Is it safe to cross? Identification of 1 trains and their approach speed at level 2 crossings 3

Grégoire S. Laruea,b,*, Ashleigh J. Filtnessa,c, Joanne M. Woodd, Sébastien Demmela, Christopher N. Watlinga,e, Anjum Naweedb,f, Andry Rakotonirainya

a Queensland University of Technology (QUT), Centre for Accident Research and Road Safety – Queensland, Australia

- e Stockholm University, Stress Research Institute, Sweden
- f Central Queensland University, Appleton Institute for Behavioural Science, Australia

4 Highlights:

5	٠	Drivers' perceptions of oncoming trains and decision making regarding their crossing
6		behaviours were examined

- 7 Drivers identified the presence of trains 2km away and their movement at 1.6km • 8 away, with high variability between participants
- 9 Most participants underestimated the speed of oncoming trains, particularly when
- 10 they were travelling at higher speeds

Abstract 11

12 Improving the safety at passive rail crossings is an ongoing issue worldwide. These

- 13 crossings have no active warning systems to assist drivers' decision-making and are
- 14 completely reliant on the road user perceiving the approach of a train to decide whether to
- 15 enter a crossing or not. This study aimed to better understand drivers' judgements regarding
- 16 approaching trains and their perceptions of safe crossing. Thirty-six participants completed a
- 17 field-based protocol that involved detecting and judging the speeds of fast moving trains.
- 18 They were asked to report when they first detected an approaching train, when they could
- 19 first perceive it as moving, as well as providing speed estimates and a decision regarding
- 20 when it would not be safe to cross. Participants detected the trains ~2km away and were
- 21 able to perceive the trains as moving when they were 1.6km away. Large differences were
- 22 observed between participants but all could detect trains within the range of the longest
- 23 sighting distances required at passive level crossings. Most participants greatly
- 24 underestimated travelling speed by at least 30%, despite reporting high levels of confidence
- 25 in their estimates. Further, most participants would have entered the crossing at a time when
- 26 the lights would have been activated if the level crossing had been protected by flashing
- 27 lights. These results suggest that the underestimation of high-speed trains could have
- 28 significant safety implications for road users' crossing behaviour, particularly as it reduces
- 29 the amount of time and the safety margins that the driver has to cross the rail crossing.
- 30 Keywords: rail level crossing; passive crossing; speed perception; speed estimates; motion 31 perception; gap acceptance

1. Introduction 32

- 33 Crashes between trains and road vehicles at rail level crossings are a substantial safety
- 34 issue for road and rail operations. Such crashes accounted for 45% of the overall fatal rail
- 35 incidents during 2014-2015 (Office of the National Rail Safety Regulator, 2015), although
- 36 they accounted for less than 1% of the overall fatal road incidents in Australia (Bureau of

b Australasian Centre for Rail Innovation, Australia c Safe and Smart Mobility Research Cluster, Loughborough University, UK

d School of Optometry and Vision Science, Queensland University of Technology (QUT), Australia

Infrastructure Transport and Regional Economics, 2014: Office of the National Rail Safety 37

38 Regulator, 2015). The consequence of collisions between trains and road vehicles can be far

greater than those between road vehicles. While crashes between trains and road vehicles 39 are relatively infrequent, when they do occur, those involved are more likely to suffer fatality

40 or serious injury (Australian Transport Council, 2010). That is, train and road vehicle crashes

41 have higher per-crash casualty rates and are associated with a substantial economic cost of

- 42 116 million AUD a year (Evans, 2013). The economic and importantly, the human costs of
- 43 train and road vehicle crashes are clearly substantial and reducing these incidents is an
- 44 important priority for both rail and road safety. On every day for the last ten years,
- 45 approximately one person was fatally injured at level crossings in the European Union and in
- 46 the United States, close to one was seriously injured in the EU, and three injured in the US

47 (European Railway Agency, 2012b; Federal Railroad Administration Office of Safety

48 Analysis, 2016). These trends have not improved worldwide in the last decade.

49 The intersection between road and rail and use of rail level crossings is common. For

50 example, there are currently 23,500 rail level crossings in operation in Australia (Rail

- 51 Industry Safety and Standards Board, 2015). Rail level crossings are typically categorised
- 52 into two types of crossings based around the level of control at the crossing. Active
- 53 crossings employ automatic devices (e.g., flashing lights, with or without boom gates) that
- 54 are activated shortly before the arrival of a train and are designed to alert vehicle drivers of
- 55 an approaching train and prevent them from driving through the crossing when the train is
- 56 approaching. On the other hand, passive crossings employ static signage (e.g., cross bucks,
- 57 'give-way', or 'stop' signs) and are designed to warn the driver of the possibility of an
- 58 approaching train at any time, but require the driver to make the decision regarding whether 59 it is safe to cross. The majority of rail crossings around the world are passively protected.
- 60 Passive crossings represent 67% of public crossing in operation in Australia (Railway
- Industry Safety and Standards Board, 2009), 75% in the United States (National 61
- Transportation Safety Board., 1998), and 47% in Europe (European Railway Agency, 62
- 63 2012a). Passive crossings are mainly located in rural areas, where train speeds are
- 64 generally faster (e.g., Laapotti, 2015; Rudin-Brown et al., 2014).

65 To ensure that a road user who is stopped at a passive crossing has sufficient time to safely 66 traverse the crossing, a minimum sighting distance is required. This sighting distance is the 67 minimum distance at which an approaching train must be seen in order for the vehicle to 68 proceed and clear the crossing by the required safety margin. This is calculated for each 69 individual crossing taking into account its particular characteristics such as types of vehicles, 70 geometry, and train speed (Standards Australia, 2015). In particular, the required sighting 71 distance becomes greater with higher train speeds, where decisions regarding entering the

- 72 crossing need to be taken when trains are at relatively long distances away from the driver.

73 **1.1 Factors Associated with Drivers' Crossing Behaviours**

74 Collisions at level crossings tend to be the result of a combination of factors. Vehicle-related 75 factors have been shown to be relatively uncommon in railway level crossing collisions and environment-related factors rarely occur in isolation from driver-related factors. Numerous 76 77 train crash investigations have found that driver errors rather than deliberate violations are 78 primarily responsible for train and road vehicle crashes (Baysari et al., 2009; Caird, 2002; 79 Salmon et al., 2013). Observational studies of actual rail level crossings report that 57-77% 80 of drivers will cross (the rail crossing) in the presence of an approaching train (Kasalica et 81 al., 2012; Tey et al., 2011). Additionally, observational studies identify that the majority of

82 drivers slow down and perform visual scanning behaviours as they approach the rail tracks, prior to crossing (Kasalica et al., 2012; Meeker and Barr, 1989). The obvious checking for
the behaviour of trains exhibited by drivers supports the suggestion that perceptual errors
rather than deliberate violations underlie many train and road user crashes.

