1	A Critical Review on Structural Health Monitoring:
2	Definitions, Methods, and Perspectives
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A Critical Review on Structural Health Monitoring: Definitions, Methods, and Perspectives

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43 Abstract

45 The benefits of tracking, identifying, measuring features of interest from structure responses have 46 endless applications for saving cost, time and improving safety. To date, structural health 47 monitoring (SHM) has been extensively applied in several fields, such as aerospace, automotive, 48 and mechanical engineering. However, the focus of this paper is to provide a comprehensive up-49 to-date review of civil engineering structures such as buildings, bridges, and other infrastructures. 50 For this reason, this article commences with a concise introduction to the fundamental definitions 51 of SHM. The next section presents the general concepts and factors that determine the best strategy 52 to be employed for SHM. Afterward, a thorough review of the most prevalent anomaly detection 53 approaches, from classic techniques to advanced methods, is presented. Subsequently, some 54 popular benchmarks, including laboratory specimens and real structures for validating the 55 proposed methodologies, are demonstrated and discussed. Finally, the advantages and 56 disadvantages of each method are summarized, which can be helpful in future studies.

57 Keywords: Structural Health Monitoring (SHM); Damage Detection Methods; SHM
58 Benchmarks; Anomaly Detection; Structural Safety; Reliability

59

60 **1 Introduction**

61 In general, 'damage' is defined as a significant factor influencing the structural behaviour in such 62 a way that leads to degradation in the current or future performance of a structure. Therefore, a 63 clear description of damage requires comparing two distinct conditions of a structure: the status of 64 being either 'healthy' or 'damaged'(1). The former describes the base or 'healthy' condition of the structure, and the latter indicates the current or the deteriorated state. As a definition, a healthy 65 66 structure functions efficiently and preserves its integrity throughout its lifetime (2). On the other 67 hand, in the field of structural identification, damage is associated with changes first in the material 68 and then in the geometry and topology of the structure, e.g., changes to the boundary conditions 69 and connections (3).

70 Existing techniques for identifying damage cover a wide range, from conventional inspection

71 methods carried out by experts to cutting-edge automated techniques using smart sensors and

72 Artificial Intelligence (AI). The latter identification procedure integrates different fields, namely

73 computer science, data science, electronics, mechanics, material sciences, and civil engineering,

- 74 which shape the foundation of the interdisciplinary field called Structural Health Monitoring
- 75 (SHM).

76 From a general perspective, monitoring the response of structures and detecting probable damages

- 77 to enhance their performance level and reduce upkeep costs are considered the prime target in
- 78 SHM. Consequently, structures that benefit from an SHM system commonly experience prolonged
- service life. In contrast, those that have no such system in place face notably higher risks of structural failures. SHM also guarantees the system's integrity to some extent and can prevent
- Structural families. Sinvi also guarantees the system s integrity to some extent and can prev
- 81 possible failures in the future by sounding a pre-emtive alarm regarding abnormal behaviors.

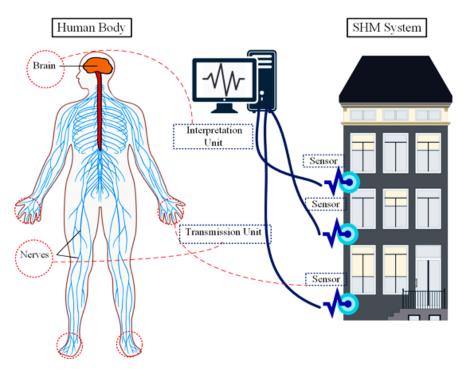
82 Over the past two decades, a wide array of inspection approaches have been examined, developed, 83 and established by scientists and engineers to identify, locate, and assess different types of damage. Accordingly, researchers have published many papers to shed light on the fundamentals and 84 85 applications of those methods. Herein, the authors have attempted to provide a comprehensive 86 perspective on definitions of SHM and damage detection techniques that are described in the 87 following four sections. First, the SHM is defined briefly and its principal objectives are explained. Second, the general concepts within this scope are summarized. In the third part, several damage 88 89 detection approaches are discussed, and the last section highlights some important SHM 90 benchmarks. Subsequently, conclusions, recommendations, and prospects are provided for future 91 use.

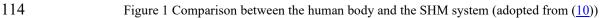
92 2 Structural Health Monitoring (SHM)

93 Structural Health Monitoring (SHM) yields precious details regarding a system's behavior by 94 analyzing its responses and evaluating its current mechanical state. A system may include a high-95 rise building, a bridge, an infrastructure system, or a simple beam. Initially, SHM was employed 96 for damage identification of aircraft in the realm of aerospace engineering and industry. In the late 1970s (4), however, it was implemented to investigate offshore platforms. At the beginning of the 97 98 1990s, SHM was extended to civil engineering and infrastructure systems. Just to name some of 99 its applications in this particular field, Beck and Katafyglotis (5) designated a probabilistic identification approach for global health monitoring of a structure by detecting any significant 100 101 changes in its stiffness distribution. Additionally, Mita (6) presented an overview of the rapidly emerging SHM in Japan's civil engineering in late 1999. In 1997, Al-Khalidy et al. (7) presented 102 103 one of the earliest studies on the health monitoring of linear systems using wavelets; they discussed 104 the need for promoting SHM for damage detection in infrastructure systems.

With the emergence of new Sensing Technologies, significant breakthroughs in computers, and incorporating structural control methods($\underline{8}$, $\underline{9}$), SHM has expanded extensively in the past two decades. The general idea of SHM can be described through an analogy with the human nervous system (Figure 1) ($\underline{10}$). In an intelligent structure embedded with a network of sensors, the sensing function is similar to the nervous system. Also, sensors receive various signals such as vibration, strain, stress, or temperature similar to the human nervous system, which forms a transmission unit that sends different signals to the brain, functioning as a processing and interpretation/diagnostic

112 unit.





- 115 By and large, a conventional SHM system consists of three major elements (11):
- 116 Contact or non-contact sensors
- 117 Processing unit (composed of data acquisition, transmission, and storage) •
- 118 Data interpretation system (made of diagnostic methods and information management) •

119 Interpretation includes diagnosis (assessment) and prognosis (prediction) of structural changes. 120 The diagnosis notifies the onset of damage, its location, or its severity. This procedure is conducted

121 either by passive diagnosis (i.e., by a passive sensor such as strain gauges) or by active diagnosis 122 (i.e., by an actuator and intelligent sensors) (12). The fusion of these components leads to a fitting

123 SHM system in a particular civil engineering project.

124 SHM is considered as an inverse problem wherein the structural defects are recognized through 125 the measured data of known inputs. Also, this process is a system identification problem (12). 126 Based on research by Rytter (13), damage identification levels in SHM can be categorized into the

- 127 following levels according to the extent/scope of the information acquired from the structure:
- 128
 - **Level 1:** Identification: determining the existence of a defect on a global scale.
- 129 • Level 2: Localization: determining the location and coordinates of the damage.
- 130 Level 3: Assessment: determining the intensity of damage in various components. •
- Level 4: Lifetime prediction: estimating the structure's remaining life. 131 •

132 Specifically, the first stage involves monitoring the desired properties of the structure over time,

133 and it provides answers regarding the overall presence of damage in a structure. Comparatively,

134 the second level appears to be more complicated as it requires determining the location of damage

135 and its orientation(12).

- 136 In general, the first two levels are defined as diagnosis steps and the next ones as prognosis levels.
- 137 Importantly, levels 1 and 2 can be evaluated without using any model, but level 3 requires
- 138 numerical modeling. The last level requires using processes such as breakdown mechanics,
- 139 fatigue-life analysis, reliability analysis, or structural design assessment (<u>14</u>). In addition, level 4
- 140 stems from level 3, wherein the assessment of fracture parameters is utilized to achieve fatigue life
- 141 analysis to specify the structure's remaining life $(\underline{12})$.
- 142 It seems that among a large number of publications, a significant portion of studies found in the 143 existing literature is targeted at levels 1-3 (15-17), and relatively small number of papers seek to address level 4 (18, 19). Herein, most of the existing research is concerned with applying damage 144 detection strategies to either laboratory tests or analytical models, not real structures (20). A 145 146 growing number of researchers have concluded that to assess the risk and the severity of the 147 damage as the necessary information for making a proper decision about the safety of the structure 148 and prevent potential catastrophic failure, there is a need to recognize the type of damage. For instance, in the case of a building under fire, it is crucial to have an SHM system that can detect 149 150 the severity of existing damage and hence determine if the plan for emergency evacuation is safe to be carried out. Consequently, a new level called 'classification' has been recently presented,
- to be carried out. Consequently, a new level called 'classification' has been recently prese aiming to designate the type of damage and bridge the existing gap (21) (See Figure 2).
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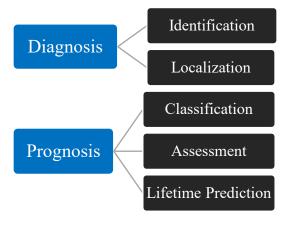


Figure 2 Damage identification levels

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157 **3** General Concepts for SHM

The development of a methodology or a technique for structural health monitoring depends on several factors. They include the complexity of the dynamic response, whether the system behaves linearly or nonlinearly, the scale and dimension of the structure, how easily the physical system can be modeled or its behavior be simulated, the nature of the excitation, or how easily a feedback mechanism can be identified to assess the behavior. Some of the crucial factors determining the effective strategy for SHM are depicted in Figure 3 and are elaborated in the following paragraphs.



