 higher heating and cooling energy savings than a commercial low-E glass in most climates
37 around the world. Our findings render great opportunities for the innovative energy-saving
38 window designs that can help achieve global carbon neutrality and sustainability.

39

40

41 **Introduction**

42 Buildings are responsible for about 40% of total the U.S. energy use, of which about 40% is
43 directly related to the operation of heating, ventilation and air-conditioning (HVAC) systems.¹⁻

44 ³ Windows, as the primary means of energy exchange between the interior and exterior
45 environments, play a crucial role in building energy consumption, accounting for as much as
46 20% of HVAC energy loss.⁴ The energy exchange through windows is quite complex involving
47 conduction, convection and thermal radiation. The thermal radiation energy exchange is
48 broadband, mainly composed of solar heating in the visible (380-780 nm) and near-infrared
49 (NIR, 780-2500 nm) wavelength ranges, the spontaneous emission in the mid-infrared (MIR,
50 8-13 μm) wavelength range, and also indoor lighting depending on the visible transmittance.⁵⁻

51 ⁷ To reduce building energy consumption and improve lighting utilization at complex
52 environment conditions, a comprehensive optimization of spectrum from visible to MIR
53 wavelength range is often necessary.⁸⁻⁹ However, the separate optimization for the wavelength
54 ranges of visible, NIR, and MIR in windows has been rarely studied.

55 Electrochromic (EC) smart windows, which can dynamically regulate solar radiation under
56 external voltage stimuli, have been regarded as a promising technology to reduce the building
57 energy consumption and to enhance thermal comfort.¹⁰⁻¹² To balance of indoor daylight and
58 heat exchange, dual-band EC smart windows are a recent technology which can improve the
59 building energy efficiency via the dynamic and independent control of NIR and visible light
60 transmittance.¹³⁻¹⁵ Additionally, the optimization of thermal emission in MIR range is also
61 important to minimize radiative heat exchange between the indoor environment of buildings
62 and the outdoor environment.¹⁶⁻¹⁸ However, traditional electrochromic devices usually require
63 glass substrates in both the front and back sides for the growth of the transparent ITO electrodes
64 and also maintaining structural integrity. Glass substrates usually have a high emissivity over
65 the MIR range.^{19,20} To provide EC devices with the optimization of energy between inside and
66 outside environment, new EC structures with MIR radiative property regulation have been
67 demonstrated to reduce the energy consumption.^{21,22} These tuning electrochromic processes
68 focused on the solar heating or thermal emissivity, and it would still be challenging to develop
69 wider and independent thermal management over the spectrum from visible to MIR wavelength
70 range.

71 In this study, for reducing the building energy consumption, EC windows are developed, which
72 adapts thermal radiative properties for visible, near-infrared (NIR), and mid-infrared (MIR)
73 according to the environment conditions involving changes in temperature and solar irradiation.
74 By adding external voltage to control the Li-doping depth in a VO₂-WO₃ electrochromic
75 structure, we tuned non-volatile and reversible phase transitions of monoclinic-VO₂/rutile-
76 H_xVO₂²³⁻²⁵ and monoclinic-WO₃/cubic-Li_yWO₃²⁶⁻²⁷ (Supplementary Figs. 1-2), to regulate the
77 solar irradiation in the NIR and visible regions. The structure design of quartz-
78 ITO/WO₃/VO₂/electrolyte/ITO-PE improves the energy-saving performance of ECD. This
79 structure features high-emissivity quartz substrate on outdoor side and low-emissivity ITO/PE
80 on inner side, which can minimize radiative heat exchange between the indoor and outdoor
81 environments, thus saving energy for all-year cooling and heating while satisfying the lighting
82 requirement without reducing the aesthetical effect.

83

84

85 **Results**

86 **Tri-band optimization of ECD**

87 According to the solar spectrum and the MIR thermal radiation spectrum around room
88 temperature, we proposed a set of characteristics for an ideal EC window (**Fig. 1a**) that can
89 maximize the utilization of the tri-band radiation of visible, NIR and MIR light. The visible and
90 NIR lights heat the internal objects, and the visible light will also significantly affect the internal
91 lighting. Independent regulation of the visible and NIR lights according to different weather
92 conditions is therefore desirable. Furthermore, to reduce heat-exchange flux (P_{exchange}) in
93 ambient condition, an ideal smart window requires low-emissivity substrate on the inner side,
94 which can help minimize radiative heat exchange between the indoor and outdoor environments,
95 thus saving energy for all-year cooling and heating. Unlike the emissivity modification
96 achievable on the inner side of windows, due to safety and operational stability requirements,
97 the outer side of windows necessitates rigid substrates, which exhibit high emissivity.
98 Notwithstanding this, high emissivity on the outer side readily enables passive radiative cooling
99 in hot environments, thereby achieving energy savings.

100 For the regulation for solar irradiation, a VO₂-WO₃ electrochromic structure is adopted (**Fig.**

101 **1b**). By adding external voltage to control the Li-doping depth, we tuned non-volatile and
102 reversible phase transitions of monoclinic-VO₂/rutile-H_xVO₂ and monoclinic-WO₃/cubic-
103 Li_yWO₃, which correspond to the regulations of NIR and visible regions. To realize low-
104 emissivity on the inner side, we design a new structure by replacing inner side glass substrate
105 with an IR-transparent PE film. The low-emissivity effect thereby can be realized by the high
106 MIR reflectance of ITO films. We selected 0.1mm-PE/ITO and 0.05mm-PE/ITO as
107 representative samples and compared it with the front and back side of commercial ITO/Quartz
108 film (labeled as ITO/Quartz and Quartz/ITO). As illustrated in **Fig. 1c**, four sample films were
109 placed on the same hot plate (40 °C). The thermal images after temperature stabilization
110 exhibited a notable difference (**Fig. 1d**). The Quartz/ITO sample demonstrated very high
111 emissivity in the MIR range (2.5μm-15μm, ϵ : ~0.79). Hence, it was shown to have a high
112 surface temperature under the thermal camera. In contrast, 0.05mm-PE/ITO film appeared
113 much colder, which is closed to the low-emissivity ITO/Quartz sample (0.05mm-PE/ITO: ~0.33,
114 0.1mm-PE/ITO: ~0.44, ITO/Quartz: ~0.26, Supplementary Figs. 3-6), revealing the lower heat
115 radiation. Meantime, the optical and electrical performance of 0.05 mm-PE/ITO films (R_s = 23
116 ohm/sq, $T_{\text{vis-NIR}}$ =76.5%) are very close to that of commercial ITO/Quartz films (R_s = 17 ohm/sq,
117 $T_{\text{vis-NIR}}$ =81.1%).