86 Several studies have found that short sighting distances and obstructed sighting lines are 87 associated with train-vehicle crashes (Caird, 2002; Laapotti, 2015). Notwithstanding the 88 issues associated with sighting distances, the ability of a driver to accurately perceive a 89 moving train with clear and unobstructed sightlines is still an under-researched area at rail 90 level crossing. Decision making related to gap acceptance is associated with sighting 91 distances and the ability to perceive a moving train. This is the amount of time or distance 92 that a driver judges acceptable to allow them to perform the crossing manoeuvre. Gap 93 acceptance has been studied predominately in relation to road vehicles merging into the flow 94 of traffic or driving through an intersection. These on-road and simulated driving studies 95 have found that shorter time of arrival at a junction, smaller gap distance, and faster 96 oncoming traffic speeds reduce the likelihood of a gap being judged to be acceptable and 97 the driver not performing the manoeuvre (e.g., Beanland et al., 2013; Bottom and Ashworth, 98 1978; Hunt et al., 2011). Similar results are reported by studies at rail level crossings where 99 the perceived time of arrival of the train, the distance, and/or the train speed are associated 100 positively with traversing a rail level crossing (e.g., Meeker and Barr, 1989; Meeker et al., 101 1997; Tey et al., 2011). However, little research has examined gap acceptance in terms of 102 when drivers perceive it safe (or unsafe) to cross a rail level crossing when a train is 103 approaching.

- Another factor related to gap acceptance is the speed of an oncoming train. Underestimation of the speed of a train approaching a rail level crossing could put road users at risk of being involved in a crash (Leibowitz, 1985; Meeker et al., 1997). For an observer, the travelling speed of a large object typically appears slower than that of a smaller object travelling at the same speed: this is known as the size-speed illusion (Leibowitz, 1985) and has been confirmed using several rail simulator studies (e.g., Clark et al., 2013; Clark et al., 2016; Cohn and Nguyen, 2003).
 Field studies are critical to fully understand the object to detect maying trains, accurately.
- 111 Field studies are critical to fully understand the ability to detect moving trains, accurately 112 judge their oncoming speeds and hence make safe crossing decisions. While simulator or 113 video-based studies provide some evidence regarding the difficulty of accurately detecting 114 moving trains, the lack of a three dimensional visual perspective and the fact that factors like 115 field of view, lighting and shading and other key variables cannot be accurately reproduced 116 by these approaches limits the transferability of findings to the real world. To date, only two 117 studies have specifically examined drivers' visual scanning behaviours at level rail crossing 118 (i.e., Grippenkoven and Dietsch, 2015; Young et al., 2015). These studies focused on the 119 approach to active rail level crossings in urban areas. They inform our understanding of 120 visual search strategies of drivers that are approaching rail level crossings (i.e. where drivers 121 look) but do not provide insight into the ability of drivers to accurately detect trains, estimate 122 speeds or judge whether it is safe to cross (i.e. what they perceive).

123 **1.2 Aims and research questions**

- 124 The present research aimed to understand road users' perceptions of approaching trains
- and their decisions relating to when it is no longer safe to enter a passive rail level crossing
- using a unique field-based paradigm. This study specifically answered the following research
- 127 questions: (i) at what distance are drivers first able to detect trains and when they are

- moving?; *(ii)* are drivers capable of accurately estimating train speeds?; *(iii)* are drivers able
- to judge their own speed estimation performance?; and *(iv)* does drivers' performance in
- 130 detecting trains and their movement affect their decisions to enter level crossings? A novel
- 131 methodology was developed to answer these research questions in a field study paradigm.

132 **2. Method**

133 2.1 Trial site

- 134 The site selected for data collection was located on a rail maintenance track off Rennie St,
- 135 Corio, Victoria, on the Werribee line between the Lara and Corio stations, in the State of
- 136 Victoria, Australia. This site was selected from a number of potential sites because it
- 137 provided a long straight rail track with visibility above the longest sighting distances required
- in Australia, relatively high train frequency during peak hours (3 tracks), and train speeds
- 139 over 100km/h (see Fig. 1). The site was located between two active level crossings,
- 140 importantly, however, the level crossings were more than 2km away from the testing site and
- their active equipment could not be seen or heard by participants. The research team and
- the research participants were located further down the maintenance track off Rennie St (at
- the observation point in Fig. 2), in order to ensure that the participants were not distracted by
- 144 the nearby road traffic.



- 146
- Fig. 1. Left: the trial site, trains were approaching from the right of the track; top right: the faster train,a VLocity train; bottom right: slower train, a P class locomotive.

Trial site				10 stellar Benetti Dr		
	500.00 m	1.00 km	1.50 km	2.00 km	2.50 km	
Princes Fwy Mari	nnie sy				Canteron	
and the second s	ombe Ave	<u>B</u> BBB		Rennie sr	Map data ©2016 Google	

149

- **Fig. 2.** GoogleMaps top view of the trial site. The section of the track that could be seen by
- 151 participants is demonstrated with the measurement bar along the length of the rail tracks.

- 152 Visibility on one side was obstructed by bridges thus the site was appropriate for the study
- 153 on one direction; only trains from Melbourne (i.e. west bound) were therefore included. On
- this side, the rail track was straight, and west bound trains could be seen as far as 2.5 km
- away (see Fig. 2). The layout of the rail tracks allowed for trains travelling from that direction
- to always be visible in the unlikely case of multiple trains arriving at this location at the same
- 157 time, with trains on this line travelling at speeds between 100 140 km/h.

158 **2.2 Observed trains**

- 159 Six trains were scheduled to pass the trial site during the study observation period (between
- 160 13:45 and 16:40). The first two trains seen by participants were used as practice trials to
- 161 become familiar with the site configuration and the study procedures. Data was not recorded
- during this practice phase. Following the two practice trains, four more trains were scheduled
- to pass through the trial site (referred to as Trains 1 to 4); these four trains were used for
- data analysis. Specifically, Trains 1, 2 and 4 were VLocity trains, which were faster trains
 running around 130km/h at the location of the study (see top right panel of Fig. 1), while
- 166 Train 3 was a P class locomotive and was a 20km/h slower train running at 110km/h at the
- 167 site (see bottom right panel of Fig. 1).