Figure 3 Common concepts for SHM

165 **3.1 Inspection Scale: Global or Local**

According to prior research by Doebling et al. (22), detecting damage is performed by global or local methodologies. Global methods are used to spot the existence of damage and assess the state of the entire structure. In contrast, local methods assist in locating damage or monitoring a specific relevant parameter/metric in the system (23). Visual inspection or non-destructive tests, including ultrasonic, radiography, and acoustic emission, are some practical tools for pinpointing the damage. Since minor faults, such as cracks and delamination, may not show up in global measurements unless they are large, localized measurements are also necessary (24).

- 173 Generally, local methods are more common for small and non-complex structures since data is 174 required about the initial state of the structure and such methods designate the vicinity of the
- 175 damaged member (<u>18</u>). Hence, they are time-consuming and, most likely, quite expensive (<u>25</u>).
- 176 Consequently, to overcome those limitations, specialists take advantage of global methods, which 177 provide valuable information based on vibration characteristics, such as natural frequencies and
- mode shapes, especially in complicated structures. Notably, an efficient strategy is applied to
- identify the structural characteristics of a small area by using global methods, then implementing
- 180 the local damage assessment methods to zoom in on the damage location (24).

181 **3.2** Response type: Static or Dynamic

A vast majority of damage detection methods are based on assessing the response of the structure due to an excitation source. In this respect, these strategies form two main groups: static response assessment (strain or stress) and dynamic responses (frequencies, mode shapes, or modal damping). Compared to dynamic measurements, measuring static responses is more straightforward but less sensitive to changes resulting from damages (22). Accordingly, using dynamic responses is more efficient for detecting both abrupt and gradual changes, such as 188 detecting deterioration. Nonetheless, dynamic measurement of responses requires controlling

- 189 environmental and operational effects to attain accurate data. In earlier research in this area,
- 190 dynamic-based methods relied on frequency measurements., This was principally due to the
- 191 greater accuracy of devices measuring frequency compared to devices measuring mode shape or
- 192 geometrical shape. With the advancement of instrumentation, other methods, such as vibration-
- 193 based methods, were also considered.

194 Since measuring static responses is more reliable than measuring dynamic ones, some researchers

deployed static data in their study cases (displacement and strain) for damage detection (26-30).

196 The occurrence of measurement errors in damage detection data by static response measurement

- is relatively negligible compared with dynamic responses. While the dynamic matrix requires
- 198 stiffness, mass, and damping matrixes, the structure's stiffness matrix is solely needed in static
- 199 concepts. For this reason, static methods generally have simpler equations.

200 **3.3 Behaviour: Linear or nonlinear**

201 The presence of damage induces more complex behavior and causes nonlinear changes. Moreover, 202 damage may cause a structure with a typically linear behaviour to develop nonlinear reactions such 203 as cracking, impacts and/or rattling, delamination, stick/slip, rub, or loosened connections (31, 32). 204 To illustrate these phenomena, Gudmundson (33) proved via experimental tests on a cantilever 205 beam that natural frequencies may increase instead of decline due to breathing phenomena. This behavior confirms the fact that the crack alternately opens and closes during experimental tests. 206 207 There are different methods for considering nonlinear effects, for instance, nonlinear output 208 frequency response functions (NOFRFs) and/or Higher-Order Frequency Response Functions 209 (HOFRF). In this regard, Sinou (34) classified and reviewed linear and nonlinear methods 210 comprehensively.

211 **3.4** Computation: Model-based or Signal-based

212 Anomaly identification can be conducted using a model (also called physics-based approaches), 213 or by signal-based methods (also called data-driven approaches). In the former concept, the 214 damage is recognized through tracking variations in the simulated measurements from the 215 structural model (24). Basically, a model is a mathematical abstraction that connects and correlates 216 the input and the output parameters of a structure using (known or assumed) properties (35). In 217 some cases, it requires a post-process response to predict the damage location and severity. For 218 this reason, various mathematical models have been established, such as finite difference methods 219 (FDMs), finite element methods (FEMs), spectral finite element methods (SFEMs), and boundary 220 element methods (BEMs). In particular, FEM is the most widely used approach due to its 221 versatility in modeling of complex geometries (12).

222 When utilizing the model-based approach, specific parameters of a finite element model simulating

the system are updated under the system's responses by studying the dynamic behavior of the FEM

224 model (<u>36-41</u>). Through that process, the FEM model needs to be updated to account for the

- system changes that occur due to the damage. Model updating includes the optimization of
- problem solution to seek the optimum set of matrices (mass, stiffness, and damping), leading to
- the minimization of variances between empirical and computed responses (24). These approaches
- 228 have some drawbacks because they require prior knowledge of the boundary conditions, damage

- 229 location, and material properties (14). Moreover, an optimization problem faces challenging issues
- 230 such as ill-conditioning, which affects the existence, uniqueness, and stability of a solution of an
- 231 inverse problem, i.e., it is not possible to fully guarantee the determination of the system
- 232 characteristics based on the given response. Hence, incorporating uncertainty quantification 233
- measures such as probabilistic, non-probabilistic, and hybrid methods would be an appropriate
- 234 alternative (35).

235 It is also important to point out that FEM methods are not quite suitable when dealing with minor

- 236 or invisible damages. The preferred mathematical model seems to be relying on wave propagation
- 237 techniques such as SFEM (42). In contrast, signal-based methods rely on statistical analysis and
- 238 assess the system's response independently; therefore, they do not require additional information
- 239 concerning the structure's physical properties and parameters (43-47).

240 3.5 Feedback: Active or Passive

- 241 As for the diagnosis, procedures include two classes; passive and active diagnosis (12). Active
- 242 schemes excite the structures with a guided-wave (GW) or various ultrasonic waves, Lamb waves,
- 243 shakers, or piezoelectric transducers (32). Piezoelectric materials and devices can be employed as
- 244 both actuators and sensors (48).
- 245 On the other hand, when measuring input signals is complicated, passive approaches are employed 246 instead. Passive SHM means embedding various types of passive sensors such as stress, strain, loading, environmental condition, or temperature measurement sensors, which are tracked over 247 248 time, and the collected data is fed back into a structural model. To put it simply, passive SHM
- 249 systems 'listen' to the responses yet do not engage with the structure, nor does it affect its dynamic
- 250 behavior (49). A promising passive method is Acoustic Emission (AE), which uncovers acoustic
- 251 events associated with the occurrence and extension of defects. Other passive techniques include
- 252 pieces of equipment that are placed in contact with the structure or on the ground to analyze the
- 253 dynamic response under ambient excitations, such as the dynamic response of a bridge structure
- 254 under passing traffic or due to wind and ground motions (42).
- 255

256 **Excitation: Forced or Ambient excitation** 3.6

257 Dynamic responses of any structure are usually due to two types of excitations; ambient and forced 258 vibrations (50). Ambient excitations are described as stochastic processes such as random white 259 noise. Herein, Random Decrement Technique (RDT) as an effective signal processing method is 260 utilized to measure crosscorrelation functions and free-response decays (51). It is important to note 261 that the use of forced vibration for seismic assessment requires special equipment like eccentric 262 mass shakers to generate the required response magnitude (14). Moreover, using forced vibration 263 testing on existing structures should be done through a well-controlled process as it may cause 264 damage to the structure. Thus, the use of ambient vibration tests as a practical and relatively 265 inexpensive way has increased over the past few years (52-55).

266 3.7 **Domain: Time, Frequency or Time-Frequency**

- 267 Signal processing techniques have been carried out in different domains, namely time, frequency,
- 268 or time-frequency domains. Practical vibration analysis begins with acquiring an accurate time-

269 varying signal from vibrometers or accelerometers. Various options and procedures are available 270 to analyze the signal to extract the desired dynamic characteristics that have the potential to 271 illuminate the nature of changes in a structure. Restoring force curves and autoregressive moving 272 average (ARMA) models are a few examples of time-domain methods. In ARMA models, time 273 histories of structural responses are fitted to a model, then the coefficients and residual errors are

274 evaluated as damage-sensitive characteristics.

The most commonly used tool for signal processing in the frequency domain is the Fourier Transform (FT) that takes a real-world time-varying signal and splits it into its harmonic components to deliver information about their amplitude, phase, and frequency. By associating the frequencies with the system characteristics and looking at the amplitudes, it is possible to pinpoint changes caused by incurred damages with relative accuracy. This transformation is carried out using Fast Fourier Transform (FFT) algorithms, which are the most popular ones in practical SHM analyses.

282 The time-frequency presentation of a signal makes it possible to recognize transient behaviors 283 induced either by damage (desired) or environmental noise (undesired) overlapping within the 284 frequency of the original signal (56). In contrast to FT, abrupt changes due to damages can be 285 identified with the aid of zooming and focusing on the characteristics of wavelets. Wavelet 286 transforms (WTs), Wigner-Ville distribution (WVD), short-time Fourier transform (STFT), 287 pseudo-WVD (PWVD), empirical mode decomposition (EMD) or ensemble empirical mode 288 decomposition (EEMD), as well as Hilbert-Huang transform (HHT) are some of the common 289 approaches (57, 58).

290 **3.8** Solution: Forward (Direct) or Inverse (Indirect)

Parameter estimation solutions, or system identification, are inverse problems since they focus on inverting the standard 'forward' relationship between the parameters and output of a model; the target is to obtain the parameters generating a specific output. Practically, deterministic parameter estimation aims to extract the optimal mathematical model parameters so that the most feasible fit is obtained between the model output and the observed data (35).

296 4 Traditional Inspection

Traditional inspections can be conducted depending on the value and importance of a structure, repair costs, and failure consequences (<u>12</u>). The most preliminary approach is visual inspections conducted by experienced technical specialists (Figure 4). It is noteworthy to point out, however, that visual inspection is not necessarily the most economical solution. For example, in 2004, bridge inspection expenses were roughly 20M Australian Dollars (AUD) annually in the state of Queensland, Australia (<u>14</u>). Moreover, a visual inspection cannot be practically used in some conventional, especially large and complex structures.

