1	Development and simulation of sensor-based irrigation control strategies for
2	cotton using the VARIwise simulation framework
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#### 27 Abstract

28 Feedback control systems offer opportunities to accommodate spatial and temporal 29 differences in crop water requirement and to improve the automated irrigation of field 30 crops via real-time data from in-field plant, soil-water and evaporation sensing. This 31 paper describes two sensor-based strategies applied to irrigation control, 'Iterative Learning Control' (ILC) and custom-designed 'Iterative Hill Climbing Control' 32 (IHCC), implemented in the control simulation and evaluation framework 33 34 'VARIwise'. Simulation of an irrigated cotton crop using soils and merged 1999-35 2004 weather data of SE Queensland, Australia, and represented by the performance 36 of the well-validated cotton growth and production model OZCOT, permitted the 37 relative performance of differing sensor data types and availability to be evaluated 38 (both as alternatives and in combination) in meeting the requirement to optimise either crop yield or water use efficiency. These simulations indicated that ILC would 39 40 perform better at maintaining soil-water deficit, whilst IHCC would be better at 41 maximising crop yield when plant and soil sensors were utilised in combination. This 42 work demonstrates that the optimal choice of field sensor(s) and control strategy will 43 be a function of the irrigation objective and the spatial and temporal availability and 44 type of field measurements.

45

#### 46 **Research highlights**

Two site-specific sensor-based irrigation strategies were simulated in VARIwise
Iterative Learning Control (ILC) produced highest yield with soil-water data input
Iterative Hill Climbing Control (IHCC) performed best with soil-and-plant data
input

• Both sensor-based strategies were superior to the industry-standard strategy

52

#### 53 Keywords

54 Variable-rate irrigation, centre pivot, lateral move, scheduling, automation, OZCOT

55

#### 56 1. INTRODUCTION

Irrigation application and crop water use efficiencies can be improved by scheduling the irrigation of crops using physical and agronomic principles (Evans 2006). The irrigation management strategy determined using these principles may be automatically implemented using a control system. Irrigation control strategies can use historical or real-time quantitative measurements of the crop, weather and soil, either singly or in combination, to automatically adjust the irrigation application.

63

64 Irrigation is traditionally applied uniformly over an entire field, although not all plants 65 in the field may require the same amount of water at any given time. In these cases, differential irrigation application to meet the plant requirements at different positions 66 in the field may improve operational performance. However, as the plant response 67 68 and environmental conditions fluctuate throughout the season, control strategies 69 which accommodate temporal and spatial variability in the field and which locally 70 modify the control actions (irrigation amounts) need to be 'adaptive' (Smith et al. 71 2009; McCarthy et al. 2010). Site-specific irrigation is enabled for centre pivot and 72 lateral move irrigation machines through commercially available variable-rate 73 hardware (e.g. Design Feats, Zimmatic, Valley). These systems adjust the irrigation 74 application within the field by varying the speed of the machine and/or pulsing 75 solenoid valves on each dropper. According to the choice of water outlet- sprinkler head or Low-Energy Precision Application (LEPA) sock – differential application
may be achieved at the <1 m<sup>2</sup> scale.

78

79 The performance of an irrigation control system will be limited by: (i) the attributes 80 that are measured in the field; (ii) the spatial resolution of the sensor data for both 81 static sensors (e.g. soil-water probes) and on-the-go sensors (e.g. infrared thermometers measuring foliage temperature); and (iii) the temporal resolution of 82 83 these data inputs. However the performance delivered by the control system may also 84 be affected by unexpected environmental conditions (e.g. mid-irrigation and spatially-85 varied rainfall) or exceptional operational changes (e.g. crop damage or a capacity 86 constraint of the irrigation machine such that it cannot deliver the optimal irrigation 87 volumes in time). It may be expected that adaptive irrigation control systems have 88 differing robustness to these operating conditions, data availability and system 89 constraints (Warwick 1993).

90

91 In principle at least, adaptive control systems automatically and continuously re-adjust 92 the controller to obtain the desired performance of the system (Warwick 1993). Their 93 application to irrigation can potentially improve crop development and/or water use 94 efficiency. In addition, adaptive control strategies may be used to accommodate the 95 differing levels of data quality and availability normally found in irrigation practice, 96 i.e. utilise the various combinations of weather, soil and plant data available 97 (McCarthy et al. 2011a). Potentially optimal adaptive control strategies that 98 determine irrigation volume and timing may be identified by simulating alternate 99 adaptive control strategies in a simulation framework.

100

101 The objective of this paper is to determine the potential efficacy of 'sensor-based' 102 adaptive control (as introduced in section 3 below) for the practical irrigation of 103 cotton. A companion paper, McCarthy et al. (2013), reports the implementation and 104 performance of model-based adaptive control strategies: refer section 3 below.

105

## 106 2. CONTROL SIMULATION FRAMEWORK 'VARIwise'

107 A simulation framework 'VARIwise' was created to develop, simulate, evaluate and 108 also implement (as a machine controller) uniform and site-specific irrigation control 109 strategies for centre pivot and lateral move irrigation machines. Full details are 110 presented in McCarthy et al. (2010): a simplified schematic is presented in Figure 1.

- 111
- 112

# Insert Figure 1 here

113

114 Within VARIwise, the field is divided into cells of minimum area  $1 m^2$  to 115 accommodate spatial variability. The software allows for:

- the inclusion of field-scale variations in input parameters (e.g. crop response, crop
  age, target yield and management constraints);
- 118 the input of data at a range of temporal scales;
- the ability to apply the various levels of control strategies for variable-rate
  irrigation at different spatial scales; and
- requires a crop model integrated within VARIwise. In the simulation mode this
   model provides feedback data which permit evaluation of any control strategy
   implemented.