168 2.3 Study design

- 169 This field study involved high velocity trains in locations with high sighting distance. By
- 170 nature, it was not feasible to observe more than four trains, as such trains are very
- 171 infrequent. Therefore, the study design focused on specific context rather than train diversity
- and controlled for as many factors as possible (participant visual characteristics, lighting
- 173 conditions, distraction). A repeated measures design was therefore used with train
- 174 occurrence and multiple observation points per train as a within-subject factor. All
- 175 participants completed one testing session, which included visual acuity testing, practice and
- test observations. In addition to the observational study, each participant completed a
- 177 demographic questionnaire and a retrospective questionnaire.

178 2.3.1 Visual acuity testing

- 179 To ensure that drivers satisfied the visual requirements for an Australian driving licence, all
- 180 participants underwent visual acuity tests in an established Optometry practice in Geelong.
- 181 Visual acuity was assessed both monocularly and binocularly with participants wearing the
- 182 spectacles/contact lenses that they normally wore for driving using a standard logMAR chart
- 183 at a working distance of 3 metres. Participants were required to read the letters as far down
- 184 the chart as possible, guessing was encouraged and scoring was on a letter by letter basis.
- 185 Contrast sensitivity was measured in the same testing room using a Pelli-Robson chart at a
- 186 working distance of 1 metre with a +1.00D lens used to correct for the working distance;
- 187 scoring was as recommended on a letter by letter basis.

188 2.3.2 Observations

- 189 Participants were instructed to look for approaching trains from the East direction five
- 190 minutes before a train was due. At that moment, a laser range finder was pointed toward the
- track and ready to measure train distance and speed (see left panel of Fig. 1). When the
- train was 2.5km away, the laser range finder was activated for automated measurements of
- distance and speed every second. These measurements were recorded and used to
- 194 estimate the time needed for the train to reach three pre-determined distances (1,100m,

195 750m and 350m). These time estimates were updated at each new measurement obtained 196 from the laser range finder.

197 Participants reported the word 'Train' when they first saw the train, and this was immediately 198 recorded by the research assistant on the smartphone app. As soon as the participant 199 perceived that the train was moving they reported this with an estimate of the train speed 200 (rounded to the nearest 10km/h). The observation of train movement was immediately 201 recorded by the research assistant on the app, and then the speed estimate was recorded 202 on an observation sheet. At the three additional pre-determined distances, the smartphone 203 app sounded the phone's alarm at which point the participant provided three additional 204 speed estimates. Lastly, participants were requested to report the word 'Unsafe' when they 205 considered that, when stopped at the entrance of a passive crossing, they would not 206 traverse the level crossing due to the proximity of the approaching train. This was also 207 immediately recorded on the smartphone by the research assistant. Once the train passed 208 participants, they were requested to provide their confidence about the speed estimates they 209 had provided. This process is summarised in Fig 3. The ambient illumination (referred to as 210 lighting conditions in the remainder of the document) at the site was recorded in lux after 211 each train, both in the vehicle and outside, and provided the range of ambient illumination 212 observed during data collection as well as the variability of these measures between the

213 different data collection days.



214

215 Fig 3. Participants' activities as a train is approaching.

216 2.3.3 Demographic questionnaire

- 217 The demographic questionnaire assessed the participant's driving background and relevant
- 218 demographic information, such as age, gender, driving experience and experience with both 219 active and passive rail level crossings (including near-miss incidents).

220 2.3.4 Retrospective questionnaire

- 221 The retrospective questionnaire asked participants to reflect on their performance during the
- 222 trial. It covered participants' changes in confidence during the trial. The confidence in their

- estimates of train movement detection and speed estimates was evaluated on a 7-point
- 224 Likert scale with higher values indicative of greater confidence (from *Extremely unconfident*
- to *Extremely confident*, as described in Fig 7). Participants also responded to questions
- about how difficult they found detecting and judging the speed of the oncoming trains, as
- 227 well as factors that might have influenced their ability to detect trains and judge their speed.

228 2.4 Participants

- 229 Participants were 36 healthy licensed adult drivers who were recruited from the general
- 230 public in the Geelong region of Victoria, Australia (closest city to the trial location). Power
- calculation demonstrated that this sample size was required to attain a power of .9 at level
- alpha .05 with medium size effects .25 with a correlation among repeated measures of .5.
- 233 Recruitment was stratified to obtain a participant population with an equal gender split and a
- variety of ages and driving experience. All participants were required to have habitual visual
- acuity (either with or without optical correction) that met Australian driving licensing
- standards of 6/12 binocularly. Ethical clearance to conduct the study was obtained from the
- 237 QUT Human Ethics Committee (approval number 1500000219).

238 2.5 Materials

239 2.5.1 Laser range finder

- A laser range finder was used to measure train distances and speed. The Newcon LRB
- 241 4000 CI laser range finder was used (see Fig. 1) and set to record the distance and the
- speed of detected objects. The measuring range of this equipment was 20 to 4,000m, with
- an accuracy of +/- 1m. Speed measurements operated in the 5-400 km/h range, with an
- accuracy of +/-2km/h. Each of the measurements took up to 0.3s and was taken
- automatically every second. The output data were collected on a computer connected to the
- device via a RS232 port. The computer was used to trigger measurements without touching
- the device in order to avoid vibrations. The laser range finder was mounted on a Manfrotto
- 475B digital pro tripod, with associated Manfrotto 128LP head. A heavy tripod was used inorder to ensure that the device was in a stable position during testing.

- 250 2.5.2 Smartphones
 251 Four Samsung S4 smartphones were used to record the participants' responses when they:
- 252 (*i*) first detected an approaching train; (*ii*) when they first judged that the approaching train
- 253 was moving; and *(iii)* considered it was no longer safe to enter the level crossing (see Fig 4).
- A fifth Samsung S4 smartphone was used to create a portable Wi-Fi hotspot, which created
- a network between the four other smartphones and the computer linked to the laser range
- 256 finder. The data from the smartphones and the laser range finder was synchronised with the
- 257 software RTmaps version 3.4.10.