Visual inspection techniques can be combined with different types of experimental tests, which are typically categorized as either destructive testing (DTs), primarily used to determine the material properties, or Non-destructive testing (NDT). NDTs aim to inspect the nature of the damage or control its propagation in a member, joint, or various connections. As a result, NDTs

308 mainly point out the variation of physical values of defects and are deployed for Quality-Control

- (QC), material properties determination, and damage detection (<u>59</u>). There are some differences
- 310 between NDTs and SHM. First, regarding the sensing methodology, in SHM, the sensors are
- 311 permanent and in fixed positions, while in NDTs, they are brought to the point of investigation.
- 312 Secondly, some specialists articulate that SHM should be carried out online or in an automated
- fashion, while NDT is conducted via another inspection $(\underline{60})$.
- 314 Although using NDTs may seem feasible for inspecting small structures, they have some
- 315 drawbacks. First, employing these techniques usually involves a temporary interruption of the
- 316 functionality of the structure. This problem makes them time-consuming and costly. Additionally,
- 317 on many occasions, due to the inaccessibility or the invisibility of the location of the damaged area
- for the inspector, it may be difficult or impractical to perform a satisfactory damage inspection
- 319 (61). Lastly, the characteristics of the material also affect the results. Table 1 summarizes several $\frac{1}{220}$ NDTs and the principal characteristics of the material that should be measured ((2))
- NDTs and the principal characteristics of the material that should be measured $(\underline{62})$.
- 321 Overall, these methods are appropriate when the initial information about the vicinity of the failure
- is available. Likewise, they are not practical if the member is not accessible or is covered by other structural components (14). Accordingly, most of these methods are limited to only simple
- members such as beams, columns, or plates; and they are not practical in detecting damage in
- 325 complex structures, such as multi-story buildings or large bridges (63). A list of commonly used
- 326 non-destructive methods is provided in the ASM Handbook (62).
- 520 101

Table 1. NDTs and their relative material characteristics $(\underline{62})$

Method	Important Characteristics
Liquid penetrant	Defects must intercept surface
Magnetic particle	Requiring magnetic materials
Eddy current	Requiring conductive or magnetic materials
Radiography and x-ray	Changes in thickness, density, or elemental composition
Neutron radiography	Changes in thickness, density, or elemental composition
Optical holography	Surface optical properties



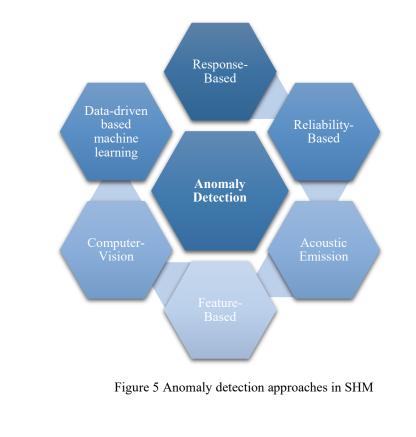


Figure 4 Visual inspection on a bridge girder (64)

329 5 Anomaly Detection Methods

330 The SHM literature shows six commonly used structural assessment methods (Figure 5), namely,

- 331 response-based techniques, reliability-based methods, Acoustic Emission (AE), feature extraction
- 332 methods, computer vision, and machine learning in data-driven methods. In the following, each
- technique and its subcategories are described, and relevant papers are reviewed.
- 334



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335336

338 5.1 Response-Based Techniques

339 5.1.1 Displacement-based approach

Measuring static responses is easier and more reliable in comparison with dynamic response measurements. Therefore it is the interest of a large proportion of researchers. For example, Xu et al. (65) deployed non-contact laser displacement sensors to measure dynamic displacements on a frame structure model, searching for baseline states and joint connection defects. They utilized displacement time series with two neural networks to find damage and assess its extent.

Huang et al. (66) proposed a displacement-based damage assessment method that utilized nodal displacements on a steel beam that combined analytical and numerical models with some experiments. Their methodology was practical since it required a few sensors, and there was no need to have a baseline condition assessment of the structure.

349 Ono et al. (<u>67</u>) used influence lines of the road to detect damage on a full-scale finite element 350 bridge slab. Different parameters, such as damaged positions and boundary conditions, were 351 examined to investigate their impacts on the performance of the presented methodology. Huseynov et al. (<u>68</u>) studied numerical analysis to find an index on the basis of rotation measurements. To this end, they proposed a sensitive index using the difference in rotation influence lines of damage and healthy states. It was concluded that this parameter could successfully identify damages on an experimental bridge model.

356 5.1.2 Strain-based approach

- 357 Monitoring strain is possible by employing Fiber-optic strain gauges, Fiber Bragg grating (FBG)
- sensors, and piezoresistive sensors such as microelectromechanical systems (MEMS) (<u>69</u>, <u>70</u>).
- 359 Usually, a large number of sensors are needed for measuring strain because the strain is a localized
- 360 quantity. Thus, piezoelectric polymers, polyvinylidene fluoride (PVDF) patches $(\underline{71}, \underline{72})$ are more
- 361 recent tools that are suggested to measure strain ($\underline{32}$).

Jang et al. (73) employed the damage locating vector (DLV) method with static strain measurements to localize damage on 2D and 3D planar truss models. It was shown that the proposed method required fewer strain sensors without needing to measure the damaged members. In this context, Zhao et al. (74) introduced the basic theory of modal macro strain-based using long gauge distributed sensing technology and deep learning theory for the first time.

367 Rageh et al. (75) utilized strain outputs induced by unknown, nonstationary external inputs on a

- 368 steel railway bridge. A coupled Proper Orthogonal Modes (POM) and ANN methodology were
- 369 used to assess stringer–floor beam connection deterioration on the bridge in a noisy environment.

370 5.1.3 Vibration-based methods

371 Structures are subjected to a variety of loading types during their lifetime. Forced or ambient 372 vibrations might generate these excitations. Regardless of the type of excitation, when the 373 vibratory motion threshold exceeds a certain level, it might cause damages such as structural 374 fatigue damage, cracks, strength or stiffness degradation, and other types of deformations. 375 Environmental loads may also induce noise, which adversely affects the signals (<u>76</u>). Ambient 376 excitations seem less risky and may not cause severe damage. Furthermore, the evaluation of 377 structural response under ambient excitations is more straightforward.

378 The response of a system is related to its inherent dynamic characteristic, namely inertia (mass), 379 damping, and stiffness. These dynamic characteristics are unique for each structure and can change 380 as a result of damage. Accordingly, any structural damage can be traced and correlated with the 381 subsequent changes in these dynamic structural characteristics (stiffness, mass, or energy 382 dissipation). In that case, changes in natural frequencies, mode shapes, and damping properties 383 inherently related to stiffness, mass, and damping indicate the incurred structural damages (14, 384 77). Vibration-based methods aim to incorporate and integrate the experimental vibration data, 385 e.g., acceleration, velocity, or displacement, with vibration models for damage evaluation and 386 develop damage models for prediction. These models range from pure lumped parameter models 387 to complex finite element models (32).

388 It is important to note that the low-frequency examination carried out by these techniques typically

- involves the structural components throughout, along with boundary conditions. Consequently, these methodologies usually are considered global diagnostic tools (12). Therefore, they are
- 391 commonly integrated with the sensitivity of local tools such as Scanning Laser Doppler

Vibrometers (SLDVs), guided waves, or Ultrasonic methods with the frequency range of kHz to
 MHZ. A summary review of vibration-based methods can be found in (<u>35</u>, <u>78</u>, <u>79</u>). In the following
 sub-sections, the most commonly used vibration-based, namely Modal Based approaches, are
 discussed.

396 *5.1.3.1* Natural frequencies

Monitoring changes of natural frequencies was among the earliest work carried out by researchers for damage detection, and numerous attempts have been made in this regard (<u>32</u>). Generally, frequency-based techniques are based on the fact that when a structure undergoes any type of damage, this damage results in a change in the structure's natural frequencies. These approaches are practical and helpful only when a global assessment is needed.

402 Tracing shifts of frequencies cannot provide detailed information about damage characteristics 403 (80, 81). Therefore, techniques solely dependent on changes in frequency are usually limited to 404 the first level of damage identification and, as stated, are considered as global detection methods. 405 What's more, most frequency-based techniques, which can identify and localize defects, are 406 heavily dependent on the existence of a precise FEM model of the actual structure (82). 407 Furthermore, frequency information by itself is not practical since several combinations of damage 408 phenomena, such as cracks in different locations, can produce the same changes in the natural 409 frequencies (20). Hence, the implementation of natural frequencies as the sole technique for 410 anomaly identification can lead to unrealistic damage assessments and evaluations (83).

411 Many researchers have deployed frequency approaches on simple structures like beams or plates (84-88). For instance, Kim et al. (89) compared frequency-based damage detection (FBDD) vs. 412 mode-shape-based damage detection (MBDD) on a simple beam and two sets of modal 413 414 parameters. They concluded that through FBDD, the damage could be identified with a much 415 smaller error, and by applying the MBDD, the damage could be located more accurately. More 416 recently, Nguyen et al. (90) employed a vector of first few frequencies as the multivariate input of 417 a statistical distance-based damage identification study using experimental data from two real 418 benchmark structures.