118 Therefore, the indoor and outdoor sides of the device were set constantly as low-emissivity
119 0.05mm-PE substrate ($\epsilon_{\text{MIR-I}}$ of 0.33) and high-emissivity quartz substrate ($\epsilon_{\text{MIR-O}}$ of 0.79). This
120 device was composed of quartz-ITO/WO₃/VO₂/SPE/ITO-PE, namely, tri-band optimized EC
121 device for future investigation in below text. As shown in **Fig. 1e**, the fabricated tri-band
122 optimized ECD could independently regulate the visible and NIR lights, exhibiting obvious
123 three different optical states as mentioned: bright, cool and dark (More details about the
124 spectrum in visible-NIR range are given in Supplementary Figs. 7-9), and the front and back
125 sides of the device show significantly different MIR emission (~0.33 and ~0.79) in the
126 wavelength range of 2.5-20 μm. Such an optical performance in the spectral characteristics of
127 the device is the three states, which lays the foundation for thermal management energy-saving.

128

129 **Fabrication and characterization**

130 **Fig. 2a** shows the schematic of the ECD (quartz-ITO/WO₃/VO₂/electrolyte/ITO-PE). The

131 ECD was manufactured by sputtering WO_3 and VO_2 films, followed by an extra electrolyte
132 layer containing LiClO_4 and ferrocene. Here, we select ~ 40 nm VO_2 as NIR-regulated layer,
133 which could be enough meeting daylighting (luminous transmission, $T_{\text{lum}} \sim 55\%$), and be able
134 to regulate the solar transmittance effectively (solar modulation ability, $\Delta T_{\text{sol}} \sim 7\%$). More details
135 about the preparation and structure characterization are given in the Experimental section and
136 Supplementary Figs. 10-13. Electrochromic properties of the ECDs were measured *in-situ*. The
137 optical transmittance spectra (**Fig. 2b**) of Li^+ intercalation/deintercalation of the VO_2/WO_3 ECD
138 indicate an excellent dual-band electrochromic performance, certifying that VO_2 is effective as
139 an NIR selecting layer for electrochromic applications. A dual-band modulation process over
140 the solar spectrum can be converted from the transmittance spectra, as shown in **Fig. 2c**.
141 Specifically, the VO_2/WO_3 ECD can be switched over three different optical states by varying
142 the applied voltages. At $+1.5$ V ~ 0 V, the device is transparent to both visible and NIR light
143 (bright state). At $0 \sim -1.5$ V, the VO_2 layer was intercalated into Li^+ and the cool state is activated
144 where the device blocks most of the NIR (Transmittance at 1500 nm: 55.5% at 0 V, 16.4% at -
145 1.5 V) while maintaining a good visible light transmittance (Transmittance at 670 nm: 58.6%
146 at 0 V, 50.4% at -1.5 V). When the applied voltage is continuously reduced to -3.5 V, the device
147 switches to the dark state where it blocks 94.2% of 670 nm visible light and 96.6% of 1500 nm
148 light. The optical transmittance spectra were converted to the visible illumination and NIR heat
149 in Supplementary Fig. 14 and Table S1 to show the expected performance in solar irradiation
150 modulation. In the cool state (-1.5 V), the film blocks 76.4% of the solar heat in the NIR region
151 while maintaining a high visible light transmittance of 46.8% for daylighting. The cool state
152 can therefore reduce significantly the building energy use on air conditioning and lighting. For
153 a growing command for blocking visible light, at -3.0 V (dark state), the film exhibits an
154 aesthetically pleasing dark blue color and blocks 92.7% of total solar energy.

155 The phase transitions induced from ion gating were also detected by *ex-situ* XRD, as shown
156 in **Fig. 2d**. The VO_2/WO_3 films were fully de-intercalated at pristine condition, exhibit pristine
157 monoclinic phases of both VO_2 and WO_3 . With the ion intercalating, the presence of Li_xWO_3
158 were confirmed by the peak change of XRD spectrum. When the voltage was decreased to -3.0
159 V, in contrast to the 23.1° , 23.6° and 24.4° peaks of the m- WO_2 (002), (020) and (200) planes
160 in pristine sample, peak at 23.9° marked by blue pattern occurred, which was assigned to the