Fig 4. Graphical interface of the app developed to record participants responses

260 **2.6 Procedure**

- Each session involved testing four participants simultaneously. Participants were recruited from the general public through advertisement on local university job websites,
- advertisement to volunteer groups, and snowballing effects. Participants were individually
- 264 instructed about the activities and procedures involved in the study. Participants who usually
- wore corrective lenses or spectacles for driving were asked to wear them during the study.
- 266 Four participants were assigned to one of the four vehicles which were positioned side by
- side, 80cm apart, and staggered to provide a comparable view from each driver's seat of
- approaching trains along the rail corridor. The participant sat in the driver's seat and was
- accompanied by a research assistant who was seated in the passenger seat to record the
- 270 participant's responses on the smartphones.
- 271 Five minutes before a train was due, the measurement equipment was started including: the
- smartphone apps (developed and used to record participants' responses), the tripod-
- 273 mounted laser range finder in position to measure a trains distance and speed at a
- 274 predetermined position, located 2km downstream from the participants and RTmaps (the
- software used to synchronise the data from all the devices used in this study). As the train
- approached the predetermined location, automated measurements from the laser range
- 277 finder were triggered and occurred every second. The head of the tripod was turned when
- 278 required to follow the movement of the approaching train.
- 279 Between Trains 2 and 3, participants completed the demographic questionnaire. The
- retrospective questionnaire was completed after the last train (Train 4).

281 2.7 Data Analyses

- 282 Generalised Linear Mixed Models with log link to take into account the lack of normality of
- the sample data collected, and multivariate analysis of variance (MANOVA) were used to
- analyse the data. Generalised Linear Mixed Models were run on R version 3.1.1 and
- 285 MANOVAs were run on SPSS version 21. These analyses were used to evaluate the effect
- of train speeds and location of the train on the dependent variables. The main dependent
- variables were the detection distances at which the train was (*i*) first recognised as a train,
- 288 (ii) judged to be moving; (iii) when the participants considered it was no longer safe to enter

the level crossing; and *(iv)* the participants' estimates of the train speed and their confidence in their estimates of train speed.

291 **3. Results**

292 **3.1 Participant demographics**

293 The majority of participants (58.3%) held a full open licence with the remaining participants 294 holding a Provisional licence (first 2 years of unsupervised driving). A total of 20 males and 295 16 females completed the study, representing 55.6% and 44.4% in each category 296 respectively. The age of participants ranged between 18 and 63 years, with a mean age of 297 30.4 years (SD=14.2). All participants had completed high school with approximately half 298 having completed an undergraduate degree. The number of kilometres driven in a month 299 recorded by participants ranged from 40 to 4,500km, with a mean of 1,162km (SD=981). 300 Almost all (86.1%) participants had previously crossed an active rail level crossing with a 301 frequency of once a month or more and two thirds of participants reported having previously 302 crossed a passive railway crossing once a month or more. Over half of the participants said 303 they used train travel once a month or more, with approximately one quarter using rail travel 304 once a week or more. Two participants reported having previously experienced a near-miss 305 at level crossings and six participants were aware of someone else who had an incident with 306 a train at level crossings.

307 **3.2 Participants' visual acuity**

The participants' visual acuity and contrast sensitivity with spectacles/contact lenses if
 habitually worn for driving are shown in Table 1. The mean habitual visual acuity in the right

- 310 eye was -0.16 logMAR, left eye -0.16 logMAR, and binocular was -0.18 logMAR. The mean
- 311 contrast sensitivity was 1.96 log units, and the range of contrast sensitivity was 1.90 and
- 312 2.05. These results demonstrate that participants had normal levels of visual acuity and
- 313 contrast sensitivity and all met the visual acuity requirements for driving.

314 Table 1

315 Participants' visual acuity results

Eye tests	Mean	Standard deviation	Range
Right visual acuity (logMAR)	-0.16	.06	-0.26 to -0.06
Left visual acuity (logMAR)	-0.16	.05	-0.22 to -0.06
Binocular visual acuity (logMAR)	-0.18	.04	-0.20 to -0.08
Binocular contrast sensitivity (log units)	1.96	.08	1.90 to 2.05

316

317 3.2 Lighting conditions

Table 2 provides details of the lighting conditions. Light levels ranged between 900-19,000

319 lux for measurement outside the vehicles, and 300-10,000 lux inside the vehicle at the

320 driver's position. The mean values typically decreased over the duration of the testing period

321 within a given day and, the clear and bright conditions gradually reduced as the evening

322 approached. Data was collected during clear weather conditions.

323 Table 2

324 Lighting conditions during observations

		Lighting in veh	icle (lux)	Lighting outside	(lux)
Train	Time	Mean (SD)	Range	Mean (SD)	Range
T1	14:45	4,100 (1,872)	1,200-7,000	12,429 (4504)	7,000-19,000
T2	15:20	2,833 (2,016)	500-7,000	8,656 (5890)	900-19,000
Т3	16:10	2,622 (2,288)	800-8,000	9,578 (6619)	1,500-19,000
T4	16:42	1,868(3,308)	300-10,000	4,288 (6062)	900-19,000

325

326 **3.3 Detection of train and train position when it becomes unsafe to cross**

Given that data was collected with four participants at the same time, the vehicle and participant position in the vehicle was assessed to see if it affected the outcomes. No statistical differences were found in responses (p=.69 for vehicle 2, p=.30 for vehicle 3 and p=.06 for vehicle 4, where vehicles are numbered from left to right). Therefore, results from all participants are considered together regardless of which vehicle they were seated in when completing the study.

Overall, trains were identified as a train by participants at an average distance of 2,149m (SD=306), with train movement being identified by participants at an average distance of 1,644m (SD=411). Participants reported that it was no longer safe to enter a level crossing when the train was at a distance of 594m (SD=271) on average. The mean distances for each of the individual trains are presented in Fig 5.







342 Statistical analysis conducted with Generalised Linear Mixed Models showed that while

distances for Train 1 and 2 were similar, the third and fourth trains were both detected at
 longer distances (i.e. earlier). The first two trains were identified at an average distance of

2,089m from the participant, while Train 3 was identified 169m sooner (t=2.46, DF= 95,

p=.016), and Train 4 was detected 137m sooner (t=2.16, DF= 95, p=.034). It is possible that

347 the difference for Train 3 is due to the fact that that train was different from the others, using

348 a slower locomotive (see Fig. 1). However, even if data from Train 3 is excluded -

participants' detection ability improved with practice, with detection 153m further in the last
 two trials (7% further for Trains 2 and 4 relative to Train 1).