- 419 Mohan et al. (91) designated a frequency change correlation for detecting damage in a cantilever
- 420 beam. They studied four different damage scenarios and located the corresponding damages by
- 421 Damage Location Assurance Criterion (DLAC), based on Modal Assurance Criterion (MAC)
- 422 established by Messina et al. (92). The DLAC measures the correlation of a vector of experimental
- 423 natural frequency change ratios instead of mode shapes (23). However, few researchers have
- 424 applied the frequency method to complex structures such as space frame structures (<u>93</u>). In order 425 to cope with the obstacles mentioned above, research studies have exhibited inclinations towards
- to cope with the obstacles mentioned above, research studies have exhibited inclinations towards concentrating on the implementation of mode shapes (94-96) and derivatives (97) since changes
- 420 concentrating on the implementation of mode shapes (94-90) and derivatives (97) since changes 427 in these parameters are more sensitive to local damage than changes in natural frequencies (98). A
- 428 concise review of these methods is noted in (99, 100).
- 429

430 *5.1.3.2 Mode-shapes*

431 Measuring mode shapes is more laborious than measuring frequencies, and also, a significant 432 number of sensors is required to specify mode shape vectors accurately (<u>101</u>). This technique 433 typically utilizes mode shapes and compares the measured mode shapes either directly or the 434 various features of mode shapes, such as curvature or modal strain energy, to improve the 435 sensitivity (<u>20</u>). The occurrence of singularities in the mode shape due to the existence of defects 436 is the critical factor that reveals damage. In other words, the higher the extent of damage, the more 437 singularities in the mode shape (<u>102</u>).

Mode shape methods primarily required both data from the intact and damaged structure. The baseline data is obtained from either an experimental test or a finite element analysis. Broadly, these methods are sensitive only to limited areas within a structure (e.g., mid-span of a clamped beam), and the reported work in this area has been mainly limited to laboratory experiments. Furthermore, reported cases indicate that the approach has been more effective for preliminary damage localization than more accurate localization (103).

Nevertheless, recently developed model-based methods have shown promising prospects for either mode shape data or mode shape changes identification of damaged structures. The most popular technique in this regard is MAC (<u>104-107</u>), a statistical index that is more sensitive to substantial variations while relatively insensitive to slight differences in the mode shapes, taking values between 0 (i.e., uncorrelated) and 1 (i.e., perfect correlation). Values larger than 0.9 indicate correspondence, whereas small values signify low similarity between the two shapes. (<u>108</u>). Some of the popular mode shape-based techniques are compiled in (100, 109).

451

452 *5.1.3.3 Modal curvature*

Derivatives of mode shapes appear more sensitive to defects since the reduction in stiffness causes an abrupt change in the mode shape's first or second derivatives (slope, curvature, or strain) (25, 110). In short, occurrence of damage leads to a decline in stiffness that subsequently causes an increase in curvature. Thus, damage identification is performed by comparing differences in the pre and post-damage curvature mode shapes near the vicinity of the damaged region. This procedure implements multiple modes and is summed up in a damage parameter for a particular location (23).

460 Pandey et al. (<u>111</u>) presented mode shape curvature methods for detecting and localizing damage 461 in a cantilever and a simply supported beam. They employed a central difference estimation to 462 derive the curvature mode shapes from the displacement mode shapes using a numerical equation. 463 It was concluded that changes in the displacement of mode shapes were unable to locate the 464 damage region. In addition, it was proved that MAC and coordinate modal assurance criteria 465 (COMAC) were not sensitive enough for detecting slighter damages.

Wahab et al. (<u>112</u>) confirmed that detection is possible using multiple modes in the case of multiple damages. Therefore, they presented the Curvature Damage Factor (CFD), providing clear identification of multiple damages occurring and using classical mode shape curvature obtained from only one mode. They also applied modal curvature techniques to measured data on a concrete

- 470 bridge and achieved promising results in terms of damage identification and localization. In
- 471 addition, by using measured data on the aforementioned bridge, it was demonstrated that the modal
- 472 curvatures of the lower modes were more precise compared to those of the higher ones.
- 473 Roy and Chaudhuri (<u>113</u>) found that variation in the fundamental mode shape and derivatives were
 474 associated with the location of the defect. Thereby, mode shapes might not indicate damage in
- 475 contrast with mode shape derivatives in some cases. Roy (114) put forward a robust localization
- 476 technique through the derivatives of mode shapes related to undamaged and damaged states of a
- 477 structure. He noted that the difference in mode shape slopes resulted in a Dirac delta function for
- 478 damage location. It was observed that the difference in mode shape curvature was discontinuous
- 479 at the position of the defect. Accordingly, that approach was effective in identifying and localizing
- 480 damage due to slight changes despite the existence of noise-contaminated data.
- Janeliukstis et al.(<u>115</u>) developed a square curvature procedure to measure the damage on prestressed railway sleepers. Although their method revealed efficiency in detecting damage on the mid-span of the rail, no accuracy was noted in finding damages on the edges. The effects of environmental conditions, including temperature and humanity, on the dynamic properties (natural frequencies, mode shapes, and mode shape curvature) for a wind turbine blade were investigated by Ou et al. (<u>116</u>). The authors offered thorough documentation regarding the configuration of the experimental benchmark, sensor types, and the nature of excitations.
- 488 5.1.3.4 Modal strain energy
- Shi et al. (<u>117</u>) proposed Modal Strain Energy (MSE) in damage localization for the first time. Therein, Modal Strain Energy Change Ratio (MSECR) was established as an indicator of damage location. This approach was verified through a numerical model and an experimental specimen with a two-story portal steel frame. The results revealed that the method was efficient in the single damage quantification with a 7% noise. However, in the case of multiple damages, results were not satisfactory at the same noise level.
- 495 Cornwell et al. (118) implemented a strain energy approach on a beam and a plate. The authors 496 proposed fractional strain energy for the healthy and damaged beam, which required the structure's 497 mode shapes in damage and baseline condition. Although this approach showed some errors near 498 the nodes, it was advantageous while using ambient excitation. In addition, the algorithm was 499 able to locate even slightly damaged areas employing a few modes. Hu et al. (119) extended the previous work by developing a non-iterative exact solution methodology called cross-modal strain 500 501 energy (CMSE), which used only a few modes of damaged structure for estimating damage severity. The method was verified on a three-dimensional five-story structure by assessing single-502 503 damage and multiple-damage scenarios under an ordinary noise environment. In a recent study, Nguyen et al. (120) proposed a correlation method using change in the ratio of modal strain energy 504 505 to eigenvalue directly estimated from the experimental modal information, which is powered by a 506 sensitivity-weighted search space scheme incorporated with genetic algorithm to overcome the ill-507 posed problem that causes false detection errors. The improved method is shown to be effective 508 in locating and assessing damage in a complex steel truss structure.

509 Wahalathantri et al. (121) proposed a modification function according to mode shape curvature, 510 which could enhance the previous approach and qualify damage to some extent. Tan et al. (122) 511 presented a modal strain energy damage index as the input of an Artificial Neural Network (ANN). This method simply used the first mode of vibration and was preferable in detecting, locating, and 512 513 quantifying single and multiple damage scenarios on steel beams. In an effort to extend to large-514 scale building structures, Wang et al. (123) proposed a component-based MSE damage index 515 method and combined it with the modal flexibility method to locate damage in three-dimensional 516 asymmetrical building frames. On the side of bridges, Jayasundara et al. (124) studied modified modal flexibility and strain energy indices as the input of an ANN to assess deficiencies on full-517 518 scale arch-type bridges. The proposed strategy was promising, even in the presence of noisecontaminated data along with the accumulation of multiple damages. The modified indices are 519 520 formulated by decomposing the traditional modal flexibility and strain energy into vertical and 521 lateral indices, extracting the larger values for each type and normalizing them to get a fix on the 522 location of the damage very effectively (125).

523 5.1.3.5 Damping

Arising damage in a structure can cause an increase in damping. However, this structural characteristic is not sensitive enough to indicate damage. As a case in point, Hearn and Testa (126) explored the use of modal parameters, including frequency, mode shape, and damping values, for detecting damages in a welded steel frame subjected to cyclic load. It was observed that after the accumulation of damage, the damping level might decrease due to cumulative deterioration.

529 Salawu and Williams (<u>127</u>) conducted a full-scale test on a concrete bridge prior to repairing 530 actions. It was demonstrated that the repairs led to a slight change in the natural frequencies, but

no identifiable trend could be established in the modal damping ratio.

532 Frizzarin et al. (<u>128</u>) recommended applying the nonlinear damping ratio as a damage index for 533 reinforced concrete structures. By that, they were able to identify anomalies without any reference 534 to the baseline condition. Moreover, they observed a significant correlation between the increase 535 in the nonlinear damping and a decrease in the structural stiffness connected with the escalation in 536 existing damage interactive

- 536 seismic damage intensity.
- 537 Montalvão et al. (129) developed a modal damping factor to identify delamination on composite
- 538 materials such as Carbon Fiber Reinforced Plastics (CFRP). This low-cost method required either
- 539 FEM or experimentally measured mode shapes and presented a geometrical probability definition
- of the damage vicinity for any bi-dimensional structure. Similar researches on the application of
- 541 damping ratio as a damage index are compiled in (130, 131).

542 5.1.3.6 Frequency response functions (FRFs)

- 543 In essence, a frequency response function is defined as a mathematical representation between 544 input and output of a system derived from the Fourier transform (132). Having the general
- 545 equation of motion, the displacement response in the frequency domain is given by

$$X(\omega) = (-\omega^2 M + j\omega C + K)^{-1} F(\omega) = H_d(\omega) F(\omega)$$
⁽¹⁾

$$\dot{X}(\omega) = \omega X(\omega) = H_v(\omega)F(\omega)$$
 (2)

(**a**)

$$\ddot{X}(\omega) = -\omega^2 X(\omega) = H_a(\omega) F(\omega)$$
⁽³⁾

 $\langle \mathbf{a} \rangle$

546 where $H_d(\omega)$ indicates the displacement Frequency Response Function (FRF) matrix and j = $\sqrt{-1}$ (132). FRFs require installing a smaller number of sensors, and the corresponding 547 548 measurements efficiently fulfill local detection (133). Among the different dynamic characteristics 549 of the structure, it is relatively easier to obtain the structure's frequency response. Furthermore, 550 since these data can be, for instance, derived from seismic tests on a structure, FRFs express the 551 actual behavior of the structure and can be more reliable. Consequently, different FRF-based 552 damage detection techniques can be obtained using displacement frequency response (DFRF), 553 velocity frequency response (VFRF), or acceleration frequency response (AFRF), as presented by 554 equations (1-3) (134).