161 Li-induced $c\text{-Li}_y\text{WO}_3$ film.²⁸⁻²⁹ When further increasing the applied voltage to +1.5 V, the
162 typical peaks of $m\text{-WO}_3$ changed to their initial monoclinic structures due to the Li^+ de-
163 intercalation. Remarkably, the typical peaks of VO_2 show no obvious change, which can be
164 attributed to the similar crystal structure between monoclinic phase and rutile phase, as
165 explained in previous works.³⁵ The Li^+ -intercalation into $m\text{-VO}_2$ from 0 V ~ -1.5 V was
166 confirmed by the emergence of the V^{3+} ($2p_{3/2}$) peak in XPS analysis, as shown in Supplementary
167 Fig. 15 and Table S2. The V $2p$ XPS spectrum of the pristine VO_2/WO_3 films, shows the
168 coexistence of V^{4+} , a few V^{5+} and almost no V^{3+} . With the decrease of bias voltage, the valence
169 shows obvious changes in V. With the intercalation of Li^+ , V^{4+} was reduced progressively to
170 V^{3+} , the presence of which was detected in the V $2p_{3/2}$ XPS spectra. The proportion of V^{3+}/V
171 increased from 0 for pristine sample to 13% at -0.5 V, to 40% at -1.0 V and final to 60% at -1.5
172 V. These measurements suggest that the Li^+ intercalation of $m\text{-VO}_2$ is gradually increasing
173 along the depth of films. Therefore, the dual-band electrochromic mechanism in VO_2/WO_3
174 films could be explainable by the model of depth diffusion. When the voltage is small, the Li^+
175 was firstly diffused into $m\text{-VO}_2$, inducing NIR regulation. And when the voltage is enough (<-
176 3.0 V) driving the Li^+ into WO_3 layer, visible regulation was realized. Besides, real-time
177 transmittance spectra were used to determine the regulation process and electrochromic
178 information between different operating states (bright, cool, and dark), showing great cycle
179 stability (<10% degradation after 1200 cycles), capacity stability (<5% capacity loss after 1200
180 cycles), fast switching speed (coloration to 90% in 6.2 s and bleaching to 90% in 3.1 s at 670
181 nm) and high coloration efficiency ($123 \text{ cm}^2/\text{C}$ at 670 nm) (Supplementary Figs. 16-18 for detail
182 information).

183 We also studied the tri-stability of our device, which is the ability to maintain bright, cool
184 and dark states without the constant application of a voltage, which is an important factor to
185 evaluate electrochromic device. A good EC device with great tri-stability could save energy
186 maintaining the bleaching or colored state. In our device, it can be seen from the inset of **Fig.**
187 **2e** and Supplementary Figs. 19a that, compared to bare WO_3 film, VO_2/WO_3 films based on Li^+
188 intercalation/de-intercalation has good optical memory retention in the three states. The bright,
189 cool and dark state states were stable (<10%) for more than 4 h without voltage after a
190 stimulation of +1.5 V, -1.5 V and -3.0 V for 20 s. In our device, the VO_2 and Li_xVO_2 exhibits

191 non-volatility, which helps improve tri-stability.³¹⁻³² With Li⁺ diffusing into the VO₂-WO₃ films,
192 the m-VO₂ would be gradually transferred into stable metallic r-VO₂, which could effectively
193 prevent Li⁺ return to electrolyte (Supplementary Fig. 19b). The main advantage of VO₂ is that
194 the barrier for state retention is decoupled from the barrier for changing states, allowing for
195 maintaining non-volatility, compared to WO₃ (Supplementary Figs. 19c). To understand the
196 source of non-volatility of VO₂ layer, *ex-situ* Raman spectrum and Kelvin potential
197 measurement were used to figure out the role of VO₂ films obstructing ion diffusion during the
198 coloration. The Raman spectrum in Supplementary Fig. 20 shows that the typical peaks at 100-
199 800 cm⁻¹ of VO₂ disappeared as the voltage decreased to -3.0 V. This could be attributed to
200 gradual Li⁺-intercalation, leading to the maintenance of the new VO₂ phase for a prolonged
201 duration of up to 4 hours at open circuit (OC) state. Same results could also be seen by surface
202 potential in **Fig. 2f**, the VO₂-WO₃ films could maintain a stable surface potential state in OC
203 state for 4 hours (median potential: 0.42 V to 0.38 V, the top **Fig. 2g**) during the coloration
204 process. Compared to VO₂-WO₃ structure, WO₃ shows a much worse non-volatility. As shown
205 in the bottom **Fig. 2g** and Supplementary Fig. 21, at an open circuit condition, WO₃ shows a
206 rapid degeneration from 0.61 V to 0.32 V only after 10 min, and from 0.32 V to -0.03 V after 4
207 hours. In summary, by tuning non-volatile and reversible phase transitions of monoclinic-
208 VO₂/rutile-H_xVO₂ and monoclinic-WO₃/cubic-Li_yWO₃, we obtained a tri-stable electrochromic
209 structure with MIR optimization.

210

211 **Outdoor performance**

212 Finally, we performed a continuous experiment in a balcony at Sanya, China from 00:00 on 26
213 November 2022 to 23:59 on 26 November 2022 (with a clear sky and relative humidity of
214 ~80%). As shown in **Fig. 3a**, we placed five samples (Tri-stable ECD with bright, cool, dark
215 states, commercial Low-e glass and white glass) on the windows. As shown in **Fig. 3b**, the five
216 temperature curves, ambient temperature and solar irradiation were recorded (complete
217 temperature curves can be seen in Supplementary Fig. 27). The peak irradiation of sunlight was
218 ~660 W/m². As expected, different states could be suitable for different weather conditions, as
219 shown in **Fig 3c**. Before 9:00, when the solar irradiance is not yet strong (<100 W/m²), the
220 difference in temperature among several different windows is no more than 1°C. Considering

221 the lighting indoor, the bright state is suitable during this time. When the solar irradiance
222 become stronger (from 9:00 a.m. to 10:30, 100-200W/m²), the indoor temperatures of cool and
223 dark states are obviously ~2°C lower than low-e glass. Considering the lighting indoor, the cool
224 state is suitable during this time. When the solar irradiance reaches its peak (>600 W/m²), after
225 10:30, the indoor temperature of dark state is ~8°C lower than low-e glass. Remarkable, as
226 shown in the inset of Fig. 3b, cool state shows a faster cooling rate than other states at sunset
227 (after 16:30), which indicates that during this time, cool state is more applicable. From an all-
228 year-round perspective, the tri-stable EC window demonstrates superiority by switching among
229 the three states compared with low-e windows in terms of source energy saving.