351 A similar analysis was performed for the distance where train movement was first detected

and where participants reported it was no longer safe to cross. No improvement was

353 observed for either of these variables with practice, and performance was consistent for the

- 354 four different trains for the detection of train movement and the estimation of the location
- 355 where it became no longer safe to enter a level crossing.

356 Importantly, these averages mask large differences between participants, which can be seen

in Table 3. This table presents percentiles of the average distances where the train was first

perceived. This distance ranges between 1,347 and 2,526m. The table also presents

359 percentiles of the distance where the train movement was detected, which ranged between

- 360 821 and 2,384m; and the distance where participants reported it was no longer safe to cross
- 361 ranging between 205 and 1,411m.

362 Table 3

Train detected		Train movement detected		No longer safe to cross		
Percentile	Distance (m)	Time (s)	Distance (m)	Time (s)	Distance (m)	Time (s)
0%	1,347	39	821	24	205	6.1
15%	1,851	58	1,154	33	381	10
50%	2,276	67	1,680	49	505	15
85%	2,399	71	2,059	63	877	26
100%	2,526	73	2,384	71	1,411	39

Variability in performance between participants, as highlighted by the percentiles of train and train
 movement detections, and moment when it is no longer safe to cross.

365

366 Table 3 shows the time it would take the train to reach the crossing, calculated from the 367 speed and distance of the train. This is of particular interest for the time when the participants reported it was not safe to enter the crossing. On average, participants reported 368 369 they would no longer enter the crossing when the train was 17.0s away on average 370 (SD=8.0), with values ranging from 6.1 to 39.4s. The large majority of participants (29), 371 corresponding to 80.6% of the sample, would have entered the crossing at a time when the 372 lights would have been activated if the level crossing had been protected by flashing lights 373 (i.e. 24s before the train reached the crossing). It should be noted that 6 participants (17% of 374 the sample) reported that it was no longer safe to enter the crossing when the train was less

375 than 10s away.

376 The eight participants who reported having experienced a near-miss or being aware of

377 someone else who had experienced a near-miss were combined into a sub-group. Their

378 responses regarding the detection of the train, its movement or the moment when it was

judged no longer safe to enter the crossing were compared to the remaining participants.

380 Statistical analyses did not highlight any significant difference for any of these dependent

381 variables, therefore results from all participants are considered together.

382 3.4 Participants' estimates of train speed

383 Participants consistently underestimated the speed of trains, with the exception of one

- participant who consistently overestimated train speed. In determining the level of
- 385 underestimation in train speed this outlier was removed. Fig 6 demonstrates the mean km/h
- by which participants underestimated the train speed at each location and for each train.
 Overall, there was a significant main effect of Train Order [F (2.36,47.09) = 59.55, p <.001,
- Partial Eta2 = .75, ε = .79]; with post hoc analyses demonstrating that estimations were more
- accurate for the slower moving Train 3 than Trains 1, 2 and 4 (p<.001). No other
- comparisons were significant. There was also a significant main effect of Train Location (first
 seen moving, 1,100m; 750m and 350m) [F (1.53,30.57) = 19.17, p <.001, Partial Eta2 = .49,
- $\epsilon = .51$, however post hoc analysis demonstrated that there was no significant difference
- between speed estimates when the train was first judged to be moving and at 1,100m away
- (p = .118). At these locations, errors of 47% and 41% were observed (averaging to 44%, as
- no statistical difference was observed). Participants became more accurate with their speed
- estimate as the train became closer. When the train was 750m from the participant
- estimates were significantly more accurate than when the train was first judged to be moving
- (p = .003) or at 1,100m from the participant (p < .001), with error rates decreasing to 36%. At
- 399 350m, the mean speed estimate was significantly more accurate than at 750m (p=.015),

400 1,100m (p =.001) and when the train was first seen to be moving (p=.001), with error rates
401 decreasing to 29%.



403 **Fig 6.** Mean km/h underestimation of train speed. Error bars represent standard error of the mean.

404 **3.5 Participants' confidence in their estimates of train speed**

Participants were asked how confident they were with their judgement that the train was 405 406 moving and how confident they were with their speed estimates. Confidence ratings were 407 made on a 7-point Likert scale, with higher scores indicating more confidence in the estimate. Overall, the participants reported they were moderately confident that the train was 408 409 moving; however, they were less confident, on average, with their estimation of the speed of 410 the trains (see Fig 7). The participants' confidence ratings that the train was moving and the 411 confidence in speed estimates were compared across the different trains. Some departures 412 in normality were present with the participants' confidence reports and thus, non-parametric 413 Friedman ANOVAs were used. No significant differences were found with participants' mean 414 confidence ratings of the train is moving decision $[\chi^2(3) = 3.14, p = .37]$ and confidence of the speed decision $[\chi^2(3) = 6.17, p = .10]$ between trains. 415

- 416 A further examination of the participants' confidence in their speed estimates was performed
- 417 using bivariate correlations to examine the relationships between participants' confidence
- 418 levels in their speed estimates and the actual level of underestimation of those speed
- estimates when they were the most accurate (i.e. when trains were 350m from the
- 420 participants). Spearman's rho bivariate correlations were performed due to the non-normal
- 421 distributions of the data. The correlations between participants' confidence and the level of
- 422 speed underestimation for Train 1, 2, 3, and 4 were $r_{rho} = .16$, p = .41, $r_{rho} = .31$, p = .07, $r_{rho} = .42$
- 423 .31, p = .06, and $r_{rho} = .02$, p = .92 respectively. Regardless of train speeds, participants'
- 424 confidence levels of their judgements were not correlated to their actual speed
- 425 underestimation.