555 Esfandiari et al. (135, 136) established an FRF-based parameter assessment approach using incomplete measured responses derived through a quasi-linear sensitivity equation. To cater to this 556 557 need (employing incomplete measurements in the derivation of the sensitivity equation), they 558 proposed an approximation of the damaged structure's transfer function via the measured 559 frequencies coupled with modal damping ratios of the damaged structure and the analytical mode 560 shapes of the healthy structure. Likewise, numerical simulations were adopted to validate the 561 robustness of the model updating for extended damage scenarios through highly noise-562 contaminated data. The authors also formulated methodologies in terms of picking subsets of 563 measured responses and suitable weighting of sensitivity equations. Staszewski and Wallace (137) 564 concluded that the wavelet ridge algorithm could effectively derive and visualize data out of 565 wavelet-based FRFs.

Bandara et al. (<u>138</u>) used DFRF along with an ANN pattern recognition for localization and quantification of damage in a frame. In that research, the authors deployed a finite element model of a two-story frame structure to train the neural network, which could identify even slight damages with reasonable accuracy under 10% noise. Liu-Sheng and Jun (<u>139</u>) used AFRF for detecting and localization of damage in a planar truss. They demonstrated that the method made accurate predictions about the damaged member but could not exclude the damage probability of circumference members.

The accuracy of FRF methods depends on using raw data in measuring frequencies. However, a massive amount of data leads to more significant data processing demands. To deal with this problem, data compressing methods such as fuzzy clustering algorithms or principal component analysis (PCA) could be practical in this scope. Data compression methods can also reduce environmental effects (<u>140</u>, <u>141</u>). Moreover, in a complex structure, variations in natural frequencies are the same for both damaged and undamaged cases (<u>141</u>). Hence, the solution is reading damage and healthy data simultaneously (<u>142</u>).

580 5.1.3.7 Matrix-based (stiffness and flexibility)

581 Changing the stiffness and flexibility matrices induced by damage and comparing them with the 582 undamaged state devises another damage identification strategy. Zimmerman and Kaouk (143)

 $\frac{1}{140}$ considered damage as changes in stiffness using an eigenvalue problem derived from a general

584 equation of motion for a finite element model. Sivico et al. (144) proposed a method considering

- 585 changes in stiffness and damping parameters in the time domain. It was observed that higher modes
- 586 contribute more than lower ones to the structure stiffness (<u>145</u>, <u>146</u>). Accordingly, a precise
- 587 estimation of the stiffness matrix needs to measure all modes of the structure. However, measuring
- 588 higher frequencies is quite tricky due to the apparent limitations of the experimental apparatus. To
- this end, the flexibility matrix method was proposed for estimating the changes in system stiffness
- 590 by means of first mode shapes and modal frequencies, which have the most significant influences 591 on the structure's response (147). In this aspect, Pandey and Biswas (147) developed a novel
- algorithm that was capable of localizing damage in three types of beams using the first several $\frac{1}{1}$
- modes and measuring flexibility changes. Reich and Park (<u>148</u>) utilized strain-based sub-structural
- 594 flexibility matrices for detecting damage in a reinforced concrete model.
- 595 Park et al. (149) compared the predicted position of damage obtained via damage index methods 596 with visual inspection results in a reinforced concrete box-girder bridge. They proved that 597 environmental conditions, such as the atmospheric moisture and the dry summer months in the 598 region, could affect the damage index results.
- 599 Tomaszewska (<u>150</u>) attempted to detect damage on a simple beam and a FEM model of a real-600 world tower through structural flexibility and mode shape curvature. He tested the modal 601 identification errors by an absolute damage index. It was pointed that ignoring modal errors in the 602 damage detection process could distort results. Additionally, using the flexibility and curvature
- 603 indices improved the accuracy of detection when erroneous modal data was collected.
- 604 Grande and Imbimbo (<u>151</u>) put forward a new technique by combining the classical flexibility 605 method and a multi-stage procedure relying on Dempster's rule discussed in (<u>152</u>, <u>153</u>). That 606 approach was applied to two case studies, including a fixed-end beam and a three-dimensional 607 structure benchmark model. Overall, the method effectively detected damage in both cases, even 608 with a limited number of parameters and noise measurements.
- Wickramasinghe et al. (<u>154</u>) confirmed the applicability of modal flexibility to detect and locate
 single, multiple, and complex damage scenarios. The authors developed two damage indices that
- 611 used only the first four modes to detect defects on a real suspension bridge simulated using a FEM.

612 5.2 Reliability-based Methods

- 612 5.2 Remaining-based internous 613 Engineers have always tended to use SHM for optimizing the cost of maintenance based on the 614 remaining service life of a structure. However, numerous uncertainties exist in current procedures. 615 Thus, to consider these uncertainties, a probabilistic approach, such as reliability-based methods, 616 is used to overcome existing gaps. Many researchers have developed reliability-based methods as 617 a quantitative tool to scan the health state of the structure for deterioration or damage (17, 155-
- 618 <u>157</u>)
- 619 Soyoz et al. (158) deployed structural parameters, stiffness, and damping values according to
- 620 seismic response measurements obtained from shaking table tests as indexes. Some scholars have
- also introduced a probability-based framework for estimating the performance of the structure.
- 622 Others developed reliability-based assessment methods using strain-monitoring data to develop
- 623 deterioration indices (<u>159</u>, <u>160</u>). Notably, those methods have also been investigated for damage
- 624 detection in various infrastructures (17, 161-163). Nonetheless, some limitations cast doubts on

- 625 practical implementation (164). A large number of methods mentioned above that are based on the
- 626 reliability approach have solely utilized strain as input data $(\underline{159}, \underline{160})$. Strain measurements have
- 627 some drawbacks; for instance, they might not be stable, especially over a long time. This approach
- 628 may also be expensive and require complex signal processing systems (<u>165</u>).
- 629 In addition, recent studies have revealed that reliability-based procedures are prone to an
- 630 inaccurate estimation of the structural failure probability due to the sensitivity of the results to the
- 631 accuracy of the input data and require making assumptions for numerous input parameters (165,
- 632 <u>166</u>).

633 5.3 Acoustic Emission (AE)

- 634 Acoustic emission (AE) has been studied as a non-destructive evaluation (NDE) and structural
- health monitoring method over the last six decades ($\underline{167}$). It is defined as propagating transient
- elastic waves in the materials, which are generally propagated from internal energy sources due to
- damage initiation (<u>168</u>). The primary components consist of the structure, AE sensors, amplifiers,
- 638 acquisition, and recording unit coupled with the data processing system (<u>169</u>). Signal
- 639 characteristics that are commonly utilized include rise time, peak frequency (PF) or average
- 640 frequency (AF), duration, and ringdown count ($\underline{4}$).
- 641 In practice, AE is helpful for global monitoring, real-time assessment, and remote monitoring to
- 642 discriminate different sources of damages (170). AE has been deployed broadly to assess fracture
- 643 mechanisms and characterize structural damages, especially for composite materials and for
- 644 complex damage mechanisms such as matrix cracking, delamination, fiber fracture, pull-out, and
- 645 gross material faults (<u>171</u>). Moreover, a large percentage of the papers that have reported this
- 646 approach concern concrete material and structures (172).
- 647 Hamdi et al. (<u>173</u>) introduced a real-time method through random AE signals obtained from a
- 648 static bending test on a cross-ply Glass Fiber Reinforced Plastic (GFRP) composite material. They
- 649 concluded that the Hilbert–Huang transform (HHT) was efficient for nonstationary AE signals
- 650 feature extraction. Moreover, instant frequencies could provide applicable descriptors in terms of
- 651 in-situ health monitoring.
- Behnia et al. (168) investigated steel fiber reinforced concrete beams under pure torsional loading
- 653 to find different damage mechanisms, including micro and macro-cracking and fiber tension
- 654 softening. They introduced an unsupervised pattern recognition approach and a novel technique,
- 655 referred to as Spatial Intelligent b-value Analysis, to quantify fault levels for each loading state.
- 656 Some practical reviews regarding AE damage detection are available in (<u>169</u>, <u>174</u>, <u>175</u>)

657 **5.4 Feature-Based**

- 658 The analysis of measured signals searching to reveal hidden features related to the structure's
- 659 condition has recently attracted a lot of interest. Signal processing techniques derive features from
- 660 time, frequency, or time-frequency (176). Some of the most practical tools for extracting
- 661 information from each domain are presented in the following sub-sections.

662 **5.4.1 Time-domain**

663 Time series is a statistical tool for creating mathematical models that simulate the dynamic 664 characteristics using measured data, divided into two categories, namely parametric and 665 nonparametric time series. In the first group, the observation is simulated using nonparametric 666 time series such as frequency response function (FRF), binned power spectral density (PSD), etc. 667 In this approach, dynamic variations caused by damage are recognized through changes in 668 statistical parameter characteristics (14). In the second group, the input-output relationship of a 669 system is presented through an Average model with exogenous inputs (ARMAX) with the 670 following equation:

$$A(q)y(t) = B(q)u(t) + D(q)\varepsilon(t)$$
(4)

672 where y(t) denotes the response of the system to the input excitation u(t) and $\varepsilon(t)$ is the residual 673 term. The terms A, B, and C are the coefficients or parameters in polynomials with the delay 674 operator q. The polynomial order defines the time-series model order, which is an unknown term 675 and is determined through different techniques, namely Akaike's information criterion (AIC), 676 Minimum description length (MDL), Root Mean Squared Error (RMSE), and best model order 677 (BMO) (<u>14</u>)(<u>177</u>). Skewness, crest factor, kurtosis analysis, and RMS amplitudes are some of the 678 popular features that apply to time series (<u>178</u>).