125 The well-established cotton growth model OZCOT (Wells and Hearn 1992) has been 126 utilised by VARIwise for the present study. The OZCOT model combines a 127 temperature-driven model of fruit dynamics with a soil-water balance model, and 128 original sub-models for: fruiting, leaf area generating, boll growth and elementary 129 The model was developed and validated for nitrogen (Wells and Hearn 1992). 130 different soil types from agronomic experiments over a period of 30 years covering a range of Australian cotton growing regions (Hearn 1994). OZCOT's capacity to 131 132 simulate yield, fruiting dynamics, nitrogen uptake and water use has been validated in 133 the Ord Valley, Western Australia, for summer grown cotton during the 1960s and 134 70s (Hearn 1994). The model responds to different climatic situations, crop 135 physiological characteristics, agronomic variables and management decisions, but 136 does not account for the effects of insect pests, diseases, weeds and soil nutrient 137 limitations other than nitrogen. The model does not simulate the effects of climate 138 and management on fibre quality. The OZCOT model requires the parameters listed 139 in Table 1 to be written into input files. After the OZCOT model has been executed, 140 an output file is produced that contains estimates of the soil-water, fruit load and 141 vegetation indices for each day of the predicted cotton season.

- 142
- 143

#### Insert Table 1 here

144

#### 145 **3. ADAPTIVE CONTROL STRATEGIES**

Adaptive control strategies applicable to irrigation may be either: (i) 'sensor-based', for which the (simulated) irrigation application is directly adjusted according to the measurement response; or (ii) 'model-based', which use a calibrated soil and plant model for irrigation management. These strategies differ fundamentally in their data requirements and their use of the crop model. The focus of this paper is the relative performance of two candidate sensor-based irrigation control strategies: as noted above, a companion paper, McCarthy et al. (2013), reports the implementation and performance of model-based strategies.

154

By definition, sensor-based strategies can be implemented with a range of input variables chosen to provide feedback for control. This paper reports a simulation study to determine the appropriate input variable/s for each control strategy; and comment on the relative utility of each strategy.

159

Following a review of candidate adaptive control strategies (McCarthy et al. 2011b), the two sensor-based adaptive control strategies implemented in VARIwise are 'Iterative Learning Control' (ILC) and 'Iterative Hill Climbing Control' (IHCC). The two strategies are described below, and their implementation set out in the section following. In summary, these strategies refine the estimate of each successive irrigation volume applied by:

[ILC] – iteratively adjusting the irrigation volume applied in each cell of the field
using the incremental response, i.e. the OZCOT-determined plant growth arising
from the change in *particular field sensor information* which has resulted from the
previous water application, in each cell; or

[IHCC] – similarly adjusting the irrigation volumes, but based on *multiple sensor increment information*, using a range of irrigation volumes applied within a group
of homogenous cells.

173

#### 174 3.1 Iterative Learning Control

175 Iterative Learning Control (ILC) can be used to control repetitive processes, e.g. robot 176 arm manipulators, repetitive rotary systems, and factory batch processes, where the process model is imperfectly known (Ahn et al. 2007). An irrigation system may be 177 178 interpreted as a repetitive process because the irrigation machine iteratively passes 179 over the field throughout the crop season; and, given the complexity of variable plant 180 growth, is certainly imperfectly described from the control perspective. Hence, in 181 principle, classical ILC can be used to improve the system performance by 182 eliminating the effects of any unknown but repeating disturbance (Korovessi and Linninger 2006). Applied to irrigation, an unknown feature of the crop response 183 184 model that reoccurs as a consequence of irrigation may be regarded as a 'repeating 185 disturbance'.

186

187 ILC requires that the process controlled by the strategy is reset to the same initial 188 conditions after each iteration (Korovessi and Linninger 2006). Again, applied to 189 irrigation, each iteration of ILC is an irrigation event, and the conditions may be 190 approximately reset by scheduling the irrigations after a set amount of crop water use.

191

The variables soil-water, leaf area index, square count or boll count may be used as 192 193 feedback to measure the system performance for a cotton irrigation control system 194 ('squares' are flower buds; and 'bolls' are the seed pods which contain the cotton 195 fibre of the cotton plant). For example, for soil-water-based ILC a controller may 196 target a particular soil-water deficit throughout the season (and the data may be used 197 to calibrate the model). However, to be valid for feedback control, these 198 measurements must be taken only after a suitable delay following irrigation to ensure 199 the soil or crop has responded to the irrigation application.

Moore and Chen (2006) demonstrated an ILC strategy for a centre pivot irrigation machine to determine site-specific irrigation application volumes using soil-water as the feedback variable. The strategy was evaluated in simulations using a soil model with one dimensional flow. This soil model assumed constant crop water use irrespective of the crop stage, crop conditions and the daily and sub-daily weather dynamics. Hence, the model was reset to the same initial conditions after a fixed time delay and irrigation events were scheduled at regular time intervals.

208

#### 209 3.2 Iterative Hill Climbing Control

210 A drawback of the ILC strategy is the potentially inefficient system identification, and 211 particularly so when applied to irrigation which has a 'learning increment' of typically 212 several days. This is a result of only one irrigation volume being evaluated in each 213 cell during each irrigation event. As an alternative, adaptive spatially-varied 214 identification may be more rapidly achieved by utilising site-specific combinations of 215 plant, soil and weather data in different sub-areas of the field, i.e. using aggregates of 216 cells having similar properties. Likewise, adaptive system identification may be 217 incorporated into an irrigation control system to account for the slow speed of crop 218 dynamics and the low frequency of irrigation events. To meet these requirements and 219 circumvent these limitations, an alternative, multi-dimensional approach was 220 developed, as follows.

221

The technique is designated 'Iterative Hill Climbing Control' (IHCC), in which 'hill climbing' involves changing the state of the system into one that is closer to the goal

224	in the direction of steepest gradient (Russell and Norvig 1995). IHCC provides faster
225	optimisation than ILC alone because it permits the evaluation of both:
226	• a range of inputs to the system at each irrigation event (i.e. multi-dimensional
227	ILC); and
228	• a range of irrigation volumes on different cells in the field (within the particular
229	sub-area) at each irrigation event.
230	
231	As noted, IHCC involves grouping cells with similar properties in the field and
232	applying different irrigation volumes to designated 'test cells' within each group of
233	cells. The responses of the test cells are compared to determine which irrigation
234	volume resulted in the response closest to the desired response. This enables the
235	control system to identify appropriate input options within a single irrigation event
236	without using a process model.
237	
238	4. IMPLEMENTATION OF SENSOR-BASED CONTROL STRATEGIES IN
239	VARIwise
240	The ILC and IHCC strategies were implemented in VARIwise to calculate the optimal
241	irrigation application volumes for each cell. For the ILC strategy, the irrigation
242	volumes are determined from previous irrigation applications and measured
243	responses. For the IHCC strategy, the volumes to apply are determined by evaluating

the response to a range of irrigation volumes previously applied to test cells within representative homogenous areas of the field. Homogenous areas within fields are referred to as a management 'zone' in this paper and zone boundaries may be determined using soil properties, crop or variety differences, topography or management constraints.