429 **3.6 Retrospective questionnaire**

430 The majority of participants (86.1%) reported that they had no difficulty detecting the trains. In contrast, four participants reported some difficulties detecting the trains; two of the four 431 432 participants reported these difficulties were only with the initial train sighting and that it was 433 easier to detect subsequent trains. The other two participants reported that their difficulty 434 was due to "objects next to the track" or with "distinguishing lights of the railway line from the 435 lights of the train". Regarding the speed estimations, generally, all participants reported that 436 estimating the speed of the train was easiest when the train was closest (i.e., 350m mark) 437 and three quarters of participants (72.2%) found that speed estimation became easier as the 438 study progressed. This however, was not confirmed by the analysis of the speed estimates, 439 as no improvement was observed throughout the study. Paradoxically, two thirds of 440 participants (63.9%) reported it was harder to estimate the speed of the slow train (i.e., Train 441 3), even though their estimates of train speed for Train 3, were the least inaccurate overall. 442 Nonetheless, three participants found it harder to estimate the speed of the fast trains and 443 10 found the estimation of speed to be the same for both fast and slow trains. Overall, the 444 study results suggest participants were not entirely accurate with their speed perception of 445 fast travelling trains and the incongruity between the participants' retrospective reports of 446 task performance and their actual task performance was substantial.

447 **4. Discussion**

448 The current study examined drivers' perceptual abilities at identifying Australian high-speed 449 trains (100-140km/h). This included when the train was judged as moving as well as drivers' 450 decisions regarding gap acceptance for crossing manoeuvres in a field-based study. Data was collected for four participants at the same time, in four separate vehicles. No difference 451 452 was observed due to the positioning of vehicles, which is not unexpected given that any advantage of a particular vehicle position is small: the furthest vehicle was 15m further away 453 454 from the approaching trains than the closest vehicle, which was a small difference compared 455 to the distances of interest in this research (hundreds of metres). The position of the vehicle 456 was therefore not considered to be a confounding factor.

457 **4.1 Detection of trains and their movements**

- 458 All participants could identify trains travelling at 100-140km/h at long distances, which were 459 far beyond the longest sighting distances required at passive level crossing in Australia. It is 460 possible that trains were easy to detect even at a distance because they had daytime running headlights (e.g., Cairney, 2003). Further, the distance that the participants first 461 462 detected the presence of a train on the rail tracks increased after the second observed train, suggesting that the distance at which trains can be detected may be subject to practice 463 464 effects. That is, the participants might have learnt where the trains were due to appear on 465 the railway tracks in the distance, as well as the trains' particular features (such as the 466 headlights).
- 467 This study has shown that the movement of oncoming trains is much harder to detect than 468 simply perceiving the presence of a train in the distance. On average, the four trains were
- 469 perceived as moving 1,644m away, which was on average 505m closer to the participant
- than when the trains were first perceived on the rail track. The present findings are
- 471 consistent with previous research that has demonstrated that it is difficult to visually
- discriminate the movement of an approaching object, particularly when that object is a long
- distance away as the rate of change in the optical size of the object is initially quite small
- 474 (Schiff and Oldak, 1990).
- 475 Large variability was observed between participants for the detection of trains and their
- 476 movement. The participant with the lowest performance identified that the train was moving
- 477 at a distance of 821m, which is within the range of the longest sighting distances required at
- 478 Australian level crossings (Standards Australia, 2015), demonstrating that drivers have the
- ability to detect trains before it becomes dangerous to enter a passively protected level
 crossing. At this distance, it would take approximately 23s for the faster VLocity train
- 480 travelling at 130km/h to arrive at the rail level crossing. Should the level crossing have had
- 482 an active level crossing device such as flashing lights installed, these lights would have
- 483 activated one second earlier (i.e., 24s before the train reached the crossing) than the train
- 484 would have been judged as moving for that particular participant.

485 **4.2 Accuracy of train speed estimations**

486 While participants were able to detect trains and their movement at the distances deemed 487 safe to make an informed decision regarding whether to enter a passively protected level 488 crossing, this study has demonstrated that participants were unable to accurately estimate 489 train speeds at any of the distances investigated. Speed was underestimated by at least 490 30% at all distances, and this underestimation was at its highest for the furthest distance, 491 reaching 44%. The speeds reported by participants were similar to those of motorway traffic, 492 suggesting that participants did not appreciate that trains can travel faster. Furthermore, this 493 underestimation did not improve with practice (results are similar for the four trains 494 observed). Speed estimations were more inaccurate at longer distances and for faster trains 495 (130km/h versus 110km/h). Numerous studies that have examined either speed perception 496 or the related concept of time-to-arrival of moving vehicles, have typically found speed 497 estimates are inaccurate (Caird and Hancock, 1994; Meeker et al., 1997; Savage, 2006). 498 Moreover, several studies have demonstrated that time to arrival estimates of approaching 499 vehicles is increasingly poorer the further away the approaching vehicle is from the driver 500 (Caird and Hancock, 1994; Schiff and Oldak, 1990).

501 **4.3 Self-assessment of speed estimations**

502 The present study demonstrates that participants' level of confidence with their estimates of 503 train speed was high, and not correlated with their actual level of underestimation/accuracy 504 for identifying the speed of the train.

505 **4.4 Effects on decisions to enter level crossings and safety**

506 The present study demonstrates that participants largely underestimated the speed of trains. 507 This means that drivers' ability to assess their risk of traversing a passive crossing will be 508 poor. In effect, when a driver erroneously believes they have sufficient safety margins to 509 traverse the crossing because of an underestimation of the travelling speed of a train, they 510 might cross with very limited safety margins (see Table 3). When stopped at a level crossing, 511 participants seem to make decisions about entering the crossing as if they were at a road 512 intersection, without appreciating that trains are very different (mass, ability to stop and 513 change direction) and travel at different speeds. For example, six participants reported that they would enter the crossing when the train was less than 10s away. More generally, the 514 515 majority of participants (80.6%) reported that they would enter the crossing during a time 516 when flashing lights would be activated at an active level crossing. This underestimation of 517 speed may go some way to explaining results from previous research, where a substantial 518 proportion of drivers (57-77%) were observed to cross a passive rail level crossing when a 519 train approached (Kasalica et al., 2012; Tey et al., 2011). The decision a driver must make 520 about when it is safe to cross will be influenced by how confident they feel about their 521 perception of the train speed, which was shown in this study to be quite high, despite poor 522 performance. This study has also shown that experiencing a near-miss incident at level 523 crossings - or knowing someone who experienced such an event - did not make 524 participants more cautious in terms of deciding when it is safe to enter the crossing.