In a general sense, changes in a system lead to changes in the coefficients and residuals of the time series, which form the main criteria for damage diagnosis in the parametric time series. Since measuring input vibrations is challenging, and it is costly to apply this approach to real-world structures, output-only time-series, which utilize ambient excitation, are more desirable and practical. Thus, various types of output-only parametric time-series have been established in the realm of SHM, including but not limited to AR, ARMA, Vector Autoregressive (VAR), and Vector Autoregressive Moving Average (VARMA) (<u>14</u>).

- 686 Monavari et al. (179) proposed a signal-based approach utilizing autoregressive (AR) time-series 687 residuals. In this paper, a novel AR model order estimation algorithm was established that was 688 capable of enhancing the sensitivity of the AR model prediction concerning deterioration. As a 689 result, the authors were successful in qualifying slight changes like deterioration on a high-rise 690 FEM concrete building excited by real ambient excitations. AR model residuals can also be 691 combined with test statistics such as the *T*-values of statistical hypothesis of chi-square variance 692 test to locate crack-induced deterioration in a complex lab test of a box girder structure (54)
- 693 Time series is one of the tools implemented in statistical pattern recognition applications for SHM 694 (180). Since the method is based on a partial structural dynamics model, it can identify even a 695 small number of variations (181). As an illustration, Gharehbaghi et al. (55) employed AR time-696 series along with a robust algorithm that was able to select sensitive uncorrelated features. 697 Afterward, they established a pattern, which they then employed in a super vector machine (SVM) 698 algorithm to classify different deterioration scenarios within an analytical model. Following this 699 approach, they could locate and qualify deterioration under the effect of environmental 700 variabilities, such as high noise and operational errors.

702 **5.4.2** Frequency-domain

703 Fourier spectra, cepstrum analysis, difference frequency analysis, and the high-frequency 704 resonance technique are appropriate tools for damage identification, especially for gear faults and 705 roller bearings (182). Fourier transform (FT) and fast Fourier transform (FFT) are considered the 706 principal anomaly detection concepts. As a case in point, in a study conducted by Melhem and 707 Kim (183), FFT and CWT were compared for detecting damage in real prestressed concrete beams 708 and concrete slabs. Results proved that FFT could identify the progression of damage in the beam 709 but not in the slab. Contrarily, CWT could differentiate the initial and damaged states for both 710 structures.

- 711 Ngo et al. (<u>184</u>) developed an FFT-based correlation coefficient approach to evaluate damages on
- a beam and bridge. It was deduced that FFT used fewer calculation steps than FT, and the proposed
 method could locate structural decline through crosscorrelation matrices.

714 5.4.3 Time-Frequency domain

The time-frequency presentation of a signal allows for the recognition of transient behaviors 715 716 induced either by damage (desired) or environmental noise (undesired) overlapping with frequency 717 within the original signal (56). The capability of wavelets through multi-scale analysis of transient 718 events induced by damage (desired) or environmental noise (undesired) generated considerable 719 attention for SHM. In contrast to Fourier Transform, wavelet analysis can describe any type of 720 signal both in time and frequency domain simultaneously, while FT can map a signal from the 721 time domain to the frequency domain. Moreover, through a flexible window location and scale, 722 wavelets can identify abrupt changes due to damage with the aid of zooming and focusing. This 723 can be achieved through multiresolution analysis (MRA) from discrete wavelet transform (DWT) 724 and Wavelet packet transform (WPT) or the wavelet spectra from continuous wavelet transform 725 (CWT) (58, 185).

- Noori et al. (<u>186</u>) applied data obtained via long-gage FBG strain sensors into a modified wavelet packet energy rate index to quantify damage in a steel bridge under a noisy environment. Zhao et al. (<u>187</u>) used the structural mode shapes extracted from the finite element model of a simply supported reinforced concrete beam that is employed for damage identification using different
- supported reinforced concrete beam that is employed for damage identification using different types of wavelets. They concluded that the maximum curve reaches a peak value at a specific scale
- for a specific case, based upon which a new mode shape-based algorithm and damage index were
- proposed for damage identification. Haq et al. (<u>188</u>) investigated the use of DWT and CWT for
- 733 Fatigue damage mounting and estimating the residual life of RC frames.
- Huang et al. (<u>189</u>) established a new local and adaptive method for analyzing stationary and nonstationary signals called the Hilbert–Huang transform (HHT). HHT relies on empirical mode
- decomposition (EMD) and can decompose the original signals into a series of basic functions and
- almost mono-component called implicit mode functions (IMFs). Through IMFs, one can identify
- all the instantaneous frequencies, which are then utilized to calculate the Hilbert spectrum and
- roo an the instantaneous nequencies, which are then utilized to calculate the indert spectrum and roo enlighten distinctive chrematistics of the original signal (190). Sanchez et al. used empirical
- enlighten distinctive chrematistics of the original signal (190). Sanchez et al. used empirical

- wavelet transform and HHT to calculate the three structures' natural frequencies and dampingratios (<u>191</u>).
- 742 Yang et al. (<u>192</u>) proposed a modified EMD for the identification of modal parameters on a four-
- story steel frame. AE signals of 3D braiding composite shafts under tensile and torsion were
- analyzed by HHT in (193). It was shown that HHT could do modal separation of AE signals to
- 745 identify matrix damage types on composite materials.
- 746 Babajanian et al. (<u>176</u>) analyzed cable-bridge responses and extracted features using STFT. A 747 support vector machine (SVM) and a filter-method approach called 'ReliefF' were used to find the
- 748 sensitive subset of features for detecting damage.
- 749

750 5.5 Computer Vision

- 751 Computer vision is a sub-set of artificial intelligence that tries to derive information from digital data, including images or videos, by pairing computers and machines (194). The ultimate target of 752 753 this methodology is to automatically convert the image or video data into inferable information 754 (195). Computer vision consists of a vision system, a computer, and an image processing software 755 platform (see Figure 6) (196). Vision sensors typically include digital cameras, smartphones, 756 infrared cameras, optical lenses, and laser scanners. In addition, image acquisition utilizes 757 customary cameras, camera tracking vehicles, and robotic systems such as UAVs and drones. In 758 this regard, displacement, temperature field distribution, and superficial defects are the most 759 common data recorded by non-contact vision cameras. The processing unit includes image 760 processing techniques that extract features from digital data. In this regard, various types of low-761 level and high-level features such as shape, texture lines, pixel intensity are utilized in image 762 processing algorithms.
- 763 Computer vision is mainly deployed for assessing damages on surfaces and visible parts of 764 structures, including different types of cracks, steel corrosion, or concrete spalling. It consists of 765 two main groups, including image processing-based and deep learning-based approaches. Various image/video processing techniques that use features extracted from acquired images are utilized 766 767 regarding the first group. These consist of edge detection filters (197, 198), morphological features (199), and bottom-hat transform (200). Herein, different machine learning classification methods 768 769 are used, such as SVMs, Naive-Bayes, and K-Nearest Neighbors (KNN). Moreover, in the case of big data, deep learning techniques can be applied to image features. 770
- 771 With respect to the first group, Shan et al. (201) used two cameras to retrieve coordinates of the
- crack edge using the Canny-Zernike algorithm. The width of the crack was identified via a minimal
- 773 edge detection approach. Qiang et al. (202) proposed an adaptive canny edge detection algorithm
- for crack identification using a Gauss filter and Otsu method. They segmented cracks from the background by applying an iterative threshold algorithm. Sari et al. (203) classified and segmented
- background by applying an iterative threshold algorithm. Sari et al. (203) classified and segmented
 asphalt pavements by deploying the SVM and Otsu methods, respectively. Some of the popular
- 777 crack detection algorithms are reviewed in (204).

778 Motion magnification is another trending technique that deploys high-speed cameras to amplify 779 small displacements and lead to model identification in structures. As a case in point, Chen et al. 780 (205) calculated the mode-shaped curvature of a beam to identify damage. Accelerometer and laser vibrometer measurements were deployed for validation of the technique. In a paper by Sarrafi et 781 782 al. (206), phase-based motion estimation (PME) and video motion magnification were employed 783 to perform operational analysis on a wind turbine blade and extract resonant frequencies and 784 operating deflections. A single camera captured the sequential images, and the MAC criterion 785 detected damage scenarios applied to the blade. It was concluded that the use of phase-based 786 motion could be efficient in a noisy environment. PME was applied on a laboratory and real bridge 787 by Cabo et al. (207). The results indicated that PME had a good performance in comparison to traditional sensing methods (e.g., LVDT). Furthermore, natural frequencies are not enough for 788 789 classifying damage, and other information regarding mode shapes is required.

790 Regarding the learning-based methods, it should be noted that they have broadly improved the first

791 group's ability via different detection approaches, including image classification, object detection, 792 and semantic segmentation (195). Furthermore, thanks to the rapid developments of technology,

793 graphics processing units (GPUs) paired with Field Programmable Gate Arrays (FPGAs) as fine-

794

- grained programmable devices are the most suitable platforms for implementing convolutional neural networks (CNNs) since they offer super performance for the sake of pure computation 795
- 796 (194).