The implementation of the control strategies within VARIwise involves six steps described in the following sections. Of necessity, the procedures differ for the ILC and IHCC strategies. In particular, the procedure for the calculation of irrigation volumes is fundamentally different (sections 4.6 and 4.7, respectively).

254

#### 255 4.1 Select control areas

The ILC and IHCC strategies require different spatial resolutions for irrigation application. ILC can be used to determine irrigation applications to each individual cell; however, for IHCC the field must be divided into a number of zones.

259

260 The identification of zones for the IHCC strategy can be undertaken automatically by 261 VARIwise using a measured field property (e.g. soil property). In this case, the 262 property data assigned to each cell in the field is sorted in ascending order and then 263 grouped into the user-specified number of evenly-sized zones. A small number of cells (i.e. a group of 'test cells') are then selected in each zone to evaluate different 264 265 irrigation applications. The application of the various irrigation volumes to the test 266 cells results in differential soil-water and crop responses. Hence, test cell responses 267 are only indicative of the response in each zone for one irrigation event and the IHCC 268 strategy requires the selection of new test cells in each zone for each irrigation. In 269 VARIwise, this is achieved by a simple increment of the test cell number along with a 270 requirement that the replacement cell still lies within the same zone and has not 271 previously been used as a test cell.

272

#### 273 4.2 Determine day of first irrigation

The number of days until the first irrigation in each cell or zone is calculated by dividing the plant available water capacity (*PAWC*) of the soil by the daily crop water use or daily crop evapotranspiration ( $ET_c$ ). This procedure is described in McCarthy et al. (2010).

- 278
- 279 4.3 Calculate first irrigation volume

For ILC and IHCC non-test cells, the first irrigation application volume is calculated by aggregating the daily  $ET_c$  since the crop was sown. The daily  $ET_c$  is calculated as evapotranspiration obtained from the weather data, i.e. via reference (potential) evapotranspiration  $ET_o$ , and the appropriate crop coefficient,  $K_c$ , as published for each crop and growth stage, following the standard methodology of FAO 56, Allen et al. (1998).

286

For IHCC test cells, the irrigation volume applied to each test cell is similarly 287 288 determined using the  $ET_o$  since the crop was sown, but with a range of crop 289 coefficients imposed. These crop coefficients are offset from the zone crop 290 coefficient (which is the crop coefficient used to calculate the irrigation volumes 291 applied to the non-test cells). The crop coefficient offsets used are specified by the 292 user as a percentage of the zone crop coefficient; for example, using a zone crop coefficient of  $\underline{K_c} = 0.35$ , five test cells and an offset of 40%, the crop coefficients 293 294 would be 0.07, 0.21, 0.35, 0.49 and 0.63 for each test cell, respectively (i.e. multiples 295 of 40% on either side of the median crop coefficient, 0.35).

296

297 4.4 Check data availability

In the simulation environment the model output data are obtained for the cells and days specified by the user. This enables the performance of the control strategy to be evaluated with input data at different spatial and temporal resolutions. In a field implementation, the currently-available datasets are kriged (i.e. spatially interpolated) across the field to ascribe a value to each cell in the field. This is because sensor data may be unavailable due to sensor failures or the installation of sensors being impractical (large numbers of infield sensors are often obstructive to growers).

305

#### 306 4.5 Determine day of next irrigation

307 The irrigation events are scheduled when the crop has reached a user-specified 308 cumulative crop water use since the previous irrigation event. The method of 309 calculating the crop water use depends on the datasets available, thus:

If soil data input is used in the control strategy and update data are available, the
crop water use is determined using the change in soil-water since the previous
irrigation.

If soil and weather data inputs are used in the control strategy but update soil data
are not available and update weather data are available, the cumulative crop water
use is determined as the sum of the daily crop evapotranspiration (calculated using
the weather data).

If soil data input is used but update data are not available, plus weather data are
not available or not used, then the cumulative crop water use is calculated using
historically averaged weather data.

320

321 Since the crop water use may not be uniform across the field due to spatial variability,

322 the irrigations are initiated when an arbitrary proportional of the cells in the field have

323 reached the user-specified cumulative crop water use (e.g. 15%).

324

# 325 4.6 Calculate irrigation volumes – ILC

For ILC the irrigation volume applied to each cell in the field is calculated using a common ILC algorithm (Ahn et al. 2007) which calculates the required system input (the irrigation volume to be applied)  $u_{k+1}$  at the forthcoming iteration, i.e. the (*k*+1)-th irrigation, according to:

330

331 
$$u_{k+1} = u_k + \gamma(y_k(\Delta) - y_d(\Delta)) \qquad (1$$

332

333 where:

 $u_k$  = the system input (irrigation volume) on the previous iteration (*k*-th irrigation)

 $\gamma$  = the learning gain (a scalar factor)

 $y_k(\Delta)$  = the *measured* system output (i.e. sensor data value, kriged as necessary) after delay  $\Delta$ ; and

 $y_d(\Delta)$  = the *desired* system output (i.e. *desired* sensor data value) after delay  $\Delta$ 

334	in which the delay in measurement after each irrigation $\Delta$ permits the crop to respond
335	to that irrigation (typically one day for the sensing of soil-water change; longer for the
336	sensing of a plant growth variable). In all cases the response delay $\Delta$ must be less that
337	the interval between irrigations. The learning gain $\gamma$ is chosen by iteration as a

338 compromise between slow learning (low  $\gamma$ ) and instability in the predicted  $u_{k+1}$  values 339 (high  $\gamma$ ).