525 Potential solutions for improving safety at passive rail level crossings are limited. Certainly, 526 rail authorities in Australia are constantly upgrading rail crossings across the network; 527 however, it is impractical to upgrade all passive rail crossings to active rail level crossings 528 due to costs incommensurate to the level crossing risk, as such crossings are very 529 numerous, located in remote locations with no electricity and with low road/rail traffic. Thus, 530 improved knowledge and/or behaviours of road users is a more appropriate countermeasure 531 (e.g., Savage, 2006). It is unlikely that training drivers how to estimate train speeds would be 532 beneficial as participants' estimates of the trains speed did not improve with practice. In 533 contrast, the ability to detect the presence of trains did improve with practice. Australian road 534 rules require drivers do not enter a crossing when a train is approaching and there is a 535 danger of collision, leaving the evaluation of the risk to the driver. Therefore, training and 536 education campaigns should consider informing drivers about the human limitations of 537 accurately estimating oncoming train speeds and provide advice not to enter a level crossing 538 when a train is visible. Additional signs could also be placed at passive rail level crossings to 539 inform the driver that high-speed trains travel on this railway line and that speed estimation is 540 typically more difficult with high-speed trains. These countermeasures could result in safer 541 decision-making at passive level crossings. Indeed previous research has documented the 542 increased safety effects (i.e., speed approach reductions) of additional signage at rail level 543 crossings in both simulator (e.g., Lenné et al., 2011) and field-based studies (Ward and 544 Wilde, 1995).

545 **4.5 Strengths and limitations**

- The present study used a unique real-world field study design which was specifically 546 547 designed to address the research questions. This approach overcomes many of the 548 limitations faced by similar studies that have been conducted in simulators or with videos, 549 which while being easier to conduct from a practical perspective, have limitations in terms of 550 validity and generalisability. Importantly, this study involved the development of a completely 551 novel methodology for the field evaluation of drivers' perceptions of a trains presence and 552 speeds and their decision-making at level crossings. These effects were assessed in a 553 sample of licensed drivers, stratified across age, whose results highlighted the inaccuracy of 554 their perceptions and decision-making.
- 555 There were, however, some limitations of the present study that should be considered when 556 interpreting the results. Participants were looking for trains over a longer period of time than 557 is typical under normal driving conditions and were primed for the approaching trains – 558 therefore the data represents that of an alerted driver and thus the driver's capacity to 559 correctly detect trains may be overestimated.
- 560 In order to achieve adequate sighting distance, train speeds and train traffic, it was not
- 561 possible to conduct the study at an actual passive level crossing due to safety and traffic
- 562 flow considerations. Thus, the data was collected at the side of a rail track rather than an
- actual passive level crossing. Due to reduced train traffic and train variety in such
- environment, it was not feasible to collect data with a higher variety of train and train speeds,
- which would have provided a more comprehensive understanding of driver performance atlevel crossings.
- 567 The purpose of this study was to explore for the first time perception and decision-making of 568 drivers regarding approaching trains in a field-based setting. We included a stratified sample 569 of participants to ensure representation of all ages of drivers up to 63 years old and who had 570 normal levels of visual acuity, (which also met the visual requirements for driver licensing) 571 and contrast sensitivity and were free of eye disease. The sample size of this study was not sufficient to evaluate the effects of age on drivers' performance and decisions to enter level 572 573 crossings. We have therefore not looked at this particular issue, which, while of interest, is 574 outside the scope of this paper.
- - 575 In addition, the study was performed during clear weather conditions only; it is possible that 576 different weather conditions or night conditions could result in different effects.
 - 577 Lastly, the study results cannot be generalised to passive crossings with give-way signs as 578 all participants were in stationary vehicles during the study, or to other road users, such as 570 truck drivers
 - 579 truck drivers.

580 **4.6 Future directions**

- 581 Further studies should seek to address the present study's limitations to better understand
- the effects these different factors might have on the visual performance and decision-making
 at level crossings.
- In particular, our study only considered drivers alerted to the approach of a train. Drivers
 stop at level crossings for short amounts of time, and may suffer from a range of distractions
 while driving (such as speaking with other passengers, phoning, and even looking for trains

- on the other side of the crossing). It would be of interest to understand how such effectsreduce the performance that we found in this study.
- 589 Given the specificities of trucks (longer vehicle frames and reduced acceleration capabilities) 590 and the extended risk they face at level crossings compared to typical sedan, there are 591 additional factors which need to be considered for truck drivers when crossing passive level
- 592 crossings, and further research should evaluate how such factors affect the results
- 593 presented in this paper.
- 594 This study focused on passive crossings with stop signs. Passive crossings with give way
- 595 signs present specific challenges (moving vehicle, sighting from a distance to the crossing)
- and driver performance for such crossing should also be investigated. This would require the
- 597 development of a specific methodology.

598 **5. Conclusions**

- 599 The aim of the current study was to examine the accuracy of drivers' perceptual ability in 600 detecting the presence and movement of a train at a distance, and examine whether drivers' 601 performance in detecting trains affected their decisions to enter level crossings.
- Distance from which drivers are first able to detect trains and their movement
- 603 The results demonstrated that participants were able to perceive a train at a distance of
- ~2km and were able to determine that the train was moving after the trains had travelled
- approximately 500m towards the participants' observation area. All participants were able to
- 606 detect the train at distances that are considered by Australian Standards allow drivers to
- 607 make safe decisions regarding entering the crossing.
- 608 Drivers' accuracy in estimating fast train speeds
- All but one of the participants underestimated the travelling speed of the trains and the
- 610 magnitude of underestimation was greatest for the faster moving trains. The underestimation
- 611 was always greater than 30 percent below the actual train speed.
- 612 Drivers' evaluation of their speed estimates
- 613 The decision a driver must make about when it is safe to cross will be influenced by how
- 614 confident they feel about their perception of the train speed. This study has shown that
- drivers were very confident in their speed estimates, despite poor performance by all drivers.
- 616 Drivers' decisions related to entering level crossings
- 617 Overall, the underestimation of train speed combined with the lack of drivers' knowledge
- 618 about their inaccurate perceptions could have significant safety implications with road users
- 619 crossing behaviours, with drivers entering level crossing with reduced safety margins. This
- 620 was highlighted in this study by the fact that most drivers reported they would enter the
- 621 crossing at a moment when it would have been activated if the crossing had active
- 622 protections. Further research is needed to examine if drivers decision making at rail
- 623 crossings can be improved and thus, increase the safety of both rail and road users.