797 German et al. (208) proposed a damage index for concrete columns to quantify the damage, such 798 as cracks and spalling, based on computer vision for rapid inspection after earthquakes. Chen et 799 al. (209) used aerial images captured by UAVs to evaluate the degrees of damage to buildings after earthquakes. Various image texture features were used to identify ground targets (building, road, 800 801 mountain, riverway, and vegetation). An SVM classifier was used to evaluate the extent of the 802 damage, and a new damage degree evaluation (DDE) index to identify the damage potential and 803 intensity of the earthquake was devised ...

- 804 Zhang et al. (210) established a CNN called CrackNet, able to classify different cracks on 3D
- 805 asphalt surfaces, with the explicit objective of pixel-perfect accuracy. For training, two GPU 806 devices were deployed on 1800 images of asphalts.
- 807 Liang (211) exploited deep learning and Bayesian optimization by examining a reinforced concrete 808 (RC) bridge after an earthquake on three sequential stages, including the system stage, component 809 stage, and damage localization. Yang et al. (212) deployed thermal imaging to combine a rolling 810 electric heating rod with a horizontal thermal excitation methodology to generate a temperature
- 811 gradient on the side of the crack. An improved Fast R-CNN was implemented to learn temperature
- 812 gradient and to detect cracks of different depths on a steel plate.
- 813 Oudah and El-Hacha (213) conducted damage and deformation evaluation of a large-scale that
- 814 was tested on two new RC connection systems using digital image correlation (DIC). Ni et al.
- 815 (214) proposed a deep-learning-enabled data compression and reconstruction framework, divided
- 816 into two phases: (a) a one-dimensional CNN; (b) a new SHM data compression and reconstruction
- 817 method based on Autoencoder structure. To validate the approach, acceleration data from the SHM

- 818 system of a long-span bridge in China was employed. In the abnormal data detection phase, the 819 results showed that the proposed method could detect anomalies with high accuracy.
- 820 Chen and Jahanshahi (215) proposed a method for detecting cracks on the pixel level. In this study,
- 821 a rotation-invariant fully convolutional network (FCN) called ARF-Crack was established that
- 822 explicitly used the rotation-invariant characteristic of cracks. The architecture of the FCN named
- 823 DeepCrack for pixel-level crack detection was adopted and revised where active rotating filters
- 824 (ARFs) were utilized for encoding the rotation-invariant characteristic into the network.
- 825 Comprehensive reviews on new advances and computer vision applications for SHM are available826 in (195, 196).
- 827
- 828

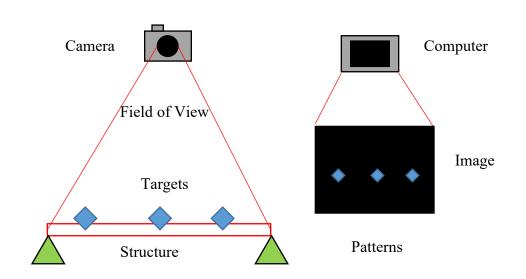






Figure 6 Schematic of computer vision SHM(<u>196</u>)

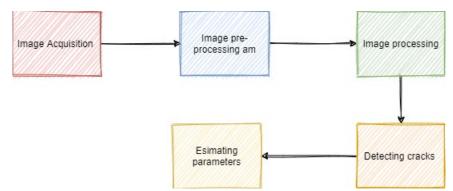


Figure 7 Image processing based architecture (204)

834 **5.6 Data-driven based machine learning**

As mentioned, signal-based (or data-driven) methods utilize the information obtained from monitored structures in order to reveal features reflecting the state of a system without any knowledge of the physical properties of the structure. These approaches are practical when (<u>216</u>):

- Sufficient numbers of sensors are available.
- Computational operations are costly in the SHM project
- Physical properties of the structure are unknown or complicated to be modeled.

It should be noted that methods based on extraction of features can identify damages independently via using different damages indices directly (54, 217-219) or by combining them with machine learning techniques for the purpose of pattern recognition (55). Herein, machine learning methods are applied to the features extracted from measured data to classify and predict the structural patterns obtained from sensors. Supervised, semi-supervised, and unsupervised are the categories for the different learning schemes in this scope. Three major issues are considered in the scope of machine learning, namely classification, regressions, and density estimation (60).

Kim and Philen (220) developed a machine learning algorithm called Adaboost that had the potential to identify corrosion and cracks on metals. Four signal processing techniques were examined, and the spectrogram based on short-time Fourier transform was chosen as the reliable damage diagnosis approach. They used the FE model of damages as training samples and examined the performance of the Adaboost on experimental specimens.

Three sets of experimental steel pipelines were investigated through 365 features extracted from ultrasonic signals in a study carried out by Ying et al. (221). Two feature selection methods using adaptive boosting algorithms automatically recognized suitable features for damage identification. Five classifications, namely adaptive boosting, modified adaptive boosting, SVM, and two methods combining adaptive boosting and SVMs, showed good performance for determining different damage scenarios.

A supervised method based on redundant information of the structure was introduced by Smarsly et al. (216). The algorithm used the inherent correlations among the amplitudes at peaks of the frequency spectra of accelerations from different sensors and deployed an ANN to map the relationship between the modal peak amplitudes of correlated sensors.

863 In a study done by Gui et al. (222), three optimization-based machine learning methods, including 864 grid-search, partial swarm optimization, and the genetic algorithm were used for optimizing the 865 penalty coefficient and kernel function parameter for the SVMs. Two damage features detected 866 the damage scenarios of a scaled metal building model. They concluded that the genetic algorithm 867 had better performance compared to the other optimization techniques. In a more recent study, Yu 868 et al. (223) employed five different machine learning techniques, namely SVM, ANN, adaptive 869 neuro-fuzzy inference system, regression tree model called M5P, and genetic expression programming to quantify Alkali-Silica Reaction (ASR)-induced elastic modulus degradation of 870 871 unrestrained concrete. The study shows the proposed methods outperform three commonly-used empirical models in a wide range of statistical indices. 872

675 Gharehbaghi et al. (55) applied the AR time-series on acceleration signals for extracting sensitive 676 features. In addition, an SVM algorithm was used in order to classify the different conditions of 777 two specimens under environmental variations. The authors extracted features through statistical 778 indices that were applied on coefficients and residuals of AR models then deployed a novel

- algorithm to find the sensitive features relating to deterioration and damage.
- 880

881 6 Popular SHM Benchmarks:

In a broad sense, a laboratory benchmark model provides an experimental study platform to validate the proposed methodology for anomaly detection (224). For expository reasons, SHM benchmark structures are divided into four regions of Europe, North America, East Asia, and

885 Australia in this paper.

The majority of research projects in the USA, in this regard, have been supported by National Science Foundation (NSF), the Federal Highway Administration (FHWA), or other universities and laboratories such as LANL (Los Alamos National Laboratory), National Aeronautics, and Space Administration (NASA), United States Air Force (USAF), California Department of Transportation (CALTRANS), and Pacific Earthquake Engineering Research Center (PEER) among others.

892 In Europe, some collaborative projects on SHM have been organized. For instance, by the Ministry

893 of Scientific Research and Technology (MURST) in Italy, and the Department of Trade and

894 Industry (DTI) and Engineering and Physical Sciences Research Council (EPSRC), in the UK

895 (225). Two primary schemes for cooperative research are the EC Framework Program projects

and the EUREKA projects.

897 The Australian Network of Structural Health Monitoring (ANSHM) executes SHM projects in 898 Australia on three projects: ARC Discovery, ARC Linkage, and CRC/CSIRO Projects. In the

- following sub-section, some of the important benchmark studies conducted around the world are
- 900 summarized. A comprehensive review of SHM benchmarks is provided in (226).

901 6.1.1 P-block building

902 The P-block building is located at the Queensland University of Technology (QUT). It has been 903 recently constructed at the Gardens Point campus of QUT, Australia, costing around AU\$230M. 904 The P-block has been awarded a 5-star Green Star rating from the Green Building Council of 905 Australia (14). This concrete structure has ten floors equipped with accelerometers across four of 906 its six above-ground stories (227). The most important part is that this benchmark utilizes an 907 integrated vibration-sensing concept that has a software-based synchronization method, and it 908 appears to be a promising choice for deployment in vibration monitoring of civil engineering 909 structures.

- 910 On the first instrumented floor of this structure (i.e., level 4), six analog tri-axial sensors coupled 911 with two single-axis accelerometers have been installed to record the vibration signals. As depicted
- 912 in Figure 8, the sensors are positioned on the upper part of the structure (i.e., at levels 4, 6, 8, and
- 913 10) that appear to be more sensitive to the ambient vibrations originating from occupants' activities
- 914 or environmental loads, such as wind load (228). Known as the testbed of Australia's first-ever
- 915 long-term full-scale SHM system, P block building has interestingly hosted the 8th International
- 916 Conference on Structural Health Monitoring of Intelligent Infrastructures (SHMII-8) on the first
- 917 time this prestigious conference in the SHM field was organised in Oceania (229). More details
- 918 regarding the P-block are presented in (230, 231)



Figure 8 P-block building(227)

921 6.1.2 ASCE SHM Benchmark

Ventura (232) introduced this 4-story laboratory model at the 15th International Modal Analysis
 Conference. This structure is placed in the Earthquake Engineering Research Laboratory at the

924 University of British Columbia (UBC) and is 2.5 meters wide and 3.6 meters high. Each level of

925 this structure has two diagonal braces on each exterior face, as shown in Figure 9. The mass of

- 926 each story is simulated with steel plates of various weights. Some of the braces can be removed to
- 927 model different damage scenarios, and the connections between columns and beams can be
- 928 loosened (<u>224</u>).