340

341 The ILC algorithm assumes that the refined input is adjusted in the same direction as 342 the difference between the measured and desired value for a positive learning gain and 343 that the refined input is adjusted in the opposite direction to the difference between 344 the measured and desired value for a negative learning gain. For example, when the 345 desired value is less than the measured value (and the difference is negative) and the 346 learning gain is positive, then the irrigation volume applied is less than the previous 347 irrigation volume. Hence, this algorithm may only be used for variables which either 348 always increase when the irrigation volume applied increases (e.g. soil-water) or 349 always decrease when the irrigation volume applied decreases (e.g. soil-water deficit). 350 An applicable plant variable may be leaf area index since vegetative growth typically 351 increases with increased water application and hence would require a positive learning 352 gain. A negative learning gain is used where soil-water is the controlled variable. In this case, the soil-water variable is calculated by subtracting the desired deficit from 353 the full point (field capacity) of the soil. However, conversely for cotton, the square 354 355 and boll counts are not applicable for ILC as cotton reproductive growth is maximised 356 when the plant is under mild water stress (Gibb et al. 2004).

357

For each irrigation event and cell, the ILC algorithm of equation (1) calculates the volume to apply at the next irrigation event using measured field data and the desired value based on a single measured variable *y*. However, because more than one soil or plant measured variable may be applicable, an expanded ILC algorithm was

362 implemented to accommodate for multiple variables. In this case, optimisation may

363 be achieved by either one, or a combination, of the following (Liu et al. 2001):

364

365 i. assigning a weighting to each optimisation objective (variable  $y_i$ ) and 366 constructing a weighted sum of all the objectives, and/or

367 ii. optimising each objective separately to explore trade-offs

368

369 where the separate optimisation objectives are driven by difference between the 370 measured and desired variable values,  $y_{i,k}$  and  $y_{i,d}$  respectively.

371

The multi-objective optimisation option (i) requires subjective selection of the 372 373 weights for each objective; however the separate-objective option (ii) requires an 374 additional decision-making procedure to determine which objective optimisation 375 results in the desired performance for both objectives. The present VARIwise 376 implementation for sensor-based irrigation optimisation aims to evaluate the effect of 377 using multiple data inputs and the multi-objective optimisation option (i) was presumed sufficient with each objective equally weighed. Hence, the multi-objective 378 379 ILC algorithm is:

380

381 
$$u_{k+1} = u_k + \gamma \sum_{i=1}^n (w_i \times (y_{i,k}(\Delta) - y_{i,d}(\Delta)))$$
(2)

382

383 where *n* variables are used in the control strategy and  $w_i$  is the weighting of the *i*-th 384 variable for the control strategy (and all weightings sum to unity).

385

#### 386 4.7 Calculate irrigation volumes – IHCC

A performance index (PI) is calculated for each test cell in each zone. In VARIwise, the data used to determine the PI is specified by the user, and for a cotton crop appropriate parameters are leaf area index (LAI) and 'square count' ('squares' are flower buds on a cotton plant). The type of data specified affects how the PI is calculated. To optimise cotton yield, the PI can be calculated as the ratio of the current boll or square count to the maximum count of the test cells using:

$$PI = \frac{Current \ value(t)}{Maximum \ value(t)}$$

For cotton, the LAI data should not simply be maximised as this would result in excessive vegetative growth rather than reproductive growth. Hence, the PI for LAI can be calculated and compared to the reported LAI for an optimal crop. For data that correspond to an optimal time series data set, the performance index is:

(3)

399

400 
$$PI = \frac{Target \ value(t) - Current \ value(t)}{Target \ value(t)}$$
(4)

401 where *t* represents the day of the data collection.

402

403 Multiple data variables may be incorporated into the PI by applying weights to the 404 performance index of each data type and summing the weighted indices. For 405 example, if leaf area index and square count are used with respective weights of 0.2 406 and 0.8, the total PI would be:

407

$$408 PI = 0.2 \times P_{IAI} + 0.8 \times P_{sauare/boll \ count} (5)$$

The PI for each test cell can be evaluated to determine the crop coefficient to be used for the 'non-test' cells in the next irrigation. The crop coefficient used for the next irrigation corresponds to the maximum PI: this would be obtained by finding the maximum point of a quadratic equation fitted through points plotted on a PI versus crop coefficient graph.

415

416 Multiple data variables may be incorporated into the PI by applying weights  $(k_i)$  to the 417 performance index of each data type  $(PI_i)$  and summing the weighted indices (where 418 there are *n* data inputs). Hence, the general form for the PI calculation with multiple 419 data variables and weights is:

420

421 
$$PI = \sum_{i=1}^{n} k_i PI_i$$
 where  $\sum_{i=1}^{n} k_i = 1$  (6)

422

If the maximum point of the quadratic lies outside this range, then the crop coefficient
for the test cell with the highest PI is selected as the optimal crop coefficient. If all
the test cells have the same PI then the crop coefficient is estimated from Table 12 of
Allen et al. (1998).

427

428 After the crop has consumed a user-defined cumulative crop water use, the non-test 429 cells are then irrigated with an amount calculated using the aggregated  $ET_o$  and the 430 optimal crop coefficient. The volumes applied to the new test cells are calculated 431 using the user-defined offset percentage applied to the optimal crop coefficient 432 identified for the previous irrigation.

433

#### 434 4.8 Practical considerations

For centre pivot and lateral move machines, the machine capacity and application volumes affect the time taken to traverse the field, and hence the timing of irrigation applications to each cell. To minimise the impact of travel time, the irrigation application to individual cells is limited to an arbitrary maximum volume (e.g. 25 mm) and the amount of water applied to individual cells is adjusted by the travel time and the daily crop water use.

441

# 442 5. CASE STUDY – EVALUATION OF SENSOR-BASED CONTROL 443 STRATEGIES WITH COTTON

This section reports a case study using VARIwise to compare the performance of the ILC and IHCC strategies when different field sensor data (e.g. soil, plant and weather) were available, both singly or in combination. These strategies were also compared with the yield and water use performance produced for the equivalent crop irrigated according to an industry-standard irrigation management strategy.

449

#### 450 5.1 Simulated crop, growing conditions and crop model

The case study involved simulations of a whole season cotton crop grown on the Darling Downs, Australia with parameters as outlined in Table 1. The sowing data, soil properties and weather pattern was characteristic of cotton growing regions in Australia. The soil and plant parameters of the cotton model OZCOT were kept within the boundary values defined by Wells and Hearn (1992).