624 Acknowledgements

The authors gratefully acknowledge the assistance of Chris Wullems, Bruce Heazlewood

and Robinson Family Optometrists. They are also grateful to the Australasian Centre for Rail

627 Innovation, V/line, PTV, ARTC and QR for funding and supporting this research. Project No. 628 LC/2.

628 LC/2

629 **References**

- Australian Transport Council, 2010. National Railway Level Crossing Safety Strategy 2010 2020, Canberra, Australia.
- Baysari, M.T., Caponecchia, C., McIntosh, A.S., Wilson, J.R., 2009. Classification of errors
 contributing to rail incidents and accidents: A comparison of two human error
 identification techniques. Safety Science 47, 948-957.
- Beanland, V., Lenné, M.G., Candappa, N., Corben, B., 2013. Gap acceptance at stop controlled T-intersections in a simulated rural environment. Transportation Research
 Part F: Traffic Psychology and Behaviour 20, 80-89.
- 638 Bottom, C.G., Ashworth, R., 1978. Factors Affecting the Variability of Driver Gap-Acceptance 639 Behaviour. Ergonomics 21, 721-734.
- 640 Bureau of Infrastructure Transport and Regional Economics, 2014. Road Deaths Australia, 641 Canberra.
- 642 Caird, J.K., 2002. Human Factors Analysis of Highway-Railway Grade Crossing Accidents In
 643 Canada.
- Caird, J.K., Hancock, P.A., 1994. The Perception of Arrival Time for Different Oncoming
 Vehicles at an Intersection. Ecological Psychology 6, 83-109.
- 646 Cairney, P., 2003. Prospects for improving the conspicuity of trains at passive railway 647 crossings, Road Safety Research Report. Australian Transport Safety Bureau.
- 648 Clark, H.E., Perrone, J.A., Isler, R.B., 2013. An illusory size-speed bias and railway crossing 649 collisions. Accid Anal Prev 55, 226-231.
- Clark, H.E., Perrone, J.A., Isler, R.B., Charlton, S.G., 2016. The role of eye movements in
 the size-speed illusion of approaching trains. Accident Analysis & Prevention 86, 146 154.
- Cohn, T., Nguyen, T., 2003. Sensory cause of railroad grade-crossing collisions: test of the
 Leibowitz hypothesis. Transportation Research Record: Journal of the Transportation
 Research Board, 24-30.
- European Railway Agency, 2012a. Level crossing safety in the European Union.
- European Railway Agency, 2012b. Railway safety performance in the European Union 2012.
- Evans, A.W., 2013. The economics of railway safety. Research in Transportation Economics
 43, 137-147.
- 660 Federal Railroad Administration Office of Safety Analysis, 2016. Safety Analysis Web Site.
- 661 Grippenkoven, J., Dietsch, S., 2015. Gaze direction and driving behavior of drivers at level 662 crossings. Journal of Transportation Safety & Security, 00-00.
- 663 Hunt, M., Harper, D.N., Lie, C., 2011. Mind the gap: training road users to use speed and 664 distance when making gap-acceptance decisions. Accid Anal Prev 43, 2015-2023.
- 665 Kasalica, S., Vukadinović, R., Lučanin, V., 2012. Study of Drivers' Behaviour at a Passive 666 Railway Crossing. PROMET-Traffic&Transportation 24, 193-201.
- Laapotti, S., 2015. Comparison of fatal motor vehicle accidents at passive and active railway
 level crossings in Finland. IATSS Research.
- Leibowitz, H., 1985. Grade Crossing Accidents and Human Factors Engineering: How a
 discipline combining technology and behavioral science can help reduce traffic
 fatalities. American Scientist 73, 558-562.
- Lenné, M.G., Rudin-Brown, C.M., Navarro, J., Edquist, J., Trotter, M., Tomasevic, N., 2011.
 Driver behaviour at rail level crossings: responses to flashing lights, traffic signals and stop signs in simulated rural driving. Appl Ergon 42, 548-554.

- Meeker, F.L., Barr, R.A., 1989. An observational study of driver behavior at a protected
 railroad grade crossing as trains approach. Accident Analysis & Prevention 21, 255 262.
- Meeker, F.L., Fox, D., Weber, C., 1997. A comparison of driver behavior at railroad grade
 crossings with two different protection systems. Accident Analysis & Prevention 29, 11 16.
- National Transportation Safety Board., 1998. Safety at passive grade crossings. Volume
 1: Analysis, Washington, DC.
- 683 Office of the National Rail Safety Regulator, 2015. Rail Safety Report 2014-2015. Office of 684 the National Rail Safety Regulator, Adelaide, South Australia.
- 685 Rail Industry Safety and Standards Board, 2015. Railway Level Crossings: National 686 Stocktake.
- 687 Railway Industry Safety and Standards Board, 2009. Level Crossing Stocktake.
- Rudin-Brown, C., George, M., Stuart, J., 2014. Human Factors Issues of Accidents at
 Passively Controlled Rural Level Crossings. Transportation Research Record 2458,
 96-103.
- Salmon, P.M., Read, G.J.M., Stanton, N.A., Lenné, M.G., 2013. The crash at Kerang:
 Investigating systemic and psychological factors leading to unintentional non compliance at rail level crossings. Accident Analysis & Prevention 50, 1278-1288.
- Savage, I., 2006. Does public education improve rail-highway crossing safety? Accident
 Analysis & Prevention 38, 310-316.
- Schiff, W., Oldak, R., 1990. Accuracy of judging time to arrival: Effects of modality, trajectory,
 and gender. Journal of Experimental Psychology: Human Perception and Performance
 16, 303-316.
- Standards Australia, 2015. Manual of Uniform Traffic Control Devices, Part 7: Railway
 Crossings, 4th ed. Standards Australia, Sydney, Australia.
- Tey, L.-S., Ferreira, L., Wallace, A., 2011. Measuring driver responses at railway level crossings. Accident Analysis & Prevention 43, 2134-2141.
- Ward, N.J., Wilde, G.J.S., 1995. Field observation of advance warning/advisory signage for
 passive railway crossings with restricted lateral sightline visibility: An experimental
 investigation. Accident Analysis & Prevention 27, 185-197.
- Young, K.L., Lenne, M.G., Beanland, V., Salmon, P.M., Stanton, N.A., 2015. Where do novice and experienced drivers direct their attention on approach to urban rail level crossings? Accid Anal Prev 77, 1-11.