230

231 **Thermal modelling and energy-saving evaluation**

232 To further explore the energy-saving performance of the tri-stable ECD, we performed
233 extensive simulations based on the device properties and the climate database. Here, we used
234 EnergyPlus to evaluate HVAC (heating, ventilation, and air-conditioning) energy-saving
235 performance (see Methods for more details). A house with the dimensions of 20*10*3 m and
236 eight 4.5*2 m windows were employed to study the parameters that affect the energy-saving
237 performance of tri-stable EC windows (Supplementary Fig. 22). Here, the indoor air
238 temperature set-point was set constantly as 22 °C. To simulate the lighting environment for
239 human activity, during the night from 23:00 to 7:00, the interiorlight was set constantly as 0
240 W/m²; During the daytime, the illuminance setting value is 500 lx. When the daytime
241 illuminance is higher than 500 lx, the lighting power is 0. When the daytime illuminance is
242 lower than 500 lx, the lighting power will increase linearly from 0 to 13 W/m².

243 To obtain the most optimal EC state under different weather conditions, we compared the
244 energy costs among the three optical states around the world cross different climate zones.
245 Among all the three states, we chose the lowest-energy cost state as the final state to be added
246 in the all-year energy statistics. **Fig. 4a** summarized the selections of optical states in different
247 ambient temperatures and solar irradiation densities (Supplementary Fig. 24 for more
248 information). Specifically, at cold weather, the bright state is on, so that both NIR and visible
249 light enter the room; at hot weather, the cool and dark states would be turned on successively
250 according to specific temperature and solar irradiation, so that the NIR and visible light are

251 regulated independently; at night, both NIR and visible light are blocked to prevent privacy
252 (**Fig. 4b**). As shanghai is a large city with great energy consumption, it was chosen as the
253 location of simulation for energy-saving evaluation (More details about the simulation are given
254 in Supplemental Information).³³ As shown in **Fig. 4c**, during the May Day, to get lowest-energy
255 cost state, the EC window is supposed to be switched among three states. It is worthy to note
256 that, considering great tri-stability of the EC device (>4 hour as mentioned in above section),
257 maintaining these states would cost zero energy.

258 Also taking shanghai as an example, the monthly HVAC energy consumption showed that tri-
259 stable EC window has the lowest energy usage in the whole year (**Fig. 4d**). With the normal
260 glass as the baseline, the tri-band optimized ECD is able to save 23.9% of annual HVAC energy
261 consumption for the whole year, which is the better compared with traditional ECD (~15.5%)
262 and low-E glass (~13.3%) as shown in Supplementary Fig. 25. The simulation results further
263 prove the efficacy of the proposed tri-stable EC window in summer as its suppressed solar
264 transmission. Further simulations in different climates around the world were carried out to
265 evaluate the annual energy-saving performance of the tri-stable EC window across different
266 climate zones. Our tri-stable EC device yielded a higher energy-saving performance
267 benchmarked by a commercial low-E glass across almost all different climates (**Fig. 4e**), with
268 energy saving up to 596.7 MJ m⁻² (Tennant, AUS, Supplementary Fig. 26), which further shows
269 the potential importance of tri-band regulation in windows.

270

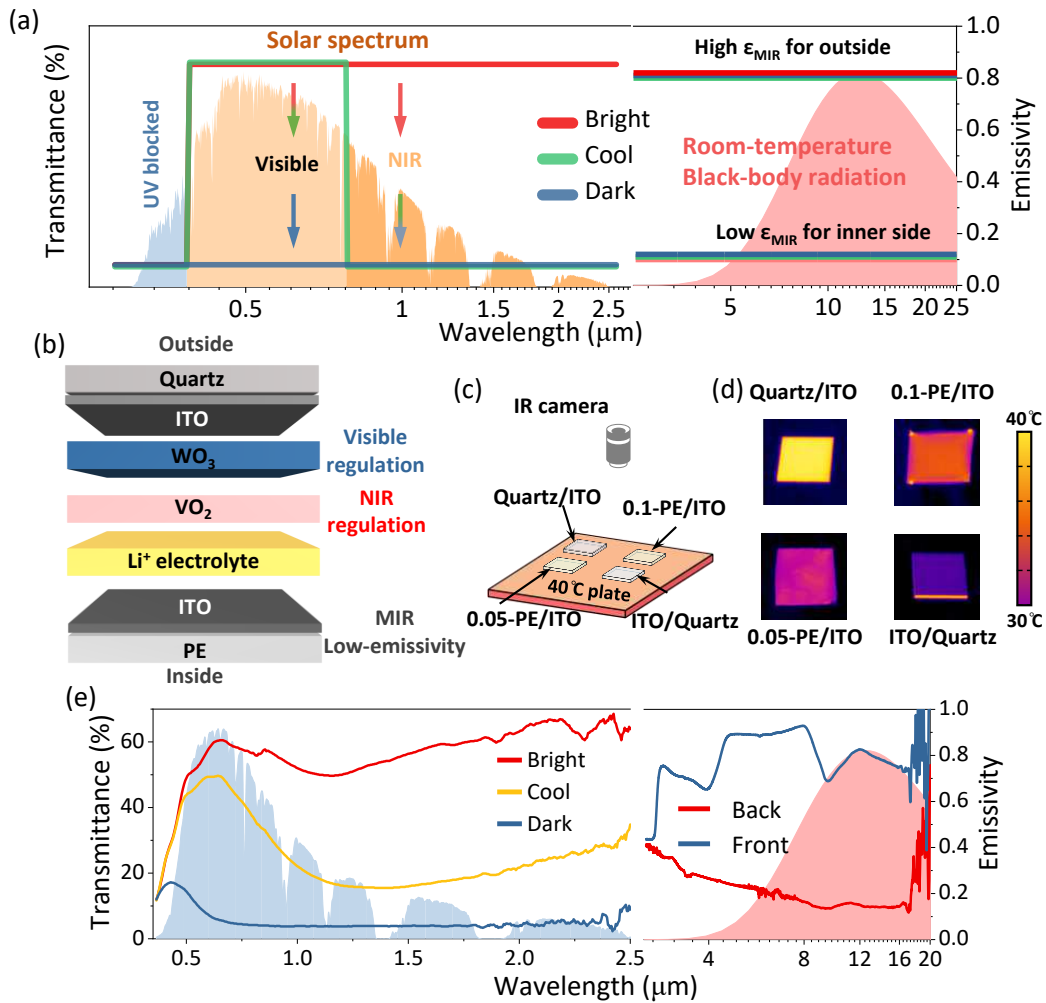
271

272

273 **Conclusion**

274 We have demonstrated that the electrochromic device (ECD) based on a VO₂/WO₃ structure
275 has the ability to dynamically and independently regulate the transmittance of NIR and visible
276 light, exhibiting high coloration efficiencies, fast switching times, and cyclability. Moreover,
277 by utilizing the lower surface potential of the rutile-Li_xVO₂ film, we were able to improve the
278 tri-stability of the ECD to less than 10% in 4 hours. To further enhance the energy-saving
279 performance of the tri-stable ECD, we designed a low-emissivity structure of quartz-
280 ITO/WO₃/VO₂/ SPE/ITO-PE to reduce radiative heat exchange in ambient conditions. This