456

The spatial variability in soil parameters in each cell and the zones applied for the
IHCC strategy are shown in Figure 2. In the simulated field, the plant available water
capacity (PAWC) ranges from 60 to 200 mm. This was selected to ensure the control

460 strategies could deal with the different soil types that often exist within fields. The 461 spatial variability in PAWC across irrigated broadacre fields in Australia can be 30 to 462 500% (Wong et al. 2006; Rab et al. 2009) because of differences in soil texture and 463 root distribution.

464

465

#### Insert Figure 2 here

466

Averaged weather input was used when sensed weather data was not an input. This provided the model with the minimum set of weather information that could be generated which would enable the model to operate. The averaged weather dataset was generated using SILO (QNRM 2009) climate datasets for the cropping period in the previous five years and calculating the daily average maximum and minimum temperature, solar radiation and rainfall such that each day in the season had the same weather conditions.

474

A daily weather profile was obtained for the GPS location -28.18°N 151.26°E from an Australian Bureau of Meteorology SILO dataset (QNRM 2009) for 2004/2005. The weather profile is relatively hot and wet, late in the crop season. The weather profile (Figure 3) was used as the weather data input for simulations that include weather in the input data combination, whilst the same weather profile (Figure 3) was averaged daily and used as the weather data input for simulations without weather in the input data combination.

482

483

Insert Figure 3 here

#### 485 5.2 Determination of the industry-standard (baseline) irrigation schedule

An industry-standard irrigation management strategy was implemented as a baseline for the performance of the adaptive control strategies. This strategy applied a uniform irrigation treatment across the field where irrigation events were initiated when the soil-water deficit reached a set amount in one point in the field. The soil-water deficit was taken in the cell with the lowest plant available water capacity, as this is the most limiting soil (Figure 2(a)). In this simulation, 25 mm was applied to the whole field when the soil-water deficit had reached 30 mm in the cell with sandy soil.

493

#### 494 5.3 Adaptive control implementation

495 The robustness of the irrigation control strategy to sensed data availability was 496 evaluated by simulating the strategies using different combinations of data input 497 (McCarthy et al. 2011a). This indicated the control inputs that are most appropriate to each control strategy. The simulations used the same underlying crop model but 498 499 different combinations of input variables for control. For example, the simulation 500 evaluating the importance of sensed soil data to the ILC strategy involves adjusting 501 the irrigation volume according to the error between the desired soil-water and the 502 measured soil-water after each irrigation event.

503

An optimal time series dataset is required for each input variable to compare with the measured output and calculate the next irrigation volume  $u_{k+1}$ . The leaf area index (LAI) for an optimal cotton crop is shown in Figure 4. The dataset was obtained from OZCOT for a high yielding simulation and the curve was smoothed using exponential smoothing with a smoothing factor of 0.85. For this case study, a 12.6 ha centre pivot

509 irrigated field was automatically divided into 1266 cells of area 100 m<sup>2</sup> (with cell
510 dimensions of 10 m wide and 10 m long).

- 511
- 512

#### Insert Figure 4 here

513

514 5.3.1 Methodology – ILC

The ILC strategy was simulated using the five applicable input data combinations 515 516 (Table 2). For the simulations with two input data variables, the weightings on each 517 variable were chosen to be 0.5. Irrigations were initiated when 15% of the cells had 518 reached a 40 mm soil-water deficit. The simulations using soil data input adjusted the 519 irrigation volume to achieve a deficit of 10% of the plant available water capacity in 520 each cell following each irrigation event. The data for feedback in the control strategy 521 were obtained from the OZCOT model on different days depending on the data type: 522 the soil dataset was obtained one day after the previous irrigation event, whilst the 523 plant dataset was obtained one day prior to next scheduled irrigation event.

- 524
- 525

#### Insert Table 2 here

526

527 Simulations for ILC were conducted in VARIwise using the agronomic factors in 528 Table 1 and the underlying soil variability in Figure 2(a). The desired LAI time series 529 set of Figure 4 was used. This cell size was selected to enable timely execution of the 530 simulations and accommodate substantial in-field spatial variability of soil properties. 531 The following values were used for the ILC parameters defined in Section 4.6:

532 • the learning gain ( $\gamma$ ) was unity;

• the irrigations were initiated after 40 mm of crop water use; and

the time delay (Δ) between the irrigation event and the parameter measurement
 depended on the data input.

536

#### 537 5.3.2 Methodology – IHCC

Using weather, soil and plant input data, there are six possible combinations of data 538 539 input for IHCC (Table 3). As with ILC, weather-only input is not applicable for 540 control as the weather data does not provide a measure of the crop response. For the 541 simulations with two input variables, the weighting on each variable was set to be 0.5. 542 The simulations using plant data to determine the irrigation application used square 543 count as the input variable for control. This is because squares form earlier in the 544 crop season than bolls (and can be controlled earlier in the crop season). Square count 545 was used instead of leaf area index to maximise the reproductive growth of the cotton 546 plant (which should maximise the final yield) rather than manage the vegetative 547 growth. The strategies with soil data input aimed to maintain a soil-water deficit 548 equal to 10% of the plant available water capacity in each cell following each 549 irrigation event.

- 550
- 551

#### Insert Table 3 here

552

For IHCC strategies there must be sufficient cells in the field for the test cells to be replaced after each irrigation event in the crop season. For example, a field with three zones and five test cells requires 15 test cells for each irrigation event. Because these test cells must be replaced with new test cells after every irrigation event, a minimum of 300 cells are required for a season with 20 irrigation events. The field of 1266 cells was automatically divided into three zones (Figure 2(b)) and five test cells were usedin each zone.

560

The underlying soil variability of Figure 2(a) was implemented. The feedback data were obtained from the OZCOT model one day after the previous irrigation event for soil responses and one day before the next scheduled irrigation event for plant responses.