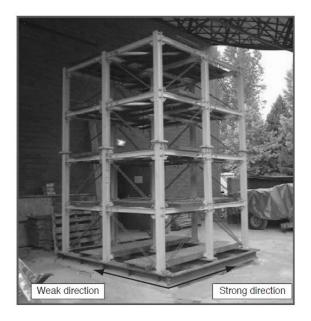




Figure 9 ASCE SHM Benchmark (224)

931 6.1.3 Bookshelf Frame Structure

932 The Los Alamos National Laboratory (LANL) has provided some experimental data sets, such as 933 datasets, for bridges and buildings in the public domain (233). A bookshelf is one of the most

934 popular datasets that is used as a damage detection testbed. This model is a three-story bookshelf

935 with bolted joints and is constructed of metal columns and aluminum floor plates, as depicted in

Figure 10 (233) (234). Moreover, four isolators allow the structure to sway in horizontal directions

- 937 with the aid of a hydraulic shaker. Piezoelectric single-axis accelerometers equip the structure.
- 938 Different damage simulations can be conducted by replacing the masses and changing the stiffness
- of the columns.

940

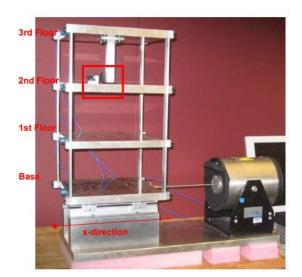


Figure 10 Bookshelf Frame Structure (233)

942 6.1.4 18-Story Steel Moment Frame

943 A one-third scale model of an 18-story steel high-rise and a protective frame was built and installed

- on the E-Defense shake table (Figure 11) (235). The model shows the behavior of a typical steel
- high-rise and responds to the earthquake as a steel moment-resisting frame. The model is similar
- 946 to steel high-rise buildings constructed in the 1980s to 90s, where the column-to-beam strength 947 ratio of 1.5 is provided to simulate a weak-beam strong-column mechanism. The building can be
- 948 excited in one direction solely. The input ground excitation is a simulated ground vibration with
- 949 long-period properties for a Tokai-Tonankai-Nankai subduction-zone earthquake.
- 950 Moreover, different types of sensors have been installed, and 879 data channels are recorded.
- 951 Acquired signals of all channels can be obtained for all loading cases, composed of primary
- 952 earthquake loadings and white-noise vibration. Notably, images from digital cameras record the
- 953 overall model's condition vividly and the fracture at the beam ends.

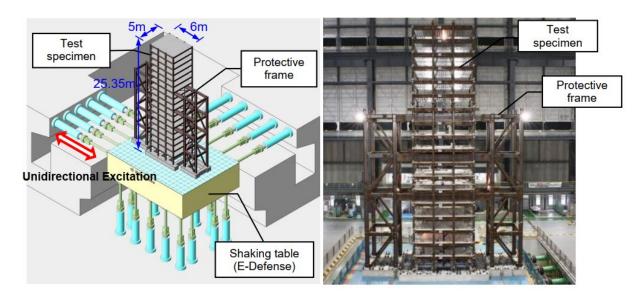


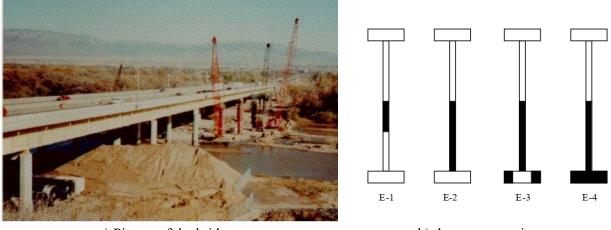
Figure 11 18-Story Steel Moment Frame(235)

956

955

957 6.1.5 I-40 bridge

958 This bridge was constructed over a highway in the Rio Grande River in Albuquerque, New Mexico 959 (see Figure 12 a). The concrete deck was about 13.3 m wide and 18 cm thick, supported by two 960 steel plate girders with a 3.05 m height (224). A number of modal tests were conducted after it had 961 been closed in 1993. To this end, 13 accelerometers were installed to each of the two plate girders 962 of the three spans and recorded 26 response measurements. The bridge was excited via a hydraulic 963 actuator placed on the deck of the middle span closest to the abutment. Four levels of damages 964 were introduced so as to simulate fatigue cracking by cutting the web and flange of the girder, as 965 shown in Figure 12 b. More elaborated information on the modal experimental of this bridge is 966 explained in (236).



a) Picture of the bridge b) damage scenarios Figure 12 I-40 Bridge in New Mexico. (224)

968 **6.1.6 Z24 bridge**:

969 This bridge was located in Canton Bern, Switzerland, as shown in Figure 13. It was a prestressed 970 two-span bridged with two lanes and approximately 60m in length (237). In 1998, different 971 progressive damages scenarios were implemented on this bridge, including settlement of piers, 972 spalling f concrete, cutting of concrete hinges, landslide, ruptures of tendons, and failure of anchor 973 bolts. However, the settlement was the central scenario that significantly impacted on the 974 degradation of bending stiffness. The settlement was simulated by cutting the Koppigen pier and 975 removing 0.4m of concrete to use six hydraulic jacks. Full details regarding this benchmark are 976 illustrated in (238)



a) Overall view of the bridge

b) Pier settlement using hydraulic jacks Figure 13 Z24 bridge benchmark (237)

978 **6.1.7 Tamar bridge:**

977

The bridge is situated in the United Kingdom and used to be one of the longest suspended structures in England (239) (Figure 14). Firstly, in 1961 the structure had a 335 m span and a side 981 span of 114 m. The anchorage and approach together amount to the total length of 643 m. Two 982 concrete towers with a height of 73 m support the bridge. After a significant upgrade in the 1990s, 983 several sensors were installed to assess the bridge's performance. These sensors recorded the data regarding cable tensions, wind velocity, temperature, and deflections. After years, in 2006, the 984 985 engineers from the University of Sheffield installed an additional set of sensors consisting of eight 986 accelerometers on orthogonal pairs to four cables and three sensors on the deck to extract 987 vibrational data. The data had a 64 Hz sampling frequency at 10 minutes intervals. More details 988 about this benchmark are provided in (240).



Figure 14 The Tamar suspended bridge

989 7 Conclusions

990 This paper has presented a review of the most promising and significant work reported in the 991 literature regarding SHM and related methodologies developed over the past three decades. 992 Additionally, a comprehensive categorization for anomaly detection was presented, and related 993 studies in each subset were summarized and discussed. Moreover, the most widely studied SHM 994 benchmarks were introduced at the end. The key findings of the literature review regarding the 995 advantages and disadvantages of each anomaly detection method, as discussed in this paper, are

summarized below:

Method	Pros.	Cons.
Displacement	 Detecting damage up to level 3 Requiring a few sensors 	 Insensitive to minor damage Difficult to measure bridge structures over water using traditional displacement transducers.
Strain	• Detecting damage at levels 1 and 2	 Requiring large numbers of sensors

	• Capable of detecting damage in the presence of noise	• Strains are not reliable for long periods
Natural Frequencies	 Easy implementation Relatively low cost Require a limited number of sensors 	 Sensitive to noise and vicinity of sensor or actuator Usually limited to level 1 Detect severe and single damage only
Mode-Shape	 More sensitive to damage than the natural frequency More effective in noisy environments than frequencies 	 Requiring large numbers of sensors Having errors in locating damages in some areas Insensitive to minor damage such as concrete cracks
Modal Curvature	• Able to detect slight damages	 Requiring many sensors Existing errors in central difference approximation
MSE	 Requiring first few models Addresses the levels 2 and 3 damage detection Detecting multiple damages Effective in noisy environments 	 Accuracy decreases as the mode shape complexity increases
Damping	• Relatively low cost	 Having large standard deviations Can be affected by operational effects Damping levels may rise or fall depending on the damage
FRF	 Easy implementation Relatively low cost Multi damage case detection Efficient in the existence of noise 	 Mainly limited to level 2 Sensitivity to numbers of modes Sensitivity to frequency ranges
Matrix-based	 Addresses the levels 2 and 3 Requiring few modes Sensitive to faults through incomplete modal measurements Sensitive to local defects 	 Not sensitive to slight damages Requiring well-distributed sensors Requiring mass normalized mode shapes Affecting the performance by using incomplete modal measurements

Reliability	Can be applied to complex structures	 The precision relies on the mode shape data Requiring higher-order modes for precise damage detection The sensitivity of the results depend on the accuracy of the input data
AE	 Practical for complex damage mechanisms like composite materials Can be deployed for real-time damage detection Effective for global monitoring Sensitive to slight damages 	ExpensiveRequires skillful operator
Time-domain	 Detecting damage at levels 1 and 2 Capable of detecting damage in the presence of noise Sensitive to local damage Not require a solving system of equations in finite element method Capable of solving complex systems hard to model operating on partial models with a limited number of measurable excitation and/or response signals Identifying dynamic characteristics of a system under ambient vibration Inherent accounting for uncertainty through statistical tools 	 Limit information about the location and severity of damage in the presence of noise False-positive/negative results
Time-Frequency domain	 Effective in noisy environments Addresses the levels 2 and 3 	• Computationally expensive
Computer Vision	 Easy implementation Low cost Addresses the levels 2 and 3 Ability to be automated 	 Computationally expensive Images quality degrades by environmental conditions

Data-Driven based Machine learning • Ability to be automated • Computationall Compliance with Ethical Standards • Computationall Conflict of Interest: On behalf of all authors, the corresponding author states the conflict of interest. Funding: The authors received no specific funding for this work.			
based Machine learning • Addresses the levels 2 and 3 Compliance with Ethical Standards Conflict of Interest: On behalf of all authors, the corresponding author states the conflict of interest.			
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conflict of interest.			
Funding: The authors received no specific funding for this work.	Conflict of Interest: On behalf of all authors, the corresponding author states that there is no conflict of interest.		

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