281 structure features a high-emissivity quartz substrate on the outdoor side and a low-emissivity
282 PE/ITO layer on the indoor side, which effectively minimizes the radiative heat exchange
283 between the indoor and outdoor environments, resulting in energy savings for both cooling and
284 heating throughout the year while maintaining the desired aesthetic effect. Our simulations
285 show that this ECD offers greater energy savings for heating and cooling compared to
286 commercial low-emissivity glass in most climates around the world. These findings provide
287 opportunities for the development of new and efficient smart window designs, as well as
288 applications in information displays and triple-state optical devices.
289



291

292 **Figure 1. Concept and design of the ECD.** (a) Concept of the ideal energy-saving smart window. The red, green,

293 and blue lines represent the spectra for an ideal smart window. Spectra of solar irradiation (plotted by AM 1.5G,

294 global tilt) and room-temperature thermal radiation simulated using Planck's law at 300 K are plotted for reference.

295 (b) Schematics of the optimizing-multispectral EC device with low-emissivity surface. (c) Schematic of the

296 experiment examining the surface temperatures of samples on a hot stage. (d) Thermal images of the quartz/ITO,

297 0.1mm-PE/ITO, 0.05mm-PE/ITO and ITO/quartz on the same hot stage. (e) Transmittance spectra (0.35-2.5 μm) the

298 optimizing-multispectral EC device at Bright (+1.5 V), Cool (-1.5 V) and Dark (-3.0 V) states, and LWIR emissivity

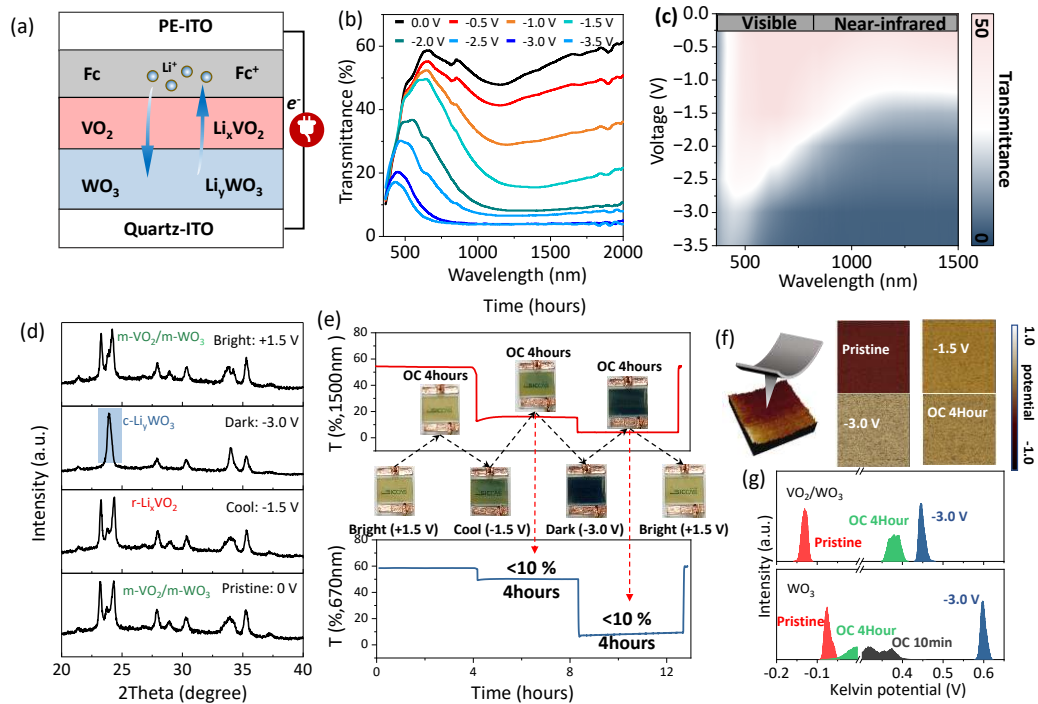
299 (2.5-20 μm) of the front/back faces.

300

301

302

303



304

305 **Figure 2. Characteristic of the tri-stable ECD. (a)** Schematic structure and internal ionic diffusion of the EC

306 device. **(b)** Transmittance spectra of the dual-band ECD under voltages from 0 V to -3.5 V. **(c)** The transmittance

307 contour-map of the EC device under different voltages applied for converted from the measured transmittance

308 spectra. **(d)** *ex-situ* XRD patterns of VO_2/WO_3 at pristine state and at -1.5, -3.0 and +1.5 V bias voltages. **(e)** The

309 transmittance changes at 670 nm (bottom) and 1500 nm (upper) of VO_2/WO_3 (orange line) devices during open