565

#### 566 5.4 Performance of control strategies

567

568 5.4.1 Performance using an industry-standard irrigation management strategy

569 An industry-standard irrigation schedule was implemented with field properties as per 570 Table 1 and involved applying 25 mm between 14 October 2004 and 14 March 2005 571 when the soil-water deficit in a sandy cell reached 30 mm. The final yield was  $9.1 \pm$ 572 1.9 bales/ha with CWUI of 0.9 bales/MLtotal (total water in ML) and IWUI of 1.4 573 bales/ML<sub>irrigated</sub> (irrigation applied in ML) (Figure 5). IWUI is the ratio of the crop 574 yield (e.g. bales of cotton) to the irrigation water applied (ML), whilst CWUI is the 575 ratio of crop yield (e.g. bales) to the total water used by the crop (ML) (BPA 1999). 576 The total volume of water applied to the crop (including rainfall) was 10.2 ML/ha, 577 whilst the irrigation applied to the crop was 6.8 ML/ha. Variations reported in the 578 average yield values are standard deviations of yield across the field (Figures 5 and 6). 579 The applied water and yields produced by the simulations are consistent with local 580 typical experience.

581

582

#### Insert Figure 5 here

# 584 5.4.2 Performance using ILC

Figure 6 sets out the simulated outputs of the ILC strategies using the data input combinations described in Table 3. The simulated irrigation applied, soil-water and leaf area index in the sand, clay loam and clay cells are compared for the strategies with plant-only input (simulation #1) and soil-only input (simulation #2) (Figure 7(a) and 7(b)). The ILC strategy produced the highest yield and water use efficiency with soil-water input (simulation #1).

- 591
- 592
- 593

Insert Figure 7 here

Insert Figure 6 here

594

595 ILC produced lower yields with leaf area index input (simulations #2, #3 and #5) than 596 The irrigation volumes applied were higher with soil-water input (Figure 6). 597 throughout the crop season for ILC targeting leaf area index than soil-water deficit 598 (Figure 7). This indicates that the leaf area index is not proportionally related to 599 irrigation application and that the leaf area index input is not effective to determine 600 the crop water requirements for this crop. The leaf area index measurement also may 601 not have detected whether the plant was actively transpiring or stressed. The 602 irrigation volumes applied using leaf area index also exceeded the soil-water deficit 603 (Figure 8(b)); hence, ILC with leaf area index input could not adapt to the difference 604 in soil-water for different soils. The leaf area index was also generally lower for ILC 605 targeting leaf area index than ILC maintaining soil-water deficit (Figure 9). This 606 suggests that leaf area index is a less effective indicator of irrigation requirement than soil-water deficit for ILC. The additional input of plant data to the soil simulation 607

608 (simulation #4) also reduced the simulated yield and water use efficiency (compared609 with simulation #1).

611	There were differences between the simulated and desired soil-water deficit for the
612	strategy targeting soil-water deficit (#simulation 1, Figure 8(a)). These were likely
613	caused by the plant physiological response varying to each irrigation event during the
614	crop season, while the ILC relies on process repetition to refine the irrigation volume
615	and assumes that the crop conditions essentially 'reset' before the next irrigation
616	event.
617	
618	Insert Figure 8 here
619	Insert Figure 9 here
620	
621	5.4.3 Performance using IHCC
622	The simulations described in Table 3 produced the yields and water use efficiencies in
623	Figure 10. IHCC produced reasonable yields and water use efficiencies for all data
624	input combinations. The highest yield was simulated using the soil-and-plant input
625	(simulation #9), whilst the lowest yields were simulated using plant-only input
626	(simulation #7) and weather-and-plant input (simulation #8). The IHCC strategy that
627	maximised square/boll count (simulation #9) resulted in a higher maximum square
628	count than the strategy that attempted to maintain a fixed soil-water deficit
629	(simulation #6) (Figure 9). The simulations using plant input in combinations with
630	weather or soil data (simulations #8-10) produced higher yields than those only using
631	only soil data input (simulations #6). This suggests that square count indicated the
632	plant status more accurately than soil response. The soil-and-plant strategy that

targeted a soil-water deficit and maximised square/boll count (simulation #9)
performed better than simulations that maximised square/boll count (simulation #10).
This is because the first squares form approximately 60 days after sowing, and the
strategy requires soil-water indicate crop water requirement during this early stage.

- 637
- 638 Insert Figure 10 here
  - Insert Figure 11 here
- 640

639

641 IHCC with soil-and-plant input (simulation #9) applied less irrigation water than that 642 with plant-only (simulation #7). This is because the fruit load input does not 643 accurately identify the irrigation timing or volume of water to be applied and tends to 644 over-irrigate during wet periods of the crop season (eg. 63 to 86 days after sowing, 645 Figure 11). Including the soil data improves the accuracy of the application volume 646 determination and hence, the efficiency of water application was higher using soil 647 data in combination with the plant data.

648

The spatial variability observed in the simulated yield (Figure 10) was higher when a 649 650 single sensor input (simulations #6 and #7) was used compared to a multi-sensor 651 combinations. Spatial variability in yield was caused by both differences in the soil 652 properties and the 'test' irrigation volumes being applied to various cells across the 653 field. The irrigation volumes applied to the clay loam and clay cells were generally 654 larger than those applied to the sand cells (Figure 11). The higher soil-water storage 655 capacity on these soils was found to produce larger crops which then resulted in larger 656 irrigation deficits at irrigation. It was also noted that the soil-water deficit at irrigation in the sand, clay loam and clay cells was generally closer to the target deficit (6 mm, 657

10 mm and 19 mm, respectively) using weather-and-soil input (Figure 11(a)) thanusing weather-and-plant input (Figure 11(b)).

660

661 Deviations from the desired soil-water deficit were also caused by the test cells not being representative of all the cells in the zone, causing the 'best' response of the test 662 663 cells to be inaccurate and the irrigation application of the whole zone to be inappropriate. It follows that the square count may not be maximised in each cell of 664 665 the field because of the differences in properties of the test cells and non-test cells. 666 Deviations may also have been caused by test cells being inappropriately chosen at 667 the border between zones where the zone division is jagged and therefore less certain. 668 Hence, the location of the test cells is important for the irrigation optimisation.

669

#### 670 6. DISCUSSION

The Iterative Learning Control strategy generally produced higher crop water use 671 efficiency performance indices than the Iterative Hill Climbing Control strategy. The 672 673 highest crop and irrigation water use efficiencies were achieved using ILC with soil-674 water data (simulation #1), whilst the highest irrigation water use efficiency using 675 IHCC was achieved when soil-and-plant data (simulation #9) was used. Similar 676 yields were obtained for the IHCC strategy with soil-and-plant input (simulation #9, 677  $12.4 \pm 1.6$  bales/ha) and the ILC strategy with soil-only input (simulation #1,  $12.2 \pm$ 678 1.5 bales/ha).