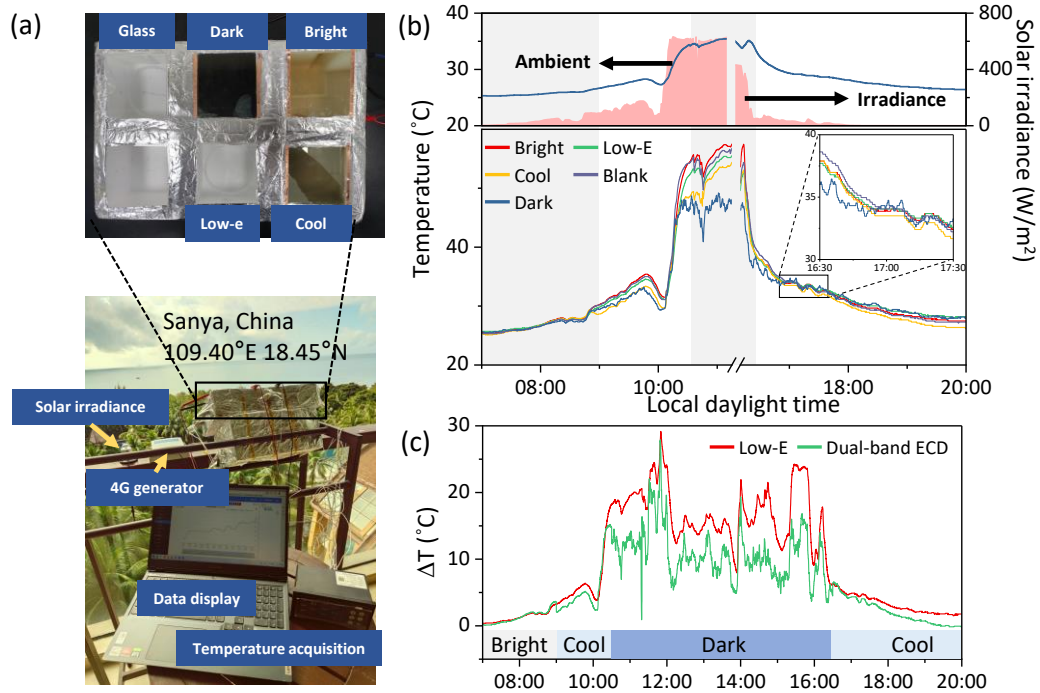
310 circuit (OC) after the film was biased at +1.5 V, -1.5 V and -3.0 V for 20 s. The inset is the real-photo of VO_2/WO_3

311 EC device during the coloration-bleaching process. The kelvin potential mapping **(f)** of VO_2/WO_3 and relative

312 potential counts **(g)** of VO_2/WO_3 and WO_3 under bias voltage conditions of 0 V, -1.5 V, -3.0 V and open circuit for

313 4 hours.

314



315

316 **Figure 3. Outdoor performance of the tri-stable ECD.** (a) Photographs of the measuring setup performed in the

317 balcony of a house at Sanya, China and the five experimental windows: tri-stable ECD with bright, cool, dark states,

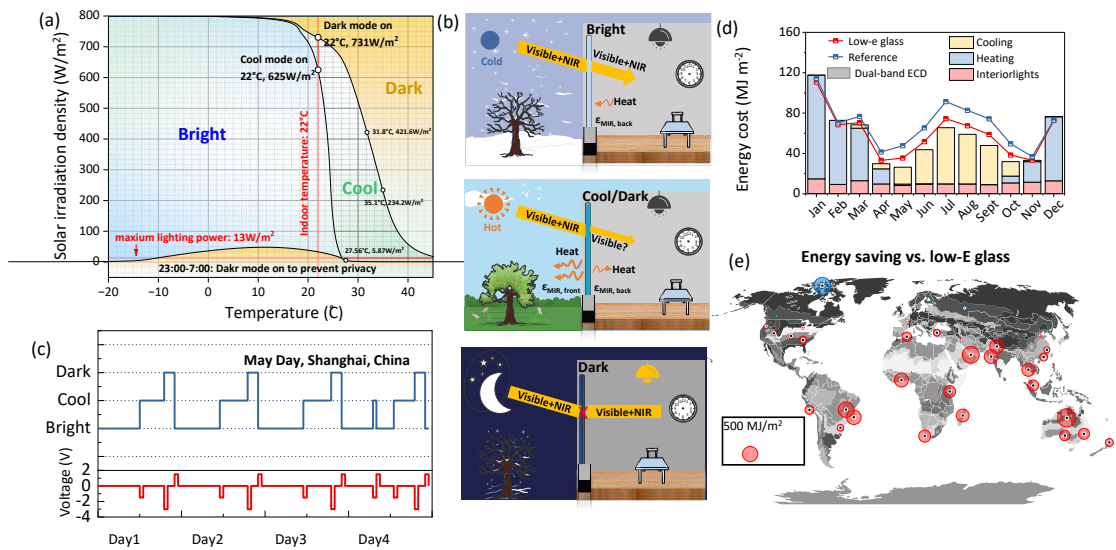
318 commercial low-e glass and white glass. (b) Real-time room temperatures with five experimental windows. Upper

319 part: ambient temperature (blue, left y-axis) and real-time solar irradiance (red pattern, right y-axis). (c) Temperature

320 difference between the ambient surroundings and the experimental windows. The tri-stable ECD works as followed

321 ways: 00:00-9:00, bright state; 9:00-10:30 and 16:30-20:00, cool state; 10:30-16:30, dark state.

322



324

325 **Figure 4. Energy-saving evaluation of tri-stable ECD.** (a) Selections of optical states according to different
 326 weather conditions by Energy-plus simulation (more details are given in Supplementary information). Different
 327 region represents the lowest energy state at the current temperature and irradiation. (Blue: Bright state; Green: Cool;
 328 Yellow: Dark state). (b) Switching of optical states (top) and bias voltages (bottom) for energy-saving in Shanghai
 329 during May Day. (c) Working principle of the EC window at cold weather (top) and hot weather (medium) and night
 330 (bottom). The yellow arrows indicate the solar irradiation, and the orange arrows represent heat radiation. At cold
 331 weather, both NIR and visible light enter the room. At hot weather, NIR and visible light are regulated independently
 332 according to specific temperature and solar irradiation. At night, both NIR and visible light are blocked to prevent
 333 privacy. (d) Monthly energy consumption of the EC device, low-E glass, and normal glass window in the climate
 334 condition of Shanghai. (e) Estimated heating and cooling energy-saving of a tri-stable EC device against a
 335 commercial low-E glass as the baseline for different cities representing different climate zones. Unit for the energy-
 336 saving is $MJ m^{-2}$.

337

338

339

340

341

342

343 **Data Availability Statement**

344 The data that support the findings of this study are available from the corresponding author
345 upon reasonable request.

346 **Acknowledgments**

347 This study was financially supported by the National Key Research and Development Program
348 of China (no. 2021YFA0718900), the Key Collaborative Research Program of the Alliance of
349 International Science Organizations (no. ANSO-CR-KP-2021-01); the National Natural
350 Science Foundation of China (nos. 51972328, 62005301, 52002392 and 62175248); Shanghai
351 B&R International Cooperation Program (no. 20640770200); Shanghai ‘Science and
352 Technology Innovation Action Plan’ Intergovernmental International Science and Technology
353 Cooperation Program (no. 21520712500); Shanghai Science and Technology Funds (no.
354 23ZR1481900); the Open Project of Wuhan National Laboratory for Optoelectronics (no.
355 2022WNLOKF014); Science Foundation for Youth Scholar of State Key Laboratory of High
356 Performance Ceramics and Superfine Microstructures (no. SKL202202).

357 **Author Contributions Statement**

358 X.C. conceived the project. Z.S., X.C. and A.H. designed the experiments and analyzed the data.
359 Z.S., X.J., and A.H. performed the experiments and some characterizations. Z.S., C.C., W.H.
360 and R.Y. performed optical simulations under the supervision of P.J., J.B. and H.L. X.C. and
361 Z.S. conceived the device working mechanism, conducted the computational studies and data
362 analysis. Z.S. and R.Y. wrote the paper. All authors discussed the results and commented on the
363 manuscript.

364 **Competing Interests Statement**

365 The authors declare no competing financial interest.

366

- 368 1. Zhao, L.; Lee, X.; Smith, R. B.; Oleson, K., Strong contributions of local background climate to
369 urban heat islands. *Nature* **2014**, *511* (7508), 216-219.
- 370 2. Cuce, E.; Riffat, S. B., A state-of-the-art review on innovative glazing technologies. *Renewable and*
371 *sustainable energy reviews* **2015**, *41*, 695-714.
- 372 3. Gao, Y.; Wang, S.; Luo, H.; Dai, L.; Cao, C.; Liu, Y.; Chen, Z.; Kanehira, M., Enhanced chemical
373 stability of VO₂ nanoparticles by the formation of SiO₂/VO₂ core/shell structures and the application
374 to transparent and flexible VO₂-based composite foils with excellent thermochromic properties for solar
375 heat control. *Energy & Environmental Science* **2012**, *5* (3), 6104-6110.
- 376 4. Shao, Z.; Huang, A.; Ming, C.; Bell, J.; Yu, P.; Sun, Y.-Y.; Jin, L.; Ma, L.; Luo, H.; Jin, P., All-solid-
377 state proton-based tandem structures for fast-switching electrochromic devices. *Nature Electronics* **2022**,
378 1-8.
- 379 5. Barawi, M.; De Trizio, L.; Giannuzzi, R.; Veramonti, G.; Manna, L.; Manca, M., Dual band
380 electrochromic devices based on Nb-doped TiO₂ nanocrystalline electrodes. *ACS nano* **2017**, *11* (4),
381 3576-3584.
- 382 6. Zhai, Y.; Li, J.; Shen, S.; Zhu, Z.; Mao, S.; Xiao, X.; Zhu, C.; Tang, J.; Lu, X.; Chen, J., Recent
383 Advances on Dual-Band Electrochromic Materials and Devices. *Advanced Functional Materials* **2022**,
384 2109848.
- 385 7. Zhang, S.; Cao, S.; Zhang, T.; Fisher, A.; Lee, J. Y., Al³⁺ intercalation/de-intercalation-enabled
386 dual-band electrochromic smart windows with a high optical modulation, quick response and long cycle
387 life. *Energy & Environmental Science* **2018**, *11* (10), 2884-2892.
- 388 8. Wen, R.-T.; Granqvist, C. G.; Niklasson, G. A., Eliminating degradation and uncovering ion-
389 trapping dynamics in electrochromic WO₃ thin films. *Nature materials* **2015**, *14* (10), 996-1001.
- 390 9. Islam, S. M.; Hernandez, T. S.; McGehee, M. D.; Barile, C. J., Hybrid dynamic windows using
391 reversible metal electrodeposition and ion insertion. *Nature Energy* **2019**, *4* (3), 223-229.
- 392 10. Chen, J.; Wang, Z.; Chen, Z.; Cong, S.; Zhao, Z., Fabry–Perot cavity-type electrochromic
393 supercapacitors with exceptionally versatile color tunability. *Nano Letters* **2020**, *20* (3), 1915-1922.
- 394 11. Liu, B. J.-W.; Zheng, J.; Wang, J.-L.; Xu, J.; Li, H.-H.; Yu, S.-H., Ultrathin W18O₄₉ nanowire
395 assemblies for electrochromic devices. *Nano letters* **2013**, *13* (8), 3589-3593.
- 396 12. Cheng, W.; He, J.; Dettelbach, K. E.; Johnson, N. J.; Sherbo, R. S.; Berlinguette, C. P.,
397 Photodeposited amorphous oxide films for electrochromic windows. *Chem* **2018**, *4* (4), 821-832.
- 398 13. Zhang, S.; Cao, S.; Zhang, T.; Yao, Q.; Fisher, A.; Lee, J. Y., Monoclinic oxygen-deficient tungsten
399 oxide nanowires for dynamic and independent control of near-infrared and visible light transmittance.
400 *Materials Horizons* **2018**, *5* (2), 291-297.
- 401 14. Gu, H.; Guo, C.; Zhang, S.; Bi, L.; Li, T.; Sun, T.; Liu, S., Highly efficient, near-infrared and visible
402 light modulated electrochromic devices based on polyoxometalates and W18O₄₉ nanowires. *ACS nano*
403 **2018**, *12* (1), 559-567.
- 404 15. Heo, S.; Kim, J.; Ong, G. K.; Milliron, D. J., Template-free mesoporous electrochromic films on
405 flexible substrates from tungsten oxide nanorods. *Nano letters* **2017**, *17* (9), 5756-5761.
- 406 16. Wang, S.; Jiang, T.; Meng, Y.; Yang, R.; Tan, G.; Long, Y., Scalable thermochromic smart windows
407 with passive radiative cooling regulation. *Science*, **2021**, *374*(6574): 1501-1504.
- 408 17. Tang, K.; Dong, K.; Li, J.; Gordon, M. P.; Reichertz, F. G.; Kim, H., ... & Wu, J. Temperature-
409 adaptive radiative coating for all-season household thermal regulation. *Science*, **2021**, *374*(6574), 1504-
410 1509.