679

680 ILC adjusted the irrigation volume to achieve the desired soil-water deficit following 681 the irrigation event for the different soil types in the field. The IHCC strategy was 682 less effective at maintaining a target soil-water deficit than the ILC strategy (Figure 683 8). With soil-only input, IHCC produced an average yield and crop water use 684 efficiency (simulation #6,  $11.2 \pm 1.8$  bales/ha and 1.0 bales/ML<sub>total</sub>, respectively) 685 lower than those of the ILC strategy with soil-input (simulation #1).

686

The IHCC strategy can optimise parameters (e.g. through maximising square/boll 687 688 counts) and targeting temporally-variable soil/crop responses, whilst the ILC strategy can only target temporally-variable soil/crop responses (e.g. soil-water deficit). 689 690 Hence, leaf area index was selected for ILC and fruit load was selected for IHCC. 691 ILC performed poorly with plant data (i.e. leaf area index) input. This suggests that 692 IHCC may be more appropriate for weather-and-plant data input, whilst ILC may be 693 preferable with soil-input only. However, the case study indicates that leaf area index 694 input was not appropriate for ILC because of its lack of sensitivity to irrigation 695 volume application. For the ILC strategy, there was no benefit in using multiple 696 combinations of soil, plant or weather data.

697

698 The irrigation refinement was most effective during dry periods of the season as 699 rainfall was a (non-repeating) disturbance in the control system. However, ILC 700 adapted rapidly to the new system state in dry periods following the rainfall.

701

#### 702 **7. CONCLUSION**

Two sensor-based irrigation control strategies, 'Iterative Learning Control' (ILC) and custom-designed 'Iterative Hill Climbing Control' (IHCC) were simulated in the software VARIwise for a cotton crop 'grown' with the soils and merged 2004-2009 weather data of south-east Queensland, Australia, and represented by the performance of the well-validated cotton growth and production model OZCOT. These strategies

used the crop water use to determine irrigation timing and soil and/or crop response to determine irrigation application volume. The simulations indicated that there was no significant difference between the highest yield achieved by the ILC strategy using soil-water data and the IHCC strategy using soil and plant sensor data. Both strategies produced higher simulated yields and water use efficiencies than an industry-standard irrigation management strategy.

714

715 The optimal sensor combination and control strategy that should be used in the field 716 will depend on the crop and water availability. Where sensor data availability is non-717 limiting then the simulated IHCC strategy using plant and soil sensors produced 718 higher yield than the ILC strategy. However, where sensor data availability is limited then the results indicate that an ILC strategy would be preferable to optimise irrigated 719 720 water use efficiency. Valid field validation remains a challenge (unless there are 721 multiple fields and irrigation machines) but further work will involve field evaluations 722 to compare the simulated and measured control strategy performance.

723

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and Development Corporation for funding a postgraduate studentship for the senior
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728

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# 814 **Figures and Tables**

815

816 Table 1: Agronomic factors used in cotton model OZCOT for control strategy 817 simulations (where HydroLOGIC is a user interface for OZCOT, Richards et al.

- 817 simulati 818 (2008))
- 819

Agronomic factor	Value	Source	
Sowing data	4 October 2004	Nil	
Plant stand	12 plants/m	Default in HydroLOGIC	
Seed depth	5 cm	Default in HydroLOGIC	
Row spacing	1 m	Default in HydroLOGIC	
Available nitrogen	250 kg/ha (for maximum viald)	Rochestor (2006);	
Available introgen	230 kg/ha (for maximum yield)	Rochester et al. (2009)	
Previous crop	Other	Nil	
Defoliation dates	Determined by OZCOT	Nil	
Harvest date	Determined by OZCOT	Nil	
Cotton variety	Sicot 73	Nil	
Plant available water capacity	As per Figure 1	Nil	
Starting soil-water	Plant available water capacity	Nil	
Weather data	As per Figure 2	Nil	
Machine type	Centre pivot	Nil	
Field size	400 m diameter	Nil	
Machine capacity	15 mm/day	Nil	
End of irrigation period	14 March 2005	Nil	

Table 2: Simulations conducted to compare interactions between control strategies(labelled by ID#) and input variables for Iterative Learning Control.

m	Input Weather		Irrigation calculation		
#	variables for control	data input	Irrigation volume	Irrigation timing	
1	Soil	Averaged SILO data	Maintain soil-water deficit	Change in soil-water	
2	Plant	Averaged SILO data	Target leaf area index	Change in $ET_c$	
3	Weather AND plant	SILO data	Target leaf area index	Change in $ET_c$	
4	Soil AND plant (A)	Averaged SILO data	Maintain soil-water deficit and target leaf area index	Change in soil-water	
5	Soil AND plant (B)	Averaged SILO data	Target leaf area index	Change in soil-water	

Table 3: Simulations conducted to compare interactions between control strategies(labelled by ID#) and input variables for Iterative Hill Climbing Control. N

m	Input	Weather	Irrigation calculation		
#	variables for control	data input	Irrigation volume	Irrigation timing	
6	Soil	Averaged SILO data	Maintain soil-water deficit	Change in soil-water	
7	Plant	Averaged SILO data	Maximise square/boll count	Change in $ET_c$	
8	Weather AND plant	SILO data	Maximise square/boll count	Change in $ET_c$	
9	Soil AND plant (A)	Averaged SILO data	Maintain soil-water deficit and maximise square/boll count	Change in soil-water	
10	Soil AND plant (B)	Averaged SILO data	Maximise square/boll count	Change in soil-water	

827 Table 4: Performance of the industry-standard irrigation strategy for homogeneous828 and spatially variable field

Infield soil properties	Average yield (bales/ha)	Average water applied (ML <sub>total</sub> /ha)	Average irrigation applied (ML <sub>irrigated</sub> /ha)	CWUI (bales/ ML <sub>total</sub> )	IWUI (bales/ ML <sub>irrigated</sub> )
Sand	5.8	9.0	6.0	0.6	1.0
Clay loam	10.0	8.7	5.8	1.1	1.7
Clay	10.7	9.5	6.3	1.1	1.7
Spatial variable	$9.1 \pm 1.9$	10.2	6.8	0.9	1.4