411 18. Peng, Y.; Fan, L.; Jin, W.; Ye, Y.; Huang, Z.; Zhai, S.; ... & Cui, Y. Coloured low-emissivity films
412 for building envelopes for year-round energy savings. *Nature Sustainability*, **2022**, *5*(4), 339-347.

413 19. Mandal, J.; Du, S.; Dontigny, M.; Zaghbi, K.; Yu, N.; Yang, Y., Li₄Ti₅O₁₂: A visible-to-infrared
414 broadband electrochromic material for optical and thermal management. *Advanced Functional Materials*
415 **2018**, *28*(36), 1802180.

416 20. Ergoktas, M. S.; Bakan, G.; Kovalska, E.; Le Fevre, L. W.; Fields, R. P.; Steiner, P.; ... & Kocabas,
417 C.; Multispectral graphene-based electro-optical surfaces with reversible tunability from visible to
418 microwave wavelengths. *Nature photonics* **2021** *15*(7), 493-498.

419 21. Rao, Y.; Dai, J.; Sui, C.; Lai, Y. T.; Li, Z.; Fang, H.; ... & Hsu, P. C., Ultra-Wideband Transparent
420 Conductive Electrode for Electrochromic Synergistic Solar and Radiative Heat Management. *ACS*
421 *Energy Letters* **2021**, *6*(11): 3906-3915.

422 22. Sui, C., Pu, J., Chen, T. H., Liang, J., Lai, Y. T., Rao, Y., ... & Hsu, P. C. Dynamic electrochromism
423 for all-season radiative thermoregulation. *Nature Sustainability*, **2023** 1-10.

424 23. Ke, Y.; Chen, J.; Lin, G.; Wang, S.; Zhou, Y.; Yin, J.; Lee, P. S.; Long, Y., Smart windows: electro-,
425 thermo-, mechano-, photochromics, and beyond. *Advanced Energy Materials* **2019**, *9* (39), 1902066.

426 24. Nakano, M.; Shibuya, K.; Okuyama, D.; Hatano, T.; Ono, S.; Kawasaki, M.; Iwasa, Y.; Tokura, Y.,
427 Collective bulk carrier delocalization driven by electrostatic surface charge accumulation. *Nature* **2012**,
428 *487* (7408), 459-462.

429 25. Jeong, J.; Aetukuri, N.; Graf, T.; Schladt, T. D.; Samant, M. G.; Parkin, S. S., Suppression of metal-
430 insulator transition in VO₂ by electric field-induced oxygen vacancy formation. *Science* **2013**, *339*
431 (6126), 1402-1405.

432 26. Xu, J.; Zhang, Y.; Zhai, T.-T.; Kuang, Z.; Li, J.; Wang, Y.; Gao, Z.; Song, Y.-Y.; Xia, X.-H.,
433 Electrochromic-tuned plasmonics for photothermal sterile window. *ACS nano* **2018**, *12* (7), 6895-6903.

434 27. Kim, Y.; Cha, S.; Kim, J.-H.; Oh, J.-W.; Nam, J.-M., Electrochromic response and control of
435 plasmonic metal nanoparticles. *Nanoscale* **2021**, *13* (21), 9541-9552.

436 28. Lee, S.-H.; Seong, M. J.; Cheong, H. M.; Ozkan, E.; Tracy, E. C.; Deb, S. K., Effect of crystallinity
437 on electrochromic mechanism of Li_xWO₃ thin films. *Solid State Ionics* **2003**, *156* (3-4), 447-452.

438 29. Brezesinski, T.; Fattakhova Rohlfing, D.; Sallard, S.; Antonietti, M.; Smarsly, B. M., Highly
439 crystalline WO₃ thin films with ordered 3D mesoporosity and improved electrochromic performance.
440 *Small* **2006**, *2* (10), 1203-1211.

441 30. Chen, Y.; Wang, Z.; Chen, S.; Ren, H.; Wang, L.; Zhang, G.; Lu, Y.; Jiang, J.; Zou, C.; Luo, Y., Non-
442 catalytic hydrogenation of VO₂ in acid solution. *Nature communications* **2018**, *9* (1), 1-8.

443 31. Chen, S.; Wang, Z.; Ren, H.; Chen, Y.; Yan, W.; Wang, C.; Li, B.; Jiang, J.; Zou, C., Gate-controlled
444 VO₂ phase transition for high-performance smart windows. *Science advances* **2019**, *5* (3), eaav6815.

445 32. Chen, Y.; Wang, Z.; Chen, S.; Ren, H.; Li, B.; Yan, W.; Zhang, G.; Jiang, J.; Zou, C., Electric-field
446 control of Li-doping induced phase transition in VO₂ film with crystal facet-dependence. *Nano Energy*
447 **2018**, *51*, 300-307.

448 33. Edition, S.; Erbe, D.; Lane, M.; Anderson, S.; Baselicci, P.; Hanson, S.; Heinisch, R.; Humble, J.;
449 Taylor, S.; Kurtz, R., Energy standard for buildings except low-rise residential buildings. **2010**.

450
451

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [supplementalinformation.pdf](#)