Table 5: Performance of the ILC strategies with different data input combinations for
 homogenous and spatially variable fields

Control strategy ID #	Infield soil properties	Average yield (bales/ha)	Average water applied (ML <sub>total</sub> /ha)	Average irrigation applied (ML <sub>irrigated</sub> /ha)	CWUI (bales/ ML <sub>total</sub> )	IWUI (bales/ ML <sub>irrigated</sub> )
1	Sand	10.0	9.0	5.8	1.1	1.7
	Clay loam	12.7	8.8	5.7	1.4	2.2
	Clay	12.9	8.5	5.5	1.5	2.3
	Spatially variable	$12.2 \pm 1.5$	11.3	7.3	1.1	1.7
	Sand	7.4	15.0	9.7	0.6	0.8
2	Clay loam	7.5	15.9	10.3	0.5	0.7
	Clay	8.5	15.8	10.2	0.7	0.8
	Spatially variable	8.3 ± 1.6	16.5	10.3	0.5	0.8
	Sand	8.5	12.2	7.9	0.7	1.1
	Clay loam	8.2	14.4	9.3	0.6	0.9
3	Clay	9.1	14.1	9.1	0.6	1.0
	Spatially variable	$8.9 \pm 1.9$	12.6	8.0	0.7	1.1
4	Sand	9.7	8.8	5.7	1.1	1.7
	Clay loam	11.4	10.6	6.9	1.1	1.7
	Clay	12.7	11.3	7.3	1.1	1.7
	Spatially variable	$10.2 \pm 1.4$	11.1	7.7	0.9	1.3
5	Sand	9.5	9.3	6.0	1.0	1.6
	Clay loam	10.9	11.1	7.2	1.0	1.5
	Clay	11.9	11.6	7.5	1.0	1.6
	Spatially variable	9.9 ± 2.0	12.8	7.9	0.8	1.3

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2,7

Table 6: Performance of the IHCC strategy with different data input combinations for
homogenous and spatially variable fields

Control strategy ID #	Infield soil properties	Average yield (bales/ha)	Average water applied (ML <sub>total</sub> /ha)	Average irrigation applied (ML <sub>irrigated</sub> /ha)	CWUI (bales/ ML <sub>total</sub> )	IWUI (bales/ ML <sub>irrigated</sub> )
6	Sand	9.9	8.8	5.7	1.1	1.7
	Clay loam	12.3	9.0	5.8	1.4	2.1
	Clay	12.6	9.3	6.0	1.4	2.1
	Spatially variable	11.2 ± 1.9	11.1	7.9	1.0	1.4
7	Sand	9.0	7.6	4.9	1.2	1.8
	Clay loam	10.9	7.9	5.1	1.4	2.1
	Clay	11.1	8.5	5.5	1.3	2.0
	Spatially variable	$10.9 \pm 2.5$	11.9	7.7	0.9	1.4
	Sand	9.2	7.4	4.8	1.2	1.9
	Clay loam	11.2	7.6	4.9	1.5	2.3
8	Clay	11.4	11.6	5.1	1.0	2.2
	Spatially variable	11.0 ± 1.8	11.2	7.5	1.0	1.5
9	Sand	10.0	8.8	5.7	1.1	7.5
	Clay loam	12.4	9.1	5.9	1.4	2.1
	Clay	12.7	9.4	6.1	1.4	2.1
	Spatially variable	12.4 ± 1.6	12.6	8.1	1.0	1.5
10	Sand	10.1	8.8	5.7	1.4	1.8
	Clay loam	12.4	9.1	5.9	1.4	2.1
	Clay	12.7	9.6	6.2	1.3	2.0
	Spatially variable	$11.4 \pm 1.8$	11.6	7.3	1.0	1.6



Figure 1: The simulation framework VARIwise configured to evaluate (in simulation 837

838 mode) the sensor-based adaptive control strategies. The items shown in grey/hatched

are not implemented but would be present in a field evaluation.) This diagram is 839 adapted from the full VARIwise flowchart presented as Figure 2 of McCarthy et al. 840

841 (2010).



845

Figure 2: Soil variability for: (a) industry-standard, ILC and IHCC strategy simulation; and (b) the cells assigned to each zone using the soil variability data of Figure 2(a)



851

iterative learning, iterative hill climbing control strategies



Bays after sowing
Bigure 4: Target leaf area index used for iterative learning control strategy for cotton
in VARIwise (Wells and Hearn 1992)





858	Figure 5:	Yield	map for	industry-standard	irrigation	management s	strategy	for
859	comparisor	n with a	daptive co	ontrol strategy result	ts (average	9.1 ± 1.9 bales/	ha)	



- 862 Figure 6: Yield maps and average yield and irrigation outputs of iterative learning
- 863 control (ILC) strategy with variable-rate irrigation machine and legend for yield maps
   864 for simulations specified in Table 2







- Figure 7: Irrigation volumes applied to sand, clay loam and clay cells for ILC strategies that target: (a) soil-water deficit (simulation #1); and (b) leaf area index (simulation #2)



Figure 8: Simulated daily soil-water deficit in sand, clay loam and clay cells for ILC
strategies that target: (a) soil-water deficit (simulation #1); and (b) leaf area index
(simulation #2); and IHCC strategies that: (c) target soil-water deficit and maximise
square/boll count (simulation #8); and (d) maximise square/boll count (simulation #9)



885

Figure 9: Simulated daily leaf area index in sand, clay loam and clay cells for ILC 886 887 strategies that target: (a) soil-water deficit (simulation #1); and (b) leaf area index 888 (simulation #2); and square count for IHCC strategies that: (c) target soil-water deficit 889 (simulation #6); and (d) maximise square/boll count (simulation #9)



- 892 Figure 10: Yield maps and average yield and irrigation outputs of iterative hill
- 893 climbing control (IHCC) strategy with variable-rate irrigation machine and legend for 804 viold many for simulations apacified in Table 2
- 894 yield maps for simulations specified in Table 3







- Figure 11: Irrigation volumes applied to sand, clay loam and clay cells for IHCC strategies that maximise square/boll count and determine irrigation timing using: (a)
- weather data (simulation #7); and (b) soil-water content (simulation #9)